



MARCH 2021

# GOVERNOR'S EXECUTIVE BUDGET

APPROPRIATIONS COMMITTEE HEARINGS

# **DEPARTMENT OF HUMAN SERVICES**

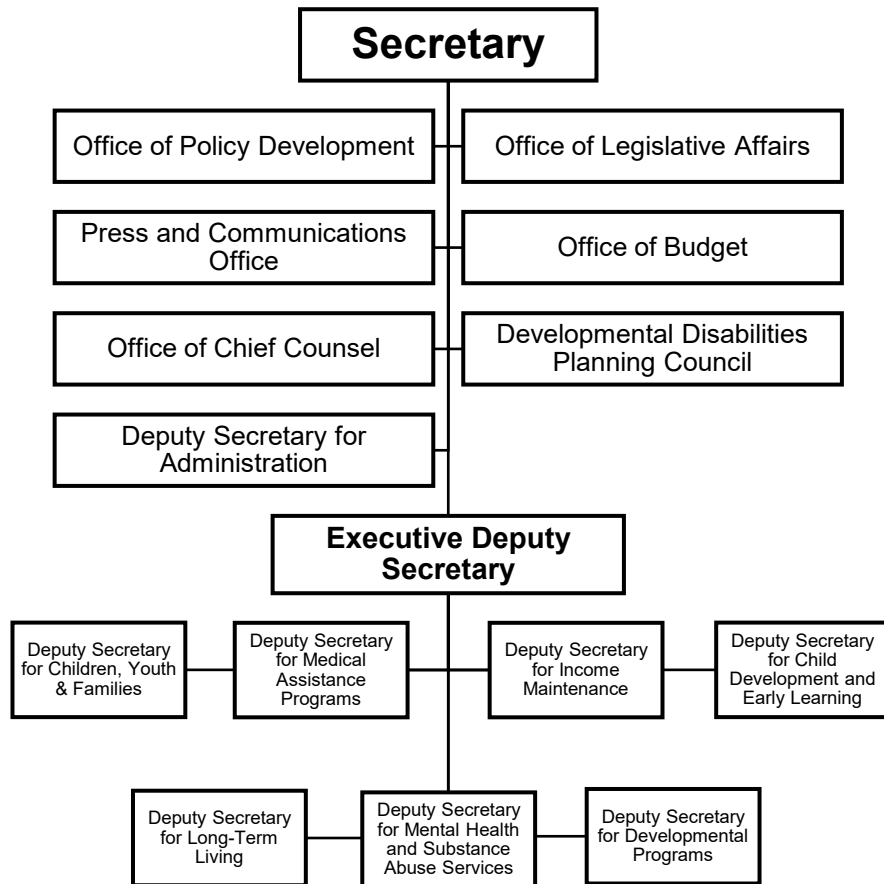
*The mission of the Department of Human Services is to assist Pennsylvanians in leading safe, healthy, and productive lives through equitable, trauma-informed, and outcome-focused services while being an accountable steward of commonwealth resources.*

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- **Deputy Secretary for Administration** oversees the bureaus of Financial Operations; Human Services Licensing; Administrative Services; Procurement and Contract Management; Program Integrity; Hearings and Appeals; and Equal Opportunity.
- **Deputy Secretary for Medical Assistance Programs** administers the joint state/federal Medical Assistance (also known as Medicaid) program and the Children’s Health Insurance Program (also known as CHIP) that purchases health care for nearly 3 million Pennsylvania residents.
- **Deputy Secretary for Income Maintenance** is responsible for the oversight of the Temporary Assistance for Needy Families program; Medical Assistance; Supplemental Nutrition Assistance Program; home heating assistance; family assistance; health services; employment and training services; and child support.
- **Deputy Secretary for Children, Youth and Families** oversees the bureaus of Policy, Programs and Operations; Children and Family Services; Juvenile Justice Services; and Budget and Fiscal Support.
- **Deputy Secretary for Child Development and Early Learning** oversees the bureaus of Subsidized Child Care Services; Certification Services; Early Learning Services; and Early Intervention Services.
- **Deputy Secretary for Mental Health and Substance Abuse Services** oversees the Office of the Medical Director and the bureaus of Community and Hospital Operations; Policy, Planning and Program Development; Financial Management and Administration; Children’s Behavioral Health; and Quality Management and Data Review.
- **Deputy Secretary for Long-Term Living** oversees the bureaus of Coordinated and Integrated Services; Provider and Participant Supports; Quality Assurance and Program Analytics; Policy Development and Communications Management; and Finance.
- **Deputy Secretary for Developmental Programs** oversees the bureaus of Financial Management and Budget; Supports for People with Intellectual Disabilities; Policy and Program Support; and Autism Services.

# DEPARTMENT OF HUMAN SERVICES

(\$ Amounts in Thousands)

2020-2021 Available

2021-2022 Governor's Executive Budget

Program Area	State General/ Special	Federal/ Other	Total	State General/ Special	Federal/ Other	Total
<b><u>Administration:</u></b>						
General Government Operations	\$106,235	\$128,920	\$235,155	\$114,030	\$133,827	\$247,857
Information Systems	\$85,905	\$173,470	\$259,375	\$91,735	\$172,920	\$264,655
County Administration-Statewide	\$45,839	\$121,368	\$167,207	\$51,220	\$128,099	\$179,319
County Assistance Offices	\$247,203	\$456,569	\$703,772	\$299,473	\$401,201	\$700,674
Children's Health Insurance Administration	\$1,670	\$5,475	\$7,145	\$0	\$0	\$0
Child Support Enforcement	\$16,250	\$171,170	\$187,420	\$16,250	\$171,630	\$187,880
New Directions	\$15,125	\$156,739	\$171,864	\$20,712	\$150,026	\$170,738
<b>Subtotal Administration</b>	<b>\$518,227</b>	<b>\$1,213,711</b>	<b>\$1,731,938</b>	<b>\$593,420</b>	<b>\$1,157,703</b>	<b>\$1,751,123</b>
<b><u>Institutional:</u></b>						
Youth Development Institutions and Forestry Camps	\$34,882	\$40,660	\$75,542	\$64,565	\$10,660	\$75,225
Mental Health Services	\$824,697	\$378,296	\$1,202,993	\$826,548	\$323,662	\$1,150,210
Intellectual Disabilities-State Centers	\$101,394	\$195,288	\$296,682	\$103,896	\$195,265	\$299,161
<b>Subtotal Institutional</b>	<b>\$960,973</b>	<b>\$614,244</b>	<b>\$1,575,217</b>	<b>\$995,009</b>	<b>\$529,587</b>	<b>\$1,524,596</b>
<b><u>Grants and Subsidies:</u></b>						
Cash Grants	\$13,740	\$416,842	\$430,582	\$13,740	\$416,842	\$430,582
Supplemental Grants - Aged, Blind and Disabled	\$121,804	\$0	\$121,804	\$120,032	\$0	\$120,032
Medical Assistance-Capitation	\$3,127,334	\$14,523,295	\$17,650,629	\$3,064,986	\$14,811,189	\$17,876,175
Medical Assistance - Fee-for-Service	\$798,621	\$2,357,307	\$3,155,928	\$456,575	\$2,063,426	\$2,520,001
Payments to Federal Government - Medicare Drug Program	\$692,938	\$0	\$692,938	\$761,058	\$0	\$761,058
Medical Assistance-Workers with Disabilities	\$60,518	\$110,234	\$170,752	\$75,366	\$107,401	\$182,767
Medical Assistance-Physician Practice Plans	\$8,764	\$12,311	\$21,075	\$5,715	\$8,102	\$13,817
Hospital-Based Burn Centers	\$3,921	\$5,508	\$9,429	\$3,856	\$5,521	\$9,377
Medical Assistance-Critical Access Hospitals	\$9,777	\$21,428	\$31,205	\$9,053	\$20,745	\$29,798
Medical Assistance-Obstetric and Neonatal Services	\$2,814	\$11,167	\$13,981	\$2,806	\$11,313	\$14,119
Medical Assistance-Trauma Centers	\$7,651	\$10,747	\$18,398	\$7,522	\$10,771	\$18,293
Medical Assistance-Academic Medical Centers	\$21,479	\$30,576	\$52,055	\$15,148	\$21,689	\$36,837
Medical Assistance-Transportation	\$60,693	\$85,514	\$146,207	\$62,289	\$82,668	\$144,957
Expanded Medical Services for Women	\$6,263	\$1,000	\$7,263	\$6,263	\$1,000	\$7,263
Children's Health Insurance Program	\$66,057	\$416,075	\$482,132	\$77,494	\$347,945	\$425,439
Medical Assistance-Long-Term Living	\$233,142	\$175,899	\$409,041	\$120,432	\$143,068	\$263,500
Medical Assistance-Community HealthChoices	\$3,034,947	\$6,693,503	\$9,728,450	\$3,781,118	\$8,055,950	\$11,837,068
Long-Term Care Managed Care	\$146,842	\$209,199	\$356,041	\$157,778	\$224,832	\$382,610
Intellectual Disabilities-Community Base Program	\$144,432	\$68,999	\$213,431	\$143,838	\$61,340	\$205,178
Intellectual Disabilities-Intermediate Care Facilities	\$135,061	\$235,665	\$370,726	\$142,902	\$250,533	\$393,435

# DEPARTMENT OF HUMAN SERVICES

(\$ Amounts in Thousands)

2020-2021 Available

2021-2022 Governor's Executive Budget

Program Area	State General/ Special	Federal/ Other	Total	State General/ Special	Federal/ Other	Total
Intellectual Disabilities-Community Waiver Program	\$1,645,307	\$2,193,594	\$3,838,901	\$1,813,842	\$2,268,268	\$4,082,110
Intellectual Disabilities-Lansdowne Residential Services	\$200	\$0	\$200	\$200	\$0	\$200
Autism Intervention and Services	\$26,448	\$30,471	\$56,919	\$25,434	\$32,152	\$57,586
Behavioral Health Services	\$57,149	\$8,353	\$65,502	\$57,149	\$0	\$57,149
Special Pharmaceutical Services	\$752	\$0	\$752	\$752	\$0	\$752
County Child Welfare	\$1,166,448	\$610,917	\$1,777,365	\$1,319,456	\$552,936	\$1,872,392
Community Based Family Centers	\$19,558	\$25,342	\$44,900	\$19,558	\$25,342	\$44,900
Child Care Services	\$156,482	\$766,459	\$922,941	\$156,482	\$466,172	\$622,654
Child Care Assistance	\$109,885	\$272,915	\$382,800	\$109,975	\$262,606	\$372,581
Nurse Family Partnership	\$12,999	\$2,636	\$15,635	\$13,083	\$2,639	\$15,722
Early Intervention	\$169,432	\$98,342	\$267,774	\$180,383	\$94,285	\$274,668
Domestic Violence	\$19,093	\$10,477	\$29,570	\$19,093	\$10,377	\$29,470
Rape Crisis	\$10,921	\$1,721	\$12,642	\$10,921	\$1,721	\$12,642
Breast Cancer Screening	\$1,723	\$2,000	\$3,723	\$1,723	\$2,000	\$3,723
Human Services Development Fund	\$13,460	\$0	\$13,460	\$13,460	\$0	\$13,460
Legal Services	\$2,661	\$5,049	\$7,710	\$3,661	\$5,049	\$8,710
Homeless Assistance	\$18,496	\$575,974	\$594,470	\$18,496	\$6,166	\$24,662
211 Communications	\$750	\$0	\$750	\$750	\$0	\$750
Health Program Assistance and Services	\$13,615	\$336	\$13,951	\$0	\$0	\$0
Services for Visually Impaired	\$3,102	\$0	\$3,102	\$3,102	\$0	\$3,102
<b>Subtotal Grants &amp; Subsidies</b>	<b>\$12,145,279</b>	<b>\$29,989,855</b>	<b>\$42,135,134</b>	<b>\$12,795,491</b>	<b>\$30,374,048</b>	<b>\$43,169,539</b>
<b>Total General Funds</b>	<b>\$13,624,479</b>	<b>\$31,817,810</b>	<b>\$45,442,289</b>	<b>\$14,383,920</b>	<b>\$32,061,338</b>	<b>\$46,445,258</b>
<b>Special and Other Funds:</b>						
Cigarette Tax Fund						
Lottery Fund						
Medical Assistance - Transportation Services	\$3,500	\$0	\$3,500	\$3,500	\$0	\$3,500
Medical Assistance-Community HealthChoices	\$348,966	\$0	\$348,966	\$348,966	\$0	\$348,966
Tobacco Settlement Fund						
Medical Assistance for Workers with Disabilities	\$95,883	\$134,590	\$230,473	\$91,696	\$130,671	\$222,367
Uncompensated Care	\$26,144	\$36,976	\$63,120	\$25,002	\$27,835	\$52,837
Medical Assistance Community HealthChoices	\$136,734	\$0	\$136,734	\$130,632	\$0	\$130,632
Children's Trust Fund						
Children's Programs and Services	\$1,400	\$0	\$1,400	\$1,400	\$0	\$1,400
<b>Total Special and Other Funds</b>	<b>\$612,627</b>	<b>\$171,566</b>	<b>\$784,193</b>	<b>\$601,196</b>	<b>\$158,506</b>	<b>\$759,702</b>
<b>DEPARTMENT TOTAL</b>	<b>\$14,237,106</b>	<b>\$31,989,376</b>	<b>\$46,226,482</b>	<b>\$14,985,116</b>	<b>\$32,219,844</b>	<b>\$47,204,960</b>

# Department of Human Services

## Funding by Program Area for Fiscal Years 2019-2020 thru 2021-2022

*Dollar Amounts in Thousands*

Program Area		2019-2020	2020-2021	2021-2022
<b>Human Services Support</b>	S	\$194,840	\$192,890	\$206,515
	F	\$309,028	\$291,234	\$295,600
	O	\$11,148	\$11,156	\$11,147
		<b>\$515,016</b>	<b>\$495,280</b>	<b>\$513,262</b>
<b>Medical Assistance and Health Care Delivery</b>	S	\$3,815,959	\$4,868,500	\$4,548,131
	F	\$13,083,840	\$14,407,431	\$14,674,612
	O	\$2,577,581	\$3,183,206	\$2,817,158
	L	\$3,500	\$3,500	\$3,500
	T	\$293,475	\$293,593	\$275,204
		<b>\$19,774,355</b>	<b>\$22,756,230</b>	<b>\$22,318,605</b>
<b>Long-Term Living</b>	S	\$3,306,872	\$3,414,931	\$4,059,328
	F	\$6,720,309	\$6,363,402	\$7,740,893
	O	\$622,251	\$715,199	\$682,957
	L	\$334,013	\$348,966	\$348,966
	T	\$165,878	\$136,734	\$130,632
		<b>\$11,149,323</b>	<b>\$10,979,232</b>	<b>\$12,962,776</b>
<b>Income Maintenance</b>	S	\$463,493	\$459,961	\$521,427
	F	\$1,333,199	\$1,307,856	\$1,252,966
	O	\$11,153	\$14,832	\$14,832
		<b>\$1,807,845</b>	<b>\$1,782,649</b>	<b>\$1,789,225</b>
<b>Mental Health and Substance Abuse Services</b>	S	\$849,920	\$882,598	\$884,449
	F	\$311,571	\$346,735	\$283,765
	O	\$36,649	\$39,914	\$39,897
		<b>\$1,198,140</b>	<b>\$1,269,247</b>	<b>\$1,208,111</b>
<b>Intellectual Disabilities</b>	S	\$2,093,910	\$2,052,842	\$2,230,112
	F	\$2,751,220	\$2,679,889	\$2,761,507
	O	\$45,257	\$44,128	\$46,051
		<b>\$4,890,387</b>	<b>\$4,776,859</b>	<b>\$5,037,670</b>
<b>Human Services</b>	S	\$1,401,731	\$1,284,401	\$1,454,477
	F	\$578,288	\$1,243,665	\$587,113
	O	\$2,145	\$3,469	\$1,796
		<b>\$1,982,164</b>	<b>\$2,531,535</b>	<b>\$2,043,386</b>
<b>Child Development</b>	S	\$466,551	\$468,356	\$479,481
	F	\$1,002,112	\$1,163,989	\$849,339
	O	\$3,136	\$3,105	\$3,105
		<b>\$1,471,799</b>	<b>\$1,635,450</b>	<b>\$1,331,925</b>
<b>Grand Total</b>	S	<b>\$12,593,276</b>	<b>\$13,624,479</b>	<b>\$14,383,920</b>
	F	<b>\$26,089,567</b>	<b>\$27,804,201</b>	<b>\$28,445,795</b>
	O	<b>\$3,309,320</b>	<b>\$4,015,009</b>	<b>\$3,616,943</b>
	L	<b>\$337,513</b>	<b>\$352,466</b>	<b>\$352,466</b>
	T	<b>\$459,353</b>	<b>\$430,327</b>	<b>\$405,836</b>
		<b>\$42,789,029</b>	<b>\$46,226,482</b>	<b>\$47,204,960</b>

S = General Fund

F = Federal funds

O = Other funds (Augmentations plus Other Funds)

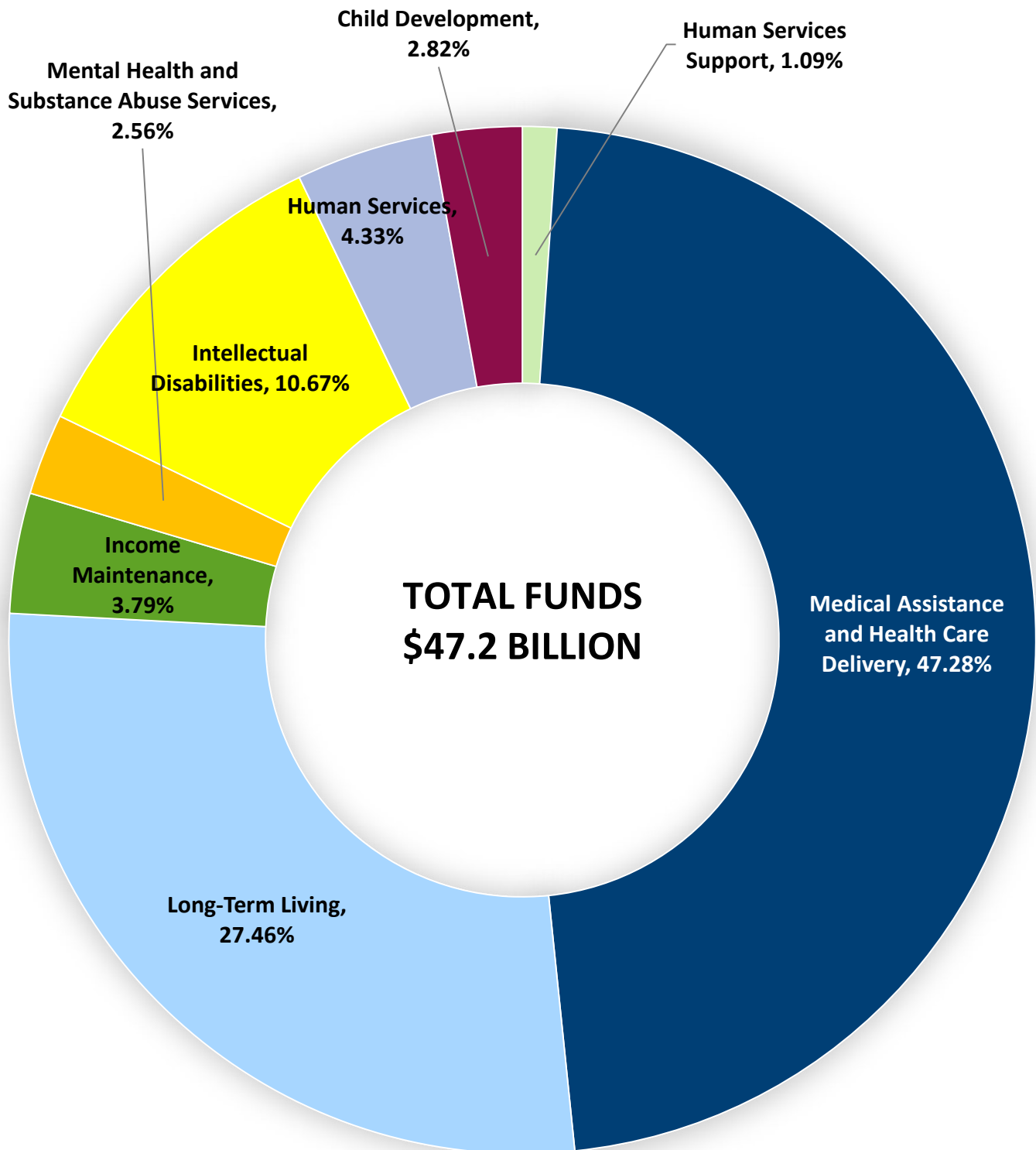
L = Lottery Funds

T = Tobacco Settlement Fund

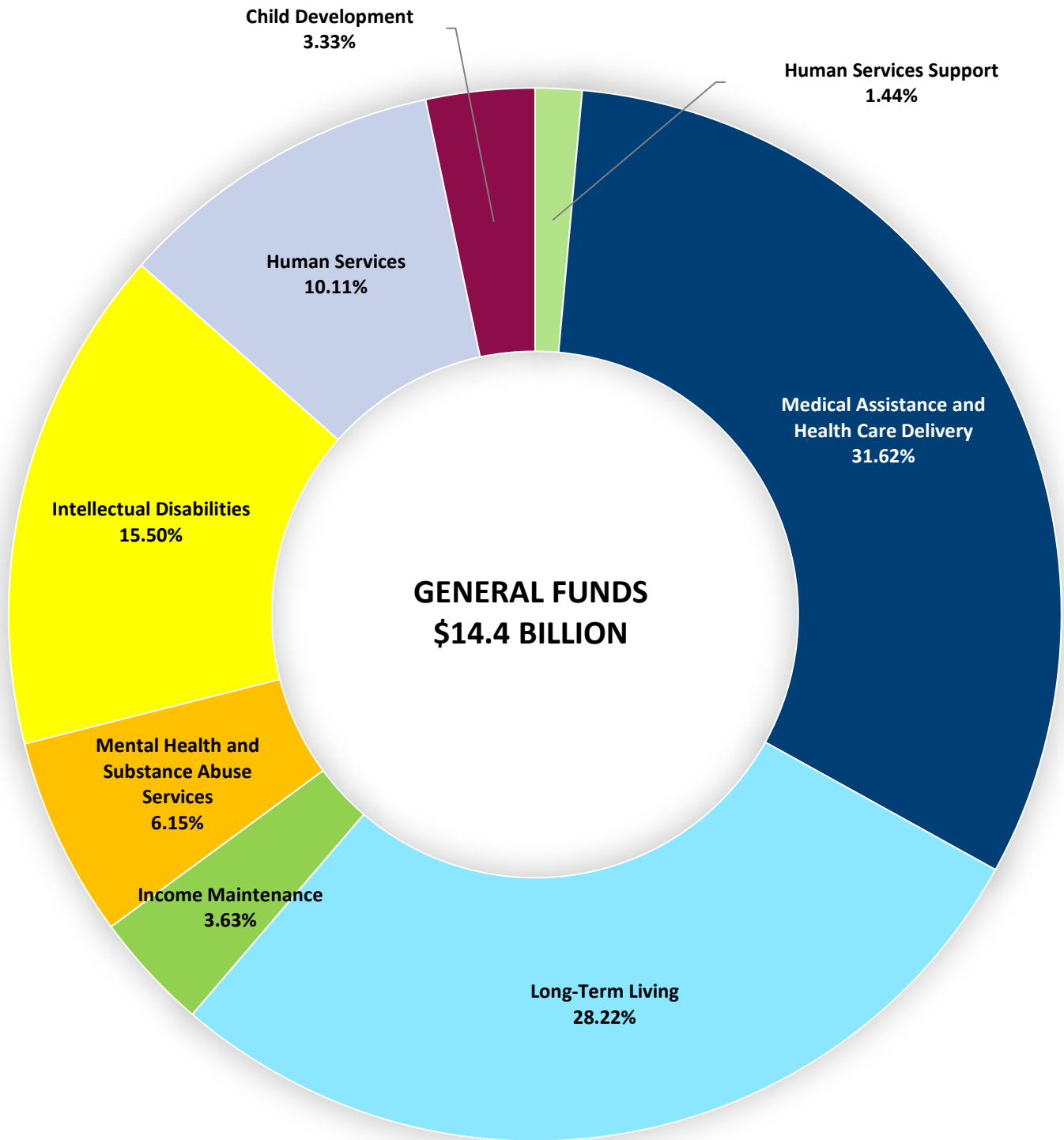


# DEPARTMENT OF HUMAN SERVICES

## 2021 - 2022 OPERATING BUDGET BY PROGRAM



**DEPARTMENT OF HUMAN SERVICES  
2021 - 2022 OPERATING BUDGET BY PROGRAM**



# Department of Human Services

## Management Directive 310.3 (Act 146) Waivers for Fiscal Years prior to 2020-2021

*Dollar Amounts in Thousands*

Fund	Year Waived	Amount	Description
<b>General Funds</b>			
General Government Operations	2019	All Balances & Commitments	Payment of outstanding obligations
Information Systems	2018	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance-Information Systems (F)	2018	All Balances & Commitments	Payment of outstanding obligations
TANFBG-Information Systems (F)	2018	All Balances & Commitments	Payment of outstanding obligations
CHIP-Information Systems (F)	2018	All Balances & Commitments	Payment of outstanding obligations
Information Systems	2019	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance-Information Systems (F)	2019	All Balances & Commitments	Payment of outstanding obligations
TANFBG-Information Systems (F)	2019	All Balances & Commitments	Payment of outstanding obligations
CHIP-Information Systems (F)	2019	All Balances & Commitments	Payment of outstanding obligations
County Assistance Offices	2018	All Balances & Commitments	Payment of outstanding obligations
County Assistance Offices	2019	All Balances & Commitments	Payment of outstanding obligations
Youth Development Centers/Youth Forestry Camps	2018	All Balances & Commitments	Payment of outstanding obligations
Youth Development Centers/Youth Forestry Camps	2019	All Balances & Commitments	Payment of outstanding obligations
Mental Health Services	2015	All Balances & Commitments	Payment of outstanding obligations
Mental Health Services	2016	All Balances & Commitments	Payment of outstanding obligations
Mental Health Services	2017	All Balances & Commitments	Payment of outstanding obligations
Mental Health Services	2018	All Balances & Commitments	Payment of outstanding obligations

# Department of Human Services

## Management Directive 310.3 (Act 146) Waivers for Fiscal Years prior to 2020-2021

*Dollar Amounts in Thousands*

Fund	Year Waived	Amount	Description
Mental Health Services	2019	All Balances & Commitments	Payment of outstanding obligations
Intellectual Disabilities-State Centers	2018	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - State Centers (F)	2018	All Balances & Commitments	Payment of outstanding obligations
Intellectual Disabilities-State Centers	2019	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - State Centers (F)	2019	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance-Fee-for-Service	2019	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance-Fee-for-Service (F)	2019	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - Obstetric and Neonatal Services	2019	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - Obstetric and Neonatal Services (F)	2019	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - Transportation	2018	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - Transportation	2019	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - Transportation (F)	2017	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - Transportation (F)	2018	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - Transportation (F)	2019	All Balances & Commitments	Payment of outstanding obligations
Intellectual Disabilities-Community Based Program	2015	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - Community ID Services (F)	2015	All Balances & Commitments	Payment of outstanding obligations
Intellectual Disabilities-Community Based Program	2016	All Balances & Commitments	Payment of outstanding obligations

# Department of Human Services

## Management Directive 310.3 (Act 146) Waivers for Fiscal Years prior to 2020-2021

*Dollar Amounts in Thousands*

Fund	Year Waived	Amount	Description
Medical Assistance - Community ID Services (F)	2016	All Balances & Commitments	Payment of outstanding obligations
Intellectual Disabilities-Community Based Program	2017	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - Community ID Services (F)	2017	All Balances & Commitments	Payment of outstanding obligations
Intellectual Disabilities-Community Based Program	2018	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - Community ID Services (F)	2018	All Balances & Commitments	Payment of outstanding obligations
Intellectual Disabilities-Community Based Program	2019	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - Community ID Services (F)	2019	All Balances & Commitments	Payment of outstanding obligations
Autism Intervention and Services	2018	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - Autism Intervention and Services (F)	2018	All Balances & Commitments	Payment of outstanding obligations
Autism Intervention and Services	2019	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - Autism Intervention and Services (F)	2019	All Balances & Commitments	Payment of outstanding obligations
County Child Welfare	2018	All Balances & Commitments	Payment of outstanding obligations
County Child Welfare	2019	All Balances & Commitments	Payment of outstanding obligations
Child Welfare Services (F)	2019	All Balances & Commitments	Payment of outstanding obligations
Child Welfare - Title IV-E (F)	2017	All Balances & Commitments	Payment of outstanding obligations
Child Welfare - Title IV-E (F)	2018	All Balances & Commitments	Payment of outstanding obligations
Child Welfare - Title IV-E (F)	2019	All Balances & Commitments	Payment of outstanding obligations

# Department of Human Services

## Management Directive 310.3 (Act 146) Waivers for Fiscal Years prior to 2020-2021

*Dollar Amounts in Thousands*

Fund	Year Waived	Amount	Description
Medical Assistance - Child Welfare (F)	2018	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance - Child Welfare (F)	2019	All Balances & Commitments	Payment of outstanding obligations
TANFBG - Child Welfare (F)	2018	All Balances & Commitments	Payment of outstanding obligations
TANFBG - Child Welfare (F)	2019	All Balances & Commitments	Payment of outstanding obligations
Childrens Justice Act (F)	2019	All Balances & Commitments	Payment of outstanding obligations
ARRA - Child Welfare - Title IV-E (F)	2010	All Balances & Commitments	Payment of outstanding obligations
Early Intervention	2019	All Balances & Commitments	Payment of outstanding obligations
Domestic Violence	2019	All Balances & Commitments	Payment of outstanding obligations
SSBG - Domestic Violence (F)	2019	All Balances & Commitments	Payment of outstanding obligations
Breast Cancer Screening	2019	All Balances & Commitments	Payment of outstanding obligations
211 Communications	2019	All Balances & Commitments	Payment of outstanding obligations
Health Program Assistance & Services	2017	All Balances & Commitments	Payment of outstanding obligations
Health Program Assistance & Services	2018	All Balances & Commitments	Payment of outstanding obligations
Health Program Assistance & Services	2019	All Balances & Commitments	Payment of outstanding obligations
Services for the Visually Impaired	2019	All Balances & Commitments	Payment of outstanding obligations

# Department of Human Services

## Management Directive 310.3 (Act 146) Waivers for Fiscal Years prior to 2020-2021

*Dollar Amounts in Thousands*

Fund	Year Waived	Amount	Description
<b>Tobacco Settlement Funds</b>			
Uncompensated Care	2018	All Balances & Commitments	Payment of outstanding obligations
Uncompensated Care	2019	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance-Uncompensated Care (F)	2018	All Balances & Commitments	Payment of outstanding obligations
Medical Assistance-Uncompensated Care (F)	2019	All Balances & Commitments	Payment of outstanding obligations

**Department of Human Services**  
**Supplemental Appropriations for Fiscal Year 2020-2021**  
(\$ Amounts in Thousands)

Fiscal Year 2020-2021 Appropriation Title	Appropriation Amount	Supplemental Request	Revised Appropriation	Run Out Date
<b>General Fund - State:</b>				
Children's Health Insurance Administration	\$689	\$981	\$1,670	03/01/21
Medical Assistance - Capitation	\$2,594,022	\$533,312	\$3,127,334	04/01/21
Medical Assistance - Fee-for-Service	\$469,824	\$328,797	\$798,621	02/01/21
Payment to Federal Government - Medicare Drug Program	\$687,896	\$5,042	\$692,938	06/01/21
Medical Assistance - Community HealthChoices	\$2,950,500	\$84,447	\$3,034,947	05/01/21
<b>General Fund - Federal:</b>				
COVID - Medical Assistance - Mental Health	\$21,168	\$1,816	\$22,984	06/30/21
COVID -Mental Health Services Block Grant	\$0	\$53,955	\$53,955	06/30/21
Medical Assistance - Capitation	\$11,119,118	\$65,644	\$11,184,762	04/01/21
Medical Assistance - Fee-for-Service	\$1,774,302	\$54,244	\$1,828,546	05/17/21
COVID - Medical Assistance - Long-Term Living	\$14,878	\$6,040	\$20,918	06/30/21
COVID - Medical Assistance - Long-Term Care Managed Care	\$21,892	\$125	\$22,017	06/30/21
COVID - Medical Assistance - Autism Intervention Services	\$2,875	\$158	\$3,033	06/30/21
COVID - Promoting Safe and Stable Families	\$0	\$2,665	\$2,665	06/30/21
COVID - Chafee Foster Care Program and ETV	\$0	\$14,154	\$14,154	06/30/21
COVID - CCDFBG - Child Care Services	\$0	\$302,861	\$302,861	06/30/21
COVID - Emergency Rental Assistance Program	\$0	\$569,808	\$569,808	03/06/21
<b>Tobacco Settlement Fund - Federal:</b>				
Medical Assistance - Workers with Disabilities	\$106,874	\$13,427	\$120,301	06/30/21
COVID - Medical Assistance - Workers with Disabilities	\$7,500	\$6,789	\$14,289	06/30/21
Medical Assistance - Uncompensated Care	\$29,141	\$3,665	\$32,806	06/30/21
COVID - Medical Assistance - Uncompensated Care	\$273	\$3,897	\$4,170	06/30/21



**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

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**APPROPRIATION:**  
General Government Operations

**I. SUMMARY FINANCIAL DATA**

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
<b>State Funds Total</b>	\$107,884	\$106,235	\$114,030
<b>Federal Funds Total</b>	\$126,592	\$118,306	\$123,213
<b>Federal Sources Itemized</b>			
<i>Medical Assistance-Administration</i>	\$31,392	\$33,509	\$35,033
<i>SNAP -Administration</i>	\$6,535	\$6,582	\$2,627
<i>SSBG-Administration</i>	\$325	\$358	\$800
<i>TANFBG-Administration</i>	\$13,898	\$15,208	\$19,146
<i>CCDFBG-Administration</i>	\$28,640	\$31,201	\$32,091
<i>Child Welfare-Title IV-E-Administration</i>	\$7,891	\$7,492	\$9,989
<i>Child Welfare Services-Administration</i>	\$941	\$867	\$67
<i>Community Based Family Resource and Support - Admin</i>	\$689	\$689	\$689
<i>Developmental Disabilities-Basic Support</i>	\$4,353	\$4,157	\$4,299
<i>Disabled Education-Administration</i>	\$714	\$90	\$18
<i>Early Head Start Expansion Program</i>	\$14,950	\$14,950	\$14,950
<i>Early Learning Challenge Grant - Administration</i>	\$131	\$0	\$0
<i>MCH-Administration</i>	\$196	\$207	\$236
<i>MHSBG - Administration</i>	\$579	\$979	\$890
<i>Refugees and Persons Seeking Asylum - Administration</i>	\$2,810	\$1,942	\$2,303
<i>Preschool Development Grant (EA)</i>	\$12,473	\$0	\$0
<i>Homeland Security (EA)</i>	\$75	\$75	\$75
<b>Other Funds Total</b>	\$10,615	\$10,614	\$10,614
<b>Other Fund Sources Itemized</b>			
<i>Child Abuse Reviews</i>	\$9,532	\$8,325	\$8,325
<i>Adam Walsh Clearance</i>	\$1,025	\$1,832	\$1,832
<i>Training Reimbursement</i>	\$0	\$457	\$457
<i>Miscellaneous Reimbursements</i>	\$58	\$0	\$0
<b>Total</b>	<b>\$245,091</b>	<b>\$235,155</b>	<b>\$247,857</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds</b>	<b>\$0</b>
<b>Federal Funds</b>	<b>\$0</b>
<b>Total</b>	<b>\$0</b>

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: General Government Operations			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$59,974	\$60,325	\$65,020	\$4,695	7.78%
<i>Federal Funds</i>	\$60,312	\$59,024	\$60,604	\$1,580	2.68%
<i>Other Funds</i>	\$8,827	\$8,827	\$8,827	\$0	0.00%
<b>Total Personnel</b>	<b>\$129,113</b>	<b>\$128,176</b>	<b>\$134,451</b>	<b>\$6,275</b>	<b>4.90%</b>
<b>OPERATING</b>					
<i>State Funds</i>	\$44,043	\$45,075	\$48,175	\$3,100	6.88%
<i>Federal Funds</i>	\$28,471	\$32,606	\$34,747	\$2,141	6.57%
<i>Other Funds</i>	\$1,787	\$1,787	\$1,787	\$0	0.00%
<b>Total Operating</b>	<b>\$74,301</b>	<b>\$79,468</b>	<b>\$84,709</b>	<b>\$5,241</b>	<b>6.60%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$20	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$20</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$835	\$835	\$835	\$0	0.00%
<i>Federal Funds</i>	\$31,162	\$18,511	\$18,511	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$31,997</b>	<b>\$19,346</b>	<b>\$19,346</b>	<b>\$0</b>	<b>0.00%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$3,013	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$6,647	\$8,165	\$9,351	\$1,186	14.53%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$9,660</b>	<b>\$8,165</b>	<b>\$9,351</b>	<b>\$1,186</b>	<b>14.53%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXCESS FEDERAL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Excess Federal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$107,885	\$106,235	\$114,030	\$7,795	7.34%
<i>Federal Funds</i>	\$126,592	\$118,306	\$123,213	\$4,907	4.15%
<i>Other Funds</i>	\$10,614	\$10,614	\$10,614	\$0	0.00%
<b>Total Funds</b>	<b>\$245,091</b>	<b>\$235,155</b>	<b>\$247,857</b>	<b>\$12,702</b>	<b>5.40%</b>

<b>APPROPRIATION:</b> General Government Operations
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III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
Total State Funds	\$2	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Total Authorized</i>	1,036	976	976
<i>Total Filled</i>	926	921	925
<b>Federally Funded</b>			
<i>Authorized</i>	183	215	216
<i>Filled</i>	167	173	175
<b>Other Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Total</b>			
<i>Authorized</i>	1,219	1,191	1,192
<i>Filled</i>	1,093	1,094	1,100
<b>Benefit Rate</b>	73.51%	70.22%	71.52%

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget authorizes 976 positions, consisting of 925 filled, 46 vacant, and five leave-without-pay positions.

Federally funded complement authorizes 216 positions, consisting of 175 filled, 40 vacant, and one Leave-without-pay position.

The funding for operating and fixed asset expenses provides for the administrative and overhead systems that support the operation of programs in the Department of Human Services. Major provisions include rent of real estate, the Adult Protective Services contract, and human resources funding.

The funding for grant expenses provides for the Guardianship Grant.

**Legislative Citations:**

Title 62 P.S. § 101; 71 P.S. § 61

**Disbursement Criteria:**

This appropriation funds the administrative structure for all programs in the Department of Human Services. Supported functions include formulation of policies, planning, monitoring, analysis, and evaluation of programs. Disbursements are made based on approved positions and established employee benefits and through invoices for operating expenses and fixed assets.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: General Government Operations			
	State \$	Federal \$	Other \$	Total \$
<b>PERSONNEL</b>				
1. Provides an increase of \$2.385 million for the cost to carry forward 925 filled positions for 26.1 pay periods, and four LWOP positions funded for benefits only, including other personnel costs:	\$2,385	\$1,341	\$0	\$3,726
2. Provides for the annualization of an October 1, 2020 2.00 percent general salary increase, the annualization of an April 1, 2021 2.25 percent longevity increment and the impact of turnover (excludes the effect on employee benefits):	\$192	\$108	\$0	\$300
3. Provides an increase in employee benefit costs due to a rate change in health insurance:	\$1,390	\$495	\$0	\$1,885
4. Provides an increase in personnel costs associated with administrative federal appropriations:	\$0	\$364	\$0	\$364
5. Reflects a change in federal financial participation in Fiscal Year 2021-2022:	\$728	(\$728)	\$0	\$0
<b>Subtotal Personnel</b>	<b>\$4,695</b>	<b>\$1,580</b>	<b>\$0</b>	<b>\$6,275</b>
<b>OPERATING</b>				
1. Provides an increase in health and safety services related to the Adult Protective Services Liberty contract:	\$1,741	\$1,186	\$0	\$2,927
2. Provides \$1.141 million for lease costs related to new office space for ChildLine, the Office of Children Youth and Family regional office, and the Bureau of Financial Operations:	\$721	\$420	\$0	\$1,141
3. Provides an increase in centrally distributed charges primarily due to the new Defined Contribution Per-Participant charge:	\$52	\$25	\$0	\$77
4. Provides an increase in operating costs associated with administrative federal appropriations:	\$0	\$993	\$0	\$993
5. Provides an increase related to previously committed software upgrades for the Bureau of Human Service Licenses:	\$103	\$0	\$0	\$103
6. Reflects a change in federal financial participation in Fiscal Year 2021-2022:	\$483	(\$483)	\$0	\$0
<b>Subtotal Operating</b>	<b>\$3,100</b>	<b>\$2,141</b>	<b>\$0</b>	<b>\$5,241</b>

**VI. EXPLANATION OF CHANGES**  
 (\$ Amounts in Thousands)

**APPROPRIATION:**  
 General Government Operations

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>BUDGETARY RESERVE</b>				
1. Reflects a change in excess federal spending authority in Fiscal Year 2021-2022:	\$0	\$1,186	\$0	\$1,186
<b>TOTAL</b>	<u><u>\$7,795</u></u>	<u><u>\$4,907</u></u>	<u><u>\$0</u></u>	<u><u>\$12,702</u></u>

## **GENERAL GOVERNMENT OPERATIONS**

### **PROGRAM STATEMENT**

The General Government Operations (GGO) appropriation provides funding for the administrative and overhead systems that support the operation of programs in the Department of Human Services (Department). The appropriation provides an administrative structure for setting policy, planning, and administration of direct services, state-operated facilities, services provided under contract, grants to counties, subsidies, and vendor reimbursement. The responsibilities of the offices funded in GGO include: formulation of policies, overall direction of programs, planning and coordination among program areas, monitoring of programs, analysis of information, evaluation of programs, administrative support, and the licensure of providers. Listed below is a brief description of each office.

### **OFFICE OF THE SECRETARY**

The Office of the Secretary directs and supervises the overall administration of the Department and establishes broad policy and management direction for all programs including Administration; Medical Assistance (MA) Programs; Children, Youth & Families; Child Development & Early Learning; Mental Health and Substance Abuse Services; Income Maintenance; Long Term Living; and Developmental Programs. In addition, the Office of the Secretary provides supervision and direction over the following functions: Policy; Budget and Finance; Legislative Affairs; Communications; and Chief Counsel.

### **OFFICE OF ADMINISTRATION**

The Office of Administration administers support functions for each of the program offices within the Department. These support functions include equal opportunity programs; hearings and appeals; financial operations; procurement and contract management; fraud and abuse recoveries; and administrative services. Administrative services include printing; mailroom operations; records management; automotive fleet operations; guardianship program and Pennhurst Advocacy services; facilities and property management; surplus equipment; and emergency planning.

### **OFFICE OF MEDICAL ASSISTANCE PROGRAMS**

The Office of Medical Assistance Programs assures that comprehensive medical and related services are reimbursed for low-income elderly and disabled recipients and low-income children and their families. This office develops regulations governing provider participation in MA and the Children's Health Insurance Program and monitors providers for compliance with these rules.

The eHealth Partnership is responsible for operating the state's electronic health information exchange, known in Pennsylvania as the PA Patient & Provider Network. This Network improves and coordinates patient care by helping health care providers find their patients' medical records in real time.

### **OFFICE OF INCOME MAINTENANCE**

The Office of Income Maintenance develops policies and regulations which support cash assistance, the Supplemental Nutrition Assistance Program, and the Low-Income Home Energy Assistance Program. In addition, this office establishes MA eligibility, supervises statewide child support collections, and manages the operations of local county assistance offices.

## **OFFICE OF MENTAL HEALTH AND SUBSTANCE ABUSE SERVICES**

The Office of Mental Health and Substance Abuse Services (OMHSAS) directs the operation of six state mental hospitals and one restoration center and supervises behavioral health services for county programs. Treatment of the mentally ill is provided through a range of programs including community residential rehabilitation and support services, intensive case management, emergency outpatient and partial hospitalization programs, and short- and long-term inpatient treatment. The office also manages, through county or direct contracts, the Behavioral Health HealthChoices Managed Care Program.

## **OFFICE OF CHILDREN, YOUTH & FAMILIES**

The Office of Children, Youth & Families (OCYF) is responsible for establishing policies and standards for services to children and their families throughout the Commonwealth. OCYF issues regulations governing foster care and adoption services, group homes, part-day services, and 67 county children and youth agencies, and directly operates state facilities for delinquent youth. This office also conducts licensing for county and private children and youth social service agencies.

## **OFFICE OF LONG-TERM LIVING**

The Office of Long-Term Living is responsible for the statewide administration of Pennsylvania's Medicaid funded long-term services and supports for older Pennsylvanians and adults with physical disabilities. Major program areas include Community HealthChoices, nursing facilities, home and community-based waiver services, and other programs such as Living Independence for the Elderly program, known nationally as PACE (Program for All-Inclusive Care for the Elderly). In addition, program responsibilities include assessing and improving the quality of services received by participants in various long-term living settings, assisting people who want to transition from nursing facilities back into the community, and monitoring fiscal and regulatory compliance.

## **OFFICE OF CHILD DEVELOPMENT & EARLY LEARNING**

The Office of Child Development & Early Learning (OCDEL) promotes opportunities for all Pennsylvania children and families by building systems and providing supports that help ensure access to high-quality early care and educational services. The goal of the office is to create the opportunity for all the Commonwealth's youngest children to benefit from strong early childhood programs and to create an approach that unifies and recognizes the important contributions of all necessary partners including: parents, schools, child care, Early Intervention, Head Start, libraries, and community organizations.

## **OFFICE OF DEVELOPMENTAL PROGRAMS**

The Office of Developmental Programs is responsible for directing the activities of the statewide developmental disabilities system which includes state centers, private intermediate care facilities, community services for people with intellectual disabilities, and community autism services. The office plans and develops programs, regulations, policies, standards and guidelines, and competency-based training for the statewide developmental disabilities system. This office administers federal Medicaid program requirements and ensures compliance with federal and state regulations and policies.

## **FEDERAL ADMINISTRATIVE APPROPRIATIONS**

### **Developmental Disabilities – Basic Support**

This grant provides federal funds to support programs designed to engage in advocacy, capacity building, and systemic change activities that are consistent with the purpose of the Developmental Disabilities Act. The grant provides for a comprehensive system of community services, individualized supports, and other forms of assistance that enable individuals with developmental disabilities to be productive, integrated, and included in all facets of community life. Funding in State Fiscal Year (SFY) 2021-2022 provides for ten staff.

### **Community Based Family Resource and Support – Administration**

This appropriation supports community based and prevention focused programs eligible for funding under the federal Community-Based Child Abuse Prevention grant. This grant strengthens and supports leadership of parents through program planning, implementation, and evaluation; peer review processes; state or community level funding mechanisms; services for families with children with disabilities; and involvement of families in the design and operation of each program.

### **Child Care Development Fund Block Grant – Administration**

This grant provides funding to support early care and educational services. Personnel funding in SFY 2021-2022 provides for 178 staff to support licensing and monitoring activities within the child care program. Information technology funding for the Pennsylvania Enterprise to Link Information for Children Across Networks (PELICAN) system also supports the operation of the child care program serving Pennsylvania children and providers.

### **Refugees and Persons Seeking Asylum – Administration**

This appropriation provides funding for the administrative costs associated with assisting refugees who resettle throughout the Commonwealth. Funding in SFY 2021-2022 provides for 22 staff, located primarily in counties with heavy concentrations of refugees. Operational funding provides mainly for travel expense associated with serving the target population.

### **Mental Health Services Block Grant – Administration**

This grant funds four mental health program specialist positions which function as behavioral health service planners for OMHSAS. The grant funds the development and coordination of all state planning requirements mandated by the Community Mental Health Block Grant. The positions provide staff support for the State Planning Council and have lead responsibility for continuing development of the Annual Behavioral Health State Plan. All grant funded services are required to be tied to the state plan goals.

### **Early Head Start Expansion Program**

Two federal grants provide funding for OCDEL to provide Early Head Start (EHS) Services. The first grant funds EHS slots through an evidence-based home visiting service delivery option to pregnant women, infants, and toddlers from low-income families in Lawrence and Lebanon counties. Families receive support with child development and health, safety, and nutrition education. The program strives to build self-sufficiency and parenting abilities. The second grant funds EHS Child Care Partnership slots in Lawrence, Huntingdon, Lebanon, Lancaster, Berks, Chester, Montgomery, Venango, Philadelphia, and Bucks Counties. It serves children of low-income working families enrolled in child care centers.



### **Maternal, Infant and Early Childhood Home Visiting Program – Administration**

The Maternal, Infant, and Early Childhood Home Visiting Program gives pregnant women and families the resources and skills needed to raise children who are physically, socially, and emotionally healthy and ready to learn. In partnership with the Administration for Children and Families, the program funds the development and implementation of evidence-based, voluntary programs that improve maternal and child health, prevent child abuse and neglect, encourage positive parenting, and promote child development and school readiness. This includes four evidenced based home visiting models: Parents as Teachers, Nurse-Family Partnership, Healthy Families America, and Early Head Start Home Visiting. Pennsylvania serves up to 2,400 families a year with these funds.

### **Homeland Security – Administration**

The US Department of Homeland Security provides funding to states for the purchase of specialized equipment for supporting emergency response services, and law enforcement. Funding also supports exercises, training, and planning activities. These funds will be used to enhance the capabilities of state and local units of government to respond to acts of terrorism involving chemical and biological agents, as well as radiological, nuclear, and explosive devices.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

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Pp. A2.10, C1.44, E27.2, E27.16

**APPROPRIATION:**  
Information Systems

I. SUMMARY FINANCIAL DATA	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
State Funds	\$86,206	\$85,905	\$91,735
<b>Federal Funds Total</b>	<b>\$182,436</b>	<b>\$172,928</b>	<b>\$172,387</b>
<b>Federal Sources Itemized</b>			
<i>Medical Assistance - Information Systems</i>	\$108,784	\$100,307	\$99,693
<i>SNAP - Information Systems</i>	\$27,711	\$28,114	\$27,949
<i>TANFBG - Information Systems</i>	\$12,631	\$11,189	\$13,424
<i>Child Welfare - Title IV-E - Information Systems</i>	\$14,382	\$8,510	\$11,422
<i>Child Support Enforcement - Information Systems</i>	\$9,272	\$9,639	\$6,712
<i>CHIP - Information Systems</i>	\$9,541	\$14,868	\$12,887
<i>COVID CHIP - Information Systems (EA)</i>	\$115	\$301	\$300
<b>Other Funds Total</b>	<b>\$533</b>	<b>\$542</b>	<b>\$533</b>
<b>Other Sources Itemized</b>			
<i>Compass Support - CHIP</i>	\$520	\$529	\$520
<i>Medical Data Exchange</i>	\$13	\$13	\$13
<b>Total</b>	<b>\$269,175</b>	<b>\$259,375</b>	<b>\$264,655</b>
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>			
State Funds		\$0	
Federal Funds		\$0	
<b>Total</b>		<b>\$0</b>	

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Information Systems				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
<i>State Funds</i>	\$84,602	\$84,100	\$89,930	\$5,830	6.93%
<i>Federal Funds</i>	\$155,499	\$140,529	\$157,829	\$17,300	12.31%
<i>Other Funds</i>	\$533	\$542	\$533	(\$9)	-1.66%
<b>Total Operating</b>	<b>\$240,634</b>	<b>\$225,171</b>	<b>\$248,292</b>	<b>\$23,121</b>	<b>10.27%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$1,604	\$1,805	\$1,805	\$0	0.00%
<i>Federal Funds</i>	\$2,837	\$3,195	\$3,195	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$4,441</b>	<b>\$5,000</b>	<b>\$5,000</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$2,100	\$2,100	\$2,100	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$2,100</b>	<b>\$2,100</b>	<b>\$2,100</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$22,000	\$27,104	\$9,263	(\$17,841)	-65.82%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$22,000</b>	<b>\$27,104</b>	<b>\$9,263</b>	<b>(\$17,841)</b>	<b>-65.82%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXCESS FEDERAL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Excess Federal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$86,206	\$85,905	\$91,735	\$5,830	6.79%
<i>Federal Funds</i>	\$182,436	\$172,928	\$172,387	(\$541)	-0.31%
<i>Other Funds</i>	\$533	\$542	\$533	(\$9)	-1.66%
<b>Total Funds</b>	<b>\$269,175</b>	<b>\$259,375</b>	<b>\$264,655</b>	<b>\$5,280</b>	<b>2.04%</b>

<b>APPROPRIATION:</b> <b>Information Systems</b>
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III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$4,327	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Federally Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Other Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Total</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Benefit Rate</b>	<b>0.00%</b>	<b>0.00%</b>	<b>0.00%</b>

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

This appropriation provides funding for Human Services' on-going Information Technology (IT) support of the Department's benefit delivery systems, network infrastructure, and enterprise data warehouse. In addition, operating funding provides for on-going operational maintenance and enhancements of specific IT projects, including the Client Information System, the Home and Community-Based Services Information System, the Child Care Management Information System, and Child Welfare Information Solution.

Detail on the appropriation request is outlined in Section IV, entitled "Explanation of Changes" on the following pages.

**Legislative Citations:**

62 P.S. § 101 et seq.; 71 P.S. § 61

**Disbursement Criteria:**

Disbursements are made based from invoices submitted for operating expenses incurred and fixed assets purchased in the operation of the program.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Information Systems			
	State \$	Federal \$	Other \$	Total \$
<b>OPERATING</b>				
1. Provides an increase in Enterprise software and hardware costs including Skype and Electronic Data Center:	\$437	\$564	\$0	\$1,001
2. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	\$1	(\$1)	\$0	\$0
3. Provides an increase in the costs with the Pennsylvania Compute Services (PACS) contract. The PACS houses the majority of the Departments servers which powers such systems as the Client Information System (CIS):	\$1,609	\$2,198	(\$9)	\$3,798
4. Provides for an increase in the costs associated with telecommunications recurring costs and replacement:	\$528	\$672	\$0	\$1,200
5. Provides an increase in contracted staff to gather business requirements and system information:	\$576	\$1,995	\$0	\$2,571
6. Provides an in increase maintenance and modifications for the Home and Community-Based Services Information System (HCSIS). The HCSIS is the system used for case management by certain programs within the Department:	\$1,609	\$4,621	\$0	\$6,230
7. Provides an increase in maintenance and modifications associated with the transition of Children's Health Insurance Program IT system to CIS:	\$1,665	\$3,784	\$0	\$5,449
8. Provides an increase in costs associated with the Child Welfare Information Solution (CWIS). The CWIS will be undergoing a transition to a platform based solution during Fiscal Year 2021-2022:	\$277	\$290	\$0	\$567
9. Provides an increase in costs associated with the Pennsylvania's Enterprise to Link Information for Children Across Networks:	\$0	\$2,305	\$0	\$2,305
10. Reflects a change in Federal Financial in Fiscal Year 2021-2022:	(\$872)	\$872	\$0	\$0
<b>Subtotal Operating</b>	<b>\$5,830</b>	<b>\$17,300</b>	<b>(\$9)</b>	<b>\$23,121</b>
<b>BUDGETARY RESERVE</b>				
1. Reflects a decrease in excess Federal spending authority:	\$0	(\$17,841)	\$0	(\$17,841)
<b>Subtotal Budgetary Reserve</b>	<b>\$0</b>	<b>(\$17,841)</b>	<b>\$0</b>	<b>(\$17,841)</b>
<b>TOTAL</b>	<b>\$5,830</b>	<b>(\$541)</b>	<b>(\$9)</b>	<b>\$5,280</b>

## **INFORMATION SYSTEMS**

### **PROGRAM STATEMENT**

The Department of Human Services (Department) Information Systems appropriation provides funding for Human Services information technology (IT). Automated systems provide for the determination of eligibility for various human service programs including, but not limited to: medical assistance, cash assistance, Supplemental Nutrition Assistance Program (SNAP), energy assistance, and home and community-based services. Most information systems are partially funded by the federal government and must comply with federal guidelines and regulations. These systems are critical in providing benefits to 3.0 million eligible recipients in the Commonwealth of Pennsylvania.

### **CLIENT INFORMATION SYSTEM**

The function of the Client Information System (CIS) is to provide automated data processing for multiple eligibility programs including but not limited to: cash assistance, Medicaid, Low Income Heating Energy Assistance (LIHEAP) and Supplemental Nutrition Assistance Programs' (SNAP) recipients. It supports over 6,600 County Assistance Office (CAO) staff statewide, with a total caseload of over 3.0 million eligible recipients. System enhancements are being implemented to transition eligibility and case maintenance business processes which now resides within mainframe technology to open systems technology. Human Services has incrementally updated portions of CIS to newer, web-enabled, technology-based solutions, which improve overall productivity and efficiency of the workforce. The Children's Health Insurance Program business processes will also be transitioned to CIS to eliminate redundant technologies and enhance the client experience.

In Fiscal Year 2021-2022, the Department will focus on continuation of system modernization (with a focus on moving batch processes from legacy mainframe to open systems) as well as initiatives that increase CAO staff efficiencies and promote enhanced customer service capabilities, including citizen self-service. Human Services will continue to conduct maintenance activities to support public assistance programs as required by regulation or policy.

### **HOME AND COMMUNITY-BASED SERVICES INFORMATION SYSTEM/ENTERPRISE CASE MANAGEMENT**

The Home and Community-Based Services Information System (HCSIS) is a centralized, web-enabled, consumer case management system that provides data collection and state-level program management. It also functions as the daily operating system for the County Intellectual Disability and Early Intervention Administrative Entities. Major functional areas within HCSIS include: client management, eligibility determination, coordination management, provider management, financial management (including authorization of claims), quality management, and reporting.

Planned HCSIS project activities in Fiscal Year 2021-2022 will focus on maintaining the current system functionality. Due to the outdated technology and functions within the HCSIS system, support of the business functions that currently reside in HCSIS will be moved to an Enterprise Case Management platform for shared use across the Department enterprise.

The Enterprise Case Management system is currently planned to provide case management support for several programs within the Department. The waiver programs, as noted above, will be the first to move to the platform. Enrollment services for the Office of Long-Term Living will also be configured on the new platform followed by the functions needed to support Appeals processing for the Bureau of Hearings and Appeals. Child Welfare case management is also planned to occur within the next two years as there is significant work to define user needs and business processes among the various counties.

## **CHILD WELFARE INFORMATION SOLUTION**

The Child Welfare Information Solution (CWIS) supports the ChildLine application and maintains a self-service portal for obtaining child abuse clearances and reporting child abuse. This system also supports data exchanges with the 67 County Children and Youth Agencies and electronic exchanges of reports of children in need of protective services between the Department and counties.

In Fiscal Year 2021-2022, the Department will continue development of CWIS to allow the collection of child-specific data required for annual and semi-annual reports to the federal government. Outcome processing efficiencies will also be addressed, as well as the requirements resulting from the Family First legislation.

A significant effort is also underway per the Governor's Executive Order to move to a common system for county and state use to better monitor and support the needs of children within Pennsylvania. This effort will replace the current CWIS system along with the seven systems currently in use across the 67 counties. The new system will allow transparency across counties to track services county to county as well as allow additional insight to the services provided to children and families in need, while reducing the cost of maintaining and support multiple systems across the state.

## **PENNSYLVANIA'S ENTERPRISE TO LINK INFORMATION FOR CHILDREN ACROSS NETWORKS**

The Pennsylvania Enterprise to Link Information for Children Across Networks (PELICAN) is an integrated management system that supports Child Care Works (the subsidized child care program), Early Intervention, Early Learning Services (Head Start, Pennsylvania Pre-K Counts, and Keystone STARS programs), Provider Certification, and the Early Learning Network. The system provides on-line information for citizens about quality early learning opportunities and providers. In addition, PELICAN provides the Commonwealth a means to assess and monitor program accountability and integrity across the entire early learning continuum.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. A2-10, C1-44, E27-2, E27-12, E27-29, E27-30,  
I3

**APPROPRIATION:**  
County Administration-Statewide

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
<b>State Funds</b>	\$43,813	\$45,839	\$51,220 <sup>1</sup>
<b>Federal Funds Total</b>	\$117,996	\$118,699	\$125,430
<b>Federal Sources Itemized</b>			
<i>Medical Assistance - Statewide</i>	\$65,227	\$65,058	\$67,797
<i>SNAP - Statewide</i>	\$39,406	\$38,484	\$37,574
<i>TANFBG - Statewide</i>	\$1,072	\$1,072	\$1,072
<i>ARRA - Health Information Technology</i>	\$12,291	\$12,385	\$12,394
<i>CHIP - Statewide</i>	\$0	\$1,700	\$0
<i>Children's Health Insurance admin</i>	\$0	\$0	\$6,366
<i>COVID-Children's Health Insurance Admin. (EA)</i>	\$0	\$0	\$227
<b>Other Funds Total</b>	\$2,669	\$2,669	\$2,669
<b>Other Fund Sources Itemized</b>			
<i>SNAP Retained Collections</i>	\$2,166	\$2,201	\$2,201
<i>eHealth Fees</i>	\$503	\$468	\$468
<b>Total</b>	\$164,478	\$167,207	\$179,319 <sup>1</sup>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds</b>	\$0
<b>Federal Funds</b>	\$0
<b>Total</b>	\$0

<sup>1</sup> Includes the proposed transfer of Children's Health Insurance Program Administration to the County Administration - Statewide appropriation.



II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: County Administration-Statewide				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$34,492	\$34,317	\$37,831	\$3,514	10.24%
<i>Federal Funds</i>	\$57,405	\$56,583	\$57,265	\$682	1.21%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$91,897</b>	<b>\$90,900</b>	<b>\$95,096</b>	<b>\$4,196</b>	<b>4.62%</b>
<b>OPERATING</b>					
<i>State Funds</i>	\$8,692	\$11,010	\$12,877	\$1,867	16.96%
<i>Federal Funds</i>	\$20,736	\$20,677	\$22,165	\$1,488	7.20%
<i>Other Funds</i>	\$2,619	\$2,669	\$2,669	\$0	0.00%
<b>Total Operating</b>	<b>\$32,047</b>	<b>\$34,356</b>	<b>\$37,711</b>	<b>\$3,355</b>	<b>9.77%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$503	\$503	\$503	\$0	0.00%
<i>Federal Funds</i>	\$35,582	\$34,582	\$35,432	\$850	2.46%
<i>Other Funds</i>	\$50	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$36,135</b>	<b>\$35,085</b>	<b>\$35,935</b>	<b>\$850</b>	<b>2.42%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$9	\$9	\$9	\$0	0.00%
<i>Federal Funds</i>	\$472	\$1,010	\$1,010	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$481</b>	<b>\$1,019</b>	<b>\$1,019</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$117	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$3,801	\$5,847	\$9,558	\$3,711	63.47%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$3,918</b>	<b>\$5,847</b>	<b>\$9,558</b>	<b>\$3,711</b>	<b>63.47%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXCESS FEDERAL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Excess Federal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$43,813	\$45,839	\$51,220	\$5,381	11.74%
<i>Federal Funds</i>	\$117,996	\$118,699	\$125,430	\$6,731	5.67%
<i>Other Funds</i>	\$2,669	\$2,669	\$2,669	\$0	0.00%
<b>Total Funds</b>	<b>\$164,478</b>	<b>\$167,207</b>	<b>\$179,319</b>	<b>\$12,112</b>	<b>7.24%</b>

**APPROPRIATION:**  
County Administration-Statewide

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$954	\$3,237	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	880	880	910
<i>Filled</i>	835	807	838
<b>Federally Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Other Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Total</b>			
<i>Authorized</i>	880	880	910
<i>Filled</i>	835	807	838
<b>Benefit Rate</b>	75.86%	77.45%	75.80%

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget reflects a complement of 910 positions, consisting of 838 filled, 67 vacant, and five leave-without-pay positions. The increase from Fiscal Year 2020-2021 reflects the transfer of 30 positions from Children's health insurance program administration (CHIP) to County Administration Statewide.

The funding for operating expenses provides for leases, postage, printing, call center, and consulting contracts.

The funding for grant expenses provides for Supplemental Nutrition Assistance Program outreach and Health Information Technology projects.

**Legislative Citations:**

62 P.S. § 403

Article XXIII-A of the Insurance Company Law of 1921 (Act 2015-84); Title XXI of the Social Security Act, 42 U.S.C.A §§ 1397aa-1397mm

**Disbursement Criteria:**

This appropriation funds administrative costs for portions of the Office of Income Maintenance, Office of Medical Assistance Programs, Office of Administration, Office of Long-Term Living, Children's Health Insurance Program administration and the Office of Mental Health and Substance Abuse. Disbursements are made on the basis of approved positions, established employee benefits, and invoices submitted for operating expenses and fixed assets.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: County Administration-Statewide			
	State \$	Federal \$	Other \$	Total \$
<b>PERSONNEL</b>				
1. Net impact of changes in the number of filled and vacant salary positions, average bi-weekly and funded pay periods from Fiscal Year 2020 - 2021:	\$340	\$799	\$0	\$1,139
2. Reflects the amount of Children's Health Insurance Program (CHIP) administration funds to carry forward 28 filled and two vacant positions. These positions are a purposed transfer from CHIP personnel to CAS:	\$905	\$1,771	\$0	\$2,676
3. Provides for the annualization of an April 1, 2021 2.25 percent longevity increment (excludes the effect on employee benefits):	\$103	\$391	\$0	\$494
4. Reflects the net impact in the total cost of employee benefits, primarily employee health benefit and retirement contribution costs:	(\$51)	(\$62)	\$0	(\$113)
5. Reflects a change in Federal Financial participation in Fiscal Year 2020-2021:	\$2,217	(\$2,217)	\$0	\$0
<b>Subtotal Personnel</b>	<b>\$3,514</b>	<b>\$682</b>	<b>\$0</b>	<b>\$4,196</b>
<b>OPERATING</b>				
1. Provides \$0.939 million in new lease costs for the Office of Income Maintenance and the Office of Medical Assistance Programs:	\$441	\$498	\$0	\$939
2. Reflects the amount of CHIP administration funds which supports the operational costs associated with personnel transferred from CHIP to CAS:	\$416	\$445	\$0	\$861
3. Provides \$1.207 million for the relocation of the Office of Income Maintenance and the Office of Medical Assistance Programs:	\$567	\$640	\$0	\$1,207
4. Reflect an increase in eHealth operating costs primarily related to data analytics and the Truven contract:	\$265	\$83	\$0	\$348
5. Reflects a change in Federal Financial participation in Fiscal Year 2020-2021	\$178	(\$178)	\$0	\$0
<b>Subtotal Operating</b>	<b>\$1,867</b>	<b>\$1,488</b>	<b>\$0</b>	<b>\$3,355</b>

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: County Administration-Statewide			
	State \$	Federal \$	Other \$	Total \$
<b>GRANTS &amp; SUBSIDY</b>				
1. Reflects an increase in the memorandum of understanding with the Department of Health for ARRA-HIT activity:	<u>\$0</u>	<u>\$850</u>	<u>\$0</u>	<u>\$850</u>
Subtotal Grant and Subsidy	\$0	\$850	\$0	\$850
<b>BUDGETARY RESERVE</b>				
1. Reflects an increase in the level of excess federal appropriation authority:	<u>\$0</u>	<u>\$3,711</u>	<u>\$0</u>	<u>\$3,711</u>
Subtotal Budgetary Reserve	\$0	\$3,711	\$0	\$3,711
<b>TOTAL</b>	<u><u>\$5,381</u></u>	<u><u>\$6,731</u></u>	<u><u>\$0</u></u>	<u><u>\$12,112</u></u>

## **COUNTY ADMINISTRATION - STATEWIDE**

### **PROGRAM STATEMENT**

This appropriation funds certain headquarters and field staff in the Office of Income Maintenance (OIM), Office of Medical Assistance Programs (OMAP), Office of Long-Term Living (OLTL), Office of Mental Health and Substance Abuse Services (OMHSAS), and Office of Administration (OA).

### **OFFICE OF INCOME MAINTENANCE**

The functions of OIM funded in the County Administration–Statewide appropriation are housed within the Bureau of Program Evaluation, the Bureau of Program Support, the Bureau of Policy, and the Bureau of Operations.

The Bureau of Program Evaluation monitors and evaluates the accuracy of eligibility decisions in County Assistance Offices (CAOs), analyzes problem areas, and prepares plans to correct deficiencies in CAOs performance. The bureau is also responsible for satisfying major state and federal reporting requirements and ensuring accurate quality control and monitoring procedures. In addition, the bureau is responsible for the submission of Temporary Assistance for Needy Families (TANF) work participation data through the federal TANF Data Report, which includes efforts to ensure maximum hours of participation are recorded, to meet the federal work participation requirement.

The Bureau of Program Support provides administrative support to the other bureaus within OIM and serves as the liaison between those bureaus and other Department of Human Services (Department) offices. The bureau is responsible for budget and fiscal analysis, personnel administration, acquisition planning, space and equipment management, contract and grant monitoring, resolution of audits, management of the Electronic Benefits Transfer (EBT) System, and EBT risk management. The bureau conducts detailed financial monitoring and analyses and produces statistical monthly reports needed to support program administration decisions. The bureau is also responsible for the development, monitoring, and maintenance of OIM's automated information systems.

The Bureau of Policy is responsible for the analysis, interpretation, development, and maintenance of the regulatory base for federal and state-funded public assistance programs. The bureau is responsible for assuring program consistency and monitoring interactive effects among the various OIM programs. The bureau also develops procedures and provides policy clarifications to guide the application of regulations by staff in the CAOs.

The Bureau of Operations is responsible for the management of the CAOs whose staff members work to determine eligibility for programs designed to assist Pennsylvania's most vulnerable citizens. The programs that are managed by the CAOs include TANF Cash Assistance, Medical Assistance (MA), Supplemental Nutrition Assistance Program, Low-Income Home Energy Assistance Program and Employment & Training services. The bureau also has the primary responsibility for training new employees through a network of staff development sites as well as CAOs and providing training to all staff in the CAOs and district offices. The bureau operates offices in all 67 counties so that Pennsylvania's vulnerable citizens have a place where they can apply for benefits, renew existing benefits, and request supportive services. The bureau also operates a network of customer service centers that are designed to assist clients across the Commonwealth over the phone via a toll-free number. The bureau resolves client problems and answers questions received on the telephone hotline, in person, or in letters and electronic mail from clients, legislators, and the public.

## **OFFICE OF MEDICAL ASSISTANCE PROGRAMS**

OMAP administers the jointly funded state/federal MA program and Children's Health Insurance Program (CHIP). Funded health care services include hospital care and a large array of outpatient services, which are provided through a Fee-for-Service (FFS) or capitated managed care delivery system. In fiscal year 2021-2022, CHIP Administration is proposed to be transferred into the County Administration - Statewide appropriation under OMAP. OMAP is responsible for developing and maintaining the policy and regulatory framework that supports the operation of the program; enrolling providers for participation; and reviewing prior authorized service requests for pharmacy, medical, and dental services; establishing rates or fees; reviewing, approving and processing invoices submitted by providers; and contracting with managed care organizations.

The Bureau of Policy, Analysis and Planning has overall administrative and management responsibility for policy development and planning for most MA program initiatives in both the FFS and capitated physical health managed care delivery systems. The bureau is responsible for policy-related activities impacting Pennsylvania's Medicaid program including analysis of proposed federal and state statutes and regulations, the development and issuance of administrative policy directives and the formal promulgation of regulations. The bureau is responsible for the development and maintenance of the Medicaid State Plan, and the HealthChoices Medicaid managed care waiver in accordance with federal requirements and state programmatic and budgetary initiatives.

The Bureau of Fee-for-Service Programs is responsible for functions that support the operation of the FFS delivery system. Operations include provider enrollment, managing provider relations, responding to billing inquiries, provider credentialing, recipient case management and reviewing prior authorized service requests for pharmacy, inpatient/outpatient medical and dental services. Utilization review activities also include medical review of admissions to hospitals and continued hospital stays.

The Bureau of Managed Care Operations is responsible for the oversight, management, and support of the physical health managed care organizations that provide comprehensive physical health services to recipients. This includes contract procurement and development, as well as operational compliance monitoring. The bureau also provides oversight of the quality management and special needs programs of the managed care organizations. The bureau manages the Independent Enrollment Assistance contract for recipient enrollment in mandatory managed care and the External Quality Review contract. This bureau oversees non-emergency medical transportation services provided through the MA Transportation Program. The bureau also assists in procurement, development, and monitoring of a technical assistance and consultant services contract that serves multiple areas within OMAP.

The Bureau of Data and Claims Management is the gatekeeper for all OMAP information technology initiatives. This bureau is responsible for control and oversight of the state Medicaid Management Information System known as PROMISE, which performs medical, dental, and pharmacy claims adjudication and payment, managed care capitation and encounter processing, as well as all data interfaces to and from contracted Managed Care Organizations. The bureau is also responsible for control and oversight for the MA Provider Incentive Repository System used for the federally funded electronic health record incentive program.

The Bureau of Fiscal Management provides financial management for the capitated managed care delivery system. The bureau also manages the hospital assessments, supplemental hospital payments, the Managed Care Organization assessment, and the federal Qualified Health Center program. The bureau is responsible for inpatient and outpatient FFS rate setting and financial management of the MA Transportation Program. The bureau supports the development of the capitation budget and manages OMAP's administrative, operating, and program budgets.

CHIP provides free or low-cost health insurance coverage to uninsured children under the age of 19 whose families earn too much to qualify for MA, but who cannot afford to purchase private insurance. Act 84 of 2015 transferred the administration of CHIP from the Pennsylvania Insurance Department to the Department of Human Services. The transfer of the program to the Department of Human Services allows for more coordination of services across

programs resulting in a holistic approach to services provided to CHIP members. CHIP Administration provides funding for administrative and overhead systems that support the operation of CHIP including funding for 30 positions.

The Pennsylvania eHealth Partnership Program is responsible for establishing and operating the state's electronic health information exchange, known in Pennsylvania as the PA Patient & Provider Network (P3N). Regional networks, known as health information organizations (HIO), are certified by the eHealth Partnership and connect to, the P3N. Health care providers, community service organizations and payers, in turn, connect to HIOs. The eHealth Partnership Program improve patient care by helping member organizations find their patients' medical information in real time, anywhere on the P3N. The network also enables better care coordination by alerting patient's care team when their patient encounters the health care system.

### **OFFICE OF LONG-TERM LIVING**

OLTL is responsible for administration of a full continuum of services for older residents and persons with disabilities in the Commonwealth, ranging from institutional care to independent living at home with the support of community services. The office works to integrate management of the commonwealth's long-term living system.

Institutional services are provided to eligible persons by nursing facilities certified in accordance with established standards to participate in the MA program. Pennsylvanians who are clinically eligible for a nursing facility can also receive services in the community through Community HealthChoices, the OBRA Waiver, or Living Independence for the Elderly.

OLTL is also responsible for developing and implementing policies and procedures for all providers of nursing facility and home and community-based services. This includes overseeing a system that offers a full range of cost-effective, quality services in the most appropriate setting; enrolling and certifying providers for MA participation; ensuring that nursing facilities continue to meet certification requirements established by state and federal regulations; and assuring that payments made to providers for services are allowable, reasonable and promote the delivery of quality services.

### **OFFICE OF MENTAL HEALTH AND SUBSTANCE ABUSE SERVICES**

OMHSAS has primary responsibility for program development, policy, and financial oversight of the delivery of behavioral health services in the Commonwealth, which includes mental health treatment services, and supports designated substance use disorder services. OMHSAS oversees the delivery of community mental health services administered by counties under the Pennsylvania Mental Health and Intellectual Disability Act of 1966 and the Mental Health Procedures Act of 1976. Direct mental health treatment services are also provided through the six state-operated hospitals and one restoration (long-term care) center. OMHSAS also manages, through county or direct contracts, the Behavioral Health HealthChoices Managed Care Program.

The Bureau of Policy and Program Development is responsible for performing a full range of planning and development functions. This includes managed care design and development, service system design and development, policy and program development, state and county planning and human resource development and training functions within the OMHSAS. The bureau also directs the development of new and revised OMHSAS regulations and policies, as well as new and revised nationally recognized service design and integrated behavioral systems models for county mental health systems. Along with other state agencies, OMHSAS directs the development of the Medicaid managed care waivers.

The Children's Behavioral Health Services Bureau is responsible for developing and implementing a comprehensive plan for servicing children and adolescents with behavioral health needs in the Commonwealth. Functions include program development, policy development, service monitoring, and working with the OMHSAS Children's Advisory Committee, family and youth advocates, as well as state

and local child serving systems.

The Division of Clinical Review and Consultation is responsible for the oversight, management and support of FFS programs that provide comprehensive behavioral health services to individuals. This includes prior authorizations for inpatient psychiatric services, inpatient drug and alcohol services, residential treatment for children and behavioral health rehabilitation services for children, and the review of individual cases for billing and eligibility accuracy.

## **OFFICE OF ADMINISTRATION**

OA administers support functions for each of the program offices within the Department. These support functions include equal opportunity programs; hearings and appeals; financial operations; procurement and contract management; fraud and abuse recoveries; and administrative services. This appropriation funds the Bureau of Program Integrity (BPI) and Third-Party Liability (TPL).

The BPI has primary responsibility for monitoring providers, recipients and contracted managed care organizations for fraud, waste and abuse, and for adherence to federal and state program integrity regulations and policies. The bureau's staff review providers' medical and financial records to ensure that MA payments are valid, and the services rendered are medically necessary and appropriate. The bureau manages a Fraud and Abuse Detection System that is used to detect potential fraud, waste and abuse. Depending on the nature of the problem detected, the bureau requests restitution from the provider, requests corrective action, terminates the provider's participation, or in cases of suspected provider fraud, refers the case to the Medicaid Fraud Control Section of the Office of Attorney General. Recipients who are misusing or over-utilizing medical services are placed in the Recipient Restriction Program. Cases of suspected recipient fraud are referred to the Office of Inspector General.

The TPL Division is responsible for ensuring Medicaid is the payer of last resort. TPL maintains the integrity of the TPL data on the Client Information System and coordinates and monitors multiple data exchanges, which result in additions and updates of resource information. The health resources gathered through the exchanges are utilized for all health insurance cost avoidance and recovery activities performed by the division.

The TPL Division is also responsible for recovering cash benefits and MA expenditures against liable third parties, insurers, recipients and probate estates. The claim may be a result of a MA recipient being involved in a personal injury accident, medical malpractice suit, product liability suit, workers compensation claim, or assault. The claim may also be against the probate estate of certain deceased MA recipients. A significant volume of recoveries is performed post payment where other health insurance is identified after Medicaid has paid for the service.

TPL's Health Insurance Premium Payment Program identifies cases in which enrollment of a Medicaid recipient in an employer group health plan is more cost-effective than providing medical services through the MA Program. In addition, TPL collects premiums from MA clients who are required under the MA for Worker's with Disabilities Program to pay for their MA coverage.

Casualty recoveries occur when a MA recipient is injured, and liability is established through legal action or the recipient received a financial settlement from the liable party. TPL establishes its claim for any MA or cash benefits the recipient received because of the incident and recovers the funds. The estate recovery program enables the Commonwealth to recover from the estate of individuals who were 55 years of age or older at the time nursing facility services or home and community-based services were received.



**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. A2.8, A2.10, C1.44, E27.3, E27.28, E27.29, E27.30

**APPROPRIATION:**  
County Assistance Offices

**I. SUMMARY FINANCIAL DATA**

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
State Funds	\$253,850	\$247,203	\$299,473
Federal Funds Total	\$461,686	\$456,569	\$401,201
Federal Sources Itemized			
<i>Medical Assistance-County Assistance Offices</i>	\$238,251	\$247,042	\$204,296
<i>SNAP-County Assistance Offices</i>	\$132,394	\$128,490	\$124,362
<i>TANFBG-County Assistance Offices</i>	\$55,689	\$51,037	\$42,543
<i>SSBG-County Assistance Offices</i>	\$3,000	\$3,000	\$3,000
<i>LIHEABG-Administration</i>	\$28,859	\$27,000	\$27,000
<i>COVID-LIHEABG-Administration (EA)</i>	\$3,493	\$0	\$0
Other Funds	\$0	\$0	\$0
<b>Total</b>	<b>\$715,536</b>	<b>\$703,772</b>	<b>\$700,674</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	\$0
<b>Total</b>	<b>\$0</b>

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: County Assistance Offices				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	217,575	\$211,455	\$261,311	\$49,856	23.58%
<i>Federal Funds</i>	376,717	\$372,826	\$333,471	(\$39,355)	-10.56%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$594,292</b>	<b>\$584,281</b>	<b>\$594,782</b>	<b>\$10,501</b>	<b>1.80%</b>
<b>OPERATING</b>					
<i>State Funds</i>	34,899	\$35,748	\$38,162	\$2,414	6.75%
<i>Federal Funds</i>	47,426	\$47,712	\$49,742	\$2,030	4.25%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$82,325</b>	<b>\$83,460</b>	<b>\$87,904</b>	<b>\$4,444</b>	<b>5.32%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$3,699	\$206	\$206	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$3,699</b>	<b>\$206</b>	<b>\$206</b>	<b>\$0</b>	<b>0.00%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$1,376	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$33,844	\$35,825	\$17,782	(\$18,043)	-50.36%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$35,220</b>	<b>\$35,825</b>	<b>\$17,782</b>	<b>(\$18,043)</b>	<b>-50.36%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXCESS FEDERAL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Excess Federal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$253,850	\$247,203	\$299,473	\$52,270	21.14%
<i>Federal Funds</i>	\$461,686	\$456,569	\$401,201	(\$55,368)	-12.13%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$715,536</b>	<b>\$703,772</b>	<b>\$700,674</b>	<b>(\$3,098)</b>	<b>-0.44%</b>

**APPROPRIATION:**  
County Assistance Offices

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$34	\$1,500	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	6,633	6,633	6,633
<i>Filled</i>	6,502	6,358	6,281
<b>Federally Funded</b>			
<i>Authorized</i>	6	6	6
<i>Filled</i>	6	6	5
<b>Other Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Total</b>			
<i>Authorized</i>	6,639	6,639	6,639
<i>Filled</i>	6,508	6,364	6,286
<b>Benefit Rate</b>	80.01%	79.91%	81.13%

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget reflects an authorized complement of 6,633 positions. Personnel costs reflect funding for 6,281 filled positions, 282 vacant unfunded positions, and 70 leave-without-pay positions.

Also reflected are six federally funded positions assigned to the Low Income Home Energy Assistance Program. Personnel costs reflect funding for five filled positions and one vacant seasonal Energy Assistance Workers.

The funding for operating expenses provides for current leases and necessary materials, as well as Domestic Violence and Disability Advocacy training programs.

**Legislative Citations:**

62 P.S. § 401 et seq.

**Disbursement Criteria:**

This appropriation funds administrative costs for the Department's County Assistance Offices, processing centers, and call centers. Disbursements are made on the basis of approved positions, established employee benefits, and invoices submitted for operating expenses and fixed assets.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: County Assistance Offices			
	State \$	Federal \$	Other \$	Total \$
<b>PERSONNEL</b>				
1. Net impact of changes in number of filled and vacant salary positions, average bi-weekly and funded pay periods from Fiscal Year 2020-2021:	(\$1,501)	(\$1,910)	\$0	(\$3,411)
2. Provides for the annualization of an October 1, 2020 2.00 percent general salary increase, the annualization of an April 1, 2021 2.25 percent longevity increment and the impact of turnover (excludes the effect on employee benefits):	\$3,329	\$4,236	\$0	\$7,565
3. Provides an increase in employee benefit costs due to a rate change in health insurance:	\$2,730	\$3,475	\$0	\$6,205
4. Provides for an increase in the total cost of salary and benefits of the Low Income Home Energy Assistance Program (LIHEAP):	\$0	\$142	\$0	\$142
5. Reflects a non-recurring prior year federal earnings in Fiscal Year 2020-2021:	\$41,989	(\$41,989)	\$0	\$0
6. Reflects a change in Federal funding available in Fiscal Year 2021-2022:	\$3,310	(\$3,310)	\$0	\$0
<b>Subtotal Personnel</b>	<u>\$49,856</u>	<u>(\$39,355)</u>	<u>\$0</u>	<u>\$10,501</u>
<b>OPERATING</b>				
1. Provides for an increase in the CDC Billings in Fiscal year 2021-2022:	\$459	\$541	\$0	\$1,000
2. Provides for an increase in the TALX contract in Fiscal Year 2021-2022:	\$918	\$1,082	\$0	\$2,000
3. Provides for an increase in general operating along with specialized services in Fiscal year 2021-2022:	\$450	\$641	\$0	\$1,091
4. Provides for an increase in the lease and renewal of real estate contracts in Fiscal Year 2021-2022:	\$162	\$191	\$0	\$353
5. Reflects a change in Federal funding available in Fiscal Year 2021-2022:	\$425	(\$425)	\$0	\$0
<b>Subtotal Operating</b>	<u>\$2,414</u>	<u>\$2,030</u>	<u>\$0</u>	<u>\$4,444</u>
<b>BUDGETARY RESERVE</b>				
1. Reflects a decrease in excess federal spending authority in Fiscal Year 2021-2022:	\$0	(\$18,043)	\$0	(\$18,043)
<b>Subtotal Budgetary Reserve</b>	<u>\$0</u>	<u>(\$18,043)</u>	<u>\$0</u>	<u>(\$18,043)</u>
<b>TOTAL</b>	<u><u>\$52,270</u></u>	<u><u>(\$55,368)</u></u>	<u><u>\$0</u></u>	<u><u>(\$3,098)</u></u>

## **COUNTY ASSISTANCE OFFICES**

### **PROGRAM STATEMENT**

This appropriation provides funding for the operation of 96 local County Assistance Offices (CAOs), the physical location for the public to access both services that support transition to economic self-sufficiency and help with vital human services. The CAOs are responsible for the eligibility determination of applicants and redetermination of eligibility for recipients of Temporary Assistance for Needy Families (TANF) cash assistance, State Supplementary Payments (SSP), Special Allowances (SPAL), State Blind Pensions (SBP), Low Income Home Energy Assistance Program (LIHEAP), Medical Assistance (MA), and Supplemental Nutrition Assistance Program (SNAP) benefits to residents of Pennsylvania. These benefits are distributed through such means as Electronic Benefit Transfer (EBT) cards, managed care plans, paper checks for certain SPALs, and vendor payments.

The TANF cash assistance program is provided to eligible households through benefits deposited to EBT cards for clients to meet basic living expenses. The SSP is a cash payment to augment the cost of living for recipients of federal Supplemental Security Income (SSI) benefits. The Refugee assistance program provides short-term cash and medical assistance to individuals fleeing their country of origin because of persecution. Special items allowances are available for persons or families who have special needs such as transportation to medical appointments or for supportive services needed by a participant in employment and training programs. The SBP benefits are paid to persons who are legally blind and meet specific eligibility requirements. Emergency shelter assistance is available to assist homeless or near homeless families or persons to prevent eviction or secure temporary or permanent housing. Payments to help meet heating costs during the winter heating season are provided through LIHEAP. Pennsylvania's MA program provides payments for a comprehensive set of medical services, both for eligible recipients of cash assistance and for persons who meet eligibility requirements and whose income is sufficient to meet their basic living needs but is not sufficient to cover additional medical care costs. The SNAP program is administered under federal regulations to help low-income individuals and families supplement their monthly food budget.

The Income Maintenance Caseworker (IMCW) is responsible for reviewing categorical and financial eligibility requirements and then determining eligibility of applicants and recipients for benefits. They also conduct periodic redeterminations of client cases to ensure the client continues to meet eligibility requirements for the benefits they receive. In addition, caseworkers review new and/or updated information provided by the clients or obtained through various data exchange sources to determine continued eligibility and to act on reported change requests for additional benefits and/or supportive services.

Implementation of the federal and state welfare reform legislation (the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 and Act 35 of 1996, respectively) led to a significant decrease in the number of families receiving cash assistance (from February 1997 to November 2020, the TANF rolls have decreased by 83.6 percent). Reauthorization of TANF in 2006 resulted in a more stringent work participation rate with the goal of encouraging self-sufficiency. Over the past five years (State Fiscal Year 2015-2016 through State Fiscal Year 2019-2020), MA participation has increased by approximately 6.3 percent and SNAP benefits have decreased by approximately 5.0 percent. In addition, the CAOs approved 312,141 LIHEAP Cash applications and 114,117 LIHEAP Crisis requests during the 2019-2020 LIHEAP heating season. Due to the coronavirus pandemic, the Department offered a LIHEAP Crisis Recovery program from mid-May 2020 through August 31, 2020. During this period, the CAOs approved an additional 113,820 LIHEAP Crisis requests.

The Department of Human Services (Department) initiated the Disability Advocacy Program (DAP) in July 1985. As part of this program, IMCWs across the Commonwealth identify assistance recipients having serious physical, mental, or emotional disabilities that appear to preclude gainful employment. These individuals are referred to the Social Security Administration (SSA) to apply for federal Social Security Disability Insurance/SSI benefits. The CAOs' disability advocates assist the individuals with applications/appeals for disability benefits, as needed. Each year, the DAP helps vulnerable citizens work

with the SSA to obtain benefits while also providing MA benefits. For State Fiscal Year 2019-2020, there were 39,173 SSA DAP referrals completed, with 20,134 approved for Social Security benefits.

The CAOs in Pennsylvania's 67 counties provide access to critical services to approximately 3.53 million citizens every month across the Commonwealth. The overall goal is to deliver quality service in a timely and effective manner, while increasing our customers' ability to achieve economic self-sufficiency. In the past year, the Office of Income Maintenance (OIM) has improved customer service, increased efficiency, and achieved stronger outcomes for our customers.

The Commonwealth of Pennsylvania Access to Social Services (COMPASS) is an online portal that allows individuals and community organizations to screen for, apply for, and renew a broad range of social services programs. Through COMPASS, clients are able to create a confidential account in order to monitor their benefits and report changes. COMPASS also allows registered community organizations the ability to access and view applications submitted on behalf of citizens they serve through a community partner dashboard. Each year, an increasing number of individuals and community organizations are utilizing COMPASS' 24-hour online access.

In addition, the Commonwealth of Pennsylvania operates a mobile app – myCOMPASSPA. This app works in conjunction with the COMPASS platform and offers users functionality that allows the client to report changes, upload documents to their casefile, complete a pre-season LIHEAP application, complete their Semi-Annual Renewal report, and check the status of their benefits. Since its implementation in the Fall of 2016, OIM has seen steady increases in app usage.

The OIM Statewide Customer Service Centers (SCSC) bring an opportunity for more efficient operations and enhanced customer service through a statewide toll-free number. Philadelphia residents have access to a stand-alone toll-free number as well. The SCSC aligns with our vision of effective management of resources and allows customers to receive the best customer service possible. The SCSC call volume in State Fiscal Year 2019-2020 was 2,499,081 English calls and 184,024 Spanish calls. In Philadelphia alone, total call volume in State Fiscal Year 2019-2020 was 835,701. The SCSC maintains an answer rate of over 92%.

The processing centers were established to assist CAOs in processing work to ensure that benefits are issued in a timely, accurate, and efficient manner. Twelve OIM processing centers are located within CAOs across the Commonwealth with offices co-located in Blair, Butler, Cambria, Columbia, Fayette, Lycoming, Columbia, Philadelphia, Montour, and Somerset counties. One clerical support processing center is located in Mercer County. These OIM processing centers continue operations in support of the CAOs. The focus of the OIM processing centers is to process ongoing eligibility determinations in a timely manner and to reduce backlogs in CAOs. The OIM processing centers are capable of assisting any CAO with unusually high volumes of work, including federally Facilitated Marketplace applications submitted through the Affordable Care Act website, LIHEAP applications, and benefit recertification. The centers continue to make a positive impact on Pennsylvania's most vulnerable citizens.

Helpline and correspondence staff respond to inquiries about public benefits in Pennsylvania. Inquiries come from applicants, recipients, public and private agency staff, legislative staff, and the general public. Helpline numbers appear in various media such as printed application forms, COMPASS, telephone and internet directories, and on the Department's website. In Calendar Year 2020, the helpline and correspondence staff received 183,374 calls and 42,138 pieces of correspondence (emails and letters). Their LIHEAP Helpline received 98,492 calls.

**DEPARTMENT OF HEALTH AND HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021 -2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. C1-11, C1-44, E27-3, E27-12, E27-20  
E27-21, E27-22, E27-29, I3

**APPROPRIATION:**  
Children's Health Insurance Administration

I. SUMMARY FINANCIAL DATA	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
State Funds	\$786	\$1,670	\$0
Federal Funds Total	\$5,579	\$5,475	\$0
Federal Sources Itemized			
<i>Children's Health Insurance Program</i>	\$5,254	\$4,955	\$0
<i>COVID-Children's Health Insurance Admin. (EA)</i>	\$325	\$520	\$0
	<hr/>	<hr/>	<hr/>
Total	\$6,365	\$7,145	\$0 <sup>1</sup>
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>			
State Funds		\$981 <sup>2</sup>	
Federal Funds		(\$9) <sup>3</sup>	
		<hr/>	
Total		\$972	
<p><sup>1</sup> The Fiscal Year 2021-2022 Budget assumes the transfer of CHIP-Administration into the County Administration-Statewide appropriation. This shift reflects the shift of the CHIP program into the Office of Medical Assistance and will promote additional efficiency and flexibility in administering the CHIP program.</p> <p><sup>2</sup> Reflects a recommended supplemental appropriation of \$0.981 million. Act 17A of 2020 provided \$0.689 million for this program in Fiscal Year 2020-2021.</p> <p><sup>3</sup> The Governor's Executive Budget of Fiscal Year 2021-2022 reflects an executive authorization reduction of \$0.009 million, decreasing the Fiscal Year 2020-2021 funding level from \$0.529 million to \$0.520 million.</p>			

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Children's Health Insurance Administration			
		2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available
<b>PERSONNEL</b>					
State Funds	\$395	\$1,145	\$0	(\$1,145)	-289.87%
Federal Funds	\$2,509	\$2,145	\$0	(\$2,145)	-85.49%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$2,904</b>	<b>\$3,290</b>	<b>\$0</b>	<b>(\$3,290)</b>	<b>-113.29%</b>
<b>OPERATING</b>					
State Funds	\$391	\$525	\$0	(\$525)	-134.27%
Federal Funds	\$2,519	\$2,419	\$0	(\$2,419)	-96.03%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$2,910</b>	<b>\$2,944</b>	<b>\$0</b>	<b>(\$2,944)</b>	<b>-101.17%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Non-expense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$551	\$911	\$0	(\$911)	-165.34%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$551</b>	<b>\$911</b>	<b>\$0</b>	<b>(\$911)</b>	<b>-165.34%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXCESS FEDERAL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$786	\$1,670	\$0	(\$1,670)	-212.47%
Federal Funds	\$5,579	\$5,475	\$0	(\$5,475)	-98.14%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$6,365</b>	<b>\$7,145</b>	<b>\$0</b>	<b>(\$7,145)</b>	<b>-112.25%</b>



**APPROPRIATION:**  
Children's Health Insurance Administration

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$35	\$143	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	30	30	0
<i>Filled</i>	28	28	0
<b>Federally Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Other Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Total</b>			
<i>Authorized</i>	30	30	0
<i>Filled</i>	28	28	0
<b>Benefit Rate</b>	82.10%	77.80%	0.00%

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

Derivation of Request:

\* The Fiscal Year 2021-2022 Budget assumes the transfer of CHIP-Administration into the County Administration-Statewide appropriation. This shift reflects the shift of the CHIP program into the Office of Medical Assistance and will promote additional efficiency and flexibility in administering the CHIP program.

Legislative Citations:

Article XXIII-A of the Insurance Company Law of 1921 (Act 2015-84)

Disbursement Criteria:

These appropriations fund the administrative and claims operations of the Children's Health Insurance Program. Disbursements are made based on approved positions and established employee benefits, invoices submitted for operating expenses and fixed assets in the operation of the program, and benefit claims.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)		APPROPRIATION: Children's Health Insurance Administration			
		<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>PERSONNEL</b>					
1.	Reflects the transfer of Children's Health Insurance Administration personnel budget to County Administration-Statewide:	(\$1,145)	(\$2,145)	\$0	(\$3,290)
<b>OPERATING</b>					
1.	Reflects the transfer of Children's Health Insurance Administration operating budget to County Administration-Statewide:	(\$176)	(\$2,419)	\$0	(\$2,595)
2.	Reflects the transfer of \$0.349 million in operating contracts from Children's Health Insurance Administration to Children's Health Insurance Program.	(\$349)	\$0	\$0	(\$349)
<b>SUBTOTAL</b>		(\$525)	(\$2,419)	\$0	(\$2,944)
<b>BUDGETARY RESERVE</b>					
1.	Reflects the transfer of Children's Health Insurance Administration budgetary reserve to County Administration-Statewide:	\$0	(\$911)	\$0	(\$911)
<b>TOTAL</b>		<u>(\$1,670)</u>	<u>(\$5,475)</u>	<u>\$0</u>	<u>(\$7,145)</u>

## **CHILDREN'S HEALTH INSURANCE ADMINISTRATION**

### **PROGRAM STATEMENT**

The Children's Health Insurance Administration appropriation provides funding for administrative and overhead systems that support the operation of the Children's Health Insurance Program (CHIP). The appropriation includes funding for 28 positions and operating expenses associated with administrative support.

### **CHILDREN'S HEALTH INSURANCE PROGRAM**

The Children's Health Insurance Program provides free or low-cost health insurance coverage to uninsured children under the age of 19 whose families earn too much to qualify for Medical Assistance, but who cannot afford to purchase private insurance. Act 84 of 2015 transferred the administration of CHIP from the Pennsylvania Insurance Department to the Department of Human Services effective December 20, 2015. The transfer of the program allows for more coordination of services across programs resulting in a holistic approach to services provided to CHIP members. This also provides an opportunity to integrate technology between CHIP and Medical Assistance making a more seamless transition for members receiving multiple services while providing greater efficiencies in the processing of healthcare applications. The centralization of eligibility also improves program integrity, reduces fraud, waste and abuse, and simplifies the application process by reducing the need for verification information. The Fiscal Year 2021-2022 Budget assumes the transfer of CHIP-Administration into the County Administration-Statewide appropriation.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FY 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. C1.44, E27.3, E27.29, E27.30

**APPROPRIATION:**  
Child Support Enforcement

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
State Funds	\$14,298	\$16,250	\$16,250
Federal Funds Total	\$156,738	\$159,007	\$159,467
Federal Sources Itemized			
<i>Child Support Enforcement - Title IV-D</i>	\$156,738	\$159,007	\$159,467
Other Funds Total	\$8,484	\$12,163	\$12,163
Other Fund Sources Itemized			
<i>Title IV-D Incentive Collections</i>	\$6,957	\$10,894	\$10,894
<i>State Retained Support Collections</i>	\$1,527	\$1,269	\$1,269
<b>Total</b>	<b>\$179,520</b>	<b>\$187,420</b>	<b>\$187,880</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	\$0
<b>Total</b>	<b>\$0</b>

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Child Support Enforcement			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$1,867	\$1,845	\$1,850	\$5	0.27%
Federal Funds	\$7,978	\$7,866	\$7,888	\$22	0.28%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$9,845</b>	<b>\$9,711</b>	<b>\$9,738</b>	<b>\$27</b>	<b>0.28%</b>
<b>OPERATING</b>					
State Funds	\$11,872	\$13,936	\$13,931	(\$5)	-0.04%
Federal Funds	\$27,413	\$27,202	\$27,185	(\$17)	-0.06%
Other Funds	\$4,915	\$8,594	\$8,594	\$0	0.00%
<b>Total Operating</b>	<b>\$44,200</b>	<b>\$49,732</b>	<b>\$49,710</b>	<b>(\$22)</b>	<b>-0.04%</b>
<b>FIXED ASSETS</b>					
State Funds	\$34	\$162	\$162	\$0	0.00%
Federal Funds	\$512	\$313	\$313	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$546</b>	<b>\$475</b>	<b>\$475</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$505	\$307	\$307	\$0	0.00%
Federal Funds	\$120,835	\$121,503	\$121,503	\$0	0.00%
Other Funds	\$3,569	\$3,569	\$3,569	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$124,909</b>	<b>\$125,379</b>	<b>\$125,379</b>	<b>\$0</b>	<b>0.00%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$20	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$2,123	\$2,578	\$455	21.43%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$20</b>	<b>\$2,123</b>	<b>\$2,578</b>	<b>\$455</b>	<b>21.43%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXCESS FEDERAL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Excess Federal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$14,298	\$16,250	\$16,250	\$0	0.00%
Federal Funds	\$156,738	\$159,007	\$159,467	\$460	0.29%
Other Funds	\$8,484	\$12,163	\$12,163	\$0	0.00%
<b>Total Funds</b>	<b>\$179,520</b>	<b>\$187,420</b>	<b>\$187,880</b>	<b>\$460</b>	<b>0.25%</b>

<b>APPROPRIATION:</b> Child Support Enforcement
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III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$915	\$2,385	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	95	95	95
<i>Filled</i>	90	88	89
<b>Federally Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Other Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	<u>0</u>	<u>0</u>	<u>0</u>
<b>Total</b>			
<i>Authorized</i>	95	95	95
<i>Filled</i>	90	88	89
<b>Benefit Rate</b>	80.33%	77.48%	79.10%

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 The Fiscal Year 2021-2022 Governor's Executive Budget provides for an authorized complement of 95 positions, the same as Fiscal Year 2020-2021. The funding for personnel is based on full year funding of 89 filled positions and 6 unfunded vacancies. The funding for operating expenses provides for on-going Information Technology (IT) support of Pennsylvania's Child Support Enforcement System and network infrastructure. In addition, operating funding provides for on-going maintenance and specific programmatic needs in the delivery of child support payments as well as programs to encourage timely submission of child support payments.

**Legislative Citations:**  
 23 Pa. C.S. § 4371 et seq.

**Disbursement Criteria:**  
 This appropriation funds administrative costs associated with the Department's Child Support Enforcement program. Disbursements are made on the basis of approved positions, established employee benefits, and invoices submitted for operating expenses and fixed assets.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Child Support Enforcement			
	State \$	Federal \$	Other \$	Total \$
<b>PERSONNEL</b>				
1. Net impact of changes in number of filled and vacant salary positions, average bi-weekly and funded pay periods from Fiscal Year 2020-2021:	(\$31)	(\$134)	\$0	(\$165)
2. Provides for the annualization of an October 1, 2020 2.00 percent general salary increase, the annualization of an April 1, 2021 2.25 percent longevity increment and the impact of turnover (excludes the effect on employee benefits):	\$30	\$132	\$0	\$162
3. Provides an increase in employee benefit costs due to a rate change in health insurance:	\$6	24	\$0	\$30
<b>Subtotal Personnel</b>	<b>\$5</b>	<b>\$22</b>	<b>\$0</b>	<b>\$27</b>
<b>OPERATING</b>				
1. Reflects a decrease in General Operating and Maintenance for Fiscal Year 2021-2022:	(\$5)	(\$17)	\$0	(\$22)
<b>Subtotal Operating</b>	<b>(\$5)</b>	<b>(\$17)</b>	<b>\$0</b>	<b>(\$22)</b>
<b>FIXED ASSETS</b>				
1. Maintain Fixed Assets at the Fiscal Year 2020-2021 level:	\$0	\$0	\$0	\$0
<b>Subtotal Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>BUDGETARY RESERVE</b>				
1. Reflects a change in Available Excess Federal Spending Authority for Fiscal Year 2020-2021:	\$0	\$455	\$0	\$455
<b>TOTAL</b>	<b>\$0</b>	<b>\$460</b>	<b>\$0</b>	<b>\$460</b>

## **CHILD SUPPORT ENFORCEMENT**

### **PROGRAM STATEMENT**

The Bureau of Child Support Enforcement (BCSE) administers the Child Support Enforcement Program in Pennsylvania in accordance with Title IV-D of the Social Security Act, as amended. The Child Support Enforcement Program determines paternity when necessary and establishes and enforces child support obligations on behalf of custodial parents and their children, including those who receive cash assistance benefits from the Department of Human Services (Department). Federal and state law require that court-ordered child support be assigned to the Department up to the amount of assistance paid for custodial parents and their children if they are receiving cash assistance.

Pennsylvania's Child Support Enforcement Program is nationally recognized as a leader in program performance and ranks first among the largest states in overall performance outcomes. Pennsylvania's Title IV-D Program exceeds federal performance standards, which include establishment of paternity and court orders for child support and collection of child support obligations. The program received federal performance bonus incentives of \$25.596 million in Federal Fiscal Year (FFY) 2018.

The Department administers the Child Support Enforcement Program in all counties through a Cooperative Agreement signed by the County Commissioners and President Judges of the County Courts of Common Pleas. The Domestic Relations Sections (DRSs) of the County Courts of Common Pleas manage the Pennsylvania Child Support Enforcement Program at the local level. Child support enforcement activities are federally reimbursed at a matching rate of 66 percent. Additionally, incentive bonus payments are received for achievement of positive program outcomes.

Child support enforcement activities include: ensuring that child support referrals and closing actions for cash assistance clients are processed accurately and timely; interpreting federal Title IV-D policy; developing and implementing projects to ensure Pennsylvania's Child Support Enforcement Program complies with federal Title IV-D Program requirements and meets federal performance standards; conducting operational and financial performance audits of the 65 county DRSs; processing intergovernmental Child Support Enforcement Program requests for establishment and enforcement; providing on-site support and technical assistance to county DRS operations; and, functioning as a customer service unit. BCSE assists the county DRSs to provide customer service, ensure avoidance of potential federal financial sanctions for failure to meet federal operational and performance requirements, and improve the performance of the DRSs for maximizing federal incentive payments to the state.

Several programs are used to establish child support obligations, including the Voluntary Acknowledgement of Paternity Program, State Parent Locator System, and the Intergovernmental Central Registry. Pennsylvania collected \$1.385 billion from noncustodial parents (NCPs) in State Fiscal Year 2019-2020. Principal enforcement programs include: Income Withholding - \$974.511 million; Federal Income Tax Refund Offset Program - \$78.360 million; State Tax Refund Offset Program - \$1.376 million; Out-of-state payment - \$68.811 million; International - \$0.158 million; Tribal - \$0.021 million; Unemployment Compensation Intercept Program - \$44.509 million; Financial Institution Data Match Program - \$3.068 million; Thrift Savings Plan - \$0.094 million; Child Support Lien Network (intercept of personal injury, workers' compensation and life insurance claims) - \$3.096 million; Federal Insurance Match - \$0.050 million; State Lottery Intercept Program - \$0.118 million; and, Monetary Award Settlements - \$0.111 million. The remaining \$210.653 million was collected through county DRS collection programs, court actions, such as payments to avoid incarceration, and voluntary payments by NCPs.



## **PENNSYLVANIA CHILD SUPPORT ENFORCEMENT SYSTEM (PACSES)**

PACSES is a fully automated statewide child support enforcement system that complies with all state and federal enforcement and collection requirements mandated by the Family Support Act of 1988 and the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA). PACSES interfaces with state and federal agencies, as well as non-governmental entities, to gather information used to establish and enforce child support obligations. PACSES is used by all 65 Pennsylvania County DRs to provide Title IV-D child support services. PACSES interfaces with various federal and state data systems providing information to the county DRs to enhance the collection of child support.

## **STATE COLLECTION AND DISBURSEMENT UNIT (SCDU)**

PRWORA of 1996 required that Pennsylvania centrally collect and disburse all child and spousal support payments. The SCDU provides a single point of payment and disbursement for child and spousal support, as well as customer service for all payors and recipients. Pennsylvania enacted legislation in September 2006 to require employers to submit electronically wage-attached child support payments. As of December 2019, 98 percent of child support payments are disbursed electronically to custodial parents and 100 percent of all disbursements are issued within 24 hours. Electronic collections account for 87.5 percent of the total collected.

## **FATHERHOOD PROGRAMS**

Several fatherhood programs are provided to promote self-sufficiency and personal responsibility among NCPs and enhance the family structure. The Department receives federal Access and Visitation Grant Program funding to increase NCP parenting time. The New Employment Opportunities for Noncustodial Parents (NEON) Program helps unemployed and under-employed NCPs' obtain and/or retain employment, specifically through job placement and retention initiatives, to increase the frequency of child support payments. NEON funding totals \$2.300 million in federal Title IV-D Incentive funds, Access and Visitation funding totals \$0.324 million in federal funds in FFY 2019-2020.

## **PA CAREERLINK PROGRAM**

PA CareerLink is a job search tool which assists jobseekers in finding family sustaining jobs. Starting in February 2018, Department partnered with the Department of Labor & Industry to utilize PA CareerLink for court ordered work search activities. This partnership helps support multiple child support objectives, such as: improved employment options for NCPs; improved collections for cases associated with unemployed NCPs; and, a reduction in the number of days until new employment is found.

Progress attributed to the PA CareerLink Program in State Fiscal Year 2019-2020 includes: collections in the amount of \$1.117 million; 1,209 cases with new employment added; 2,189 cases with PA CareerLink work search orders issued; average of 58 days until new employment was added; and, an average of 70 days until the first payment was received.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. A2.10, C1.44, E27.3, E27.29,E27.30

**APPROPRIATION:**  
New Directions

**I. SUMMARY FINANCIAL DATA**

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
<b>State Funds</b>	\$15,182	\$15,125	\$20,712
<b>Federal Funds Total</b>	\$140,851	\$156,739	\$150,026
<b>Federal Sources Itemized</b>			
<i>TANFBG - New Directions</i>	\$111,346	\$126,197	\$126,197
<i>Medical Assistance - New Directions</i>	\$14,141	\$15,161	\$8,448
<i>SNAP - New Directions</i>	\$15,364	\$15,381	\$15,381
<b>Other Funds</b>	\$0	\$0	\$0
<b>Total</b>	\$156,033	\$171,864	\$170,738

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds</b>	\$0
<b>Federal Funds</b>	\$0
<b>Total</b>	\$0

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: New Directions				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$13,652	\$13,292	\$19,034	\$5,742	43.20%
<i>Federal Funds</i>	\$23,118	\$23,103	\$18,295	(\$4,808)	-20.81%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$36,770</b>	<b>\$36,395</b>	<b>\$37,329</b>	<b>\$934</b>	<b>2.57%</b>
<b>OPERATING</b>					
<i>State Funds</i>	\$297	\$600	\$445	(\$155)	-25.83%
<i>Federal Funds</i>	\$1,223	\$1,284	\$1,388	\$104	8.10%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$1,520</b>	<b>\$1,884</b>	<b>\$1,833</b>	<b>(\$51)</b>	<b>-2.71%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$868	\$868	\$868	\$0	0.00%
<i>Federal Funds</i>	\$99,451	\$114,296	\$114,296	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$100,319</b>	<b>\$115,164</b>	<b>\$115,164</b>	<b>\$0</b>	<b>0.00%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$365	\$365	\$365	\$0	0.00%
<i>Federal Funds</i>	\$275	\$14,059	\$14,059	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$640</b>	<b>\$14,424</b>	<b>\$14,424</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$16,784	\$3,997	\$1,988	(\$2,009)	-50.26%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$16,784</b>	<b>\$3,997</b>	<b>\$1,988</b>	<b>(\$2,009)</b>	<b>-50.26%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXCESS FEDERAL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Excess Federal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$15,182	\$15,125	\$20,712	\$5,587	36.94%
<i>Federal Funds</i>	\$140,851	\$156,739	\$150,026	(\$6,713)	-4.28%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$156,033</b>	<b>\$171,864</b>	<b>\$170,738</b>	<b>(\$1,126)</b>	<b>-0.66%</b>

**APPROPRIATION:**  
New Directions

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$358	\$504	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	368	368	368
<i>Filled</i>	360	362	363
<b>Federally Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Other Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Total</b>			
<i>Authorized</i>	368	368	368
<i>Filled</i>	360	362	363
<b>Benefit Rate</b>	78.2%	78.1%	79.6%

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget reflects 368 authorized positions, consisting of 363 filled, four unfunded vacant positions, and one LWOP positions.

The funding for operating expenses provides for travel, general operating expenses and contracts in support of the Commonwealth Workforce Development System.

The funding for grant and non-expenses provides a number of employment contracts and inter-agency agreements like the Teen Parent program with the Department of Education and CareerLink with the Department of Labor and Industry.

**Legislative Citations:**

62 P.S. § 201 et seq.; 62 P.S. § 401 et seq.

**Disbursement Criteria:**

This appropriation funds the employment program activities of the County Assistance Offices, job training and educational services funded through the Employment Advancement Retention Network (EARN) and other contracts. The purpose of these activities is to obtain full-time permanent employment for Temporary Assistance for Needy Families recipients, thereby reducing their need for public assistance.

Disbursements are made on the basis of approved positions, established employee benefits and invoices submitted for operating expenses, fixed assets and contracted projects.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: New Directions			
	State \$	Federal \$	Other \$	Total \$
<b>PERSONNEL</b>				
1. Net impact of changes in number of filled and vacant salary positions, average bi-weekly and funded pay periods from Fiscal Year 2020-2021:	(\$3)	(\$3)	\$0	(\$6)
2. Provides for the annualization of an October 1, 2020 2.00 percent general salary increase, the annualization of an April 1, 2021 2.25 percent longevity increment and the impact of turnover (excludes the effect on employee benefits):	\$251	\$242	\$0	\$493
3. Provides an increase in employee benefit costs due to a rate change in health insurance:	\$250	\$240	\$0	\$489
4. Reflects a decrease for other adjustments in Personnel in Fiscal Year 2021-2022:	(\$21)	(\$21)	\$0	(\$42)
5. Reflects a change in Federal funding available in Fiscal Year 2021-2022:	(\$615)	\$615	\$0	\$0
6. Impact of non-recurring use of prior year federal earnings in Fiscal Year 2020-2021:	<u>\$5,881</u>	<u>(\$5,881)</u>	<u>\$0</u>	<u>\$0</u>
Subtotal Personnel	\$5,742	(\$4,808)	\$0	\$934
<b>OPERATING</b>				
1. Net change in the New Directions current program operating expenses in Fiscal Year 2021-2022:	(\$26)	(\$25)	\$0	(\$51)
2. Reflects a change in Federal funding available in Fiscal Year 2021-2022:	<u>(\$129)</u>	<u>\$129</u>	<u>\$0</u>	<u>\$0</u>
	(\$155)	\$104	\$0	(\$51)
<b>BUDGETARY RESERVE</b>				
1. Reflects a decrease in available excess spending authority in Fiscal Year 2021-2022:	\$0	(\$2,009)	\$0	(\$2,009)
<b>TOTAL</b>	<u>\$5,587</u>	<u>(\$6,713)</u>	<u>\$0</u>	<u>(\$1,126)</u>

## **NEW DIRECTIONS**

### **PROGRAM STATEMENT**

The New Directions appropriation under the Department of Human Services (Department), Office of Income Maintenance (OIM) provides employment, training, and placement services to eligible recipients to prepare for, secure, and retain employment. New Directions is supplemented by federal funds available through Temporary Assistance for Needy Families (TANF) and Supplemental Nutrition Assistance Program (SNAP). Job search, job placement services, job-related training, and education are provided through the County Assistance Offices (CAOs) and approximately 100 employment and training (E&T) grantees throughout the state. Contractors for New Directions include community, faith-based, profit, non-profit, and local government organizations.

### **EMPLOYMENT ADVANCEMENT RETENTION NETWORK**

OIM has developed a system of Employment Advancement Retention Network (EARN) Centers to provide comprehensive services to TANF and some SNAP recipients. Programs under EARN offer a single point of contact providing work support for the clients in their efforts toward attaining self-sufficiency. Within the EARN Center, a full range of activities focusing on job placement and retention services are provided.

Effective July 1, 2020, the Bureau of Employment Programs (BEP) implemented a redesign of EARN program, shifting from a “work first” philosophy to person-centered programming designed to support more educational and credentialing opportunities for participants. EARN programs are now providing case management services, assisting with barrier remediation, and working with participants to gain the education and/or training necessary to begin a career pathway. The redesign also extended EARN services to recipients of Supplemental Nutrition Assistance Program (SNAP).

Pennsylvania’s employment and training programs are designed to help individuals get a head start and succeed with a career. This includes a combination of valuable education, training, and other services that help prepare for a GED, college or completion of an apprenticeship. These services are particularly vital given the current public health emergency (PHE) and economic impact caused by the 2019 novel coronavirus (COVID-19). The employment and training programs will support an individual in achieving their personal, educational, and career goals. By the time an individual has completed their employment and training programs they will be prepared with skills that are needed in the workforce and reduce individual needs for TANF benefits.

### **COUNTY ASSISTANCE OFFICES - DIRECTED EMPLOYMENT AND TRAINING ACTIVITIES**

County Assistance Offices determine whether recipients will be referred to E&T programming or have CAO tracked participation to meet their TANF work requirement. CAOs explain requirements associated with work or work-related activities, hours of participation, reporting rules, special allowance limitations, and consequences of non-compliance, including the potential for sanctions. Individuals may be enrolled in CAO-directed activities if: the individual is under 22 and pursuing a high school diploma or GED in a county that does not have an Education Leading to Employment and Career Training provider (ELECT); or the individual is meeting hourly requirements in unsubsidized employment (including self-employment); or if there is no core activity available.

CAOs are also responsible for enrolling recipients into the voluntary SNAP Employment and Training (SNAP E&T) programs. SNAP E&T serves those receiving SNAP, but not TANF. These “SNAP only” recipients are typically exempt from a work requirement but can volunteer. Volunteers are eligible to participate in a variety of activities, including some (but not all) of the same contracted programs that TANF participants can choose. SNAP E&T participants may also pursue a CAO-directed activity if no contracted program meets their needs.

## **EDUCATION LEADING TO EMPLOYMENT AND CAREER TRAINING**

The Education Leading to Employment and Career Training (ELECT) provides comprehensive support services to help eligible young parents complete their education and become adults who can maintain self-sufficiency. Expectant and parenting youth are encouraged to remain in school, maintain regular attendance, and obtain a high school diploma or GED certificate. Programs under ELECT are evaluated based on outcomes such as student retention, attendance, academic success, and reduction of repeat pregnancies. The program is jointly overseen with the Pennsylvania Department of Education.

## **WORK READY**

The Work Ready program uses a partnership with Pennsylvania Community Action Agencies to provide E&T services to Extended TANF recipients. Work Ready is designed to provide program participants a more-individualized approach due to some of their unique challenges and substantial barriers which interfere with full engagement in employment and training activities. The Work Ready program provides Extended TANF recipients with assessments, education, skills training, work activities, and barrier remediation services to promote family economic stability. Work Ready allows recipients to gradually engage in work activities while maintaining required participation and moving towards family sustaining wages.

## **KEYSTONE EDUCATION YIELDS SUCCESS**

Keystone Education Yields Success (KEYS) is a collaborative program with state community colleges and the Pennsylvania State System of Higher Education. The KEYS program is designed to assist TANF and SNAP recipients attending these institutions with the successful completion of their degree or certificate program. KEYS programs provide the support of a counselor and special allowances, where needed, to enable continued program participation. The primary goal of KEYS is to provide the services and supports necessary to help students complete their post-secondary education and begin employment that leads to self-sufficiency.

## **SNAP 50/50**

OIM partners with several community-based organizations (CBOs) to offer short-term credentialing and work experience services to SNAP-only customers in programs known as SNAP 50-50 partnerships. These programs are unique in that the provider itself pays for 100% of the costs of training. Those costs are then leveraged by OIM to obtain 50% reimbursement from USDA, which is then passed back through to the provider. No State funds are used for these grant agreements with these CBOs. Some partnerships focus on a specific population.

SNAP 50/50 serves a mixture of Able-Bodied Adults Without Disabilities (ABAWD) (who are required to work or participate in E&T to continue receiving SNAP) and, non-ABAWD volunteers. Currently, there are twenty-two 50/50 partners across the Commonwealth, with several more in the identification and procurement process. OIM is working to expand this partnership model further in the year ahead.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. C1.44, E27.3-E27.4, E27.40-E27.42, F32, F52

**APPROPRIATION:**  
Youth Development Institutions and Forestry Camps

**I. SUMMARY FINANCIAL DATA**

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
<b>State Funds</b>	\$61,199	\$34,882	\$64,565
<b>Federal Funds Total</b>	\$10,650	\$40,650	\$10,650
<b>Federal Sources Itemized</b>			
<i>COVID-RF Youth Development Institutions</i>	\$0	\$30,000	\$0
<i>SSBG-Basic Institutional Programs</i>	\$10,000	\$10,000	\$10,000
<i>Food Nutrition Services</i>	\$650	\$650	\$650
<b>Other Funds Total</b>	\$23	\$10	\$10
<b>Other Fund Sources Itemized</b>			
<i>Institutional Reimbursements</i>	\$23	\$10	\$10
<b>Total</b>	\$71,872	\$75,542	\$75,225

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds</b>	\$0
<b>Federal Funds</b>	\$0
<b>Total</b>	\$0



II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Youth Development Institutions and Forestry Camps				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$49,017	\$23,199	\$52,419	\$29,220	125.95%
<i>Federal Funds</i>	\$10,000	\$40,000	\$10,000	(\$30,000)	-75.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$59,017</b>	<b>\$63,199</b>	<b>\$62,419</b>	<b>(\$780)</b>	<b>-1.23%</b>
<b>OPERATING</b>					
<i>State Funds</i>	\$10,508	\$10,301	\$10,314	\$13	0.13%
<i>Federal Funds</i>	\$526	\$492	\$492	\$0	0.00%
<i>Other Funds</i>	\$23	\$10	\$10	\$0	0.00%
<b>Total Operating</b>	<b>\$11,057</b>	<b>\$10,803</b>	<b>\$10,816</b>	<b>\$13</b>	<b>0.12%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$160	\$160	\$160	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$160</b>	<b>\$160</b>	<b>\$160</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$1,222	\$1,222	\$1,672	\$450	36.82%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$1,222</b>	<b>\$1,222</b>	<b>\$1,672</b>	<b>\$450</b>	<b>36.82%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$292	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$124	\$158	\$158	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$416</b>	<b>\$158</b>	<b>\$158</b>	<b>\$0</b>	<b>0.00%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$61,199	\$34,882	\$64,565	\$29,683	85.10%
<i>Federal Funds</i>	\$10,650	\$40,650	\$10,650	(\$30,000)	-73.80%
<i>Other Funds</i>	\$23	\$10	\$10	\$0	0.00%
<b>Total Funds</b>	<b>\$71,872</b>	<b>\$75,542</b>	<b>\$75,225</b>	<b>(\$317)</b>	<b>-0.42%</b>

**APPROPRIATION:**  
Youth Development Institutions and Forestry Camps

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2018-2019	2019-2020	2020-2021 Estimated
State Funds	\$0	\$2,500	\$0

IV. COMPLEMENT INFORMATION	12/31/2019	12/31/2020	2021-2022 Budgeted
<b>State/Federally Funded</b>			
<i>Authorized</i>	633	613	613
<i>Filled</i>	542	548	575
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	633	613	613
<i>Filled</i>	542	548	575
<b>Benefit Rate</b>	79.05%	80.07%	72.32%

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget provides for an authorized complement of 613 positions, the same level as in Fiscal Year 2020-2021.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**

62 P.S. § 341 et seq.; 62 P.S. § 351 et seq.; 62 P.S. § 704.1; 42 Pa.C.S. § 6352(a)

**Disbursement Criteria:**

This appropriation provides for personnel and operating costs for Youth Development Centers and Forestry Camps. Disbursements are made based on approved positions and established employee benefits and through invoices for operating expenses and fixed assets incurred in the operation of the program.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Youth Development Institutions and Forestry Camps			
	State \$	Federal \$	Other \$	Total
<b>PERSONNEL</b>				
1. Reflects the net change in the cost to carry forward 575 filled positions, 20 vacant positions funded for 13 pays, and 13 leave-without-pay positions funded for benefits only, including other personnel costs:	(\$1,263)	\$0	\$0	(\$1,263)
2. Impact of non-recurring Fiscal Year 2020-2021 federal grant award received under the Coronavirus Aid, Relief and Economic Security (CARES) Act:	\$30,000	(\$30,000)	\$0	\$0
3. Provides for a 2.50 percent general salary increase for union and management employees (salary and wage), effective October 1, 2021:	\$651	\$0	\$0	\$651
4. Provides for the annualization of an October 1, 2020 2.00 percent general salary increase, the annualization of an April 1, 2021 2.25 percent longevity increment and the impact of turnover (excludes the effect on employee benefits):	\$284	\$0	\$0	\$284
5. Reflects a net decrease in overall benefit costs for salary and wage positions:	(\$452)	\$0	\$0	(\$452)
<b>Subtotal Personnel</b>	<b>\$29,220</b>	<b>(\$30,000)</b>	<b>\$0</b>	<b>(\$780)</b>
<b>OPERATING</b>				
1. Provides for an increase in medical/mental/dental and food costs:	\$13	\$0	\$0	\$13
<b>Subtotal Operating</b>	<b>\$13</b>	<b>\$0</b>	<b>\$0</b>	<b>\$13</b>
<b>FIXED ASSETS</b>				
1. Provides fixed asset funding at the Fiscal Year 2020-2021 level of \$0.160 million in state funds:	\$0	\$0	\$0	\$0
<b>Subtotal Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>GRANT &amp; SUBSIDY</b>				
1. Provides for an increase in state funding for grants and subsidies to support career and academic training:	\$450	\$0	\$0	\$0
<b>Subtotal Grant &amp; Subsidy</b>	<b>\$450</b>	<b>\$0</b>	<b>\$0</b>	<b>\$450</b>
<b>TOTAL</b>	<b>\$29,683</b>	<b>(\$30,000)</b>	<b>\$0</b>	<b>(\$317)</b>

## YOUTH DEVELOPMENT INSTITUTIONS AND FORESTRY CAMPS

### PROGRAM STATEMENT

The Youth Development Center/Youth Forestry Camp (YDC/YFC) system provides residential programs for the treatment of Pennsylvania's most troubled delinquent population. The YDC/YFC system is presently comprised of five facilities located throughout the state. Consistent with the Balanced and Restorative Justice Tenets of Pennsylvania's Juvenile Act, the YDC/YFC system provides juvenile delinquent youth with supervision and care and rehabilitation, while embracing victims' rights, community safety and protection, accountability, and the development of competencies that promote responsibility and productivity. The YDC/YFC programs provide an array of treatment services for residents that are individualized, culturally sensitive, and developmentally appropriate. Consistent with the Master Case Planning System process, services are delivered via individual counseling, family counseling, group counseling, and skill-building services for each resident. Services are also aligned with the principles of effective interventions as outlined in Pennsylvania's Juvenile Justice System Enhancement Strategy.

The Loysville Youth Development Center in Central Pennsylvania (Perry County) provides a variety of services for delinquent males. Specialized services include a 16-bed secure treatment unit, a 12-bed specialized treatment program providing services to delinquent youth with significant mental health problems, and a 12-bed specialized treatment program providing services to delinquent youth with significant substance abuse issues. The facility also has three other programs serving delinquent youth who exhibit immature, impulsive, and antisocial behaviors with a focus on academic enhancement and employability skills. The facility's capacity is 76 beds.

The North Central Secure Treatment Unit is a secure facility for delinquent male and female youth located on the grounds of Danville State Hospital (Montour County). Specialized services include a 12-bed unit for violent/aggressive male youth, a 12-bed high-risk unit, a 12-bed unit for male youth with drug and alcohol use histories, and a 12-bed unit for male youth with mental health problems. The facility also has two 24-bed programs for female offenders specializing in trauma informed care, drug and alcohol use, and mental health treatment. The facility's capacity is 96 beds. Due to a large capital improvement project that began in Fiscal Year 2020-2021, capacity has temporarily been reduced to 90 beds. Capacity will return to 96 beds at the conclusion of the project.

The South Mountain Secure Treatment Unit, located on the grounds of the South Mountain Restoration Center (Franklin County), is a 36-bed secure care facility for aggressive male delinquent youth. The facility also provides specialized treatment services for youth committed for both sexual offenses and mental health issues.

Youth Forestry Camp No. 2 is an open residential treatment facility located within Hickory Run State Park in northeast Pennsylvania (Carbon County) for adjudicated delinquent youth. Youth Forestry Camp No. 2 provides cognitive behavioral therapy evidenced-based treatment services, educational, and vocational services to youth ages 14 to 20, based on their individual criminogenic needs. Specialized services include drug and alcohol programming and short-term (60 days) programming for youth in need of brief treatment services to include criminogenic factors, family services, and aftercare coordination. The facility's capacity is 48 beds.

Youth Forestry Camp No. 3 is a residential facility located in Trough Creek State Park in south central Pennsylvania (Huntingdon County). The facility has two separate treatment tracks, substance use and general delinquency. The facility addresses a variety of criminogenic risk factors through evidence-based cognitive behavioral treatment, with an emphasis on delinquency, substance use, education, and vocational/job training. The facility's capacity is 48 beds.

The Pennsylvania Department of Education's (PDE) Bureau of Correction Education provides oversight, and coordinates educational programming for all eligible school-aged youth in the YDC/YFC system. Instruction for eligible school-aged youth is accomplished through PDE contracts with local

intermediate units. As part of these contracts, PDE provides oversight and monitoring to ensure that each students' academic needs are being met. In addition to the basic educational programming provided, a wide variety of other vocational and career training opportunities are also available. These opportunities include, but are not limited to: employability skills, General Equivalency Diploma preparation, secondary education preparation, computer skills training, training in a variety of marketable building trades, maintenance, food service management and related certifications, as well as remedial and special education. Youth not of school age or who are determined to be ineligible for instruction through PDE's schools are provided other opportunities to participate in career, technical training, and/or higher education instruction.

Effective July 1, 2014, the Pennsylvania Academic and Career/Technical Training Alliance (PACTT) was established. The project provides on-site technical assistance to its affiliated members and works to ensure that delinquent youth receive academic and career training opportunities throughout the duration of their active involvement within Pennsylvania's Juvenile Justice System. The alliance includes secure and non-secure residential programs, community-based programs, and partnerships within the aftercare system.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. A1.14, A2.7, A2.8, C1.44, E27.4, E27.12,  
E27.31-E27.34, F32, F52

**APPROPRIATION:**  
Mental Health Services

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
<b>State Funds</b>	\$791,819	\$824,697	\$826,548
<b>Federal Funds Total</b>	\$295,071	\$338,382	\$283,765
<b>Federal Sources Itemized</b>			
<i>Medical Assistance - Mental Health</i>	\$203,542	\$178,590	\$184,590
<i>COVID-Medical Assistance - Mental Health (EA)</i>	\$11,350	\$22,984 <sup>1</sup>	\$21,351
<i>Medicare Services - State Mental Hospitals</i>	\$20,983	\$17,900	\$17,900
<i>COVID-Direct Relief to Providers/State Hospitals(EA)</i>	\$737	\$2,187	\$0
<i>Homeless Mentally Ill</i>	\$2,496	\$2,496	\$2,496
<i>MHSBG - Community Mental Health Services</i>	\$28,100	\$32,000	\$32,000
<i>COVID-Mental Health Services Block Grant (EA)</i>	\$0	\$53,955 <sup>2</sup>	\$0
<i>SSBG - Community Mental Health Services</i>	\$10,366	\$10,366	\$10,366
<i>Suicide Prevention</i>	\$1,496	\$1,896	\$2,236
<i>Mental Health Data Infrastructure</i>	\$145	\$145	\$145
<i>Promoting Integration of Health Care</i>	\$3,500	\$3,500	\$3,500
<i>System of Care Expansion</i>	\$7,000	\$7,000	\$7,000
<i>Project LAUNCH</i>	\$15	\$0	\$0
<i>Youth Suicide Prevention</i>	\$736	\$736	\$736
<i>Transition Age Youth</i>	\$1,500	\$1,500	\$0
<i>Early Childhood Mental Health</i>	\$500	\$500	\$0
<i>Treatment for Individuals Experiencing Homelessness</i>	\$1,000	\$1,000	\$1,000
<i>Adolescents and Young Adults at High Risk for Psychosis</i>	\$400	\$400	\$400
<i>Bioterrorism Hospital Preparedness (EA)</i>	\$50	\$100	\$45
<i>Tree of Life – Disaster Assistance (EA)</i>	\$400	\$0	\$0
<i>COVID - Crisis Counseling (EA)</i>	\$755	\$1,127	\$0
<b>Other Funds Total</b>	\$36,649	\$39,914	\$39,897
<b>Other Fund Sources Itemized</b>			
<i>Intergovernmental Transfer</i>	\$28,366	\$32,862	\$32,845
<i>Institutional Collections</i>	\$7,642	\$5,606	\$5,606
<i>Miscellaneous Institutional Reimbursements</i>	\$641	\$1,446	\$1,446
<b>Total</b>	<b>\$1,123,539</b>	<b>\$1,202,993</b>	<b>\$1,150,210</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<sup>1,2</sup> Please see next page for details of recommended supplementals.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
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E27.31-E27.34, F32, F52

**APPROPRIATION:**  
Mental Health Services

**IA. REQUESTED SUPPLEMENTALS (Continued)**

<b>State Funds</b>	<b>\$0</b>
<b>Federal Funds Total</b>	<b>\$55,771</b>
<b>Federal Sources Itemized</b>	
<i>COVID-Medical Assistance - Mental Health (EA)</i>	\$1,816 <sup>1</sup>
<i>COVID-Mental Health Services Block Grant (EA)</i>	<u>\$53,955</u> <sup>2</sup>
<b>Total</b>	<b>\$55,771</b>

<sup>1</sup> The Governor's Executive Budget for Fiscal Year 2021-2022 reflects a supplemental executive authorization of \$1.816 million, increasing the Fiscal Year 2020-2021 funding level from \$21.168 million to \$22.984 million.

<sup>2</sup> The Governor's Executive Budget for Fiscal Year 2021-2022 reflects a supplemental executive authorization of \$53.955 million based on an estimate of Federal grants distributions.

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Mental Health Services				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$178,973	\$174,320	\$173,125	(\$1,195)	-0.69%
<i>Federal Funds</i>	\$187,415	\$201,839	\$201,696	(\$143)	-0.07%
<i>Other Funds</i>	\$6,838	\$5,606	\$5,606	\$0	0.00%
<b>Total Personnel</b>	<b>\$373,226</b>	<b>\$381,765</b>	<b>\$380,427</b>	<b>(\$1,338)</b>	<b>-0.35%</b>
<b>OPERATING</b>					
<i>State Funds</i>	\$48,605	\$81,703	\$83,614	\$1,911	2.34%
<i>Federal Funds</i>	\$40,593	\$7,450	\$4,885	(\$2,565)	-34.43%
<i>Other Funds</i>	\$1,445	\$1,446	\$1,446	\$0	0.00%
<b>Total Operating</b>	<b>\$90,643</b>	<b>\$90,599</b>	<b>\$89,945</b>	<b>(\$654)</b>	<b>-0.72%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$1,073	\$1,073	\$1,073	\$0	0.00%
<i>Federal Funds</i>	\$0	\$32	\$0	(\$32)	-100.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$1,073</b>	<b>\$1,105</b>	<b>\$1,073</b>	<b>(\$32)</b>	<b>-2.90%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$558,358	\$566,821	\$568,736	\$1,915	0.34%
<i>Federal Funds</i>	\$65,808	\$123,670	\$67,400	(\$56,270)	-45.50%
<i>Other Funds</i>	\$28,366	\$32,862	\$32,845	(\$17)	-0.05%
<b>Total Grant &amp; Subsidy</b>	<b>\$652,532</b>	<b>\$723,353</b>	<b>\$668,981</b>	<b>(\$54,372)</b>	<b>-7.52%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$4,810	\$780	\$0	(\$780)	-100.00%
<i>Federal Funds</i>	\$1,255	\$5,391	\$9,784	\$4,393	81.49%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$6,065</b>	<b>\$6,171</b>	<b>\$9,784</b>	<b>\$3,613</b>	<b>58.55%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$791,819	\$824,697	\$826,548	\$1,851	0.22%
<i>Federal Funds</i>	\$295,071	\$338,382	\$283,765	(\$54,617)	-16.14%
<i>Other Funds</i>	\$36,649	\$39,914	\$39,897	(\$17)	-0.04%
<b>Total Funds</b>	<b>\$1,123,539</b>	<b>\$1,202,993</b>	<b>\$1,150,210</b>	<b>(\$52,783)</b>	<b>-4.39%</b>



**APPROPRIATION:  
Mental Health Services**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$2,564	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	3,449	3,449	3,449
<i>Filled</i>	3,255	3,263	3,253
<b>Federally Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	3,449	3,449	3,449
<i>Filled</i>	3,255	3,263	3,253
<b>Benefit Rate</b>	72.65%	72.93%	68.41%

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget provides funding for complement, operating expenses and fixed assets for State Mental Hospitals as well as community mental health services.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on subsequent pages.

**Legislative Citations:**

62 P.S. §§ 201, 206, 301-317; 62 P.S. § 1401-B et seq.; 50 P.S. §§ 4201(7), 4202, 4507, 4509; 42 Pa.C.S. §6406

**Disbursement Criteria:**

**State Mental Hospitals:**  
Disbursements are based on salary, wage and benefit requirements for authorized complement as well as invoices for facility operating expenses and fixed assets.

**Community Mental Health Services:**  
Counties receive quarterly advance payments based on individual approved allocations. Allocations are based on prior year funding adjusted to maintain current levels of services, and to implement or expand programs.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Mental Health Services			
	State \$	Federal \$	Other \$	Total \$
<b>STATE MENTAL HOSPITALS</b>				
<b>PERSONNEL</b>				
1. Changes in average biweekly and funded pay periods from Fiscal Year 2020-2021 and other changes:	(\$3,351)	\$0	\$0	(\$3,351)
2. Provides for the filling of 27 vacancies for 6.0 pay periods during Fiscal Year 2021-2022 to maintain minimum staff-to-client ratios:	\$237	\$0	\$0	\$237
3. Provides for the annualization of an October 1, 2020 2.00 percent general salary increase, the annualization of an April 1, 2021 2.25 percent longevity increment and the impact of turnover (excludes the effect on employee benefits):	\$1,557	\$0	\$0	\$1,557
4. Provides for a 2.50 percent general salary increase for union and management employees (salary and wage), effective October 1, 2021:	\$3,647	\$0	\$0	\$3,647
5. Reflects a net decrease in overall benefit costs for salary and wage positions:	(\$266)	\$0	\$0	(\$266)
6. Reflects a net decrease in other personnel costs for salary and wage positions:	(\$3,103)	\$0	\$0	(\$3,103)
7. Change in base federal Medical Assistance funding supporting personnel services:	(\$1,216)	\$1,216	\$0	\$0
8. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	\$1,300	(\$1,300)	\$0	\$0
9. Impact of non-recurring Fiscal Year 2020-2021 federal COVID-Crisis Counseling grant:	\$0	(\$57)	\$0	(\$57)
10. Change in federal Homeless Mentally Ill grant:	\$0	(\$2)	\$0	(\$2)
Subtotal Personnel	(\$1,195)	(\$143)	\$0	(\$1,338)
<b>OPERATING</b>				
1. Provides for an increase in medical/mental/dental costs:	\$1,574	\$0	\$0	\$1,574
2. Provides for an increase in heating fuel, water and sewage, drug and food costs:	\$337	\$0	\$0	\$337
3. Impact of non-recurring Fiscal Year 2020-2021 COVID-Direct Relief to Providers/State Hospitals grant:	\$0	(\$2,154)	\$0	(\$2,154)
4. Provides for an increase in operating in the MH Data Infrastructure grant:	\$0	\$17	\$0	\$17
5. Reflects a decrease in operating in Bioterrorism Hospital Preparedness subgrant:	\$0	(\$45)	\$0	(\$45)
6. Reflects a decrease in operating in federal MHSBG - Community Mental Health Services grant:	\$0	(\$120)	\$0	(\$120)
7. Reflects a decrease in operating in federal Promoting Integration of Health Care grant:	\$0	(\$255)	\$0	(\$255)

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Mental Health Services			
	State \$	Federal \$	Other \$	Total \$
<b>OPERATING (Continued)</b>				
8. Impact of non-recurring Fiscal Year 2020-2021 federal COVID-Crisis Counseling grant:	\$0	(\$8)	\$0	(\$8)
Subtotal Operating	\$1,911	(\$2,565)	\$0	(\$654)
<b>FIXED ASSETS</b>				
1. Non-recurring Fiscal Year 2020-2021 purchase utilizing federal COVID-Direct Relief to Providers/State Hospitals funding:	\$0	(\$32)	\$0	(\$32)
Subtotal Fixed Assets	\$0	(\$32)	\$0	(\$32)
<b>COMMUNITY MENTAL HEALTH</b>				
<b>GRANT &amp; SUBSIDY</b>				
1. Provides for the annualization of ACLU Settlement related forensic projects:	\$315	\$0	\$0	\$315
2. Impact of non-recurring Fiscal Year 2020-2021 federal Transition Age Youth grant:	\$0	(\$1,500)	\$0	(\$1,500)
3. Impact of non-recurring Fiscal Year 2020-2021 federal Early Childhood MH grant:	\$0	(\$500)	\$0	(\$500)
4. Reflects a decrease in grant and subsidy in the MH Data Infrastructure grant:	\$0	(\$17)	\$0	(\$17)
5. Change in federal Bioterrorism Hospital Preparedness subgrant funding:	\$0	\$45	\$0	\$45
6. Provides for an increase in federal Suicide Prevention grant funding:	\$0	\$340	\$0	\$340
7. Reflects a reduction in the Intergovernmental Transfer:	\$17	\$0	(\$17)	\$0
8. Impact of decrease in estimated Fiscal Year 2020-2021 federal COVID-Medical Assistance earnings (federal impact is reflected under budgetary reserve below):	\$333	\$0	\$0	\$333
9. Provides for an increase in federal MHSBG - Community Mental Health Services grant funding:	\$0	\$120	\$0	\$120
10. Provides for an increase in federal Promoting Integration of Health Care grant funding:	\$0	\$255	\$0	\$255
11. Impact of non-recurring Fiscal Year 2020-2021 federal COVID-Mental Health Services Block Grant distribution:	\$0	(\$53,955)	\$0	(\$53,955)
12. Impact of non-recurring Fiscal Year 2020-2021 federal COVID-Crisis Counseling grant:	\$0	(\$1,061)	\$0	(\$1,061)
13. Change in federal Homeless Mentally Ill grant funding:	\$0	\$2	\$0	\$2
14. Other changes:	\$0	\$1	\$0	\$1
Subtotal Grant & Subsidy	\$665	(\$56,270)	(\$17)	(\$55,622)

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Mental Health Services			
	State \$	Federal \$	Other \$	Total \$
<b>BUDGETARY RESERVE</b>				
1. Non-recurring Fiscal Year 2020-2021 legislative additions:	(\$780)	\$0	\$0	(\$780)
2. Change in federal Medical Assistance budgetary reserve:	\$0	\$4,784	\$0	\$4,784
3. Impact of non-recurring Fiscal Year 2020-2021 federal COVID-Medical Assistance - MH budgetary reserve:	\$0	(\$334)	\$0	(\$334)
4. Impact of non-recurring Fiscal Year 2020-2021 federal Bioterrorism Hospital Preparedness budgetary reserve:	\$0	(\$55)	\$0	(\$55)
5. Impact of non-recurring Fiscal Year 2020-2021 federal COVID-Direct Relief to Providers/State Hospitals budgetary reserve:	\$0	(\$1)	\$0	(\$1)
6. Impact of non-recurring Fiscal Year 2020-2021 federal COVID-Crisis Counseling budgetary reserve:	\$0	(\$1)	\$0	(\$1)
Subtotal Budgetary Reserve	(\$780)	\$4,393	\$0	\$3,613
<b>INITIATIVE</b>				
<b>GRANT &amp; SUBSIDY</b>				
1. Community Opportunities:				
A. Provides funding for home and community-based services for 20 individuals currently residing in State Hospitals:	\$1,250	\$0	\$0	\$1,250
Subtotal Initiative	\$1,250	\$0	\$0	\$1,250
<b>TOTAL</b>	<b>\$1,851</b>	<b>(\$54,617)</b>	<b>(\$17)</b>	<b>(\$52,783)</b>

## **MENTAL HEALTH SERVICES**

### **PROGRAM STATEMENT**

The Department of Human Services (Department), Office of Mental Health and Substance Abuse Services has the primary responsibility of program development, policy, and financial oversight of the delivery of behavioral health services in the Commonwealth, which includes mental health treatment services and supports designated as substance use services. The primary objective is to support individual movement toward recovery. The Department seeks to ensure there is an integrated approach to both the delivery and financing of behavioral health services. The Department administers: community mental health funds and Behavioral Health Services funds for both mental health and substance use services for individuals no longer eligible for Medical Assistance (MA); and, Act 152 funds to provide non-hospital residential substance use services. Direct mental health treatment services are also provided through six state-operated hospitals and one restoration, long-term care, center. The Department oversees the delivery of community mental health services administered by counties under the Pennsylvania Mental Health and Intellectual Disability (MH/ID) Act of 1966 and the Mental Health Procedures Act of 1976.

### **STATE MENTAL HOSPITALS**

There are six state mental hospitals that provide general psychiatric inpatient treatment as well as specialty care for persons who require intensive treatment in a highly structured setting. A seventh facility, South Mountain Restoration Center, provides skilled nursing and intermediate care services for individuals who were formerly residents of mental hospitals and are now in need of nursing care. All the hospitals, including the South Mountain Restoration Center, are certified by Medicaid and Medicare. The South Mountain Restoration Center is also certified as a long-term care provider and is licensed by the Department.

On January 11, 2017, the Department announced the plan to close the civil section of Norristown State Hospital in Montgomery County. The closure is part of the Wolf Administration's commitment to serve more people in the community, reduce reliance on institutional care, and improve access to home and community-based services for Pennsylvanians. The Department will, on a long-term basis, retain a minimum of 50 beds from the civil section for transitional services for forensically-involved individuals. For an unspecified timeframe, the total number of beds for the transitional services will be greater than 50 to help address the wait time for forensic treatment throughout our system as well as to comply with recent litigation settlements.

As a result of the development of integrated services, continued advances in medications, and the building of a community-based care infrastructure, the hospitals' patient census continues to decline. In Fiscal Year 2019-2020, 2,250 people received services in the state mental hospitals and the same number of individuals are estimated to receive services in Fiscal Year 2020-2021.

### **COMMUNITY MENTAL HEALTH SERVICES**

The MH/ID Act of 1966 requires county governments to provide an array of community-based mental health services including unified intake, community consultation, education, support for families caring for members with mental disorders, and community residential programs. Community services are targeted to adults with serious mental illnesses and children/adolescents with, or at risk of, serious emotional disturbances. Key provisions of service include recovery-oriented treatment, community care, and support services that enable individuals to return to the community and lead independent and productive lives. Non-residential services include family-based support, peer to peer support, outpatient care, partial hospitalization, emergency and crisis intervention, and after care. Community residential services consist of housing support, residential treatment, inpatient care, crisis services, and mobile therapy. Services are administered by single counties, county joiners, or through contracts with private, non-profit organizations. Services are funded with state, federal, and/or county matching funds.

Since its inception, the Community Mental Health Program has dramatically increased its capacity to provide a more unified system of treatment and support services for persons with serious mental illness. As a result, an increasing number of people have been able to experience recovery and lead productive lives in their communities and not be hospitalized for prolonged periods of time. In Fiscal Year 2019-2020, 193,302 people were provided community mental health services. It is possible that the number of individuals who seek services in Fiscal Year 2021-2022 may increase due to the many ways COVID-19 is impacting emotional wellbeing. Pennsylvanians are enduring prolonged periods of chronic stress due to factors such as loss of financial stability and social connections; trying to balance work, school and child care in the home; grief and loss; illness of self and others; and a general sense of helplessness.

### **COMMUNITY HOSPITAL INTEGRATION PROJECTS PROGRAM AND SOUTHEAST INTEGRATION PROJECTS PROGRAM**

Beginning in Fiscal Year 1991-1992, the Community Hospital Integration Projects Program (CHIPP) linked the county-operated community programs and the state mental hospitals by transferring individuals and resources from the hospital program to the community. This integration program discharges individuals with complex service needs, who no longer need inpatient psychiatric treatment, into integrated community programs from state mental hospitals. The county program develops the community resources and services needed for each person discharged through CHIPP. The CHIPP program is a mechanism for reallocating funds to the community for the development of new alternative community-based treatment services and supports.

The community services that are developed with CHIPP funds are not only available to persons discharged from state mental hospitals, but to other individuals with mental illness who would otherwise need hospitalization. For each CHIPP allocation, it is estimated that an additional four to five individuals with mental illness may be served per year. The program supports community living by creating a community capacity for diversion services to avoid future unnecessary state hospital admissions. The success of these diversion services allows more predictable planning for future state mental hospital use and a reduction in hospital costs.

Counties receiving CHIPP funding have been successful in creating service systems that support people in the community while managing state mental hospital utilization. Involvement of individuals and families in the program design, implementation, and ongoing monitoring has proven critical to the success of the program. State mental hospitals have played a vital role in preparing people for discharge, coordinating with counties during the transition to the community, and assisting counties in managing future hospital use.

The Southeast Integration Projects Program was implemented in the five southeastern counties (Bucks, Chester, Delaware, Montgomery, and Philadelphia) in Fiscal Year 1997-1998. This program originated as a result of the closure of Haverford State Mental Hospital and the subsequent June 1998 court order to place people who were no longer in need of inpatient psychiatric treatment into community settings. It operates in a manner similar to the CHIPP program but provides counties with additional funding to increase the capacity to provide more specialized services to persons with a broader range of service needs.

In Fiscal Year 2012-2013, a Human Services Block Grant (HSBG) program was implemented to provide local governments with increased flexibility to address local needs. Beginning in Fiscal Year 2017-2018, the HSBG program was expanded to allow all counties statewide to request participation in the program on a voluntary basis. Currently, a total of 44 counties were approved by the Department to participate in the HSBG program.

### **FISCAL YEAR 2021 - 2022 INITIATIVE – COMMUNITY OPPORTUNITIES**

The Governor's Executive Budget for Fiscal Year 2021-2022 provides funding to support the discharge of 20 eligible individuals from state hospitals throughout the Commonwealth into CHIPP and expand home and community-based services for community placements.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

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**APPROPRIATION:**  
Intellectual Disabilities - State Centers

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
<b>State Funds</b>	\$106,810	\$101,394	\$103,896
<b>Federal Funds Total</b>	\$175,889	\$169,579	\$170,114
<b>Federal Sources Itemized</b>			
<i>Medical Assistance - State Centers</i>	\$166,059	\$153,059	\$154,030
<i>COVID-Medical Assistance - ID State Centers (EA)</i>	\$8,836	\$15,620 <sup>1</sup>	\$15,621
<i>Medicare Services - State Centers</i>	\$505	\$507	\$463
<i>COVID-Direct Relief to Providers/State Centers (EA)</i>	\$489	\$393	\$0
<b>Other Funds Total</b>	\$25,774	\$25,709	\$25,151
<b>Other Fund Sources Itemized</b>			
<i>Institutional Collections - State Centers</i>	\$8,021	\$7,595	\$7,595
<i>ID Assessment - State Centers</i>	\$17,747	\$18,114	\$17,556
<i>Institutional Reimbursements</i>	<u>\$6</u>	<u>\$0</u>	<u>\$0</u>
<b>Total</b>	\$308,473	\$296,682	\$299,161

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds</b>	\$0
<b>Federal Funds Total</b>	(\$3)
<b>Federal Sources Itemized</b>	
<i>COVID-Medical Assistance - ID State Centers (EA)</i>	<u>(\$3) <sup>1</sup></u>
<b>Total</b>	(\$3)

<sup>1</sup> The Governor's Executive Budget for Fiscal Year 2021-2022 reflects an executive authorization reduction of \$0.003 million, decreasing the Fiscal Year 2020-2021 funding level from \$15.623 million to \$15.620 million.

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Intellectual Disabilities - State Centers			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$93,540	\$90,519	\$93,084	\$2,565	2.83%
<i>Federal Funds</i>	\$135,990	\$136,731	\$134,808	(\$1,923)	-1.41%
<i>Other Funds</i>	\$6,356	\$5,925	\$5,925	\$0	0.00%
<b>Total Personnel</b>	<b>\$235,886</b>	<b>\$233,175</b>	<b>\$233,817</b>	<b>\$642</b>	<b>0.28%</b>
<b>OPERATING</b>					
<i>State Funds</i>	\$9,146	\$10,701	\$10,638	(\$63)	-0.59%
<i>Federal Funds</i>	\$22,743	\$21,626	\$21,640	\$14	0.06%
<i>Other Funds</i>	\$19,418	\$19,784	\$19,226	(\$558)	-2.82%
<b>Total Operating</b>	<b>\$51,307</b>	<b>\$52,111</b>	<b>\$51,504</b>	<b>(\$607)</b>	<b>-1.16%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$158	\$174	\$174	\$0	0.00%
<i>Federal Funds</i>	\$82	\$66	\$66	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$240</b>	<b>\$240</b>	<b>\$240</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$3,966	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$17,074	\$11,156	\$13,600	\$2,444	21.91%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$21,040</b>	<b>\$11,156</b>	<b>\$13,600</b>	<b>\$2,444</b>	<b>21.91%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$106,810	\$101,394	\$103,896	\$2,502	2.47%
<i>Federal Funds</i>	\$175,889	\$169,579	\$170,114	\$535	0.32%
<i>Other Funds</i>	\$25,774	\$25,709	\$25,151	(\$558)	-2.17%
<b>Total Funds</b>	<b>\$308,473</b>	<b>\$296,682</b>	<b>\$299,161</b>	<b>\$2,479</b>	<b>0.84%</b>



<b>APPROPRIATION:</b> Intellectual Disabilities - State Centers
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III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$2,432	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	2,814	2,814	2,814
<i>Filled</i>	2,516	2,348	2,406
<b>Federally Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Other Funded</b>			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
<b>Total</b>			
<i>Authorized</i>	2,814	2,814	2,814
<i>Filled</i>	2,516	2,348	2,530
<b>Benefit Rate</b>	81.20%	80.26%	77.64%

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 The Fiscal Year 2021-2022 Governor's Executive Budget provides for the annualization of Fiscal Year 2020-2021 program changes and Fiscal Year 2021-2022 changes.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on subsequent pages.

**Legislative Citations:**  
 62 P.S. §§ 201, 206, 443.1 et seq.; MH/ID Act of 1966, 50 P.S. § 4202, 4507; 62 P.S. §§ 301-317; Title XIX of the Social Security Act, 42 U.S.C. § 1396 et seq.

**Disbursement Criteria:**  
 Disbursements are made on the basis of approved salary and wage positions and established employee benefits, and through invoices for operating expenses and fixed assets incurred in operating the facilities.

**VI. EXPLANATION OF CHANGES**  
(\$ Amounts in Thousands)

**APPROPRIATION:**  
Intellectual Disabilities - State Centers

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>PERSONNEL</b>				
1. Change in average bi-weekly and funded pay periods from Fiscal Year 2020-2021 levels:	(\$1,450)	(\$1,585)	\$0	(\$3,035)
2. Provides for the filling of 55 salary vacancies for 6.0 pay periods during Fiscal Year 2021-2022 to maintain minimum staff-to-client ratios:	\$199	\$217	\$0	\$416
3. Provides for an authorized salary complement of 2,814 positions, which is the same level as Fiscal Year 2020-2021:	\$0	\$0	\$0	\$0
4. Provides for the annualization of an October 1, 2020 2.00 percent general salary increase, the annualization of an April 1, 2021 2.25 percent longevity increment and the impact of turnover (excludes the effect on employee benefits):	\$551	\$602	\$0	\$1,153
5. Provides for a 2.50 percent general salary increase for union and management employees (salary and wage), effective October 1, 2021:	\$1,156	\$1,264	\$0	\$2,420
6. Provides for an increase in wage costs:	\$267	\$291	\$0	\$558
7. Reflects a net decrease in overall benefit costs for salary and wage positions:	(\$243)	(\$266)	\$0	(\$509)
8. Change in other personnel costs:	(\$173)	(\$188)	\$0	(\$361)
9. Provides for the impact of the change in the Federal Medical Assistance Percentage (FMAP) rate from 52.20 percent to 52.68 percent, effective October 1, 2021. The state fiscal year blended rate increases from 52.2125 percent in Fiscal Year 2020-2021 to 52.56 percent in Fiscal Year 2021-2022:	(\$803)	\$803	\$0	\$0
10. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	(\$1)	\$1	\$0	\$0
11. Assumes a decrease in federal Medicare revenues:	\$44	(\$44)	\$0	\$0
12. Provides for the net effect of changes in estimated factors related to medical assistance eligible costs, including changes in estimated institutional expenditures, medical assistance allowable and unallowable costs, and other factors related to gross computable medical assistance reimbursements:	<u>\$3,018</u>	<u>(\$3,018)</u>	<u>\$0</u>	<u>\$0</u>
<b>Subtotal Personnel</b>	<b>\$2,565</b>	<b>(\$1,923)</b>	<b>\$0</b>	<b>\$642</b>

**VI. EXPLANATION OF CHANGES**

(\$ Amounts in Thousands)

**APPROPRIATION:**

Intellectual Disabilities - State Centers

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>OPERATING</b>				
1. Provides for a net increase in operating costs:	\$130	\$214	\$0	\$344
2. Assumes the continuation of a provider assessment for Fiscal Year 2021-2022. The assessment payments are estimated at \$7.240 million in state funds, while the revenue is projected at \$17.556 million for Fiscal Year 2021-2022:	\$231	(\$231)	(\$558)	(\$558)
3. Provides for the impact of the change in the Federal Medical Assistance Percentage (FMAP) rate from 52.20 percent to 52.68 percent, effective October 1, 2021. The state fiscal year blended rate increases from 52.2125 percent in Fiscal Year 2020-2021 to 52.56 percent in Fiscal Year 2021-2022:	(\$178)	\$178	\$0	\$0
4. Provides for the net effect of changes in other factors related to medical assistance eligible costs:	(\$246)	\$246	\$0	\$0
5. Impact of non-recurring Fiscal Year 2020-2021 COVID-Direct Relief to Providers/State Centers grant:	<u>\$0</u>	<u>(\$393)</u>	<u>\$0</u>	<u>(\$393)</u>
Subtotal Operating	(\$63)	\$14	(\$558)	(\$607)
<b>FIXED ASSETS</b>				
1. Assumes fixed asset costs at the Fiscal Year 2020-2021 level of \$0.240 million:	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Subtotal Fixed Assets	\$0	\$0	\$0	\$0
<b>BUDGETARY RESERVE</b>				
1. Impact of a change in Fiscal Year 2021-2022 federal Medical Assistance reserve to address potential changes in base revenues used to calculate assessment costs and net allowable Medical Assistance institutional expenditures:	<u>\$0</u>	<u>\$2,444</u>	<u>\$0</u>	<u>\$2,444</u>
Subtotal Budgetary Reserve	<u>\$0</u>	<u>\$2,444</u>	<u>\$0</u>	<u>\$2,444</u>
<b>TOTAL</b>	<u><u>\$2,502</u></u>	<u><u>\$535</u></u>	<u><u>(\$558)</u></u>	<u><u>\$2,479</u></u>

## **INTELLECTUAL DISABILITIES - STATE CENTERS**

### **PROGRAM STATEMENT**

The Department of Human Services (Department), through the Office of Developmental Programs (ODP), currently operates four state centers for individuals with intellectual and developmental disabilities. The Governor's Executive Budget for Fiscal Year 2021-2022 provides for the continuation of activities associated with these centers and property maintenance of the Hamburg State Center that officially closed in August 2018.

Each of the four state centers provides 24-hour residential and habilitative services to adults with an intellectual disability. All facilities meet federal Intermediate Care Facility for the Intellectually Disabled (ICF/ID) standards that pertain to health and program services, environment, and safety. Facilities also meet the certification requirements for participation in the Title XIX (Medicaid) program. The primary goal of these state-operated facilities is to provide the services and training needed to support individual development in a person-centered manner.

During Fiscal Year 2019-2020, state centers provided service to approximately 720 people, which is about 1.1 percent of the more than 60,000 people expected to receive intellectual disability/autism services through ODP programs. By June 30, 2022, the state center population is expected to decrease to approximately 560 people. As of December 2020, the age range of the residents was between 21 and 91, and the average age was 63. Individuals in the state centers have a wide variation of disabilities, from those who are independent in their daily living activities to those who need complete assistance. Staff provide programs and services to enhance growth and development

Historically, state-operated facilities were the primary providers of services to individuals with an intellectual disability. Following national trends, the population of these facilities has decreased steadily since the late 1960s as the availability of supportive services in the community has increased. During the ten-year period from July 1, 2010 to July 1, 2020, the census at the state centers declined 43 percent, from 1,189 people to 680 people.

The Fiscal Year 2021-2022 Governor's Executive Budget assumes the continuation of the ICF/ID provider assessment, which is applied against both public and private providers of ICF/ID services, and totals \$38.456 million for Fiscal Year 2021-2022. Of the \$38.456 million, \$17.556 million represents the assessment on services provided in the state centers for individuals with intellectual disabilities. The balance of \$20.900 million represents the assessment on private ICF/ID services and is reflected under the Intellectual Disabilities - Intermediate Care Facilities appropriation.

In August 2019, in line with the continued commitment to serve more people in the community, the Department announced the closures of Polk and White Haven Centers. The timeline for closure is 36 months. The Department continues activities associated with the closure initiative begun in FY 2020-2021 and has been engaged in planning individualized transitions for each resident of Polk and White Haven Centers.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. A2.10, C1.45, E27.5, E27.28-30

**APPROPRIATION:**  
Cash Grants

I. SUMMARY FINANCIAL DATA	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
State Funds	\$14,987	\$13,740	\$13,740
Federal Funds Total	\$455,928	\$416,842	\$416,842
Federal Sources Itemized			
TANFBG - Cash Grants	\$189,319	\$207,093	\$207,093
Other Federal Support - Cash Grants	\$6,428	\$6,428	\$6,428
LIHEABG - Low-Income Families & Individuals	\$188,563	\$188,563	\$188,563
COVID-LIHEABG-Program (EA)	\$31,439	\$0	\$0
Refugees and Persons Seeking Asylum - Social Services	\$14,758	\$14,758	\$14,758
COVID-Refugees and Persons Seeking Asylum (EA)	\$421	\$0	\$0
COVID-PA Disaster Relief (EA)	\$25,000	\$0	\$0
Other Funds Total	\$0	\$0	\$0
<b>Total</b>	<b>\$470,915</b>	<b>\$430,582</b>	<b>\$430,582</b>
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>			
State Funds		\$0	
Federal Funds		\$0	
<b>Total</b>		<b>\$0</b>	

II. DEPARTMENT OF HUMAN SERVICES (\$ Amounts in Thousands)	APPROPRIATION: Cash Grants				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
<i>State Funds</i>	\$3,273	\$2,520	\$2,520	\$0	0.00%
<i>Federal Funds</i>	\$2,866	\$3,228	\$3,228	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$6,139</b>	<b>\$5,748</b>	<b>\$5,748</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$10,235	\$11,220	\$11,220	\$0	0.00%
<i>Federal Funds</i>	\$373,795	\$295,094	\$276,544	(\$18,550)	-6.29%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$384,030</b>	<b>\$306,314</b>	<b>\$287,764</b>	<b>(\$18,550)</b>	<b>-6.06%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$424	\$424	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$424</b>	<b>\$424</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$1,479	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$79,266	\$118,096	\$136,646	\$18,550	15.71%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$80,745</b>	<b>\$118,096</b>	<b>\$136,646</b>	<b>\$18,550</b>	<b>15.71%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$14,987	\$13,740	\$13,740	\$0	0.00%
<i>Federal Funds</i>	\$455,928	\$416,842	\$416,842	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$470,915</b>	<b>\$430,582</b>	<b>\$430,582</b>	<b>\$0</b>	<b>0.00%</b>

**APPROPRIATION:  
Cash Grants**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$3,981	\$8,967	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	0.00%	0.00%	0.00%

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Governor's Executive Budget for Fiscal Year 2021-2022 is based on an estimate of the monthly average number of persons receiving Temporary Assistance For Needy Families (TANF) and State Blind Pension (SBP) during the fiscal year at the established allowance levels.

The Low-Income Home Energy Assistance Program funding is based on final federal allocations in Federal Year 2021-2022. Total grant funding will be net of 15 percent set aside for the weatherization program in the Department of Community and Economic Development and up to ten percent for administrative costs.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**

62 P.S. §§ 201, 403 for TANF.  
62 P.S. § 501 et seq. for SBP.

**Disbursement Criteria:**

This appropriation provides direct cash payments, supportive service allowances and employment and training services to eligible SBP and TANF recipients. Disbursements are made on the basis of allowance schedules.

**D EXPLANATION OF CHANGES**

BI (\$ Amounts in Thousands)

**APPROPRIATION:**

Cash Grants

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>GRANT &amp; SUBSIDY</b>				
1. Reflects a decrease of 10,622 (from 70,307 to 59,685) in the projected monthly average number of Temporary Assistance for Needy Families (TANF) recipients in Fiscal Year 2021-2022:	\$0	(\$18,550)	\$0	(\$18,550)
2. Reflects a decrease of eight (from 115 to 107) Blind Pension recipients in Fiscal Year 2021-2022:	(\$13)	\$0	\$0	(\$13)
3. Provides for an increase in state funds due to a reduction in Child Support collections in Fiscal Year 2021-2022:	<u>\$13</u>	<u>\$0</u>	<u>\$0</u>	<u>\$13</u>
Subtotal Grant & Subsidy	\$0	(\$18,550)	\$0	(\$18,550)
<b>BUDGETARY RESERVE</b>				
1. Provides for an increase in excess Federal spending authority in Fiscal Year 2021-2022 to cover unexpected changes in caseload:	\$0	\$18,550	\$0	\$18,550
<b>TOTAL</b>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>



**Cash Grants**  
**Monthly Average Number of Recipients**  
**Fiscal Year 2021-2022 Governor's Executive Budget**

\* Actuals

**Fiscal Year 2020-2021**

	<b>Total</b>	<b>TANF</b>	<b>SBP</b>
July 2020	83,570	83,453 *	117
August	80,306	80,187 *	119
September	77,599	77,480 *	119
October	73,734	73,618 *	116
November	70,685	70,570 *	115
December	67,538	67,423 *	115
January 2021	66,880	66,766	114
February	66,228	66,115	114
March	65,583	65,470	113
April	64,944	64,832	112
May	64,311	64,200	112
June	63,685	63,574	111
<b>Monthly Average</b>	<b>70,422</b>	<b>70,307</b>	<b>115</b>
<b>Change From FY 2019-2020</b>	<b>(17,374)</b>	<b>(16,527)</b>	<b>(3)</b>
<b>% Change</b>		-23.51%	-2.87%

**Fiscal Year 2021-2022**

	<b>Total</b>	<b>TANF</b>	<b>SBP</b>
July 2021	63,064	62,954	110
August	62,450	62,340	110
September	61,841	61,732	109
October	61,239	61,130	108
November	60,642	60,534	108
December	60,051	59,944	107
January 2022	59,466	59,360	106
February	58,886	58,781	106
March	58,313	58,208	105
April	57,745	57,640	104
May	57,182	57,078	104
June	56,625	56,522	103
<b>Monthly Average</b>	<b>59,792</b>	<b>59,685</b>	<b>107</b>
<b>Change From FY 2020-2021</b>	<b>(10,630)</b>	<b>(10,622)</b>	<b>(8)</b>
<b>% Change</b>		-17.80%	-7.60%

**Cash Grants  
2020-2021 Available**

	<u>Caseload</u>	<u>Avg Pmt</u>	<u>State</u>	<u>Federal</u>	<u>Other</u>	<u>Total</u>
<b><u>OPERATING</u></b>						
EBT Contract			\$2,475	\$3,025	\$0	\$5,500
Bad Debt Expense - Pa. State Collection and Disbursement Unit			\$45	\$0	\$0	\$45
Refugees and Persons Seeking Asylum			\$0	\$203	\$0	\$203
<b>Subtotal Operating</b>			<b>\$2,520</b>	<b>\$3,228</b>	<b>\$0</b>	<b>\$5,748</b>
<b><u>GRANTS &amp; SUBSIDIES</u></b>						
- Regular TANF Payment			\$3,941	\$66,999	\$0	\$70,940
- Work Support Phase Two (State Only)			\$4,300	\$0	\$0	\$4,300
- TANF Payment - (MOE)			\$620	\$0	\$0	\$620
- TANF Diversion			\$0	\$12,924	\$0	\$12,924
- Extended TANF 100% Federal			\$0	\$30,000	\$0	\$30,000
- Transitional Cash Assistance Program (\$100 per month)			\$0	\$3,075	\$0	\$3,075
- Work Expense Reimbursement			\$55	\$868	\$0	\$923
SUBTOTAL TANF Payments	70,307	\$145.53	\$8,916	\$113,866	\$0	\$122,782
Support Services			\$482	\$5,500	\$0	\$5,982
State Blind Pension	115	\$98.60	\$140	\$0	\$0	\$140
Refugee Assistance Claims			\$0	\$245	\$0	\$245
Emergency Shelter			\$850	\$0	\$0	\$850
Medical Assistance Transportation			\$273	\$273	\$0	\$546
Child Support County Payment			\$7,900	\$0	\$0	\$7,900
Child Support Collections			(\$18,000)	\$0	\$0	(\$18,000)
Unreimbursed Assistance Payments (URA-Child Support Collections)			\$75	\$0	\$0	\$75
Support Pass Through			\$8,795	\$0	\$0	\$8,795
Restitution & Reimbursement Collections			(\$850)	(\$1,728)	\$0	(\$2,578)
Operation Warm			\$100	\$0	\$0	\$100
Refugees and Persons Seeking Asylum			\$3	\$14,131	\$0	\$14,134
E&T Special Needs - SNAP Support Services			\$536	\$536	\$0	\$1,072
Work Activities Experience - EARN			\$0	\$5,876	\$0	\$5,876
Rapid Rehousing			\$0	\$90	\$0	\$90
LIHEAP						
- Cash Program (single payment)	312,141	\$344.96	\$0	\$107,675	\$0	\$107,675
- Crisis Program (single payment)	114,117	\$356.04	\$0	\$40,630	\$0	\$40,630
- Heat and Eat Initiative			\$0	\$8,000	\$0	\$8,000
Disability Advocacy Program (DAP)			\$2,000	\$0	\$0	\$2,000
<b>Subtotal Grants &amp; Subsidies</b>			<b>\$11,220</b>	<b>\$295,094</b>	<b>\$0</b>	<b>\$306,314</b>
<b><u>NONEXPENSE</u></b>						
Refugees and Persons Seeking Asylum			\$0	\$424	\$0	\$424
<b>Subtotal Nonexpense</b>			<b>\$0</b>	<b>\$424</b>	<b>\$0</b>	<b>\$424</b>
<b>Subtotal</b>			<b>\$13,740</b>	<b>\$298,746</b>	<b>\$0</b>	<b>\$312,486</b>
<i>Budgetary Reserve/Excess Appropriation Authority</i>			\$0	\$118,096	\$0	\$118,096
<b>Total Requirement</b>			<b>\$13,740</b>	<b>\$416,842</b>	<b>\$0</b>	<b>\$430,582</b>

**Cash Grants**  
**2021-2022 Governor's Executive Budget**

	<u>Caseload</u>	<u>Avg Pmt</u>	<u>State</u>	<u>Federal</u>	<u>Other</u>	<u>Total</u>
<b><u>OPERATING</u></b>						
EBT Contract			\$2,475	\$3,025	\$0	\$5,500
Bad Debt Expense - Pa. State Collection and Disbursement Unit			\$45	\$0	\$0	\$45
Refugees and Persons Seeking Asylum			\$0	\$203	\$0	\$203
<b>Subtotal Operating</b>			<b>\$2,520</b>	<b>\$3,228</b>	<b>\$0</b>	<b>\$5,748</b>
<b><u>GRANTS &amp; SUBSIDIES</u></b>						
- Regular TANF Payment			\$3,941	\$41,373	\$0	\$45,314
- Work Support Phase Two (State Only)			\$4,300	\$0	\$0	\$4,300
- TANF Payment - (MOE)			\$620	\$0	\$0	\$620
- TANF Diversion			\$0	\$15,000	\$0	\$15,000
- Extended TANF 100% Federal			\$0	\$35,000	\$0	\$35,000
- Transitional Cash Assistance Program (\$100 per month)			\$0	\$3,075	\$0	\$3,075
- Work Expense Reimbursement			\$55	\$868	\$0	\$923
SUBTOTAL TANF Payments	59,685	\$145.53	\$8,916	\$95,316	\$0	\$104,232
Support Services			\$482	\$5,500	\$0	\$5,982
State Blind Pension	107	\$98.60	\$127	\$0	\$0	\$127
Refugee Assistance Claims			\$0	\$245	\$0	\$245
Emergency Shelter			\$850	\$0	\$0	\$850
Medical Assistance Transportation			\$273	\$273	\$0	\$546
Child Support County Payment			\$7,900	\$0	\$0	\$7,900
Child Support Collections			(\$17,987)	\$0	\$0	(\$17,987)
Unreimbursed Assistance Payments (URA-Child Support Collections)			\$75	\$0	\$0	\$75
Support Pass Through			\$8,795	\$0	\$0	\$8,795
Restitution & Reimbursement Collections			(\$850)	(\$1,728)	\$0	(\$2,578)
Operation Warm			\$100	\$0	\$0	\$100
Refugees and Persons Seeking Asylum			\$3	\$14,131	\$0	\$14,134
E&T Special Needs - SNAP Support Services			\$536	\$536	\$0	\$1,072
Work Activities Experience - EARN			\$0	\$5,876	\$0	\$5,876
Rapid Rehousing			\$0	\$90	\$0	\$90
LIHEAP						
- Cash Program (single payment)	312,141	\$344.96	\$0	\$107,675	\$0	\$107,675
- Crisis Program (single payment)	114,117	\$356.04	\$0	\$40,630	\$0	\$40,630
- Heat and Eat Initiative			\$0	\$8,000	\$0	\$8,000
Disability Advocacy Program (DAP)			\$2,000	\$0	\$0	\$2,000
<b>Subtotal Grants &amp; Subsidies</b>			<b>\$11,220</b>	<b>\$276,544</b>	<b>\$0</b>	<b>\$287,764</b>
<b><u>NONEXPENSE</u></b>						
Refugees and Persons Seeking Asylum			\$0	\$424	\$0	\$424
<b>Subtotal Nonexpense</b>			<b>\$0</b>	<b>\$424</b>	<b>\$0</b>	<b>\$424</b>
<b>Subtotal</b>			<b>\$13,740</b>	<b>\$280,196</b>	<b>\$0</b>	<b>\$293,936</b>
<i>Budgetary Reserve/Excess Appropriation Authority</i>			\$0	\$136,646	\$0	\$136,646
<b>Total Requirement</b>			<b>\$13,740</b>	<b>\$416,842</b>	<b>\$0</b>	<b>\$430,582</b>

## **CASH GRANTS**

### **PROGRAM STATEMENT**

The Cash Grants appropriation funds direct money payments and supportive services to eligible individuals, enabling them to meet the expenses of everyday living. Cash Grants include Temporary Assistance for Needy Families (TANF) and the State Blind Pension (SBP). The latter program is completely state-funded, while the TANF program is funded by a federal block grant, which the Department of Human Services (Department) supplements with state funds to meet federally mandated maintenance-of-effort requirements.

The following table shows the monthly average number of persons receiving cash grants by program since State Fiscal Year 2018-2019:

	Actual Fiscal Year	Actual Fiscal Year	Estimated Fiscal Year	Estimated Fiscal Year
	2018-2019	2019-2020	2020-2021	2021-2022
SBP	127	118	115	107
TANF	97,310	86,834	70,307	59,685

All TANF recipients meeting specific criteria are required to participate in work activities. A single parent with a child or children age six or over must participate for at least 30 hours per week. TANF single parents with a child or children under age six must participate for at least 20 hours per week. A two-parent TANF household not receiving child care must participate for a combined total of 35 hours per week, and a two-parent TANF household receiving child care must participate for a combined total of 55 hours per week. All TANF adult recipients meeting this criteria are required to participate in one or more of the following work activities: unsubsidized employment; subsidized private sector employment; subsidized public sector employment; work experience; on-the-job training; job search and job readiness assistance; community service programs; vocational education training; job skills training directly related to employment; education directly related to employment in the case of a recipient who has not received a high school diploma or a certificate of high school equivalency; satisfactory attendance at a secondary school or course of study leading to a certificate of general equivalence in the case of a recipient who has not completed secondary school or received such a certificate; or the provision of child care services to an individual who is participating in a community service program.

### **ELECTRONIC BENEFITS TRANSFER**

The Electronic Benefits Transfer (EBT) system is mandated by the Federal Food and Nutrition Service to provide debit card access to all Supplemental Nutrition Assistance Programs (SNAP) and most cash benefits through point-of-sale terminals and automatic teller machines at thousands of locations throughout the Commonwealth. This EBT method of benefit issuance is universally accepted by clients and advocates alike. Services include: a centralized card issuance function augmenting County Assistance Office card production; a call-in Personal Identification Number selection capability; a browser-based system access application for EBT staff, clients, and retailers; and data warehouse functionality. The EBT system has proven to be both an effective and efficient method of delivering benefits while providing improved documentation used for audit controls and fraud detection.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. C1.45, E27.5, E27.28-30

**APPROPRIATION:**  
Supplemental Grants - Aged, Blind and Disabled

**I. SUMMARY FINANCIAL DATA**

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budgeted</u>
State Funds	\$121,363	\$121,804	\$120,032
Federal Funds	\$0	\$0	\$0
Other Funds Total	\$0	\$0	\$0
<b>Total</b>	<b>\$121,363</b>	<b>\$121,804</b>	<b>\$120,032</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	\$0
<b>Total</b>	<b>\$0</b>

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Supplemental Grants - Aged, Blind and Disabled			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$4,197	\$4,203	\$4,207	\$4	0.10%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$4,197</b>	<b>\$4,203</b>	<b>\$4,207</b>	<b>\$4</b>	<b>0.10%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$117,166	\$117,601	\$115,825	(\$1,776)	-1.51%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$117,166</b>	<b>\$117,601</b>	<b>\$115,825</b>	<b>(\$1,776)</b>	<b>-1.51%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$121,363	\$121,804	\$120,032	(\$1,772)	-1.45%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$121,363</b>	<b>\$121,804</b>	<b>\$120,032</b>	<b>(\$1,772)</b>	<b>-1.45%</b>

**APPROPRIATION:**  
Supplemental Grants - Aged, Blind and Disabled

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$1,405	\$923	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	<b>0.00%</b>	<b>0.00%</b>	<b>0.00%</b>

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Budget is based on monthly projections of the number of persons who will receive supplemental grants in Fiscal Year 2021-2022 multiplied by the Commonwealth's Supplemental Cash payment supporting the basic federal monthly grant payment.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**

62 P.S. §§ 201, 206

**Disbursement Criteria:**

Payments to recipients are dispersed by the Department of Human Services for all clients except those in the Domiciliary Care and Personal Care Homes programs. Those payments are made through the Social Security Administration.

**VI. EXPLANATION OF CHANGES**  
 (\$ Amounts in Thousands)

**APPROPRIATION:**  
 Supplemental Grants - Aged, Blind and Disabled

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>OPERATING</b>				
1. Reflects an overall increase in administrative fees for payments issued by the Social Security Administration (SSA) as a part of federal monthly issuance. The actual fees per check are projected to increase from \$12.49 per check to \$12.69 per check, effective October 1, 2021:	<u>\$4</u>	<u>\$0</u>	<u>\$0</u>	<u>\$4</u>
Subtotal Operating	<u>\$4</u>	<u>\$0</u>	<u>\$0</u>	<u>\$4</u>
<b>GRANT &amp; SUBSIDY</b>				
1. Reflects a decrease of 3,319 (from 359,271 to 355,952) in the monthly average number of recipients projected to utilize the program during Fiscal Year 2021-2022:	<u>(\$1,776)</u>	<u>\$0</u>	<u>\$0</u>	<u>(\$1,776)</u>
Subtotal Grant & Subsidy	<u>(\$1,776)</u>	<u>\$0</u>	<u>\$0</u>	<u>(\$1,776)</u>
<b>TOTAL</b>	<u><u>(\$1,772)</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>(\$1,772)</u></u>



**SUPPLEMENTAL GRANTS AGED, BLIND AND DISABLED**  
**2021-2022 Budget Request**  
**(Amounts in Thousands)**

	<b>2019-2020 Recipients</b>		<b>2020-2021 Recipients</b>		<b>2021-2022 Recipients</b>	<b>Change</b>
<b>July</b>	362,807	*	356,113	*	361,929	5,816
<b>August</b>	359,786	*	357,686	*	361,402	3,716
<b>September</b>	362,367	*	359,179	*	359,076	(103)
<b>October</b>	360,757	*	355,133	*	356,752	1,619
<b>November</b>	359,263	*	355,187	*	355,430	243
<b>December</b>	360,646	*	357,366		354,793	(2,573)
<b>January</b>	357,746	*	359,244		354,473	(4,771)
<b>February</b>	359,635	*	360,919		354,153	(6,767)
<b>March</b>	361,728	*	361,794		353,833	(7,961)
<b>April</b>	359,073	*	362,967		353,514	(9,453)
<b>May</b>	358,246	*	362,984		353,194	(9,790)
<b>June</b>	359,246	*	362,682		352,876	(9,806)
<b>Average Monthly</b>	<b>360,108</b>		<b>359,271</b>		<b>355,952</b>	<b>(3,319)</b>

\* Reflects Actuals

**2020-2021 Available**

<b>Mo. Avg. Recipients</b>	<b>Avg. Pay't.</b>	<b>Mo.</b>
359,271	\$27.46	12

\$117,601	Base Program Cost
\$226	Administration Fee \$12.41/check until Oct. 1, 2020
\$688	Estimated Administration Fee \$12.49/check after Oct. 1, 2020
<u>\$3,289</u>	Supplemental Security Income In-House Issuances
\$121,804	Total Program
<u>\$121,804</u>	State Enacted
(\$0)	Change from Enacted

**2021-2022 Budget Request**

<b>Mo. Avg. Recipients</b>	<b>Avg. Pay't.</b>	<b>Mo.</b>
355,952	\$27.46	12

\$115,825	Base Program Cost
\$230	Administration Fee \$12.49/check until Oct. 1, 2021
\$688	Estimated Administration Fee \$12.69/check after Oct. 1, 2021
<u>\$3,289</u>	Supplemental Security Income In-House Issuances
\$120,032	Total Program
<u>\$121,804</u>	FY 2020-2021 Available
(\$1,772)	Change from FY 2020-2021 Available

## SUPPLEMENTAL GRANTS – AGED, BLIND AND DISABLED

### PROGRAM STATEMENT

The Social Security Administration established the Supplemental Security Income (SSI) Program in 1974 to provide support to aged, blind, and disabled persons. The federal government administers the SSI program and provides monthly grants of \$794.00 per individual and \$1,191.00 per couple (effective January 1, 2021) while Pennsylvania augments these grants. The Commonwealth issues monthly supplementary payments (SSP) of \$22.10 per individual and a maximum of \$33.30 (\$16.65 per person) for couples. For an individual living with someone other than a spouse, and who does not pay a fair share of the household expenses such as food or rent, the maximum SSP is \$25.53 for an individual and \$38.44 for a couple in which both are eligible for SSI (\$19.22 per person).

	Actual Fiscal Year 2018-2019	Actual Fiscal Year 2019-2020	Estimated Fiscal Year 2020-2021	Estimated Fiscal Year 2021-2022
SSI	362,612	360,108	359,271	355,952

The Domiciliary Care Program, administered by the Department of Aging, provides an opportunity for SSI recipients age 18 and over who have functional limitations, to live in homes approved by a state-certified placement agency as domiciliary care homes. The primary goal is to provide an appropriate level of care for disabled persons whose needs can be met in the community rather than in nursing homes or other institutional care facilities. The monthly state supplement for this program is \$434.30 for individuals and \$947.40 per couple.

The Personal Care Home Supplemental Program provides monthly grants to help meet the cost of personal care services for functionally disabled SSI recipients in personal care homes. The primary goals are to strengthen both informal and formal community support systems and decrease emphasis on the use of nursing homes and institutional care. The monthly state supplement for this program is \$439.30 for individuals and \$957.40 per couple.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. A1.8, C1.11, C1.45, E27.5, E27.12, E27.18-E27.20,  
E27.22-E27.23, E27.31, E27.34

**APPROPRIATION:**  
Medical Assistance - Capitation

**I. SUMMARY FINANCIAL DATA**

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
State Funds	\$2,507,519	\$3,127,334 <sup>1</sup>	\$3,064,986
Federal Funds Total	\$10,325,867	\$11,741,257	\$12,339,994
Federal Sources Itemized			
Medical Assistance - Capitation	\$9,956,562	\$11,184,762 <sup>2</sup>	\$11,388,240
COVID - Medical Assistance - Capitation (EA)	\$369,305	\$556,495 <sup>3</sup>	\$951,754
Other Funds Total	\$2,238,032	\$2,782,038	\$2,471,195
Other Fund Sources Itemized			
MA - MCO Assessment	\$1,513,122	\$2,010,491	\$1,752,509
Statewide Hospital Assessment	\$724,910	\$771,297	\$717,936
Pittsburgh Ambulance Intergovernmental Transfer	\$0	\$250	\$750
<b>Total</b>	<b>\$15,071,418</b>	<b>\$17,650,629</b>	<b>\$17,876,175</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$533,312
Federal Funds	(\$159,245)
Federal Sources Itemized	
Medical Assistance - Capitation	\$65,644
COVID - Medical Assistance - Capitation (EA)	(\$224,889)
<b>Total</b>	<b>\$374,067</b>

<sup>1</sup> Includes a recommended supplemental appropriation of \$533.312 million. Act 17-A of 2020 provided \$2,594.022 million for this appropriation in Fiscal Year 2020-2021.

<sup>2</sup> Includes a recommended supplemental appropriation of \$65.644 million. Act 17-A of 2020 provided \$11,119.118 million for this appropriation in Fiscal Year 2020-2021.

<sup>3</sup> Includes a recommended executive authorization reduction of \$224.889 million. A total of \$781.384 million was originally included for this appropriation in Fiscal Year 2020-2021.

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Capitation				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$32,887	\$51,909	\$54,371	\$2,462	4.74%
<i>Federal Funds</i>	\$66,047	\$151,590	\$165,013	\$13,423	8.85%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	\$98,934	\$203,499	\$219,384	\$15,885	7.81%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$2,469,814	\$3,075,425	\$3,010,615	(\$64,810)	-2.11%
<i>Federal Funds</i>	\$10,259,820	\$11,930,475	\$12,200,222	\$269,747	2.26%
<i>Other Funds</i>	\$2,238,032	\$2,782,038	\$2,471,195	(\$310,843)	-11.17%
<b>Total Grant &amp; Subsidy</b>	\$14,967,666	\$17,787,938	\$17,682,032	(\$105,906)	-0.60%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$4,818	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$4,818	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	(\$340,808)	(\$25,241)	\$315,567	-92.59%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$0	(\$340,808)	(\$25,241)	\$315,567	-92.59%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$0	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$2,507,519	\$3,127,334	\$3,064,986	(\$62,348)	-1.99%
<i>Federal Funds</i>	\$10,325,867	\$11,741,257	\$12,339,994	\$598,737	5.10%
<i>Other Funds</i>	\$2,238,032	\$2,782,038	\$2,471,195	(\$310,843)	-11.17%
<b>Total Funds</b>	\$15,071,418	\$17,650,629	\$17,876,175	\$225,546	1.28%

<b>APPROPRIATION:</b> Medical Assistance - Capitation
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III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 Funding projections are derived from actuarial forecasting models developed on the basis of persons estimated to be eligible for Medical Assistance by recipient aid category and monthly managed care per capita rates adjusted to reflect inflation and/or technical adjustments to reimbursements. Estimates also include impacts of changes in program policies, cash flow adjustments, and contracts.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the following pages.

**Legislative Citations:**  
 62 P.S. § 443.5; 42 CFR Part 438; 42 U.S.C. § 1396 et seq.

**Disbursement Criteria:**  
 The capitation Managed Care Organization (MCO) must be a Medical Assistance Program contractor; the recipient of the services must be deemed eligible for Medical Assistance benefits, and must be enrolled in the capitation MCO; and the billed monthly premium must be in accordance with the negotiated rate. Payments are disbursed upon successful completion of pre-payment reviews and availability of funding.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Capitation			
	State \$	Federal \$	Other \$	Total \$
<b>OPERATING</b>				
1. Contracted Services				
A. The Governor's Executive Budget includes \$219.384 million (\$54.371 million in state funds) for the continuation of major contracted services for this appropriation:	<u>\$2,462</u>	<u>\$13,423</u>	<u>\$0</u>	<u>\$15,885</u>
Subtotal Operating	\$2,462	\$13,423	\$0	\$15,885
<b>GRANT &amp; SUBSIDY</b>				
1. Physical Health Program				
A. Provides for a projected increase in the average per capita rate from \$490.60 in Fiscal Year 2020-2021 to \$502.45 in Fiscal Year 2021-2022:	\$145,416	\$204,878	\$0	\$350,294
B. Reflects a projected increase in member months from 29.560 million in Fiscal Year 2020-2021 to 30.467 million in Fiscal Year 2021-2022:	\$189,064	\$266,374	\$0	\$455,438
2. Behavioral Health Program				
A. Provides for a projected increase in the average per capita rate from \$135.48 in Fiscal Year 2020-2021 to \$138.19 in Fiscal Year 2021-2022:	\$51,023	\$43,093	\$0	\$94,116
B. Reflects a projected increase in member months from 34.807 million in Fiscal Year 2020-2021 to 35.188 million in Fiscal Year 2021-2022:	\$28,574	\$24,132	\$0	\$52,706
3. Maternity Care				
A. Reflects a projected increase in the average per capita rate from \$8,308.17 in Fiscal Year 2020-2021 to \$8,487.87 in Fiscal Year 2021-2022:	\$1,937	\$7,784	\$0	\$9,721
B. Reflects a decrease in projected utilization from 54,097 in Fiscal Year 2020-2021 to 52,052 in Fiscal Year 2021-2022:	<u>(\$3,459)</u>	<u>(\$13,898)</u>	<u>\$0</u>	<u>(\$17,357)</u>
Subtotal Physical Health, Behavioral Health and Maternity Care Cost and Utilization	\$412,555	\$532,363	\$0	\$944,918

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Capitation			
	State \$	Federal \$	Other \$	Total \$
<b>4. Other Provider Payments</b>				
<b>A. Provides for a contractually required increase in the Physician and Managed Care Organization (MCO) Pay-for-Performance program funding as a result of more providers and MCOs exceeding the Healthcare Effectiveness Data and Information Set (HEDIS) performance measures:</b>	\$16,094	\$24,385	\$0	\$40,479
<b>B. Impact of the suspension of the Health Insurance Provider Fee for Fiscal Year 2021-2022:</b>	(\$56,660)	(\$139,610)	\$0	(\$196,270)
<b>C. Reflects the decrease in Quality Bonus Payments due to the expiration of the Certified Community Behavioral Health Clinics demonstration grant:</b>	(\$233)	(\$892)	\$0	(\$1,125)
<b>D. Provides funding for the Integrated Care Plan Program which provides incentive payments to Behavioral and Physical Health MCOs that meet or exceed HEDIS and select Pennsylvania Performance Measures:</b>	\$434	\$623	\$0	\$1,057
<b>E. Reflects the decrease in high cost risk sharing projections in Fiscal Year 2021-2022:</b>	(\$23,641)	(\$51,160)	\$0	(\$74,801)
<b>F. Provides for the continuation of the behavioral health Community Based Care Management program, which was implemented January 1, 2021:</b>	\$5,222	\$12,254	\$0	\$17,476
<b>G. Provides for Intensive Behavioral Health Rehabilitation Services:</b>	\$17,223	\$22,777	\$0	\$40,000
<b>H. Reflects the expiration of Behavioral Health risk corridor payments in effect through Fiscal Year 2020-2021:</b>	\$0	(\$10,000)	\$0	(\$10,000)
<b>Subtotal Other Provider Payments</b>	<b>(\$41,561)</b>	<b>(\$141,623)</b>	<b>\$0</b>	<b>(\$183,184)</b>

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Capitation			
	State \$	Federal \$	Other \$	Total \$
<b>5. Administrative/Cash Flow Impacts</b>				
A. Impact of a projected increase in the transfer to the Medical Assistance for Workers with Disabilities program:	(\$9,969)	(\$12,717)	\$0	(\$22,686)
B. Provides for projected increase in pharmacy rebates in Fiscal Year 2021-2022 due to increased drug costs and utilization:	(\$108,398)	(\$255,170)	\$0	(\$363,568)
C. Impact of cash flow adjustments relating to the MCO Assessment portion of the delayed capitation payments:	(\$32,938)	(\$42,473)	\$0	(\$75,411)
D. Reflects the incorporation of the Statewide Preferred Drug List into Pharmacy Rebates (5B):	\$71,941	\$172,756	\$0	\$244,697
E. Impact of Fiscal Year 2019-2020 retroactive physical health rate adjustments processed in Fiscal Year 2020-2021:	(\$46,705)	(\$80,869)	\$0	(\$127,574)
F. Impact of Fiscal Year 2019-2020 retroactive behavioral health rate adjustments processed in Fiscal Year 2020-2021:	(\$15,526)	(\$31,042)	\$0	(\$46,568)
G. Net impact of a non-recurring rollforward of expenditures from Fiscal Year 2019-2020 to Fiscal Year 2020-2021:	(\$369,845)	(\$210,405)	\$0	(\$580,250)
H. Impact of additional April, May and June COVID-19 claims for Fiscal year 2021-2022:	(\$182,300)	\$182,300	\$0	\$0
I. Impact of non-recurring rollback of COVID-19 expenditures to Fiscal Year 2019-2020:	\$0	\$173,094	\$0	\$173,094
J. Impact of non-recurring revenue received in Fiscal Year 2020-2021:	\$200,000	\$0	(\$200,000)	\$0
K. Impact of a decrease in MCO Assessment revenue:	\$57,982	\$0	(\$57,982)	\$0
L. Impact of a projected decrease in Statewide Hospital Assessment revenue:	\$53,361	\$0	(\$53,361)	\$0
M. Impact of the annualization of Pittsburgh Ambulance Intergovernmental Transfer revenue:	(\$500)	\$0	\$500	\$0



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Capitation			
	State \$	Federal \$	Other \$	Total \$
N. Impact of the change in the Federal Medical Assistance Percentage (FMAP) (an increase from 52.20 percent to 52.68 percent, effective October 1, 2021). Full-year blended rate increases from 52.2125 percent to 52.5600 percent:	(\$42,088)	\$42,088	\$0	\$0
O. Impact of miscellaneous adjustments:	\$4	(\$72)	\$0	(\$68)
Subtotal Administrative/Cash Flow Impacts	(\$424,981)	(\$62,510)	(\$310,843)	(\$798,334)
<b>BUDGETARY RESERVE</b>				
1. Impact of the federal appropriation authority shortfall in Fiscal Year 2020-2021 and Fiscal Year 2021-2022:	\$0	\$315,567	\$0	\$315,567
<b>FISCAL YEAR 2021-2022 INITIATIVES</b>				
<b>GRANT &amp; SUBSIDY</b>				
1. Minimum Wage Increase				
A. Reflects decreased HealthChoices enrollment related to the change in minimum wage to \$12.00 an hour, effective July 1, 2021:	(\$10,823)	(\$58,483)	\$0	(\$69,306)
Subtotal Fiscal Year 2021-2022 Initiatives	(\$10,823)	(\$58,483)	\$0	(\$69,306)
<b>TOTAL OPERATING</b>	<b>\$2,462</b>	<b>\$13,423</b>	<b>\$0</b>	<b>\$15,885</b>
<b>TOTAL GRANT AND SUBSIDY</b>	<b>(\$64,810)</b>	<b>\$269,747</b>	<b>(\$310,843)</b>	<b>(\$105,906)</b>
<b>TOTAL BUDGETARY RESERVE</b>	<b>\$0</b>	<b>\$315,567</b>	<b>\$0</b>	<b>\$315,567</b>
<b>TOTAL</b>	<b>(\$62,348)</b>	<b>\$598,737</b>	<b>(\$310,843)</b>	<b>\$225,546</b>

**Capitation**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2020-2021**

Month of Payment	Total	Federal	COVID-19	State	Total Enrollment	Average Per Capita
<b><u>PHYSICAL HEALTH</u></b>						
July 2020	\$3,422,047,022	\$2,221,319,607	\$142,995,438	\$1,057,731,977	6,911,558	\$495.12
August	\$1,176,438,654	\$769,762,817	\$48,298,215	\$358,377,622	2,397,868	\$490.62
September	\$1,199,479,557	\$786,914,922	\$48,030,990	\$364,533,645	2,506,150	\$478.61
October	\$1,220,567,511	\$801,882,525	\$49,305,093	\$369,379,893	2,508,814	\$486.51
November	\$1,217,923,427	\$797,040,828	\$49,306,431	\$371,576,168	2,509,818	\$485.26
December	\$1,222,516,336	\$800,396,354	\$49,717,820	\$372,402,162	2,522,430	\$484.66
January 2021	\$1,230,969,247	\$805,654,491	\$50,024,933	\$375,289,823	2,534,704	\$485.65
February	\$1,265,298,105	\$828,003,886	\$51,083,673	\$386,210,546	2,545,047	\$497.16
March	\$1,269,961,731	\$831,538,683	\$51,192,842	\$387,230,206	2,555,745	\$496.90
April	\$1,276,945,240	\$836,078,432	\$51,479,453	\$389,387,355	2,568,126	\$497.23
Total Physical Health	\$14,502,146,830	\$9,478,592,545	\$591,434,888	\$4,432,119,397	29,560,260	\$490.60
<b><u>BEHAVIORAL HEALTH</u></b>						
July 2020	\$1,123,162,439	\$700,461,746	\$51,702,205	\$370,998,488	8,267,516	\$135.85
August	\$384,480,054	\$241,257,389	\$17,456,294	\$125,766,371	2,842,771	\$135.25
September	\$391,650,694	\$249,055,888	\$17,723,519	\$124,871,287	2,950,429	\$132.74
October	\$398,565,329	\$249,472,638	\$17,993,010	\$131,099,681	2,956,892	\$134.79
November	\$396,603,477	\$248,546,078	\$17,854,741	\$130,202,658	2,947,162	\$134.57
December	\$397,594,986	\$249,167,443	\$17,899,378	\$130,528,165	2,954,530	\$134.57
January 2021	\$398,588,973	\$249,791,918	\$17,944,126	\$130,852,929	2,961,916	\$134.57
February	\$407,577,155	\$255,424,726	\$18,348,766	\$133,803,663	2,969,321	\$137.26
March	\$408,392,309	\$255,935,575	\$18,385,464	\$134,071,270	2,975,260	\$137.26
April	\$409,209,094	\$256,447,446	\$18,422,235	\$134,339,413	2,981,210	\$137.26
Total Behavioral Health	\$4,715,824,510	\$2,955,560,847	\$213,729,738	\$1,546,533,925	34,807,007	\$135.48
<b><u>MATERNITY</u></b>						
July 2020	\$28,115,792	\$16,536,443	\$1,743,179	\$9,836,170	3,514	\$8,001.08
August	\$55,772,554	\$32,768,179	\$3,457,898	\$19,546,477	6,474	\$8,614.85
September	\$43,734,323	\$25,941,063	\$2,711,528	\$15,081,732	5,232	\$8,359.01
October	\$35,907,336	\$21,289,460	\$2,226,255	\$12,391,621	4,380	\$8,198.02
November	\$35,907,336	\$21,289,460	\$2,226,255	\$12,391,621	4,380	\$8,198.02
December	\$34,734,706	\$20,594,207	\$2,153,552	\$11,986,947	4,237	\$8,197.95
January 2021	\$35,907,336	\$21,289,460	\$2,226,255	\$12,391,621	4,380	\$8,198.02
February	\$34,734,706	\$20,594,207	\$2,153,552	\$11,986,947	4,237	\$8,197.95
March	\$35,907,336	\$21,289,460	\$2,226,255	\$12,391,621	4,380	\$8,198.02
April	\$37,454,760	\$22,206,927	\$2,322,195	\$12,925,638	4,438	\$8,439.56
May	\$33,815,878	\$20,049,434	\$2,096,584	\$11,669,860	4,007	\$8,439.20
June	\$37,454,760	\$22,206,927	\$2,322,195	\$12,925,638	4,438	\$8,439.56
Total Maternity	\$449,446,823	\$266,055,227	\$27,865,703	\$155,525,893	54,097	\$8,308.17

**Capitation**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2020-2021**

	Total	Federal	COVID-19	State
<b><u>OTHER PROVIDER PAYMENTS</u></b>				
BH Reinvestment Sharing	(\$5,000,000)	(\$2,612,500)	(\$310,000)	(\$2,077,500)
PH MCO Pay-for-Performance Program (P4P)	\$110,488,437	\$71,923,156	\$6,850,283	\$31,714,998
Physician Pay-for-Performance Program (P4P)	\$29,499,893	\$19,280,832	\$1,828,993	\$8,390,068
Health Insurance Provider Fee	\$196,269,133	\$127,936,845	\$11,672,686	\$56,659,602
Hospital Quality Incentive Program	\$80,000,000	\$51,755,824	\$4,960,000	\$23,284,176
CCBHC Quality Bonus Payment	\$1,124,500	\$822,189	\$69,719	\$232,592
Integrated Care Plan Program	\$8,942,804	\$5,731,199	\$554,454	\$2,657,151
IMD Recoupments	(\$804,000)	(\$667,320)	(\$49,848)	(\$86,832)
High Cost Risk Sharing	\$92,800,809	\$57,800,809	\$5,753,650	\$29,246,350
Community Based Care Management (BH)	\$8,915,781	\$5,986,210	\$552,778	\$2,376,793
Medication Adherence	\$15,000,000	\$9,803,989	\$930,000	\$4,266,011
Risk Corridor Payments (BH)	\$10,000,000	\$10,000,000	\$0	\$0
<b>Total Other Provider Payments</b>	<b>\$547,237,357</b>	<b>\$357,761,233</b>	<b>\$32,812,715</b>	<b>\$156,663,409</b>
<b>Total Provider Payments</b>	<b>\$20,214,655,520</b>	<b>\$13,057,969,852</b>	<b>\$865,843,044</b>	<b>\$6,290,842,624</b>
<b><u>ADMINISTRATIVE/CASH FLOW IMPACTS</u></b>				
EA for Workers with Disabilities (4/20-3/21)	(\$365,840,621)	(\$191,046,006)	\$0	(\$174,794,615)
EA for Family Planning Svcs (4/20-3/21)	\$0	\$22,532,322	\$0	(\$22,532,322)
Third Party Liability Recoveries	(\$45,166,613)	(\$23,582,618)	(\$2,258,331)	(\$19,325,664)
Pharmacy Rebates	(\$2,289,672,135)	(\$1,570,528,712)	(\$120,580,871)	(\$598,562,552)
Quarterly Rebate Offset Amount (QROA)	(\$94,628,607)	(\$94,628,607)	\$0	\$0
Transfer to Physician Practice Plans	(\$13,746,871)	(\$7,175,867)	(\$852,305)	(\$5,718,699)
MCO Assessment (PH/BH)	\$45,735,953	\$18,316,949	\$0	\$27,419,004
Unified PDL	(\$244,696,421)	(\$172,755,800)	\$0	(\$71,940,621)
PH Retro Rate Adjustments (1/20-3/20)	\$127,574,121	\$75,510,538	\$5,358,113	\$46,705,470
BH Retro Rate Adjustments (1/20-3/20)	\$46,567,632	\$28,946,290	\$2,095,543	\$15,525,799
Rollforward of FY 2019-2020 Expenditures	\$580,250,094	\$210,405,464	\$0	\$369,844,630
Rollback of COVID-19 Expenditures to FY 2019-2020	(\$173,093,819)	\$0	(\$173,093,819)	\$0
<b>Total Administrative/Cash Flow Impacts</b>	<b>(\$2,426,717,287)</b>	<b>(\$1,704,006,047)</b>	<b>(\$289,331,670)</b>	<b>(\$433,379,570)</b>

**Capitation**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2020-2021**

	Total	Federal	COVID-19	State
<b><u>OPERATING</u></b>				
External Quality Review	\$1,724,275	\$1,043,206	\$0	\$681,069
Enrollment Assistance Program	\$22,265,885	\$11,132,943	\$0	\$11,132,942
Contracted Legal Support	\$600,000	\$0	\$0	\$600,000
Claims Processing and PROMISe contract costs	\$51,112,951	\$41,213,148	\$0	\$9,899,803
Actuarial Contract (PH and BH)	\$13,000,000	\$6,500,000	\$0	\$6,500,000
Technical Assistance Contract (PH)	\$6,228,720	\$3,052,073	\$0	\$3,176,647
Technical Assistance Contract (BH)	\$1,669,800	\$801,504	\$0	\$868,296
TPL Data Exchange	\$3,500,000	\$1,750,000	\$0	\$1,750,000
Clinical Consultants	\$4,080,061	\$2,471,617	\$0	\$1,608,444
MMIS Reprourement	\$92,916,847	\$83,625,162	\$0	\$9,291,685
Revenue Maximization	\$4,950,000	\$0	\$0	\$4,950,000
COE Learning Network	\$824,793	\$0	\$0	\$824,793
Consumer Education	\$500,000	\$0	\$0	\$500,000
Health Care Reform Reporting	\$125,000	\$0	\$0	\$125,000
<b>Total Operating</b>	<b>\$203,498,332</b>	<b>\$151,589,653</b>	<b>\$0</b>	<b>\$51,908,679</b>
Potential Federal Shortfall	(\$340,807,832)	(\$320,791,458)	(\$20,016,374)	\$0
<b>Total Program Cost</b>	<b>\$17,650,628,733</b>	<b>\$11,184,762,000</b>	<b>\$556,495,000</b>	<b>\$5,909,371,733</b>
<b><u>REVENUE</u></b>				
MCO - Assessment	\$2,010,490,805	\$0	\$0	\$2,010,490,805
Statewide Hospital Assessment	\$771,296,928	\$0	\$0	\$771,296,928
Ambulance IGT	\$250,000	\$0	\$0	\$250,000
<b>Total Revenue</b>	<b>\$2,782,037,733</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,782,037,733</b>
<b>Total General Fund Requirement</b>	<b>\$14,868,591,000</b>	<b>\$11,184,762,000</b>	<b>\$556,495,000</b>	<b>\$3,127,334,000</b>
Act 17-A of 2020	\$13,713,140,000	\$11,119,118,000	\$0	\$2,594,022,000
Fiscal Year 2020-21 Appropriation Amount	\$781,384,000	\$0	\$781,384,000	\$0
Surplus/(Deficit)	(\$374,067,000)	(\$65,644,000)	\$224,889,000	(\$533,312,000)

**Capitation**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2021-2022**

Month of Payment	Total	Federal	COVID-19	State	Total Enrollment	Average Per Capita
<b><u>PHYSICAL HEALTH</u></b>						
July 2021	\$3,856,119,594	\$2,523,980,415	\$155,589,900	\$1,176,549,279	7,748,148	\$497.68
August	\$1,293,853,254	\$846,605,913	\$52,249,798	\$394,997,543	2,597,381	\$498.14
September	\$1,298,119,493	\$849,260,926	\$52,444,382	\$396,414,185	2,604,752	\$498.37
October	\$1,302,401,555	\$856,001,535	\$52,639,773	\$393,760,247	2,612,145	\$498.59
November	\$1,306,699,506	\$858,690,436	\$52,835,973	\$395,173,097	2,619,562	\$498.82
December	\$1,283,322,807	\$837,920,532	\$52,785,454	\$392,616,821	2,570,201	\$499.31
January 2022	\$1,259,818,442	\$815,902,714	\$52,922,350	\$390,993,378	2,518,901	\$500.15
February	\$1,231,676,941	\$781,792,146	\$54,368,109	\$395,516,686	2,391,651	\$514.99
March	\$1,235,842,743	\$784,319,053	\$54,571,399	\$396,952,291	2,398,530	\$515.25
April	\$1,240,024,394	\$786,855,139	\$54,775,534	\$398,393,721	2,405,430	\$515.51
Total Physical Health	\$15,307,878,729	\$9,941,328,809	\$635,182,672	\$4,731,367,248	30,466,701	\$502.45
<b><u>BEHAVIORAL HEALTH</u></b>						
July 2021	\$1,232,544,341	\$772,423,813	\$55,488,066	\$404,632,462	8,979,453	\$137.26
August	\$412,492,601	\$258,505,189	\$18,570,055	\$135,417,357	3,005,132	\$137.26
September	\$413,317,586	\$259,022,199	\$18,607,195	\$135,688,192	3,011,142	\$137.26
October	\$414,144,221	\$260,981,594	\$18,644,410	\$134,518,217	3,017,164	\$137.26
November	\$414,972,509	\$261,503,558	\$18,681,699	\$134,787,252	3,023,198	\$137.26
December	\$395,208,669	\$243,872,985	\$18,642,077	\$132,693,607	2,872,255	\$137.60
January 2022	\$392,039,605	\$241,131,660	\$18,611,749	\$132,296,196	2,845,106	\$137.79
February	\$395,184,901	\$241,964,663	\$18,928,115	\$134,292,123	2,806,039	\$140.83
March	\$395,975,271	\$242,448,592	\$18,965,971	\$134,560,708	2,811,651	\$140.83
April	\$396,767,222	\$242,933,490	\$19,003,903	\$134,829,829	2,817,275	\$140.83
Total Behavioral Health	\$4,862,646,926	\$3,024,787,743	\$224,143,240	\$1,613,715,943	35,188,415	\$138.19
<b><u>MATERNITY</u></b>						
July 2021	\$36,251,407	\$21,493,459	\$2,247,587	\$12,510,361	4,295	\$8,440.37
August	\$37,458,547	\$22,209,172	\$2,322,430	\$12,926,945	4,438	\$8,440.41
September	\$36,251,407	\$21,493,459	\$2,247,587	\$12,510,361	4,295	\$8,440.37
October	\$37,458,547	\$22,209,172	\$2,322,430	\$12,926,945	4,438	\$8,440.41
November	\$37,458,547	\$22,209,172	\$2,322,430	\$12,926,945	4,438	\$8,440.41
December	\$36,251,407	\$21,493,459	\$2,247,587	\$12,510,361	4,295	\$8,440.37
January 2022	\$37,458,547	\$22,209,172	\$2,322,430	\$12,926,945	4,438	\$8,440.41
February	\$36,251,407	\$21,493,459	\$2,247,587	\$12,510,361	4,295	\$8,440.37
March	\$37,458,547	\$22,209,172	\$2,322,430	\$12,926,945	4,438	\$8,440.41
April	\$37,726,172	\$22,367,847	\$2,339,023	\$13,019,302	4,369	\$8,634.97
May	\$34,059,769	\$20,194,037	\$2,111,706	\$11,754,026	3,944	\$8,635.84
June	\$37,726,172	\$22,367,847	\$2,339,023	\$13,019,302	4,369	\$8,634.97
Total Maternity	\$441,810,476	\$261,949,427	\$27,392,250	\$152,468,799	52,052	\$8,487.87

**Capitation**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2021-2022**

	Total	Federal	COVID-19	State
<b><u>OTHER PROVIDER PAYMENTS</u></b>				
BH Reinvestment Sharing	(\$5,000,000)	(\$2,634,000)	(\$310,000)	(\$2,056,000)
PH MCO Pay-for-Performance Program (P4P)	\$150,000,000	\$97,413,845	\$6,224,076	\$46,362,079
Physician Pay-for-Performance Program (P4P)	\$30,466,701	\$19,785,856	\$1,264,180	\$9,416,665
Hospital Quality Incentive Program	\$80,000,000	\$51,954,051	\$3,319,507	\$24,726,442
Integrated Care Plan Program	\$10,000,000	\$6,494,256	\$414,938	\$3,090,806
IMD Recoupments	(\$804,000)	(\$667,320)	(\$49,848)	(\$86,832)
High Cost Risk Sharing	\$18,000,000	\$11,689,661	\$746,889	\$5,563,450
Community Based Care Management (BH)	\$26,391,312	\$17,633,102	\$1,216,505	\$7,541,705
IBHS Regulations	\$40,000,000	\$21,072,000	\$1,843,796	\$17,084,204
Medication Adherence	\$15,000,000	\$9,741,384	\$622,408	\$4,636,208
<b>Total Other Provider Payments</b>	<b>\$364,054,013</b>	<b>\$232,482,835</b>	<b>\$15,292,451</b>	<b>\$116,278,727</b>
<b>Total Provider Payments</b>	<b>\$20,976,390,144</b>	<b>\$13,460,548,814</b>	<b>\$902,010,613</b>	<b>\$6,613,830,717</b>
<b><u>ADMINISTRATIVE/CASH FLOW IMPACTS</u></b>				
EA for Workers with Disabilities (4/21-3/22)	(\$388,526,873)	(\$203,762,765)	\$0	(\$184,764,108)
EA for Family Planning Svcs (4/21-3/22)	\$0	\$22,532,322	\$0	(\$22,532,322)
Third Party Liability Recoveries	(\$45,165,388)	(\$23,738,928)	(\$2,800,254)	(\$18,626,206)
Pharmacy Rebates	(\$2,653,239,986)	(\$1,824,689,876)	(\$126,809,045)	(\$701,741,065)
Quarterly Rebate Offset Amount (QROA)	(\$94,628,607)	(\$94,628,607)	\$0	\$0
Transfer to Physician Practice Plans	(\$13,816,233)	(\$7,245,232)	(\$856,606)	(\$5,714,395)
MCO Assessment (PH/BH)	(\$29,675,092)	(\$24,155,985)	\$0	(\$5,519,107)
Additional COVID-19 claim	\$0	\$0	\$182,300,258	(\$182,300,258)
<b>Total Administrative/Cash Flow Impacts</b>	<b>(\$3,225,052,179)</b>	<b>(\$2,155,689,071)</b>	<b>\$51,834,353</b>	<b>(\$1,121,197,461)</b>

**Capitation**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2021-2022**

	Total	Federal	COVID-19	State
<b><u>OPERATING</u></b>				
External Quality Review	\$1,695,006	\$1,021,255	\$0	\$673,751
Enrollment Assistance Program	\$23,104,000	\$11,552,000	\$0	\$11,552,000
Contracted Legal Support	\$600,000	\$0	\$0	\$600,000
Claims Processing and PROMISE contract costs	\$52,685,294	\$42,348,627	\$0	\$10,336,667
Actuarial Contract (PH and BH)	\$13,000,000	\$6,500,000	\$0	\$6,500,000
Technical Assistance Contract (PH)	\$6,228,720	\$3,008,472	\$0	\$3,220,248
Technical Assistance Contract (BH)	\$3,669,800	\$1,761,504	\$0	\$1,908,296
TPL Data Exchange	\$2,800,000	\$1,400,000	\$0	\$1,400,000
Clinical Consultants	\$4,164,413	\$2,516,992	\$0	\$1,647,421
MMIS Reprocurement	\$104,234,445	\$93,811,001	\$0	\$10,423,444
Revenue Maximization	\$3,665,986	\$0	\$0	\$3,665,986
COE Learning Network	\$824,793	\$0	\$0	\$824,793
Consumer Education	\$500,000	\$0	\$0	\$500,000
Health Care Reform Reporting	\$25,000	\$0	\$0	\$25,000
Centralized Credentialing	\$2,186,276	\$1,093,138	\$0	\$1,093,138
<b>Total Operating</b>	<b>\$219,383,733</b>	<b>\$165,012,989</b>	<b>\$0</b>	<b>\$54,370,744</b>
<b><u>FISCAL YEAR 2021-2022 PRRs / INITIATIVES</u></b>				
Minimum Wage Increase	(\$69,306,000)	(\$58,483,000)	\$0	(\$10,823,000)
<b>Total 2021-2022 PRRs/Initiatives</b>	<b>(\$69,306,000)</b>	<b>(\$58,483,000)</b>	<b>\$0</b>	<b>(\$10,823,000)</b>
Potential Federal Shortfall	(\$25,240,698)	(\$23,149,732)	(\$2,090,966)	\$0
<b>Total Program Cost</b>	<b>\$17,876,175,000</b>	<b>\$11,388,240,000</b>	<b>\$951,754,000</b>	<b>\$5,536,181,000</b>
<b><u>REVENUE</u></b>				
MCO - Assessment	\$1,752,509,000	\$0	\$0	\$1,752,509,000
Statewide Hospital Assessment	\$717,936,000	\$0	\$0	\$717,936,000
Ambulance IGT	\$750,000	\$0	\$0	\$750,000
<b>Total Revenue</b>	<b>\$2,471,195,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,471,195,000</b>
<b>Total General Fund Requirement</b>	<b>\$15,404,980,000</b>	<b>\$11,388,240,000</b>	<b>\$951,754,000</b>	<b>\$3,064,986,000</b>

## **MEDICAL ASSISTANCE – CAPITATION**

### **PROGRAM STATEMENT**

HealthChoices is Pennsylvania's comprehensive, mandatory managed care Medical Assistance (MA) program. Managed Care Organizations (MCOs) provide MA benefits and ensure access to necessary health care services and limit waste and abuse through comprehensive management of services to meet the needs of their members. The MCOs provide each recipient with a Primary Care Provider, or "medical home," to promote continuity of medical care and encourage early detection and preventive medicine. HealthChoices serves more than 2.8 million MA consumers across the state.

### **PHYSICAL HEALTH - HEALTHCHOICES**

The Physical Health (PH) managed care program is managed by the Office of Medical Assistance Programs. Enrollment in the PH HealthChoices program is mandatory for most MA recipients. The program is administered through grant agreements with MCOs in five distinct zones:

- The PH HealthChoices Southeast Zone (five counties, implemented 1997).
- The PH HealthChoices Southwest Zone (14 counties, implemented 1999).
- The PH HealthChoices Lehigh/Capital Zone (13 counties, implemented 2001).
- The PH HealthChoices Northwest Zone (13 counties, implemented 2012).
- The PH HealthChoices Northeast Zone (22 counties, implemented 2013).

### **BEHAVIORAL HEALTH - HEALTHCHOICES**

The Behavioral Health (BH) managed care program is currently managed by the Office of Mental Health and Substance Abuse Services. The BH HealthChoices program has been mandatory for most recipients across the state, beginning in the Southeast Zone in 1997, with statewide expansion completed in 2007. The statewide BH HealthChoices program is provided through contracts with counties that use independent BH MCOs or, in some cases, through direct contracts with BH MCOs.

- The BH HealthChoices Southeast Zone (five counties, implemented 1997).
- The BH HealthChoices Southwest Zone (10 counties, implemented 1999).
- The BH HealthChoices Lehigh/Capital Zone (10 counties, implemented 2001).
- The BH HealthChoices Northeast Zone (four counties, implemented 2006).
- The BH HealthChoices North/Central State Option Zone (23 counties, implemented 2007).
- The BH HealthChoices North/Central County Option Zone (15 counties, implemented 2007).

### **STATE FISCAL YEAR 2021-2022 INITIATIVE – MINIMUM WAGE INCREASE**

The State Fiscal Year 2021-2022 Minimum Wage Increase initiative will increase the minimum wage for all Pennsylvania workers to \$12.00 per hour, effective July 1, 2021. Workers earning the minimum must have the ability to afford basic, family-sustaining necessities that help avoid poverty, be productive workers, and reduce the use of assistance programs. Increasing the minimum wage will allow the Department's providers to recruit and retain child care, child welfare caseworkers and direct care workers that are essential to the provision of services in the Department's programs. This initiative is expected to decrease HealthChoices enrollment as more adults will be able to transition off Medical Assistance as their income increases. Additionally, children will transition to CHIP coverage as their family income increases.



**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. C1.11, C1.45, E27.5, E27.12, E27.18, E27.19,  
E27.21, E27.22, E27.23

**APPROPRIATION:**  
Medical Assistance - Fee-for-Service

I. SUMMARY FINANCIAL DATA	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
<b>State Funds</b>	\$344,107	\$798,621 <sup>1</sup>	\$456,575
<b>Federal Funds Total</b>	\$2,085,681	\$2,008,458	\$1,769,650
<b>Federal Sources Itemized</b>			
<i>Medical Assistance - Fee-for-Service</i>	\$1,929,453	\$1,828,546 <sup>2</sup>	\$1,646,285
<i>COVID - Medical Assistance - Fee-for-Service (EA)</i>	\$111,228	\$134,902 <sup>3</sup>	\$113,365
<i>ARRA - MA- Health Information Technology</i>	\$45,000	\$45,000	\$10,000
<i>Diabetes Prevention Program (EA)</i>	\$0	\$10	\$0
<b>Other Funds Total</b>	\$292,226	\$348,849	\$293,776
<b>Other Sources Itemized</b>			
<i>Hospital Assessment</i>	\$177,295	\$178,327	\$178,327
<i>Statewide Hospital Assessment</i>	\$103,369	\$152,975	\$115,449
<i>FQHC Alternate Payment Methodology IGT</i>	\$11,562	\$17,547	\$0
<b>Total</b>	\$2,722,014	\$3,155,928	\$2,520,001
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>			
<b>State Funds</b>		\$328,797	
<b>Federal Funds</b>		\$28,080	
<b>Federal Sources Itemized</b>			
<i>Medical Assistance - Fee-for-Service</i>		\$54,244	
<i>COVID - Medical Assistance - Fee-for-Service (EA)</i>		(\$26,164)	
<b>Total</b>		\$356,877	
<sup>1</sup> Includes a recommended supplemental appropriation of \$328.797 million. Act 17-A of 2020 provided \$469.824 million for this appropriation in Fiscal Year 2020-2021.			
<sup>2</sup> Includes a recommended supplemental appropriation of \$54.244 million. Act 17-A of 2020 provided \$1,774.302 million for this appropriation in Fiscal Year 2020-2021.			
<sup>3</sup> Includes a recommended executive authorization reduction of \$26.164 million. A total of \$161.066 million was originally included for this appropriation in Fiscal Year 2020-2021.			

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Medical Assistance - Fee-for-Service			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$19,190	\$24,613	\$22,832	(\$1,781)	-7.24%
Federal Funds	\$29,209	\$62,270	\$68,997	\$6,727	10.80%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$48,399</b>	<b>\$86,883</b>	<b>\$91,829</b>	<b>\$4,946</b>	<b>5.69%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$324,900	\$774,008	\$433,743	(\$340,265)	-43.96%
Federal Funds	\$1,985,016	\$1,784,009	\$1,533,593	(\$250,416)	-14.04%
Other Funds	\$292,226	\$348,849	\$293,776	(\$55,073)	-15.79%
<b>Total Grant &amp; Subsidy</b>	<b>\$2,602,142</b>	<b>\$2,906,866</b>	<b>\$2,261,112</b>	<b>(\$645,754)</b>	<b>-22.21%</b>
<b>NONEXPENSE</b>					
State Funds	\$17	\$0	\$0	\$0	0.00%
Federal Funds	\$71,456	\$158,841	\$167,060	\$8,219	5.17%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$71,473</b>	<b>\$158,841</b>	<b>\$167,060</b>	<b>\$8,219</b>	<b>5.17%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$3,338	\$0	(\$3,338)	-100.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$3,338</b>	<b>\$0</b>	<b>(\$3,338)</b>	<b>-100.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$344,107	\$798,621	\$456,575	(\$342,046)	-42.83%
Federal Funds	\$2,085,681	\$2,008,458	\$1,769,650	(\$238,808)	-11.89%
Other Funds	\$292,226	\$348,849	\$293,776	(\$55,073)	-15.79%
<b>Total Funds</b>	<b>\$2,722,014</b>	<b>\$3,155,928</b>	<b>\$2,520,001</b>	<b>(\$635,927)</b>	<b>-20.15%</b>

**APPROPRIATION:**  
Medical Assistance - Fee-for-Service

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$299	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

Funding projections are derived from actuarial forecasting models developed on the basis of persons estimated to be eligible for Medical Assistance by recipient aid category, incidence of service utilization and cost per service by recipient aid category, and adjustments to service unit costs to reflect inflation and/or technical adjustments to reimbursements. Estimates also include impacts of changes in program policies, cash flow adjustments, estimates of refunds, and contracts.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the following pages.

**Legislative Citations:**

62 P.S. § 443.1 (1), (1.1), and (1.4); 42 U.S.C. § 1396 et seq.

**Disbursement Criteria:**

The provider of service must be enrolled in the Medical Assistance program; the recipient of service must be deemed eligible for Medical Assistance benefits; and the service provided must be a covered Medical Assistance benefit. Reimbursement of covered services must be in accordance with promulgated fee schedules and rates of reimbursement. Payments are disbursed upon successful completion of prepayment screens and edits, and availability of funding.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Fee-for-Service			
	State \$	Federal \$	Other \$	Total \$
<b>OPERATING</b>				
1. Contracted Services				
A. The Governor's Executive Budget includes \$91.829 million (\$22.832 million in state funds) for the continuation of the major contracts for this appropriation:	<u>(\$1,781)</u>	<u>\$6,727</u>	<u>\$0</u>	<u>\$4,946</u>
Subtotal Operating	(\$1,781)	\$6,727	\$0	\$4,946
<b>GRANT &amp; SUBSIDY</b>				
1. Unit Cost				
A. Provides for a projected increase of 16.41 percent in the average cost per claim for prescription drugs:	\$6,620	\$8,267	\$0	\$14,887
2. Utilization/Caseload				
A. Impact of changes in utilization and eligibility resulting from an anticipated increase in Medical Assistance (MA) eligibility:	\$24,534	\$63,363	\$0	\$87,897
3. Other PROMISe Program Expenditures				
A. Impact of non-recurring Fiscal Year 2019-2020 payments made in Fiscal Year 2020-2021:	(\$4,923)	(\$13,077)	\$0	(\$18,000)
B. Impact of non-recurring Fiscal Year 2020-2021 payments:	(\$18,300)	(\$10,504)	\$0	(\$28,804)
C. Impact of non-recurring Fiscal Year 2020-2021 academic medical center payments:	(\$106,098)	(\$115,864)	\$0	(\$221,962)
D. Impact of a subgrant from DOH for the Diabetes Prevention Program in Fiscal Year 2020-2021:	\$0	(\$10)	\$0	(\$10)
E. Impact of the expiration of the American Recovery and Reinvestment Act - Health Information Technology funding on December 31, 2021:	<u>\$0</u>	<u>(\$35,000)</u>	<u>\$0</u>	<u>(\$35,000)</u>
Subtotal Other PROMISe Program Expenditures	(\$129,321)	(\$174,455)	\$0	(\$303,776)
4. Non-PROMISe Program Expenditures				
A. Provides for a projected net increase in monthly Medicare Part A premium payments. The monthly rate is estimated to increase from an average rate of \$445.62 to an average rate of \$456.00; the number of average monthly premiums is expected to increase from 25,368 to 25,905:	\$2,914	\$3,184	\$0	\$6,098

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Fee-for-Service			
	State \$	Federal \$	Other \$	Total \$
B. Provides for a projected increase in monthly Medicare Part B premium payments. The monthly rate is estimated to decrease from an average rate of \$148.67 to an average rate of \$148.44; the number of average monthly premiums is expected to increase from 362,666 to 372,819:	\$8,157	\$8,912	\$0	\$17,069
C. Impact of the increase in the premium for the Medicare Part B payments for Qualifying Individuals who apply for MA under the Healthy Horizons Categorically Needy eligibility requirements:	\$0	\$2,040	\$0	\$2,040
D. Impact of the change in the Federal Medical Assistance Percentage (FMAP) (an increase from 52.20 percent to 52.68 percent, effective October 1, 2021). Full-year blended rate increases from 52.2125 percent to 52.5600 percent:	(\$7,302)	\$7,302	\$0	\$0
E. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	\$21,537	(\$21,537)	\$0	\$0
F. Administrative Cash/Flow Impacts				
1) Impact of the projected increase in the transfer of expenditures to the MA for Workers with Disabilities program:	(\$665)	(\$962)	\$0	(\$1,627)
2) Impact of an estimated increase in Health Insurance Premium Payments (HIPP):	\$67	\$96	\$0	\$163
4) Impact of the re-implementation of prudent pay in Fiscal Year 2020-2021:	\$10,000	\$24,412	\$0	\$34,412
5) Impact of a retroactive enhanced FMAP adjustment in Fiscal Year 2020-2021:	\$17,732	(\$17,732)	\$0	\$0
6) Reflects the non-recurring rollforward of costs from Fiscal Year 2019-2020 to Fiscal Year 2020-2021:	(\$271,593)	\$0	\$0	(\$271,593)
7) Net impact of a change in Statewide Hospital Assessment payments and revenues:	(\$3,240)	(\$106,778)	(\$37,526)	(\$147,544)
8) Impact of the non-recurring Federally Qualified Healthcare Center (FQHC) Alternate Payment Methodology Intergovernmental Transfer:	\$0	(\$21,687)	(\$17,547)	(\$39,234)
9) Impact of miscellaneous adjustments:	\$770	(\$119)	\$0	\$651
Subtotal Administrative Cash/Flow Impacts	(\$246,929)	(\$122,770)	(\$55,073)	(\$424,772)

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Fee-for-Service			
	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
G. Impact of the anticipated increase in third party liability recoveries:	(\$7,773)	(\$8,492)	\$0	(\$16,265)
H. Impact of the anticipated increase in pharmaceutical rebates:	<u>(\$12,702)</u>	<u>(\$16,230)</u>	<u>\$0</u>	<u>(\$28,932)</u>
Subtotal Non-PROMISE Program Expenditures	(\$242,098)	(\$147,591)	(\$55,073)	(\$444,762)
<b>NONEXPENSE</b>				
1. Provides for an increase in the claims related to the Memorandum of Understanding with the Department of Education for reimbursement of Title XIX claims for School Based Health Services for MA eligible children:	<u>\$0</u>	<u>\$8,219</u>	<u>\$0</u>	<u>\$8,219</u>
<b>BUDGETARY RESERVE</b>				
1. Impact of excess federal appropriation authority in Fiscal Year 2021-2022:	<u>\$0</u>	<u>(\$3,338)</u>	<u>\$0</u>	<u>(\$3,338)</u>
<b>TOTAL OPERATING</b>	(\$1,781)	\$6,727	\$0	\$4,946
<b>TOTAL GRANT &amp; SUBSIDY</b>	(\$340,265)	(\$250,416)	(\$55,073)	(\$645,754)
<b>TOTAL NONEXPENSE</b>	\$0	\$8,219	\$0	\$8,219
<b>TOTAL BUDGETARY RESERVE</b>	<u>\$0</u>	<u>(\$3,338)</u>	<u>\$0</u>	<u>(\$3,338)</u>
<b>TOTAL</b>	<u><u>(\$342,046)</u></u>	<u><u>(\$238,808)</u></u>	<u><u>(\$55,073)</u></u>	<u><u>(\$635,927)</u></u>

**Medical Assistance - Fee-for-Service**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2020-2021**

<b>PROVIDER TYPE</b>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>	<b>Claims</b>	<b>Cost Per Claim</b>
<b><u>INPATIENT PROVIDERS</u></b>						
Acute Care Hospital	\$504,146,121	\$351,941,043	\$0	\$152,205,078	66,496	\$7,581.61
Private Psychiatric Hospital	\$14,837,779	\$1,331,451	\$0	\$13,506,328	3,479	\$4,264.48
Inpatient Facility; Medical Rehab Hospital	\$4,921,475	\$3,773,354	\$0	\$1,148,121	561	\$8,770.77
Residential Treatment Facility (JCAHO Certified)	\$1,468,991	\$785,635	\$0	\$683,356	352	\$4,175.67
Inpatient Medical Rehab Unit	\$9,258,763	\$7,090,676	\$0	\$2,168,087	642	\$14,429.87
Inpatient Drug & Alcohol Hospital	\$179,458	\$152,353	\$0	\$27,105	91	\$1,981.42
Private Psychiatric Unit	\$12,918,857	\$10,358,266	\$0	\$2,560,591	3,571	\$3,618.09
Drug & Alcohol Rehab Unit	\$174,449	\$152,860	\$0	\$21,589	61	\$2,863.77
<b>Subtotal Inpatient Providers</b>	<b>\$547,905,893</b>	<b>\$375,585,638</b>	<b>\$0</b>	<b>\$172,320,255</b>	<b>75,252</b>	<b>\$7,280.95</b>
<b><u>OUTPATIENT PROVIDERS</u></b>						
Prescription Drugs	\$66,310,724	\$37,289,311	\$0	\$29,021,413	1,017,372	\$65.18
Public Schools	\$158,840,709	\$158,840,709	\$0	\$0	2,176,444	\$72.98
Inpatient Facility	\$40,074,453	\$27,709,763	\$0	\$12,364,690	1,409,605	\$28.43
Ambulatory Surgical Center	\$1,208,499	\$641,603	\$0	\$566,896	11,043	\$109.44
Home Health	\$11,324,424	\$6,162,847	\$0	\$5,161,577	30,512	\$371.15
Hospice	\$2,976,211	\$1,669,034	\$0	\$1,307,177	9,013	\$330.21
Clinic	\$19,168,236	\$11,249,789	\$0	\$7,918,447	197,971	\$96.82
Mental Health/Substance Abuse	\$1,282,407	\$703,771	\$0	\$578,636	23,841	\$53.79
Pharmacy Non-Drug	\$6,120,083	\$3,232,900	\$0	\$2,887,183	139,871	\$43.76
DME/Medical Supplies	\$16,516,175	\$8,750,730	\$0	\$7,765,445	489,141	\$33.77
Transportation	\$8,403,708	\$6,098,090	\$0	\$2,305,618	34,058	\$246.75
Dentist	\$12,466,694	\$6,694,966	\$0	\$5,771,728	207,244	\$60.15
Laboratory	\$3,623,864	\$2,411,511	\$0	\$1,212,353	165,297	\$21.92
Renal Dialysis Center	\$5,848,779	\$3,917,143	\$0	\$1,931,636	224,113	\$26.10
Physician	\$53,359,788	\$34,687,756	\$0	\$18,672,032	2,002,384	\$26.65
Medically Fragile Foster Care	\$3,452,235	\$1,802,507	\$0	\$1,649,728	26,372	\$130.91
Miscellaneous Providers	\$4,585,365	\$2,412,191	\$0	\$2,173,174	367,759	\$12.47
<b>Subtotal Outpatient Providers</b>	<b>\$415,562,354</b>	<b>\$314,274,621</b>	<b>\$0</b>	<b>\$101,287,733</b>	<b>8,532,040</b>	<b>\$48.71</b>
<b>Total Fee-For-Service Providers</b>	<b>\$963,468,247</b>	<b>\$689,860,259</b>	<b>\$0</b>	<b>\$273,607,988</b>		

**Medical Assistance - Fee-for-Service**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2020-2021**

<b><u>OTHER PROVIDER PAYMENTS</u></b>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>
HCPCs Coding Changes	\$1,937,401	\$1,011,565	\$0	\$925,836
Community HealthChoices Shift	(\$153,338,091)	(\$80,061,651)	\$0	(\$73,276,440)
FQHC Wraparound/Cost Settlements	\$19,846,045	\$10,362,116	\$0	\$9,483,929
Disproportionate Share Payments (Philadelphia)	\$128,787,373	\$67,275,304	\$0	\$61,512,069
Supplemental ER Access Payment	\$18,051,386	\$13,345,390	\$0	\$4,705,996
New Supplemental ER Access Payment	\$90,405,486	\$65,426,450	\$0	\$24,979,036
Disproportionate Share Payments (Statewide)	\$73,994,308	\$38,634,278	\$0	\$35,360,030
Inpatient DSH Adjustment	\$6,088,402	\$3,178,146	\$0	\$2,910,256
Enhanced DSH Payment	\$25,341,020	\$13,228,012	\$0	\$12,113,008
Sole and Community Hospital DSH	\$57,710,457	\$30,124,859	\$0	\$27,585,598
Outpatient Supplemental (Statewide)	\$57,748,821	\$42,693,703	\$0	\$15,055,118
Med Ed/Passthroughs	\$82,885,935	\$43,276,819	\$0	\$39,609,116
Medical Education Payment	\$35,012,098	\$18,276,315	\$0	\$16,735,783
Rehabilitation Adjustment	\$19,078,914	\$13,807,410	\$0	\$5,271,504
MA Dependency Payment	\$36,543,930	\$26,446,842	\$0	\$10,097,088
Medicaid Stability Payment	\$147,544,212	\$106,777,746	\$0	\$40,766,466
High Medical Assistance GME Payment	\$22,957,750	\$11,983,946	\$0	\$10,973,805
Community Access Fund (CAF) Payments	\$32,868,222	\$17,114,571	\$0	\$15,753,651
Graduate Medical Education to Train Psychiatrists	\$500,000	\$261,000	\$0	\$239,000
MA Reliant	\$900,000	\$651,330	\$0	\$248,670
UPMC Altoona (former CAF)	\$729,289	\$380,689	\$0	\$348,600
Temple Access to Care Payment	\$149,135,983	\$77,848,983	\$0	\$71,287,000
Mercy Catholic Access to Care Payment	\$1,657,646	\$865,291	\$0	\$792,355
Lancaster Cleft Palate	\$689,680	\$360,013	\$0	\$329,667
Wills Eye	\$3,682,041	\$2,664,693	\$0	\$1,017,348
Nazareth	\$836,820	\$436,820	\$0	\$400,000
Crozer Chester Medical Center (former CAF)	\$14,621,159	\$7,632,245	\$0	\$6,988,914
Temple - Jeanes	\$4,184,100	\$2,184,100	\$0	\$2,000,000
St. Luke's	\$250,000	\$0	\$0	\$250,000
Childrens' Institute of Pittsburgh	\$1,850,000	\$0	\$0	\$1,850,000
Good Samaritan	\$327,615	\$327,615	\$0	\$0
UPMC Presbyterian-Shadyside	\$88,123,431	\$46,000,431	\$0	\$42,123,000
Wistar Institute	\$4,000,000	\$0	\$0	\$4,000,000
McGowan Institute (University of Pittsburgh)	\$4,000,000	\$0	\$0	\$4,000,000
Reading Hospital (FY 19-20)	\$18,000,000	\$13,077,000	\$0	\$4,923,000
Health Enterprise Zone (HEZ)	\$14,109,206	\$7,366,769	\$0	\$6,742,437
FQHC Alternate Payment Methodology	\$39,234,085	\$21,687,103	\$0	\$17,546,982
Diabetes Prevention Program	\$10,000	\$10,000	\$0	\$0
HIT - Provider and Hospital Incentives	\$45,000,000	\$45,000,000	\$0	\$0
<b>Total Other Provider Payments</b>	<b>\$1,095,304,724</b>	<b>\$669,655,903</b>	<b>\$0</b>	<b>\$425,648,822</b>



**Medical Assistance - Fee-for-Service**  
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<u>ADMINISTRATIVE/CASH FLOW IMPACTS</u>	Total	Federal	COVID-19	State	Avg Monthly Eligibles	Avg \$ Per Eligible Per Month
Medicare Part A Premium Payments	\$135,651,266	\$70,826,917	\$0	\$64,824,349	25,368	\$445.62
Medicare Part B Buy-In	\$647,024,577	\$337,827,707	\$0	\$309,196,870	362,666	\$148.67
Medicare Part B Buy-In - Special MA Eligibility Provisions	\$54,212,463	\$54,212,463	\$0	\$0		
HIPP Premium Payments	\$45,391,906	\$26,781,225	\$0	\$18,610,681		
Expenditures Transferred to MA for Workers with Disabilities (4/20-3/21)	(\$13,379,487)	(\$6,908,029)	\$0	(\$6,471,458)		
Claim of Federal Funds for recipients in IMDs	\$0	\$6,521,680	\$0	(\$6,521,680)		
Project Access - Department of Education Administrative MOU			\$0			
- Subgrant reimbursement for LEA's of costs	\$15,000,000	\$15,000,000	\$0	\$0		
- Medical Assistance Expenditure Adjustment (Project Access/PDE)	\$0	\$14,430,729	\$0	(\$14,430,729)		
Select Plan for Women Family Planning Council Grants	\$2,525,000	\$0	\$0	\$2,525,000		
Act 152/D & A Treatment Services (Grant & Subsidies Item)	\$13,254,000	\$0	\$0	\$13,254,000		
Act 22 Inmate Reimbursement (Corrections MOU)	(\$11,497,365)	\$0	\$0	(\$11,497,365)		
Health Information Technology (HIT) Grant Payments	\$1,025,902	\$0	\$0	\$1,025,902		
Prudent Pay Adjustment	(\$34,411,562)	(\$24,411,562)	\$0	(\$10,000,000)		
Retroactive Enhanced FMAP Adjustment (Jan 2018-Mar 2018)	\$0	\$17,732,294	\$0	(\$17,732,294)		
Rollforward of Fiscal Year 2019-2020 Expenditures	\$271,592,739	\$0	\$0	\$271,592,739		
COVID-19 Expenditure Adjustment July 2020-June 2021	\$0	\$0	\$134,902,000	(\$134,902,000)		
<b>Total Administrative Cash/Flow Impacts</b>	<b>\$1,126,389,439</b>	<b>\$512,013,423</b>	<b>\$134,902,000</b>	<b>\$479,474,015</b>		
 <u>OPERATING</u>						
School-Based Access Program Operational Costs	\$2,223,430	\$2,223,430	\$0	\$0		
Claims Processing and PROMISe Contract Costs	\$13,757,626	\$11,139,849	\$0	\$2,617,777		
MMIS Procurement	\$31,504,266	\$28,353,839	\$0	\$3,150,427		
TruCare License Fees	\$900,000	\$675,000	\$0	\$225,000		
Technical Assistance Contract	\$1,567,840	\$783,920	\$0	\$783,920		
Clinical Consultant	\$17,179,064	\$11,909,054	\$0	\$5,270,010		
Health Information Technology (State Funds Only - Subfund)	\$298,839	\$0	\$0	\$298,839		
InterQual Criteria	\$553,000	\$276,500	\$0	\$276,500		
Legal Support/Rate Setting	\$324,450	\$162,225	\$0	\$162,225		
Medical Review Team/SSI/Disability Advocacy Program (DAP)	\$3,420,000	\$1,710,000	\$0	\$1,710,000		
Revenue Maximization	\$6,800,000	\$0	\$0	\$6,800,000		
Settlement Agreement - University of Pennsylvania	\$14,790	\$7,395	\$0	\$7,395		
Medicare Eligibility Identification	\$400,000	\$200,000	\$0	\$200,000		
Preferred Drug List	\$3,715,394	\$2,786,546	\$0	\$928,849		
TPL Data Exchange	\$1,175,000	\$587,500	\$0	\$587,500		
Health Policy Research Grants	\$2,000,000	\$1,000,000	\$0	\$1,000,000		
Enrollment Revalidation Support	\$51,400	\$25,700	\$0	\$25,700		
DSH/FQHC Audits and Litigation	\$685,000	\$342,500	\$0	\$342,500		
Medicaid RMTS	\$100,000	\$50,000	\$0	\$50,000		

**Medical Assistance - Fee-for-Service**  
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<b><u>OPERATING</u></b>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>
OMAP Technology Assessment	\$70,000	\$35,000	\$0	\$35,000
Hospital Cost Settlement Audit Review	\$140,000	\$0	\$0	\$140,000
Fingerprinting	\$2,385	\$1,193	\$0	\$1,193
<b>Total Operating</b>	<b>\$86,882,484</b>	<b>\$62,269,650</b>	<b>\$0</b>	<b>\$24,612,834</b>
<b><u>MANDATED FEDERAL/OTHER REQUIREMENTS</u></b>				
Third Party Liability Recoveries	(\$73,174,766)	(\$38,206,375)	\$0	(\$34,968,391)
Provider/Medical Support Recoveries	(\$6,687,353)	(\$3,491,634)	\$0	(\$3,195,719)
Refunds	(\$8,490,593)	(\$4,433,151)	\$0	(\$4,057,442)
Pharmaceutical Company Rebates	(\$31,102,504)	(\$17,450,112)	\$0	(\$13,652,392)
<b>Total Mandated Federal/Other Requirements</b>	<b>(\$119,455,216)</b>	<b>(\$63,581,272)</b>	<b>\$0</b>	<b>(\$55,873,944)</b>
<b>Uncommitted</b>	<b>\$3,338,037</b>	<b>\$3,338,037</b>	<b>\$0</b>	<b>\$0</b>
<b>Total Program Cost</b>	<b>\$3,155,927,715</b>	<b>\$1,873,556,000</b>	<b>\$134,902,000</b>	<b>\$1,147,469,715</b>
<b><u>REVENUE</u></b>				
Statewide Hospital Assessment	\$970,394,641	\$0	\$0	\$970,394,641
Transfer Statewide Hospital Assessment to Capitation	(\$771,296,928)	\$0	\$0	(\$771,296,928)
Transfer Statewide Hospital Assessment to Community HealthChoices	(\$39,922,916)	\$0	\$0	(\$39,922,916)
Transfer Statewide Hospital Assessment to OB/NICU	(\$3,000,000)	\$0	\$0	(\$3,000,000)
Transfer Statewide Hospital Assessment to Critical Access Hospitals	(\$3,200,000)	\$0	\$0	(\$3,200,000)
Subtotal Statewide Hospital Assessment	\$152,974,797	\$0	\$0	\$152,974,797
Hospital Assessment (Philadelphia)	\$178,326,936	\$0	\$0	\$178,326,936
FQHC Alternate Payment Methodology Intergovernmental Transfer	\$17,546,982	\$0	\$0	\$17,546,982
<b>Total Revenue</b>	<b>\$348,848,715</b>	<b>\$0</b>	<b>\$0</b>	<b>\$348,848,715</b>
<b>Total FY 2020-2021 Program Requirement</b>	<b>\$2,807,079,000</b>	<b>\$1,873,556,000</b>	<b>\$134,902,000</b>	<b>\$798,621,000</b>
Medical Assistance Federal		\$1,828,546,000		
Act 17-A of 2020		\$1,774,302,000		\$469,824,000
Fiscal Year 2020-21 Appropriation Amount			\$161,066,000	
Surplus/(Deficit)		(\$54,244,000)	\$26,164,000	(\$328,797,000)
ARRA HIT Federal		\$45,000,000		
Act 17-A of 2020		\$45,000,000		
Surplus/(Deficit)		\$0		
Diabetes Prevention Program Federal		\$10,000		
Fiscal Year 2020-21 Appropriation Amount		\$10,000		
Surplus/(Deficit)		\$0		

**Medical Assistance - Fee-for-Service**  
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<b>PROVIDER TYPE</b>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>	<b>Claims</b>	<b>Cost Per Claim</b>
<b><u>INPATIENT PROVIDERS</u></b>						
Acute Care Hospital	\$588,674,960	\$411,121,732	\$0	\$177,553,228	81,999	\$7,179.03
Private Psychiatric Hospital	\$19,076,546	\$1,589,967	\$0	\$17,486,579	4,418	\$4,318.14
Inpatient Facility; Medical Rehab Hospital	\$6,090,344	\$4,618,372	\$0	\$1,471,972	677	\$8,994.80
Residential Treatment Facility (JCAHO Certified)	\$1,574,389	\$827,534	\$0	\$746,855	422	\$3,733.17
Inpatient Medical Rehab Unit	\$11,037,723	\$8,514,856	\$0	\$2,522,867	759	\$14,547.72
Inpatient Drug & Alcohol Hospital	\$260,856	\$221,471	\$0	\$39,385	124	\$2,099.19
Private Psychiatric Unit	\$15,848,093	\$12,667,899	\$0	\$3,180,194	4,428	\$3,579.40
Drug & Alcohol Rehab Unit	\$242,398	\$212,633	\$0	\$29,765	84	\$2,902.10
<b>Subtotal Inpatient Providers</b>	<b>\$642,805,309</b>	<b>\$439,774,464</b>	<b>\$0</b>	<b>\$203,030,845</b>	<b>92,910</b>	<b>\$6,918.59</b>
<b><u>OUTPATIENT PROVIDERS</u></b>						
Prescription Drugs	\$81,196,830	\$45,844,381	\$0	\$35,352,449	1,184,290	\$68.56
Public Schools	\$167,060,180	\$167,060,180	\$0	\$0	2,272,208	\$73.52
Inpatient Facility	\$50,142,719	\$34,584,147	\$0	\$15,558,572	1,796,558	\$27.91
Ambulatory Surgical Center	\$1,543,318	\$851,515	\$0	\$691,803	14,920	\$103.44
Home Health	\$12,245,857	\$6,684,439	\$0	\$5,561,418	34,064	\$359.50
Hospice	\$3,671,496	\$2,135,985	\$0	\$1,535,511	10,623	\$345.62
Clinic	\$23,352,371	\$13,778,708	\$0	\$9,573,663	252,863	\$92.35
Mental Health/Substance Abuse	\$1,562,865	\$849,968	\$0	\$712,897	28,902	\$54.07
Pharmacy Non-Drug	\$6,554,283	\$3,489,352	\$0	\$3,064,931	150,754	\$43.48
DME/Medical Supplies	\$20,417,114	\$10,921,530	\$0	\$9,495,584	617,118	\$33.08
Transportation	\$10,407,731	\$7,485,171	\$0	\$2,922,560	43,130	\$241.31
Dentist	\$15,834,557	\$8,562,904	\$0	\$7,271,653	266,698	\$59.37
Laboratory	\$4,277,802	\$2,923,596	\$0	\$1,354,206	201,529	\$21.23
Renal Dialysis Center	\$6,975,388	\$4,628,571	\$0	\$2,346,817	292,602	\$23.84
Physician	\$65,510,701	\$42,530,207	\$0	\$22,980,494	2,525,810	\$25.94
Medically Fragile Foster Care	\$3,722,162	\$1,956,510	\$0	\$1,765,652	29,657	\$125.51
Miscellaneous Providers	\$5,265,593	\$2,810,471	\$0	\$2,455,122	472,087	\$11.15
<b>Subtotal Outpatient Providers</b>	<b>\$479,740,967</b>	<b>\$357,097,635</b>	<b>\$0</b>	<b>\$122,643,332</b>	<b>10,193,813</b>	<b>\$47.06</b>
<b>Total Fee-For-Service Providers</b>	<b>\$1,122,546,276</b>	<b>\$796,872,099</b>	<b>\$0</b>	<b>\$325,674,177</b>		

**Medical Assistance - Fee-for-Service**  
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<u><b>OTHER PROVIDER PAYMENTS</b></u>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>
HCPCs Coding Changes	\$2,727,285	\$1,433,461	\$0	\$1,293,824
Community HealthChoices Shift	(\$202,203,625)	(\$106,278,225)	\$0	(\$95,925,400)
FQHC Wraparound/Cost Settlements	\$19,846,045	\$10,431,081	\$0	\$9,414,964
Disproportionate Share Payments (Philadelphia)	\$128,787,373	\$67,893,484	\$0	\$60,893,889
Supplemental ER Access Payment	\$18,051,386	\$13,435,647	\$0	\$4,615,739
New Supplemental ER Access Payment	\$90,405,486	\$65,842,315	\$0	\$24,563,171
Disproportionate Share Payments (Statewide)	\$73,994,308	\$38,891,408	\$0	\$35,102,900
Inpatient DSH Adjustment	\$6,088,402	\$3,207,370	\$0	\$2,881,032
Enhanced DSH Payment	\$25,341,020	\$13,349,649	\$0	\$11,991,371
Sole and Community Hospital DSH	\$57,710,457	\$30,401,869	\$0	\$27,308,588
Outpatient Supplemental (Statewide)	\$57,748,821	\$43,334,715	\$0	\$14,414,106
Med Ed/Passthroughs	\$82,885,935	\$43,564,847	\$0	\$39,321,088
Medical Education Payment	\$35,012,098	\$18,444,373	\$0	\$16,567,725
Rehabilitation Adjustment	\$19,078,914	\$14,013,462	\$0	\$5,065,452
MA Dependency Payment	\$36,543,930	\$26,841,517	\$0	\$9,702,413
High Medical Assistance GME Payment	\$22,957,750	\$12,094,143	\$0	\$10,863,607
Community Access Fund (CAF) Payments	\$32,868,221	\$17,098,193	\$0	\$15,770,028
Graduate Medical Education to Train Psychiatrists	\$500,000	\$263,400	\$0	\$236,600
MA Reliant	\$300,000	\$218,490	\$0	\$81,510
UPMC Altoona (former CAF)	\$736,686	\$388,086	\$0	\$348,600
Temple Access to Care Payment	\$15,452,240	\$8,140,240	\$0	\$7,312,000
Mercy Catholic Access to Care Payment	\$1,674,461	\$882,106	\$0	\$792,355
Lancaster Cleft Palate	\$696,851	\$367,101	\$0	\$329,750
Wills Eye	\$786,637	\$572,908	\$0	\$213,729
Crozer Chester Medical Center (former CAF)	\$4,203,115	\$2,214,201	\$0	\$1,988,914
Health Enterprise Zone (HEZ)	\$14,212,557	\$7,470,120	\$0	\$6,742,437
HIT - Provider and Hospital Incentives	\$10,000,000	\$10,000,000	\$0	\$0
<b>Total Other Provider Payments</b>	<b>\$556,406,353</b>	<b>\$344,515,961</b>	<b>\$0</b>	<b>\$211,890,393</b>

**Medical Assistance - Fee-for-Service**  
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<u>ADMINISTRATIVE/CASH FLOW IMPACTS</u>	Total	Federal	COVID-19	State	Avg Monthly Eligibles	Avg \$ Per Eligible Per Month
Medicare Part A Premium Payments	\$141,749,880	\$74,503,737	\$0	\$67,246,143	25,905	\$456.00
Medicare Part B Buy-In	\$664,094,138	\$349,047,879	\$0	\$315,046,259	372,819	\$148.44
Medicare Part B Buy-In - Special MA Eligibility Provisions	\$56,252,926	\$56,252,926	\$0	\$0		
HIPP Premium Payments	\$45,554,237	\$26,877,000	\$0	\$18,677,237		
Expenditures Transferred to MA for Workers with Disabilities (4/21-3/22)	(\$15,006,889)	(\$7,870,357)	\$0	(\$7,136,532)		
Claim of Federal Funds for recipients in IMDs	\$0	\$6,565,085	\$0	(\$6,565,085)		
Project Access - Department of Education Administrative MOU			\$0			
- Subgrant reimbursement for LEA's of costs	\$15,000,000	\$15,000,000	\$0	\$0		
- Medical Assistance Expenditure Adjustment (Project Access/PDE)	\$0	\$14,430,729	\$0	(\$14,430,729)		
Select Plan for Women Family Planning Council Grants	\$3,525,000	\$0	\$0	\$3,525,000		
Act 152/D & A Treatment Services (Grant & Subsidies Item)	\$13,254,000	\$0	\$0	\$13,254,000		
Act 22 Inmate Reimbursement (Corrections MOU)	(\$11,497,365)	\$0	\$0	(\$11,497,365)		
Health Information Technology (HIT) Grant Payments	\$945,902	\$0	\$0	\$945,902		
COVID-19 Expenditure Adjustment July 2021-June 2022	\$0	\$0	\$113,365,000	(\$113,365,000)		
<b>Total Administrative/Cash Flow Impacts</b>	<b>\$913,871,829</b>	<b>\$534,806,999</b>	<b>\$113,365,000</b>	<b>\$265,699,830</b>		
<b><u>OPERATING</u></b>						
School-Based Access Program Operational Costs	\$1,950,147	\$1,950,147	\$0	\$0		
Claims Processing and PROMISE Contract Costs	\$13,986,649	\$11,279,573	\$0	\$2,707,076		
MMIS Procurement	\$38,414,367	\$34,572,930	\$0	\$3,841,437		
TruCare License Fees	\$900,000	\$675,000	\$0	\$225,000		
Technical Assistance Contract	\$1,567,840	\$783,920	\$0	\$783,920		
Clinical Consultant	\$17,687,146	\$12,364,637	\$0	\$5,322,509		
Health Information Technology (State Funds Only - Subfund)	\$299,150	\$0	\$0	\$299,150		
InterQual Criteria	\$553,000	\$276,500	\$0	\$276,500		
Legal Support/Rate Setting	\$324,450	\$162,225	\$0	\$162,225		
Medical Review Team/SSI/Disability Advocacy Program (DAP)	\$3,666,667	\$1,833,334	\$0	\$1,833,334		
Revenue Maximization	\$4,000,000	\$0	\$0	\$4,000,000		
Medicare Eligibility Identification	\$400,000	\$200,000	\$0	\$200,000		
Preferred Drug List	\$3,715,394	\$2,786,546	\$0	\$928,849		
TPL Data Exchange	\$900,000	\$450,000	\$0	\$450,000		
Health Policy Research Grants	\$2,000,000	\$1,000,000	\$0	\$1,000,000		
Enrollment Revalidation Support	\$51,400	\$25,700	\$0	\$25,700		
DSH/FQHC Audits and Litigation	\$1,100,000	\$550,000	\$0	\$550,000		
Medicaid RMTS	\$100,000	\$50,000	\$0	\$50,000		
OMAP Technology Assessment	\$70,000	\$35,000	\$0	\$35,000		
Hospital Cost Settlement Audit Review	\$140,000	\$0	\$0	\$140,000		
Fingerprinting	\$2,385	\$1,193	\$0	\$1,193		
<b>Total Operating</b>	<b>\$91,828,595</b>	<b>\$68,996,704</b>	<b>\$0</b>	<b>\$22,831,891</b>		

**Medical Assistance - Fee-for-Service**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2021-2022**

<u><b>MANDATED FEDERAL/OTHER REQUIREMENTS</b></u>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>
Third Party Liability Recoveries	(\$89,439,612)	(\$47,009,460)	\$0	(\$42,430,152)
Provider/Medical Support Recoveries	(\$6,687,353)	(\$3,514,873)	\$0	(\$3,172,480)
Refunds	(\$8,490,593)	(\$4,462,656)	\$0	(\$4,027,937)
Pharmaceutical Company Rebates	(\$60,034,307)	(\$33,919,773)	\$0	(\$26,114,534)
<b>Total Mandated Federal/Other Requirements</b>	<b>(\$164,651,865)</b>	<b>(\$88,906,762)</b>	<b>\$0</b>	<b>(\$75,745,103)</b>
<b>Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Total Program Cost</b>	<b>\$2,520,001,188</b>	<b>\$1,656,285,000</b>	<b>\$113,365,000</b>	<b>\$750,351,188</b>
<b><u>REVENUE</u></b>				
Statewide Hospital Assessment	\$879,217,321	\$0	\$0	\$879,217,321
Transfer Statewide Hospital Assessment to Capitation	(\$717,935,463)	\$0	\$0	(\$717,935,463)
Transfer Statewide Hospital Assessment to Community HealthChoices	(\$39,632,605)	\$0	\$0	(\$39,632,605)
Transfer Statewide Hospital Assessment to OB/NICU	(\$3,000,000)	\$0	\$0	(\$3,000,000)
Transfer Statewide Hospital Assessment to Critical Access Hospitals	(\$3,200,000)	\$0	\$0	(\$3,200,000)
Subtotal Statewide Hospital Assessment	\$115,449,252	\$0	\$0	\$115,449,252
Hospital Assessment (Philadelphia)	\$178,326,936	\$0	\$0	\$178,326,936
<b>Total Revenue</b>	<b>\$293,776,188</b>	<b>\$0</b>	<b>\$0</b>	<b>\$293,776,188</b>
<b>Total FY 2021-2022 Program Requirement</b>	<b>\$2,226,225,000</b>	<b>\$1,656,285,000</b>	<b>\$113,365,000</b>	<b>\$456,575,000</b>
Medical Assistance Federal		\$1,646,285,000		
ARRA HIT Federal		\$10,000,000		

## **MEDICAL ASSISTANCE – FEE-FOR-SERVICE**

### **PROGRAM STATEMENT**

This appropriation funds all services provided to Medical Assistance (MA) recipients, including primary health care, preventive services, and essential care in an inpatient and outpatient setting when the recipient is not covered in managed care. The MA program covers a wide array of service providers including physicians, acute care hospitals, pharmacies, dentists, psychiatric and rehabilitation units of acute care hospitals, outpatient departments and clinics, private psychiatric hospitals, rehabilitation hospitals, residential treatment facilities, certified registered nurse practitioners, certified nurse midwives, independent medical clinics, federally qualified health centers, rural health clinics, drug and alcohol hospitals, psychiatric hospitals and family planning clinics, home health agencies, and medical and equipment suppliers.

### **DISPROPORTIONATE SHARE**

For acute care general hospitals, rehabilitation facilities, and psychiatric hospitals, eligibility for disproportionate share payments is based on the minimum federal requirements. Three additional state-defined eligibility provisions for general acute care hospitals encompass a rural sole community hospital method, a volume method, and a high MA for the county method. Hospitals are ranked based on their individual Medicaid utilization. There is a separate ranking for rural hospitals. Each qualifying hospital receives a pro-rated share of an agreed upon aggregate amount based on the hospital's weighted disproportionate share percentage applied to the hospital's projected MA revenue. The disproportionate share payment calculation takes into consideration both the Fee-for-Service (FFS) and managed care utilization incurred by the facility. A similar ranking and payment calculation process is followed for rehabilitation and psychiatric providers. Federal matching funds are available for this program.

### **ACUTE CARE GENERAL HOSPITAL PROSPECTIVE PAYMENT SYSTEM / STATEWIDE QUALITY CARE ASSESSMENT**

Within the FFS program, the Department of Human Services (Department) pays for inpatient hospital services provided by acute care general hospitals using a prospective payment system utilizing All Patients Refined Diagnosis Related Group (APR-DRG) software. The calculation of hospital-specific APR-DRG base rates is based upon a statewide average cost which is adjusted to account for a hospital's regional labor costs, teaching status, capital, and MA patient levels. The prospective payment rate for each recipient discharged from the hospital is established by multiplying the relative value of the APR-DRG into which the patient has been classified by the hospital-specific payment rate. Special payment provisions for transfers, readmissions, high-cost outliers, low-cost outliers, and services in non-distinct part psychiatric and drug and alcohol units may also apply.

The Department received Centers for Medicare & Medicaid Services (CMS) approval of the State Plan Amendments (SPAs) which authorized the Statewide Quality Care Assessment for inpatient hospitals. The revenue that is generated from the Statewide Quality Care Assessment, along with the related federal matching funds, has enabled the Department to update and improve its inpatient acute care hospital reimbursement system. The Statewide Quality Care Assessment has been reauthorized for five years beginning July 1, 2018. The current assessment percentage for State Fiscal Year 2020-2021 is 3.05 percent of a covered hospital's Net Inpatient Revenue and 1.59 percent of a covered hospital's Net Outpatient Revenue.

## **PHILADELPHIA HOSPITAL ASSESSMENT**

The Philadelphia Hospital Assessment is a local health care related provider assessment that is imposed by the City of Philadelphia on general acute care hospitals located within the City of Philadelphia. This assessment was initially authorized by CMS, beginning January 1, 2009, and was recently reauthorized again through June 30, 2024. The assessment rate is 3.60 percent of a hospital's net inpatient revenue for high volume Medicaid hospitals and 3.80 percent for non-high volume Medicaid hospitals. A portion of the revenue from the assessment is used to fund payments designed to ensure access to emergency and outpatient services for MA recipients in the City of Philadelphia. The funds generated from the assessment are used by the Commonwealth to assist in funding the MA program.



**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. C1.11, C1.45, E27.5, E27.12, E27.19,  
E27.21, E27.22

**APPROPRIATION:**  
Payment to Federal Govt. - Medicare Drug Program

**I. SUMMARY FINANCIAL DATA**

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budgeted</u>
State Funds	\$775,602	\$692,938 <sup>1</sup>	\$761,058
Federal Funds	\$0	\$0	\$0
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Total</b>	<b>\$775,602</b>	<b>\$692,938</b>	<b>\$761,058</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$5,042
Federal Funds	<u>\$0</u>
<b>Total</b>	<b>\$5,042</b>

<sup>1</sup> Includes a recommended supplemental appropriation of \$5.042 million. Act 17-A of 2020 provided \$687.896 million for this program in Fiscal Year 2020-2021.

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Payment to Federal Govt. - Medicare Drug Program			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$775,602	\$692,938	\$761,058	\$68,120	9.83%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$775,602</b>	<b>\$692,938</b>	<b>\$761,058</b>	<b>\$68,120</b>	<b>9.83%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$775,602	\$692,938	\$761,058	\$68,120	9.83%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$775,602</b>	<b>\$692,938</b>	<b>\$761,058</b>	<b>\$68,120</b>	<b>9.83%</b>

**APPROPRIATION:**  
**Payment to Federal Govt. - Medicare Drug Program**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The recommended appropriation is based on the projected number of individuals per month who are dually-eligible for both Medicaid and Medicare multiplied by the state share of the state specific per capita, per month expenditure for covered drugs for dual-eligible persons.

**Legislative Citations:**

Medicare Prescription Drug, Improvement and Modernization Act of 2003 (MMA, Pub.L. 108-173); 42 U.S.C. § 1396u-5(c)

**Disbursement Criteria:**

The Medicare Prescription Drug, Improvement and Modernization Act of 2003 created the Medicare Part D prescription drug benefit effective, January 1, 2006. The federal government is requiring states to help fund this federal program.

This payment is made monthly to the federal government to reduce the Part D costs. The state payment for each month is the product of the state's per capita expenditure (PCE), the phase down percentage and the number of dual-eligibles who are enrolled in the Part D program. The PCE is based on historical state specific Medicaid data increased for growth in prescription drug spending nationally and adjusted for the state's Federal Medical Assistance Percentage. The phase down percentage was set at 90 percent in Calendar Year 2006 and decreased annually by 1.67 percent until the phase down reached 75 percent in Calendar Year 2015. The federal government annually notifies the states of their PCE for the forthcoming year.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Payment to Federal Govt. - Medicare Drug Program			
	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>GRANT &amp; SUBSIDY</b>				
1. Provides for the increase in the average per capita payment from \$180.47 in Fiscal Year 2020-2021 to \$183.81 in Fiscal Year 2021-2022:	\$15,892	\$0	\$0	\$15,892
2. Impact of the increase in utilization from projected average monthly eligibles of 386,250 in Fiscal Year 2020-2021 to 396,285 in Fiscal Year 2021-2022:	\$21,730	\$0	\$0	\$21,730
3. Reflects the non-recurring rollback of costs from Fiscal Year 2020-2021 to Fiscal Year 2019-2020:	\$183	\$0	\$0	\$183
4. Impact of a change in the per capita rate related to the 6.2 percent enhanced COVID-19 FMAP:	<u>\$30,315</u>	<u>\$0</u>	<u>\$0</u>	<u>\$30,315</u>
<b>TOTAL</b>	<u><u>\$68,120</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$68,120</u></u>

**Payment to Federal Government - Medicare Drug Program  
Fiscal Year 2021-2022 Governor's Executive Budget  
Fiscal Year 2020-2021**

Service Month		Dual eligibles	Per Capita	Premium Payment	Payment Month
May-20	actual	382,943	\$178.63	\$68,404,323	Jul-20
Jun-20	actual	377,633	\$178.66	\$67,467,719	Jul-20
Jul-20	actual	382,195	\$178.66	\$68,283,011	Aug-20
Aug-20	actual	384,246	\$178.67	\$68,654,328	Sep-20
Sep-20	actual	385,307	\$178.68	\$68,845,085	Oct-20
Oct-20	actual	388,275	\$178.49	\$69,302,448	Nov-20
Nov-20	actual	390,579	\$178.87	\$69,861,413	Dec-20
Dec-20	projected	386,982	\$178.88	\$69,221,505	Jan-21
Jan-21	projected	387,865	\$183.97	\$71,356,765	Feb-21
Feb-21	projected	388,756	\$183.97	\$71,520,662	Mar-21
Mar-21	projected	389,655	\$183.97	\$71,686,022	Apr-21
Apr-21	projected	390,562	\$183.97	\$71,852,860	May-21
FY 20-21 Funds		4,634,998	\$180.47	\$836,456,140	
Retroactive COVID Adjustment (January 2020-April 2020 Service Months)				(\$34,242,641)	
COVID Adjustment (May 2020-April 2021 Service Months)				(\$109,093,040)	
Rollback of Funds to FY 19-20				(\$182,575)	
<b>Total FY 20-21 Program Requirement</b>				<b>\$692,937,884</b>	
Act 17-A of 2020				\$687,896,000	
Surplus/(Deficit)				(\$5,041,884)	

**Payment to Federal Government - Medicare Drug Program  
Fiscal Year 2021-2022 Governor's Executive Budget  
Fiscal Year 2021-2022**

Service Month		Dual eligibles	Per Capita	Premium Payment	Payment Month
May-21	projected	391,477	\$183.97	\$72,021,190	Jul-21
Jun-21	projected	392,400	\$183.97	\$72,191,026	Jul-21
Jul-21	projected	393,331	\$183.97	\$72,362,384	Aug-21
Aug-21	projected	394,271	\$183.97	\$72,535,277	Sep-21
Sep-21	projected	395,219	\$183.97	\$72,709,722	Oct-21
Oct-21	projected	396,176	\$182.13	\$72,153,825	Nov-21
Nov-21	projected	397,141	\$182.13	\$72,329,632	Dec-21
Dec-21	projected	398,115	\$182.13	\$72,507,020	Jan-22
Jan-22	projected	398,595	\$184.86	\$73,683,356	Feb-22
Feb-22	projected	399,077	\$184.86	\$73,772,412	Mar-22
Mar-22	projected	399,561	\$184.86	\$73,861,796	Apr-22
Apr-22	projected	400,046	\$184.86	\$73,951,508	May-22
FY 21-22 Funds		4,755,411	\$183.81	\$874,079,148	
COVID Adjustment (May 2021-April 2022 Service Months)				<b>(\$113,021,268)</b>	
<b>Total FY 21-22 Program Requirement</b>				<b>\$761,057,880</b>	

## **PAYMENT TO FEDERAL GOVERNMENT - MEDICARE DRUG PROGRAM**

### **PROGRAM STATEMENT**

The Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (MMA, Public Law 108-173) created the Medicare Part D prescription drug benefit, effective January 1, 2006. A number of the Part D beneficiaries are eligible for both Medicare and Medicaid. Prior to implementation of Part D, persons in Pennsylvania eligible for both Medicaid and Medicare had their drug benefit covered by Pennsylvania's Medicaid program. When Part D was developed, a provision was included in the MMA requiring the states to continue making payments for prescription drug coverage for dual eligibles, while continuing to provide direct coverage of drugs excluded from coverage under Medicare Part D, but covered under the state Medicaid program.

The state payment is made on a monthly basis and was designed to reflect 90 percent of the estimated state savings to the Medical Assistance Program for calendar year 2006. This percentage was phased down by 1.67 percent annually until reaching 75 percent in 2015. The Centers for Medicare and Medicaid Services (CMS) worked with the states to collect historical prescription drug payment data to develop a state-specific Per Capita Expenditure (PCE). Annually, the PCE is adjusted for growth in national per capita drug spending and adjusted for the annual change in the state-specific federal Medical Assistance Percentage, to reflect the state share of the per capita costs. The CMS notifies the states annually of the PCE for the forthcoming calendar year. The Commonwealth's monthly Part D payment is the product of the PCE, the phase-down percentage and the number of individuals who were eligible for both Medicare and Medicaid in the month for which the payment is being made.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. C1.45, E27.5, E27.11-12, E27.19, E27.21-23,  
H118

**APPROPRIATION:**  
Medical Assistance - Workers with Disabilities

**I. SUMMARY FINANCIAL DATA**

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
<b>State Funds Total</b>	\$143,379	\$156,401	\$167,062
<b>State Sources Itemized</b>			
<i>MA-Workers With Disabilities (General Fund)</i>	\$39,690	\$60,518	\$75,366
<i>MA-Workers With Disabilities (EA) (Tobacco Settlement Fund)</i>	\$103,689	\$95,883 <sup>1</sup>	\$91,696
<b>Federal Funds Total</b>	\$207,275	\$244,824	\$238,072
<b>Federal Sources Itemized</b>			
<i>MA-Workers With Disabilities (General Fund)</i>	\$69,086	\$94,436	\$96,069
<i>MA-Workers With Disabilities (EA) (Tobacco Settlement Fund)</i>	\$113,461	\$120,301 <sup>2</sup>	\$116,884
<i>COVID-MA-Workers With Disabilities (EA) (General Fund)</i>	\$11,617	\$15,798 <sup>3</sup>	\$11,332
<i>COVID-MA-Workers With Disabilities (EA) (Tobacco Settlement Fund)</i>	\$13,111	\$14,289 <sup>4</sup>	\$13,787
<b>Total</b>	<b>\$350,654</b>	<b>\$401,225</b>	<b>\$405,134</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds Total</b>	(\$1,933)
<b>State Sources Itemized</b>	
<i>MA-Workers With Disabilities (EA) (Tobacco Settlement Fund)</i>	(\$1,933)
<b>Federal Funds Total</b>	\$17,458
<b>Federal Sources Itemized</b>	
<i>MA-Workers With Disabilities (EA) (Tobacco Settlement Fund)</i>	\$13,427
<i>COVID-MA-Workers With Disabilities (EA) (General Fund)</i>	(\$2,758)
<i>COVID-MA-Workers With Disabilities (EA) (Tobacco Settlement Fund)</i>	\$6,789
<b>Total</b>	<b>\$15,525</b>

<sup>1</sup> Includes a recommended executive authorization reduction of \$1.933 million. A total of \$97.816 million was originally included for this appropriation in Fiscal Year 2020-2021.

<sup>2</sup> Includes a recommended supplemental executive authorization of \$13.427 million. A total of \$106.874 million was originally included for this appropriation in Fiscal Year 2020-2021.

<sup>3</sup> Includes a recommended executive authorization reduction of \$2.758 million. A total of \$18.556 million was originally included for this appropriation in Fiscal Year 2020-2021.

<sup>4</sup> Includes a recommended supplemental executive authorization of \$6.789 million. A total of \$7.500 million was originally included for this appropriation in Fiscal Year 2020-2021.

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Medical Assistance - Workers with Disabilities			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$143,379	\$156,401	\$167,062	\$10,661	6.82%
<i>Federal Funds</i>	\$207,275	\$219,551	\$238,062	\$18,511	8.43%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$350,654</b>	<b>\$375,952</b>	<b>\$405,124</b>	<b>\$29,172</b>	<b>7.76%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$25,273	\$10	(\$25,263)	-99.96%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$25,273</b>	<b>\$10</b>	<b>(\$25,263)</b>	<b>-99.96%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>Other</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$143,379	\$156,401	\$167,062	\$10,661	6.82%
<i>Federal Funds</i>	\$207,275	\$244,824	\$238,072	(\$6,752)	-2.76%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$350,654</b>	<b>\$401,225</b>	<b>\$405,134</b>	<b>\$3,909</b>	<b>0.97%</b>



**APPROPRIATION:**  
**Medical Assistance - Workers with Disabilities**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 Estimated expenditures are based on projected enrollees in the Medical Assistance for Workers with Disabilities (MAWD) program, average Medical Assistance payments per enrollee and estimated co-payments.  
 The MAWD program is funded with both the Tobacco Settlement Fund and the General Fund.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**  
 35 P.S. §§ 5701.1501-1503 (General Fund)  
 35 P.S. § 5701.1501 et seq. (Tobacco Settlement Fund)

**Disbursement Criteria:**  
 The provider of service must be enrolled in the Medical Assistance program and the service must be a covered Medical Assistance benefit. The recipient of the service must be deemed eligible to receive Medical Assistance benefits under the MAWD Program.

Individuals deemed eligible under the criteria specified in Act 77 may buy into the Medicaid program by paying a monthly premium based on five percent of their monthly income after allowable deductions.

Provider payments are initially made from the Medical Assistance Program. Periodically, expenditures are identified for services rendered to recipients of the MAWD program, and expenditures are then transferred from the Medical

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Workers with Disabilities			
	State \$	Federal \$	Other \$	Total \$
<b>GENERAL FUND</b>				
<b>GRANT &amp; SUBSIDY</b>				
1. Impact of increased premium payments for the Medical Assistance for Workers with Disabilities (MAWD) program in Fiscal Year 2021-2022:	(\$242)	(\$264)	\$0	(\$506)
2. Provides for a projected increase of \$48.29 in the Medical Assistance Program monthly service cost per enrollee in Fiscal Year 2021-2022:	\$9,344	\$10,318	\$0	\$19,662
3. Impact of a projected increase of 840 average monthly enrollees in the MAWD program in Fiscal Year 2021-2022:	\$4,788	\$5,228	\$0	\$10,016
4. Impact of the change in the Federal Medical Assistance Percentage (an increase from 52.20 percent to 52.68 percent, effective October 1, 2021). Full-year blended rate increases from 52.2125 percent to 52.5600 percent:	(\$1,420)	\$1,420	\$0	\$0
5. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	(\$1,809)	\$1,809	\$0	\$0
6. Change in the Fiscal Year 2021-2022 Tobacco Settlement Fund state and federal amounts:	<u>\$4,187</u>	<u>\$3,417</u>	<u>\$0</u>	<u>\$7,604</u>
Subtotal Grant & Subsidy	\$14,848	\$21,928	\$0	\$36,776
<b>BUDGETARY RESERVE</b>				
1. Impact of excess federal appropriation authority in Fiscal Year 2020-2021:	<u>\$0</u>	<u>(\$25,263)</u>	<u>\$0</u>	<u>(\$25,263)</u>
<b>TOTAL GENERAL FUND</b>	<u>\$14,848</u>	<u>(\$3,335)</u>	<u>\$0</u>	<u>\$11,513</u>
<b>TOBACCO SETTLEMENT FUND</b>				
<b>GRANT &amp; SUBSIDY</b>				
1. Change in the Fiscal Year 2021-2022 Tobacco Settlement Fund state and federal amounts:	<u>(\$4,187)</u>	<u>(\$3,417)</u>	<u>\$0</u>	<u>(\$7,604)</u>
<b>TOTAL TOBACCO SETTLEMENT FUND</b>	<u>(\$4,187)</u>	<u>(\$3,417)</u>	<u>\$0</u>	<u>(\$7,604)</u>
<b>TOTAL</b>	<u>\$10,661</u>	<u>(\$6,752)</u>	<u>\$0</u>	<u>\$3,909</u>

**MEDICAL ASSISTANCE - WORKERS WITH DISABILITIES  
FISCAL YEAR 2021-2022 GOVERNOR'S EXECUTIVE BUDGET  
FISCAL YEAR 2020-2021**

	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>	<b>Enrollees</b>	<b>Cost Per Enrollee</b>
Jul-20	\$32,599,252	\$17,018,993	\$2,021,154	\$13,559,106	33,219	\$981.34
Aug-20	\$33,086,267	\$17,281,792	\$2,051,349	\$13,753,126	33,201	\$996.54
Sep-20	\$31,818,970	\$16,593,863	\$1,972,776	\$13,252,331	32,984	\$964.68
Oct-20	\$32,705,489	\$17,072,265	\$2,027,740	\$13,605,483	32,969	\$992.02
Nov-20	\$32,648,095	\$17,042,305	\$2,024,182	\$13,581,607	32,861	\$993.51
Dec-20	\$32,582,627	\$17,008,131	\$2,020,123	\$13,554,373	32,746	\$995.00
Jan-21	\$32,827,290	\$17,135,845	\$2,035,292	\$13,656,153	32,943	\$996.49
Feb-21	\$33,073,790	\$17,264,518	\$2,050,575	\$13,758,697	33,141	\$997.99
Mar-21	\$33,322,141	\$17,394,158	\$2,065,973	\$13,862,011	33,339	\$999.48
Apr-21	\$33,572,357	\$17,524,770	\$2,081,486	\$13,966,101	33,539	\$1,000.98
May-21	\$33,824,452	\$17,656,364	\$2,097,116	\$14,070,972	33,741	\$1,002.48
Jun-21	\$34,078,440	\$17,788,946	\$2,112,863	\$14,176,631	33,943	\$1,003.99
	\$396,139,170	\$206,781,952	\$24,560,629	\$164,796,590	33,219	\$993.71
Premiums	(\$20,187,188)	(\$10,539,965)	(\$1,251,616)	(\$8,395,607)		
Total Requirements	\$375,951,982	\$196,241,986	\$23,309,013	\$156,400,983		
Uncommitted	\$25,273,001	\$18,495,014	\$6,777,987	\$0		
FY 2020-21 Tobacco Funds	\$230,473,000	\$120,301,000	\$14,289,000	\$95,883,000		
<b>FY 2020-21 General Fund Requirement</b>		<b>\$94,436,000</b>	<b>\$15,798,000</b>	<b>\$60,517,983</b>		
Act 17-A of 2020		\$94,436,000		\$60,518,000		
Fiscal Year 2020-21 Appropriation Amount			\$18,556,000			
Surplus/(Deficit)		\$0	\$2,758,000	\$17		

**MEDICAL ASSISTANCE - WORKERS WITH DISABILITIES  
FISCAL YEAR 2021-2022 GOVERNOR'S EXECUTIVE BUDGET  
FISCAL YEAR 2021-2022**

	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>	<b>Enrollees</b>	<b>Cost Per Enrollee</b>
Jul-21	\$34,400,525	\$17,957,074	\$2,132,833	\$14,310,618	34,045	\$1,010.44
Aug-21	\$34,710,749	\$18,119,011	\$2,152,066	\$14,439,672	34,147	\$1,016.51
Sep-21	\$35,023,771	\$18,282,408	\$2,171,474	\$14,569,889	34,250	\$1,022.61
Oct-21	\$35,339,615	\$18,616,909	\$2,191,056	\$14,531,650	34,352	\$1,028.74
Nov-21	\$35,658,308	\$18,784,797	\$2,210,815	\$14,662,696	34,455	\$1,034.91
Dec-21	\$35,944,109	\$18,935,357	\$2,228,535	\$14,780,218	34,559	\$1,040.09
Jan-22	\$35,831,227	\$18,875,890	\$2,221,536	\$14,733,800	34,279	\$1,045.29
Feb-22	\$35,758,310	\$18,837,478	\$2,217,015	\$14,703,817	34,039	\$1,050.52
Mar-22	\$35,757,416	\$18,837,007	\$2,216,960	\$14,703,449	33,869	\$1,055.77
Apr-22	\$35,774,490	\$18,846,001	\$2,218,018	\$14,710,470	33,716	\$1,061.05
May-22	\$35,791,573	\$18,855,000	\$2,219,077	\$14,717,495	33,565	\$1,066.35
Jun-22	\$35,826,648	\$18,873,478	\$2,221,252	\$14,731,918	33,430	\$1,071.68
	\$425,816,741	\$223,820,411	\$26,400,638	\$175,595,692	34,059	\$1,042.00
Premiums	(\$20,692,462)	(\$10,875,893)	(\$1,282,933)	(\$8,533,636)		
Total Requirements	\$405,124,279	\$212,944,517	\$25,117,705	\$167,062,056		
Uncommitted	\$9,777	\$8,483	\$1,295	\$0		
FY 2021-22 Tobacco Funds	\$222,367,000	\$116,884,000	\$13,787,000	\$91,696,000		
<b>FY 2021-22 General Fund Requirement</b>		<b>\$96,069,000</b>	<b>\$11,332,000</b>	<b>\$75,366,056</b>		

## **MEDICAL ASSISTANCE - WORKERS WITH DISABILITIES**

### **PROGRAM STATEMENT**

The Ticket to Work and Work Incentives Improvement Act of 1999 (Public Law 106-170) gave states the option of providing Medicaid benefits to workers with disabilities who have higher income and resources than current Medicaid standards. Pennsylvania exercised this option through the passage of Act 77 of 2001, also known as the Tobacco Settlement Act. This Act provided the state share of funds necessary to implement the Medical Assistance for Workers with Disabilities (MAWD) program in Pennsylvania.

The MAWD program consists of two eligibility groups, workers with a disability and workers with a medically-improved disability. An individual must have been a member of the workers with a disability group in order to qualify as a worker with a medically-improved disability. Individuals in both groups receive the Pennsylvania ACCESS card and receive full Medicaid benefits. Individuals are enrolled in the PH HealthChoices program, except for those who also receive Medicare. Dual eligible individuals; those eligible for both Medicaid and Medicare, obtain Medicaid services through the CHC program. Most prescriptions for Medicare/Medicaid recipients are covered by the Medicare Prescription Drug Plan.

To qualify for the worker with a disability group, a person must be at least age 16, but less than age 65, be disabled according to the Social Security Administration's (SSA) or the department's disability review criteria, be employed and receiving compensation (no minimum monthly hours or earnings required), with countable monthly income (after allowable deductions) equal to or less than 250 percent of the Federal Poverty Income Guidelines and have countable resources equal to or less than \$10,000.

To qualify for the worker with a medically-improved disability group, in addition to having been a member of the previous group, a person must be employed at least 40 hours per month and earning at least the minimum wage, have a medically improved disability that no longer qualifies under SSA's or the department's disability review criteria, and meet the same age, resource and income requirements of the worker with a disability group.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. C1.45, E27.5, E27.21, E27.22

**APPROPRIATION:**  
Medical Assistance - Physician Practice Plans

**I. SUMMARY FINANCIAL DATA**

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budgeted</u>
State Funds	\$7,502	\$8,764	\$5,715
Federal Funds Total	\$14,148	\$12,311	\$8,102
Federal Sources Itemized			
<i>Medical Assistance - Physician Practice Plans</i>	\$11,579	\$11,004	\$7,246
<i>COVID - Medical Assistance - Physician Practice Plans (EA)</i>	\$2,569	\$1,307	\$856
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Total	\$21,650	\$21,075	\$13,817

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds Total	<u>\$0</u>
Total	\$0

II. DETAIL BY MAJOR OBJECT  
(\$ Amounts in Thousands)

APPROPRIATION:  
Medical Assistance - Physician Practice Plans

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$7,502	\$8,764	\$5,715	(\$3,049)	-34.79%
Federal Funds	\$14,148	\$12,304	\$8,102	(\$4,202)	-34.15%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$21,650</b>	<b>\$21,068</b>	<b>\$13,817</b>	<b>(\$7,251)</b>	<b>-34.42%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$7	\$0	(\$7)	-100.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$7</b>	<b>\$0</b>	<b>(\$7)</b>	<b>-100.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$7,502	\$8,764	\$5,715	(\$3,049)	-34.79%
Federal Funds	\$14,148	\$12,311	\$8,102	(\$4,209)	-34.19%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$21,650</b>	<b>\$21,075</b>	<b>\$13,817</b>	<b>(\$7,258)</b>	<b>-34.44%</b>

**APPROPRIATION:**  
**Medical Assistance - Physician Practice Plans**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$143	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget assumes a decrease of \$3.500 million in state funds related to non-recurring grant payments.

**Legislative Citations:** 62 P.S. § 443.5

**Disbursement Criteria:**

The recommended appropriation provides for payments to university-affiliated physician practice plans to assure the continuation of the critical services they provide to the Medical Assistance population.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Physician Practice Plans			
	State \$	Federal \$	Other \$	Total \$
<b>GRANT &amp; SUBSIDY</b>				
1. Impact of the change in the Federal Medical Assistance Percentage (an increase from 52.20 percent to 52.68 percent, effective October 1, 2021). Full-year blended rate increases from 52.2125 percent to 52.5600 percent:	\$0	\$69	\$0	\$69
2. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	\$451	(\$451)	\$0	\$0
3. Impact of non-recurring Fiscal Year 2020-2021 payments:	<u>(\$3,500)</u>	<u>(\$3,820)</u>	<u>\$0</u>	<u>(\$7,320)</u>
Subtotal Grant & Subsidy	<u>(\$3,049)</u>	<u>(\$4,202)</u>	<u>\$0</u>	<u>(\$7,251)</u>
<b>BUDGETARY RESERVE</b>				
1. Net impact of excess federal appropriation authority in Fiscal Year 2020-2021:	<u>\$0</u>	<u>(\$7)</u>	<u>\$0</u>	<u>(\$7)</u>
<b>TOTAL</b>	<u><u>(\$3,049)</u></u>	<u><u>(\$4,209)</u></u>	<u><u>\$0</u></u>	<u><u>(\$7,258)</u></u>
<b>Fiscal Year 2020-2021 Projected Payments</b>	<b>State \$</b>	<b>Federal \$</b>	<b>Other \$</b>	<b>Total \$</b>
Drexel University	\$2,393	\$3,363	\$0	\$5,756
University of Pennsylvania	\$1,353	\$1,901	\$0	\$3,254
Thomas Jefferson University	\$1,973	\$2,770	\$0	\$4,743
Mercy Philadelphia	\$1,305	\$1,833	\$0	\$3,138
Mercy Hospital Pittsburgh	\$1,305	\$1,833	\$0	\$3,138
Albert Einstein Medical Center	\$435	\$611	\$0	\$1,046
Total Fiscal Year 2020-2021	<u>\$8,764</u>	<u>\$12,311</u>	<u>\$0</u>	<u>\$21,075</u>
<b>Fiscal Year 2021-2022 Projected Payments</b>				
Drexel University	\$2,392	\$3,391	\$0	\$5,783
University of Pennsylvania	\$1,352		\$0	\$1,352
Thomas Jefferson University	\$1,971	\$2,795	\$0	\$4,766
Total Fiscal Year 2021-2022	<u>\$5,715</u>	<u>\$6,186</u>	<u>\$0</u>	<u>\$11,901</u>



## **MEDICAL ASSISTANCE - PHYSICIAN PRACTICE PLANS**

### **PROGRAM STATEMENT**

The Department of Human Services provides enhanced funding to certain physician practice plans to help ensure the critical services they provide to Medical Assistance clients will continue. State funding is coupled with matching federal dollars to allow the physician practice plans to earn additional funds through higher payments from Physical Health, HealthChoices Managed Care Organizations (MCOs). The physician practice plans are associated with Drexel University, the University of Pennsylvania, and Thomas Jefferson University. Payments are made to Philadelphia area MCOs, which pass the payments on to the qualifying facilities.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. C1.45, E27.5, E27.6, E27.21, E27.22

**APPROPRIATION:**  
MA - Hospital-Based Burn Centers

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
<b>State Funds</b>	\$3,792	\$3,921	\$3,856
<b>Federal Funds Total</b>	\$5,501	\$5,508	\$5,521
<b>Federal Sources Itemized</b>			
<i>Medical Assistance - Hospital-Based Burn Centers</i>	\$4,856	\$4,923	\$4,940
<i>COVID - MA - Hospital-Based Burn Centers (EA)</i>	\$645	\$585	\$581
<b>Other Funds</b>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Total</b>	\$9,293	\$9,429	\$9,377

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds</b>	\$0
<b>Federal Funds</b>	<u>\$0</u>
<b>Total</b>	\$0

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: MA - Hospital-Based Burn Centers			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$3,792	\$3,862	\$3,856	(\$6)	-0.16%
Federal Funds	\$5,501	\$5,422	\$5,521	\$99	1.83%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$9,293</b>	<b>\$9,284</b>	<b>\$9,377</b>	<b>\$93</b>	<b>1.00%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$59	\$0	(\$59)	-100.00%
Federal Funds	\$0	\$86	\$0	(\$86)	-100.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$145</b>	<b>\$0</b>	<b>(\$145)</b>	<b>-100.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$3,792	\$3,921	\$3,856	(\$65)	-1.66%
Federal Funds	\$5,501	\$5,508	\$5,521	\$13	0.24%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$9,293</b>	<b>\$9,429</b>	<b>\$9,377</b>	<b>(\$52)</b>	<b>-0.55%</b>

<b>APPROPRIATION:</b> <b>MA - Hospital-Based Burn Centers</b>
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III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

Effective December 31, 2006, the Department of Human Services implemented a disproportionate share payment program for qualifying Medical Assistance (MA) enrolled burn centers to assure readily available and coordinated burn care to the MA population.

**Legislative Citations:**

62 P.S. § 443.1; 42 U.S.C. § 1396a(a)(13)

**Disbursement Criteria:**

To qualify for payment the burn center must be recognized by the American Burn Association and participate in the Burn Center Verification Program or be certified and accredited as a Level I or Level II Trauma Center with a minimum of 70 annual burn care admissions in calendar year 2005.

Fifty percent of the annual available funding is allocated equally among the qualifying burn centers. The remaining fifty percent is allocated on the basis of each center's percentage of MA and uninsured burn cases and patient days compared to the statewide number of MA and uninsured burn cases and days for all qualified burn centers.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: MA - Hospital-Based Burn Centers			
	State \$	Federal \$	Other \$	Total \$
<b>GRANTS &amp; SUBSIDY</b>				
1. Impact of the increase in payments due to the change in the Federal Medical Assistance Percentage (FMAP) from 52.20 percent to 52.68 percent, effective October 1, 2021:	\$0	\$93	\$0	\$93
2. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	(\$6)	\$6	\$0	\$0
Subtotal Grant & Subsidy	(\$6)	\$99	\$0	\$93
<b>BUDGETARY RESERVE</b>				
1. Impact of excess state funds and federal appropriation authority in Fiscal Year 2020-2021:	(\$59)	(\$86)	\$0	(\$145)
<b>TOTAL</b>	<u>(\$65)</u>	<u>\$13</u>	<u>\$0</u>	<u>(\$52)</u>
<b>Fiscal Year 2020-2021 Projected Payments</b>				
	State \$	Federal \$	Other \$	Total \$
Crozer-Chester Medical Center	\$572	\$803	\$0	\$1,375
Lehigh Valley Hospital	\$999	\$1,403	\$0	\$2,402
Mercy Hospital of Pittsburgh	\$625	\$877	\$0	\$1,502
St. Christopher's Hospital	\$411	\$577	\$0	\$988
Temple University Hospital	\$456	\$640	\$0	\$1,096
West Penn Hospital	\$397	\$558	\$0	\$955
Thomas Jefferson University Hospital	\$402	\$564	\$0	\$966
Uncommitted Federal	\$0	\$86	\$0	\$86
Total Fiscal Year 2020-2021	<u>\$3,862</u>	<u>\$5,508</u>	<u>\$0</u>	<u>\$9,370</u>
<b>Fiscal Year 2021-2022 Projected Payments</b>				
	State \$	Federal \$	Other \$	Total \$
Crozer-Chester Medical Center	\$571	\$817	\$0	\$1,388
Lehigh Valley Hospital	\$998	\$1,429	\$0	\$2,427
Mercy Hospital of Pittsburgh	\$624	\$894	\$0	\$1,518
St. Christopher's Hospital	\$410	\$587	\$0	\$997
Temple University Hospital	\$455	\$652	\$0	\$1,107
West Penn Hospital	\$397	\$568	\$0	\$965
Thomas Jefferson University Hospital	\$401	\$574	\$0	\$975
Total Fiscal Year 2021-2022	<u>\$3,856</u>	<u>\$5,521</u>	<u>\$0</u>	<u>\$9,377</u>

## **HOSPITAL-BASED BURN CENTERS**

### **PROGRAM STATEMENT**

Effective December 31, 2006, the Department of Human Services implemented a Disproportionate Share Payment program for certain qualifying Medical Assistance (MA) enrolled acute care general hospital burn centers to ensure readily available and coordinated burn care of the highest quality for the MA population.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. C1.45, E27.6, E27.21, E27.22

**APPROPRIATION:**  
Medical Assistance - Critical Access Hospitals

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
<b>State Funds</b>	\$8,850	\$9,777	\$9,053
<b>Federal Funds Total</b>	\$27,479	\$18,228	\$17,545
<b>Federal Sources Itemized</b>			
<i>Medical Assistance - Critical Access Hospitals</i>	\$15,429	\$16,293	\$15,698
<i>COVID - MA - Critical Access Hospitals (EA)</i>	\$2,050	\$1,935	\$1,847
<i>COVID - RF - MA - Critical Access Hospitals</i>	\$10,000	\$0	\$0
<b>Other Funds Total</b>	\$3,200	\$3,200	\$3,200
<b>Other Fund Sources Itemized</b>			
<i>Statewide Hospital Assessment</i>	<u>\$3,200</u>	<u>\$3,200</u>	<u>\$3,200</u>
<b>Total</b>	<b>\$39,529</b>	<b>\$31,205</b>	<b>\$29,798</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds</b>	\$0
<b>Federal Funds Total</b>	<u>\$0</u>
<b>Total</b>	<b>\$0</b>

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Medical Assistance - Critical Access Hospitals			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$8,850	\$9,072	\$9,053	(\$19)	-0.21%
Federal Funds	\$27,479	\$17,227	\$17,545	\$318	1.85%
Other Funds	\$3,200	\$3,200	\$3,200	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$39,529</b>	<b>\$29,499</b>	<b>\$29,798</b>	<b>\$299</b>	<b>1.01%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$705	\$0	(\$705)	-100.00%
Federal Funds	\$0	\$1,001	\$0	(\$1,001)	-100.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$1,706</b>	<b>\$0</b>	<b>(\$1,706)</b>	<b>-100.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$8,850	\$9,777	\$9,053	(\$724)	-7.41%
Federal Funds	\$27,479	\$18,228	\$17,545	(\$683)	-3.75%
Other Funds	\$3,200	\$3,200	\$3,200	\$0	0.00%
<b>Total Funds</b>	<b>\$39,529</b>	<b>\$31,205</b>	<b>\$29,798</b>	<b>(\$1,407)</b>	<b>-4.51%</b>



**APPROPRIATION:**  
**Medical Assistance - Critical Access Hospitals**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$83	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

Derivation of Request:

Effective in Fiscal Year 2008-2009, the Department of Human Services implemented a disproportionate share payment program for qualifying Medical Assistance (MA) enrolled acute care hospitals designated as Critical Access Hospitals or qualifying rural hospitals, to ensure available, quality care to MA recipients in rural areas of the Commonwealth.

Legislative Citations:

62 P.S. § 443.1; 42 U.S.C. § 1396a(a)(13)

Disbursement Criteria:

Hospitals that meet Medicare's definition for "critical access" hospitals are eligible to receive up to 101 percent of their allowable MA costs. The critical access payment covers services provided to eligible MA recipients and is paid after consideration of all other MA payments. Any remaining program funds are distributed to qualifying rural hospitals that meet the criteria specified in the State Plan.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Critical Access Hospitals			
	State \$	Federal \$	Other \$	Total \$
<b>GRANT &amp; SUBSIDY</b>				
1. Impact of the decrease in payments due to the change in the Federal Medical Assistance Percentage (FMAP) from 52.20 percent to 52.68 percent, effective October 1, 2021:	\$0	\$299	\$0	\$299
2. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	<u>(\$19)</u>	<u>\$19</u>	<u>\$0</u>	<u>\$0</u>
Subtotal Grant & Subsidy	(\$19)	\$318	\$0	\$299
<b>BUDGETARY RESERVE</b>				
1. Impact of excess state funds and federal appropriation authority in Fiscal Year 2020-2021:	<u>(\$705)</u>	<u>(\$1,001)</u>	<u>\$0</u>	<u>(\$1,706)</u>
<b>TOTAL</b>	<u><u>(\$724)</u></u>	<u><u>(\$683)</u></u>	<u><u>\$0</u></u>	<u><u>(\$1,407)</u></u>

## **MEDICAL ASSISTANCE - CRITICAL ACCESS HOSPITALS**

### **PROGRAM STATEMENT**

This appropriation provides payments to hospitals that meet Medicare's definition for "critical access" hospitals. The payment covers services provided to eligible Medical Assistance (MA) recipients and is paid after consideration of all other MA payments to ensure quality care is available for recipients in rural areas of the Commonwealth.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. C1.45, E27.6, E27.21, E27.22

**APPROPRIATION:**  
MA - Obstetric and Neonatal Services

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
<b>State Funds</b>	\$2,709	\$2,814	\$2,806
<b>Federal Funds Total</b>	\$8,283	\$8,167	\$8,313
<b>Federal Sources Itemized</b>			
<i>Medical Assistance - Obstetric and Neonatal Services</i>	\$7,311	\$7,300	\$7,438
<i>COVID - MA - Obstetric and Neonatal Services (EA)</i>	\$972	\$867	\$875
<b>Other Funds Total</b>	\$3,000	\$3,000	\$3,000
<b>Other Fund Sources Itemized</b>			
<i>Statewide Hospital Assessment</i>	<u>\$3,000</u>	<u>\$3,000</u>	<u>\$3,000</u>
<b>Total</b>	\$13,992	\$13,981	\$14,119

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds</b>	\$0
<b>Federal Funds</b>	<u>\$0</u>
<b>Total</b>	\$0

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: MA - Obstetric and Neonatal Services			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$2,709	\$2,814	\$2,806	(\$8)	-0.28%
Federal Funds	\$8,283	\$8,164	\$8,313	\$149	1.83%
Other Funds	\$3,000	\$3,000	\$3,000	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$13,992</b>	<b>\$13,978</b>	<b>\$14,119</b>	<b>\$141</b>	<b>1.01%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$3	\$0	(\$3)	-100.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$3</b>	<b>\$0</b>	<b>(\$3)</b>	<b>-100.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$2,709	\$2,814	\$2,806	(\$8)	-0.28%
Federal Funds	\$8,283	\$8,167	\$8,313	\$146	1.79%
Other Funds	\$3,000	\$3,000	\$3,000	\$0	0.00%
<b>Total Funds</b>	<b>\$13,992</b>	<b>\$13,981</b>	<b>\$14,119</b>	<b>\$138</b>	<b>0.99%</b>

<b>APPROPRIATION:</b> <b>MA - Obstetric and Neonatal Services</b>
--

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$740	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

Effective in Fiscal Year 2007-2008, the Department of Human Services implemented a disproportionate share payment program for qualifying Medical Assistance (MA) enrolled acute care hospitals that provide obstetrical and neonatal care services. This payment ensures the availability of quality care to low income pregnant women and children.

**Legislative Citations:**

62 P.S. § 443.1(1) and (1.6)

**Disbursement Criteria:**

This appropriation was created to provide financial assistance to qualifying hospitals to assure access to quality obstetric and neonatal health care services for MA recipients. In order to receive payment, MA enrolled acute care hospitals must meet the detailed criteria for rural and non-rural hospitals as specified in the Medicaid State Plan. Fifteen percent of the annual available funding is allocated to qualifying rural hospitals and the remaining 85 percent is allocated to qualifying non-rural hospitals. The methods for disbursement of these allocations is detailed in the State Plan.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: MA - Obstetric and Neonatal Services			
	State \$	Federal \$	Other \$	Total \$
<b>GRANT &amp; SUBSIDY</b>				
1. Impact of the increase in payments due to the change in the Federal Medical Assistance Percentage (FMAP) from 52.20 percent to 52.68 percent, effective October 1, 2021:	\$0	\$141	\$0	\$141
2. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	(\$8)	\$8	\$0	\$0
Subtotal Grant & Subsidy	(\$8)	\$149	\$0	\$141
<b>BUDGETARY RESERVE</b>				
1. Impact of excess federal appropriation authority in Fiscal Year 2020-2021:	\$0	(\$3)	\$0	(\$3)
<b>TOTAL</b>	(\$8)	\$146	\$0	\$138

## **MEDICAL ASSISTANCE - OBSTETRIC AND NEONATAL SERVICES**

### **PROGRAM STATEMENT**

This appropriation provides funding to qualified obstetric and neonatal providers. Payments are for certain qualifying hospitals that provide obstetrical and neonatal intensive care services to Medical Assistance recipients. To receive the payments, hospitals must meet specific eligibility criteria.



**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

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**APPROPRIATION:**  
Medical Assistance - Trauma Centers

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
State Funds	\$7,397	\$7,651	\$7,522
Federal Funds Total	\$10,731	\$10,747	\$10,771
Federal Sources Itemized			
<i>Medical Assistance -Trauma Centers</i>	\$9,472	\$9,606	\$9,637
<i>COVID - MA -Trauma Centers (EA)</i>	\$1,259	\$1,141	\$1,134
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Total	\$18,128	\$18,398	\$18,293

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	<u>\$0</u>
Total	\$0

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Medical Assistance - Trauma Centers			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>0.00%</u>
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>0.00%</u>
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>0.00%</u>
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$7,397	\$7,534	\$7,522	(\$12)	-0.16%
<i>Federal Funds</i>	\$10,731	\$10,576	\$10,771	\$195	1.84%
<i>Other Funds</i>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>0.00%</u>
<b>Total Grant &amp; Subsidy</b>	<b>\$18,128</b>	<b>\$18,110</b>	<b>\$18,293</b>	<b>\$183</b>	<b>1.01%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>0.00%</u>
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$117	\$0	(\$117)	-100.00%
<i>Federal Funds</i>	\$0	\$171	\$0	(\$171)	-100.00%
<i>Other Funds</i>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>0.00%</u>
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$288</b>	<b>\$0</b>	<b>(\$288)</b>	<b>-100.00%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>0.00%</u>
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>0.00%</u>
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$7,397	\$7,651	\$7,522	(\$129)	-1.69%
<i>Federal Funds</i>	\$10,731	\$10,747	\$10,771	\$24	0.22%
<i>Other Funds</i>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>0.00%</u>
<b>Total Funds</b>	<b>\$18,128</b>	<b>\$18,398</b>	<b>\$18,293</b>	<b>(\$105)</b>	<b>-0.57%</b>

<b>APPROPRIATION:</b> Medical Assistance - Trauma Centers
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III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$2	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

Request is based on continued state funding at the Fiscal Year 2020-2021 enacted level.

**Legislative Citations:**

42 U.S.C. § 1396a(a)(13); 35 P.S. §§ 8103-8107.6; 62 P.S. § 801-H et seq.

**Disbursement Criteria:**

The Department of Human Services will allocate 90 percent of the available funding to hospitals accredited as Level I and Level II Trauma Centers using data provided by the Trauma Systems Foundation.

The Department of Human Services will allocate the remaining 10 percent of the available funds to hospitals accredited as Level III Trauma Centers.

The Department of Human Services will allocate any funds undistributed to Level I, Level II and Level III Trauma Centers to hospitals accredited as Level IV Trauma Centers.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Trauma Centers			
	State \$	Federal \$	Other \$	Total \$
<b>GRANT &amp; SUBSIDY</b>				
1. Impact of the increase in payments due to the change in the Federal Medical Assistance Percentage (FMAP) from 52.20 percent to 52.68 percent, effective October 1, 2021:	\$0	\$183	\$0	\$183
2. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	<u>(\$12)</u>	<u>\$12</u>	<u>\$0</u>	<u>\$0</u>
Subtotal Grant & Subsidy	<u>(\$12)</u>	<u>\$195</u>	<u>\$0</u>	<u>\$183</u>
<b>BUDGETARY RESERVE</b>				
1. Impact of excess state funds and federal appropriation authority in Fiscal Year 2020-2021:	<u>(\$117)</u>	<u>(\$171)</u>	<u>\$0</u>	<u>(\$288)</u>
<b>TOTAL</b>	<u><u>(\$129)</u></u>	<u><u>\$24</u></u>	<u><u>\$0</u></u>	<u><u>(\$105)</u></u>

## **MEDICAL ASSISTANCE - TRAUMA CENTERS**

### **PROGRAM STATEMENT**

The Trauma Systems Stabilization Act (Act 15 of 2004) established a program to ensure readily available and coordinated trauma care of the highest quality to the citizens of Pennsylvania. Act 84 of 2010 made a number of technical changes in the Human Services Code and codified the Pennsylvania Trauma Systems Stabilization Act. Act 84 revised the definition of "Trauma Center" to allow out-of-state hospitals with trauma centers to qualify for trauma stabilization funds and modified the criteria for Level III Trauma Centers. Act 84 did not make any changes to the methodology for the distribution of funds and requires that hospitals commit to spending the funds on Trauma Services and provide the Department of Human Services with a report reflecting expenditures. Act 54 of 2019 expanded eligibility to include Level IV Trauma Centers and established the distribution methodology for distributing funds to Level IV Trauma Centers.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

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**APPROPRIATION:**  
Medical Assistance - Academic Medical Centers

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
State Funds	\$21,092	\$21,479	\$15,148
Federal Funds Total	\$30,596	\$30,576	\$21,689
Federal Sources Itemized			
<i>MA - Academic Medical Centers</i>	\$27,007	\$27,374	\$19,406
<i>COVID - MA - Academic Medical Centers (EA)</i>	\$3,589	\$3,202	\$2,283
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Total</b>	<b>\$51,688</b>	<b>\$52,055</b>	<b>\$36,837</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	<u>\$0</u>
<b>Total</b>	<b>\$0</b>

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Academic Medical Centers				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	\$0	\$0	\$0	\$0	0.00%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$21,092	\$21,479	\$15,148	(\$6,331)	-29.48%
<i>Federal Funds</i>	\$30,596	\$30,155	\$21,689	(\$8,466)	-28.07%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	\$51,688	\$51,634	\$36,837	(\$14,797)	-28.66%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$421	\$0	(\$421)	-100.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$0	\$421	\$0	(\$421)	-100.00%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$0	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$21,092	\$21,479	\$15,148	(\$6,331)	-29.48%
<i>Federal Funds</i>	\$30,596	\$30,576	\$21,689	(\$8,887)	-29.07%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	\$51,688	\$52,055	\$36,837	(\$15,218)	-29.23%

**APPROPRIATION:**  
**Medical Assistance - Academic Medical Centers**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget assumes a decrease of \$6.331 million in state funds related to non-recurring grant payments.

**Legislative Citations:**

62 P.S. § 201(2)

**Disbursement Criteria:**

This appropriation provides for payments to certain academic medical centers to assure the continuation of the critical services they provide to the Medical Assistance (MA) population. These payments are intended to offset the MA share of the medical education costs incurred by these hospitals to assure the continued availability of professional medical services to the MA population.

Payments are based on Fiscal Year 2002-2003 MA cost report data with 47.2 percent of funding distributed to a large hospital with 750 setup and staffed beds; and 26.4 percent distributed to each other qualifying hospital.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Academic Medical Centers			
	State \$	Federal \$	Other \$	Total \$
<b>GRANT &amp; SUBSIDY</b>				
1. Impact of non-recurring payments in Fiscal Year 2020-2021:	(\$7,250)	(\$7,919)	\$0	(\$15,169)
2. Impact of the increase in payments due to the change in the Federal Medical Assistance Percentage (FMAP) from 52.20 percent to 52.68 percent, effective October 1, 2021:	\$0	\$372	\$0	\$372
3. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	\$919	(\$919)	\$0	\$0
Subtotal Grant & Subsidy	(\$6,331)	(\$8,466)	\$0	(\$14,797)
<b>BUDGETARY RESERVE</b>				
1. Impact of excess federal appropriation authority in Fiscal Year 2020-2021:	\$0	(\$421)	\$0	(\$421)
<b>TOTAL</b>	<u>(\$6,331)</u>	<u>(\$8,887)</u>	<u>\$0</u>	<u>(\$15,218)</u>
<b>Fiscal Year 2020-2021 Projected Payments</b>	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
UPMC Presbyterian Shadyside	\$4,653	\$6,534	\$0	\$11,187
Temple University Hospital	\$2,604	\$3,655	\$0	\$6,259
Milton S. Hershey Medical Center	\$2,604	\$3,655	\$0	\$6,259
The Commonwealth Medical College	\$3,046	\$4,276	\$0	\$7,322
Lake Erie College of Osteopathic Medicine	\$2,437	\$3,421	\$0	\$5,858
Philadelphia College of Osteopathic Medicine	\$1,349	\$1,894	\$0	\$3,243
Penn State - Centre County	\$3,916	\$5,498	\$0	\$9,414
UPMC Presbyterian Shadyside	\$870	\$1,222	\$0	\$2,092
Uncommitted Federal	\$0	\$421	\$0	\$421
<b>Total Fiscal Year 2020-2021</b>	<u>\$21,479</u>	<u>\$30,576</u>	<u>\$0</u>	<u>\$52,055</u>
<b>Fiscal Year 2021-2022 Projected Payments</b>				
UPMC Presbyterian Shadyside	\$4,648	\$6,653	\$0	\$11,301
Temple University Hospital	\$2,600	\$3,723	\$0	\$6,323
Milton S. Hershey Medical Center	\$2,600	\$3,723	\$0	\$6,323
The Commonwealth Medical College	\$1,738	\$2,489	\$0	\$4,227
Lake Erie College of Osteopathic Medicine	\$695	\$995	\$0	\$1,690
Philadelphia College of Osteopathic Medicine	\$695	\$995	\$0	\$1,690
Penn State - Centre County	\$2,172	\$3,111	\$0	\$5,283
<b>Total Fiscal Year 2021-2022</b>	<u>\$15,148</u>	<u>\$21,689</u>	<u>\$0</u>	<u>\$36,837</u>

## **MEDICAL ASSISTANCE – ACADEMIC MEDICAL CENTERS**

### **PROGRAM STATEMENT**

Effective July 1, 2005, the Department of Human Services implemented payments to certain academic medical centers to assure the critical services they provide to the Medical Assistance (MA) population can continue. These payments also help to offset the MA share of the medical education costs incurred by these hospitals to ensure the continued availability of professional medical services for the MA population.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

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E27.19, E27.21, E27.22, E27.23

**APPROPRIATION:**  
Medical Assistance - Transportation

I. SUMMARY FINANCIAL DATA	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
<b>State Funds</b>	\$63,113	\$64,193	\$65,789
<b>State Sources Itemized</b>			
<i>MA - Transportation (General Fund)</i>	\$59,613	\$60,693	\$62,289
<i>MA - Transportation Services (Lottery Fund)</i>	\$3,500	\$3,500	\$3,500
<b>Federal Funds Total</b>	\$87,827	\$85,514	\$82,668
<b>Federal Sources Itemized</b>			
<i>Medical Assistance - Transportation</i>	\$85,706	\$83,514	\$80,421
<i>COVID - MA - Transportation (EA)</i>	\$2,121	\$2,000 <sup>1</sup>	\$2,247
<b>Other Funds</b>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Total</b>	\$150,940	\$149,707	\$148,457
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>			
<b>State Funds</b>		\$0	
<b>Federal Funds</b>		(\$193)	
<b>Federal Sources Itemized</b>			
<i>COVID - MA - Transportation (EA)</i>		<u>(\$193)</u>	
<b>Total</b>		(\$193)	
 <sup>1</sup> Reflects a recommended executive authorization reduction of \$0.193 million. A total of \$2.193 million was originally included for this appropriation in Fiscal Year 2020-2021.			

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Transportation				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$63,113	\$64,193	\$65,789	\$1,596	2.49%
Federal Funds	\$87,827	\$80,600	\$82,668	\$2,068	2.57%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$150,940</b>	<b>\$144,793</b>	<b>\$148,457</b>	<b>\$3,664</b>	<b>2.53%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$4,914	\$0	(\$4,914)	-100.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$4,914</b>	<b>\$0</b>	<b>(\$4,914)</b>	<b>-100.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$63,113	\$64,193	\$65,789	\$1,596	2.49%
Federal Funds	\$87,827	\$85,514	\$82,668	(\$2,846)	-3.33%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$150,940</b>	<b>\$149,707</b>	<b>\$148,457</b>	<b>(\$1,250)</b>	<b>-0.83%</b>

<b>APPROPRIATION:</b> Medical Assistance - Transportation
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III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$0	\$1,900	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

Funding projections are derived from county trip statistics, contracted rates, and county adjustments based on service utilization.

Detail on the appropriation is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**

62 P.S. §§ 201, 206; 42 U.S.C. § 1396a(a)(4); 42 CFR § 431.53

**Disbursement Criteria:**

County allocations are based on actual county prior year expenditures. The Department of Human Services contracts with Logisticare Solutions, LLC to coordinate the Medical Assistance - Transportation Program (MATP) for Philadelphia county based on a per individual rate. Due to updates to the State Plan that allow counties to elect to use brokers to coordinate the MATP, the Department of Human Services contracts with York County Transportation (Rabbit Transit) to coordinate the MATP for Adams, Columbia, Cumberland, Franklin, Indiana, Montour, Northumberland, Perry, Snyder, Union and York counties based on a per trip rate developed from historical costs. The Department of Human Services also contracts with the South Central Transit Authority (SCTA) to coordinate the MATP for Berks and Lancaster counties.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Transportation			
	State \$	Federal \$	Other \$	Total
<b>GRANT &amp; SUBSIDY</b>				
1. Provides for an increase of 627,129 trips in Fiscal Year 2021-2022:	\$4,480	\$5,349	\$0	\$9,829
2. Provides for a decrease in the cost per trip of \$10.09 in Fiscal Year 2021-2022:	(\$3,279)	(\$2,886)	\$0	(\$6,165)
Subtotal Cost & Utilization	\$1,201	\$2,463	\$0	\$3,664
3. Impact of the change in the distribution between existing and newly eligible recipients. This also includes the impact of the change in the Federal Medical Assistance Percentge (FMAP) (an increase from 52.20 percent to 52.68 percent on October 1, 2021). Full year blended rate increases from 52.2125 percent to 52.5600 percent:	\$642	(\$642)	\$0	\$0
4. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	(\$247)	\$247	\$0	\$0
Subtotal Grant & Subsidy	\$1,596	\$2,068	\$0	\$3,664
<b>BUDGETARY RESERVE</b>				
1. Impact of excess federal spending authority in Fiscal Year 2020-2021:	\$0	(\$4,914)	\$0	(\$4,914)
<b>TOTAL GENERAL FUND</b>	<u>\$1,596</u>	<u>(\$2,846)</u>	<u>\$0</u>	<u>(\$1,250)</u>
<b>LOTTERY FUND</b>				
<b>GRANT &amp; SUBSIDY</b>				
1. Maintains Lottery Fund at the Fiscal Year 2020-2021 level of \$3.500 million:	\$0	\$0	\$0	\$0
<b>TOTAL LOTTERY FUND</b>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>TOTAL</b>	<u>\$1,596</u>	<u>(\$2,846)</u>	<u>\$0</u>	<u>(\$1,250)</u>

**Medical Assistance - Transportation**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2020-2021**

	Total	Federal	COVID-19	State	Services	Clients	Cost per Service
Counties	\$83,477,504	\$41,738,752	\$0	\$41,738,752	4,072,903	81,487	\$20.50
Philadelphia Contract	\$45,977,990	\$29,192,887	\$1,999,626	\$14,785,477	4,615,128	24,821	\$9.96
Direct Contracts	\$15,337,542	\$7,668,771	\$0	\$7,668,771	379,331	13,500	\$40.43
<b>Total Program Requirements</b>	<b>\$144,793,036</b>	<b>\$78,600,410</b>	<b>\$1,999,626</b>	<b>\$64,193,000</b>	<b>9,067,362</b>	<b>119,808</b>	<b>\$70.89</b>
Uncommitted	\$4,913,590	\$4,913,590	\$0	\$0			
Transfer to Lottery Fund	(\$3,500,000)	\$0	\$0	(\$3,500,000)			
<b>Total General Fund Requirements</b>	<b>\$146,206,626</b>	<b>\$83,514,000</b>	<b>\$1,999,626</b>	<b>\$60,693,000</b>			
Act 17-A of 2020	\$146,400,000	\$83,514,000		\$60,693,000			
Fiscal Year 2020-21 Appropriation Amount			\$2,193,000				
Surplus/(Deficit)	\$193,374	\$0	\$193,374	\$0			

**Medical Assistance - Transportation**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2021-2022**

	Total	Federal	COVID-19	State	Services	Clients	Cost per Service
Counties	\$85,484,438	\$42,742,219	\$0	\$42,742,219	4,358,006	87,191	\$19.62
Philadelphia Contract	\$50,652,934	\$31,518,967	\$2,247,000	\$16,886,967	4,938,187	26,062	\$10.26
Direct Contracts	\$12,320,066	\$6,160,033	\$0	\$6,160,033	398,298	14,175	\$30.93
<b>Total Program Requirements</b>	<b>\$148,457,438</b>	<b>\$80,421,219</b>	<b>\$2,247,000</b>	<b>\$65,789,219</b>	<b>9,694,491</b>	<b>127,428</b>	<b>\$60.80</b>
Transfer to Lottery Fund	(\$3,500,000)	\$0	\$0	(\$3,500,000)			
<b>Total General Fund Requirements</b>	<b>\$144,957,438</b>	<b>\$80,421,219</b>	<b>\$2,247,000</b>	<b>\$62,289,219</b>			

## **MEDICAL ASSISTANCE - TRANSPORTATION**

### **PROGRAM STATEMENT**

Federal regulations require the State Medicaid Agency ensure that non-emergency medical transportation is available for eligible Medical Assistance (MA) recipients to and from MA enrolled medical providers. The Department of Human Services carries out this mandate by providing such services through the Medical Assistance Transportation Program (MATP). Emergency medical transportation services are funded separately through the MA Fee-for-Service and Capitation appropriations.

The MATP operates in every county by either the county government, sub-contracted entities of county government, local transit agencies or a transportation brokerage agency. Effective in Fiscal Year 2020-2021, funds were allocated to 53 county grantees who either provide services directly or contract with a local human services or transit agency, two transit agencies via direct vendor contracts (funded on a per trip rate basis) who provide services in 13 counties and one broker (funded on a “per member per month” fee basis) who provides services in one county.

Lottery funding continues to be available in Fiscal Year 2021-2022 to offset MATP costs for seniors 65 years and older utilizing PennDOT’s Senior Shared Ride Program.



**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. C1.45, E27.6, E27.19, E27.22

**APPROPRIATION:**  
Expanded Medical Services for Women

**I. SUMMARY FINANCIAL DATA**

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budgeted</u>
State Funds	\$6,263	\$6,263	\$6,263
Federal Funds Total	\$1,000	\$1,000	\$1,000
Federal Sources Itemized			
<i>TANFBG - Alternatives to Abortion</i>	\$1,000	\$1,000	\$1,000
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Total</b>	<b>\$7,263</b>	<b>\$7,263</b>	<b>\$7,263</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	<u>\$0</u>
<b>Total</b>	<b>\$0</b>

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Expanded Medical Services for Women			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$6,263	\$6,263	\$6,263	\$0	0.00%
Federal Funds	\$1,000	\$1,000	\$1,000	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$7,263</b>	<b>\$7,263</b>	<b>\$7,263</b>	<b>\$0</b>	<b>0.00%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$6,263	\$6,263	\$6,263	\$0	0.00%
Federal Funds	\$1,000	\$1,000	\$1,000	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$7,263</b>	<b>\$7,263</b>	<b>\$7,263</b>	<b>\$0</b>	<b>0.00%</b>

**APPROPRIATION:**  
Expanded Medical Services for Women

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$641	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget maintains state funding for Expanded Medical Services for Women at the Fiscal Year 2020-2021 state funding level of \$6.263 million. The Fiscal Year 2021-2022 Governor's Executive Budget maintains federal funding for the Temporary Assistance for Needy Families Block Grant (TANFBG) program at the Fiscal Year 2020-2021 appropriation level of \$1.000 million.

**Legislative Citations:**

62 P.S. §§ 201, 206

**Disbursement Criteria:**

The Department of Human Services contracts with Real Alternatives, a non-profit organization that administers the Alternatives to Abortion Service Program. State funds are allocated for grants to nonprofit agencies whose primary function is to assist pregnant women who are seeking alternatives to abortion. Federal TANFBG funding is allocated to agencies to assist pregnant women seeking alternatives to abortion whose gross family income is below 185 percent of the Federal Poverty Income Guidelines.

**VI. EXPLANATION OF CHANGES**  
 (\$ Amounts in Thousands)

**APPROPRIATION:**  
 Expanded Medical Services for Women

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>GRANTS &amp; SUBSIDY</b>				
1. The Fiscal Year 2021-2022 Governor's Executive Budget maintains state and federal funding at Fiscal Year 2020-2021 funding levels:	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>TOTAL</b>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>

## **EXPANDED MEDICAL SERVICES FOR WOMEN**

### **PROGRAM STATEMENT**

The Expanded Medical Services for Women appropriation represents funding for the Alternatives to Abortion Service Program (AASP). The Fiscal Year 2021-2022 Governor's Executive Budget provides state funding of \$6.263 million. The Fiscal Year 2021-2022 Governor's Executive Budget also contains \$1.000 million in Temporary Assistance for Needy Families federal funding support.

The AASP began in Fiscal Year 1991-1992 to assist women experiencing a crisis pregnancy with information and referrals for needed services at the time when a decision regarding the outcome of the pregnancy is being made, to encourage maintenance of the pregnancy and offer alternatives to abortion. The AASP assists women throughout the pregnancy and during the first twelve months following birth. The program provides services such as social and educational support, counseling and mentoring, pregnancy, childbirth and parenting classes, abstinence education, food, clothing and furniture pantries, housing assistance, and referrals to other community agencies and medical care.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. A1.8, C1.11, C1.16, C1.45, D72, E27.6, E27.12,  
E27.19 - E27.24

**APPROPRIATION:**  
Children's Health Insurance Program

I. SUMMARY FINANCIAL DATA	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
State Funds Total	\$31,037	\$66,057 <sup>1</sup>	\$77,494
Federal Funds Total	\$400,445	\$369,956	\$301,958
Federal Sources Itemized			
<i>Children's Health Insurance Program</i>	\$390,135	\$352,142	\$284,140
<i>COVID-Children's Health Insurance Program (EA)</i>	\$10,310	\$17,814 <sup>2</sup>	\$17,818
Other Funds Total	\$41,123	\$46,119	\$45,987
Other Fund Sources Itemized			
<i>Medical Assistance - Assessments</i>	\$8,464	\$12,842	\$15,257
<i>Cigarette Tax</i>	\$32,659	\$33,277	\$30,730
<b>Total</b>	<b>\$472,605</b>	<b>\$482,132</b>	<b>\$425,439</b>
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>			
State Funds		(\$2,478)	
Federal Funds Total		(\$618)	
Federal Sources Itemized			
<i>COVID-Children's Health Insurance Program (EA)</i>		(\$618)	
<b>Total</b>		<b>(\$3,096)</b>	
 <sup>1</sup> Reflects a recommended appropriation reduction of \$2.478 million. Act 17-A provided \$68.535 million for this appropriation in Fiscal Year 2020-2021.			
 <sup>2</sup> Reflects a recommended executive authorization reduction of \$0.618 million. A total of \$18.432 million was originally included for this appropriation in Fiscal Year 2020-2021.			

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)			APPROPRIATION: Children's Health Insurance Program		
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$233	\$828	\$1,214	\$386	46.62%
Federal Funds	\$809	\$2,327	\$2,991	\$664	28.53%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$1,042</b>	<b>\$3,155</b>	<b>\$4,205</b>	<b>\$1,050</b>	<b>33.28%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$30,804	\$65,229	\$76,280	\$11,051	16.94%
Federal Funds	\$397,891	\$301,002	\$298,967	(\$2,035)	-0.68%
Other Funds	\$41,123	\$46,119	\$45,987	(\$132)	-0.29%
<b>Total Grant &amp; Subsidy</b>	<b>\$469,818</b>	<b>\$412,350</b>	<b>\$421,234</b>	<b>\$8,884</b>	<b>2.15%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$1,745	\$66,627	\$0	(\$66,627)	-100.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$1,745</b>	<b>\$66,627</b>	<b>\$0</b>	<b>(\$66,627)</b>	<b>-100.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$31,037	\$66,057	\$77,494	\$11,437	17.31%
Federal Funds	\$400,445	\$369,956	\$301,958	(\$67,998)	-18.38%
Other Funds	\$41,123	\$46,119	\$45,987	(\$132)	-0.29%
<b>Total Funds</b>	<b>\$472,605</b>	<b>\$482,132</b>	<b>\$425,439</b>	<b>(\$56,693)</b>	<b>-11.76%</b>

**APPROPRIATION:**  
Children's Health Insurance Program

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2018-2019	2019-2020	2020-2021 Estimated
State Funds	\$11	\$0	\$0

IV. COMPLEMENT INFORMATION	12/31/2019	12/31/2020	2021-2022 Budgeted
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

Funding projections are derived from actuarial forecasting models developed on the basis of persons estimated to be eligible for the three components of coverage for children and teens up to age 19. The free component covers individuals in families with a net income no greater than 208 percent of the Federal Poverty Level (FPL). The second, low-cost component covers children in families with a net income greater than 208 percent but not greater than 314 percent of the FPL. The third component covers children in households with a net family income greater than 314 percent, in which the families pay the entire monthly premium.

Detail on the appropriation is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**

Article XXIII-A of the Insurance Company Law of 1921 (Act 2015-84); Title XXI of the Social Security Act, 42 U.S.C.A §§ 1397aa-1397mm

**Disbursement Criteria:**

This appropriation funds the claims operations of the Children's Health Insurance Program (CHIP), which is administered by contracted insurance companies to provide Managed Care coverage to children. Disbursements are made based on invoices submitted for operating expenses and benefit claims to contractors.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Children's Health Insurance Program			
	State \$	Federal \$	Other \$	Total \$
<b>OPERATING</b>				
1. Provides for an increase in operating to merge a portion of Children's Health Insurance Administration into Children's Health Insurance Program:	\$349	\$701	\$0	\$1,050
2. Impact of the change in the Enhanced Federal Medical Assistance Percentage (an increase from 66.54 percent to 66.88 percent, effective October 1, 2021). Full-year blended rate decreases from 69.425 percent to 66.795 percent:	\$83	(\$83)	\$0	\$0
3. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	(\$46)	\$46	\$0	\$0
Subtotal Operating	\$386	\$664	\$0	\$1,050
<b>GRANT &amp; SUBSIDY</b>				
1. Monthly Payments for the Free Component:				
A. Provides for a decrease of 2,100 children during Fiscal Year 2021-2022 from 110,058 to 107,958:	(\$1,769)	(\$4,048)	\$0	(\$5,817)
B. Provides for an increase in the average monthly cost per user of \$2.25, from \$230.85 in Fiscal Year 2020-2021 to \$233.10 in Fiscal Year 2021-2022:	\$1,409	\$1,522	\$0	\$2,931
2. Monthly Subsidized Payments for Children from 208 to 262 percent of the Federal Poverty Income Guidelines (FPIG):				
A. Provides for an increase of 618 children during Fiscal Year 2021-2022 from 34,937 to 35,555:	\$394	\$897	\$0	\$1,291
B. Provides for an increase in the average monthly cost per user of \$1.52, from \$174.10 in Fiscal Year 2020-2021 to \$175.62 in Fiscal Year 2021-2022:	\$283	\$365	\$0	\$648
3. Monthly Subsidized Payments for Children from 262 to 288 percent of the FPIG:				
A. Provides for an increase of 322 children during Fiscal Year 2021-2022 from 9,912 to 10,234:	\$174	\$397	\$0	\$571
B. Provides for an increase in the average monthly cost per user of \$0.70, from \$147.64 in Fiscal Year 2020-2021 to \$148.34 in Fiscal Year 2021-2022:	\$51	\$34	\$0	\$85
4. Monthly Subsidized Payments for Children from 288 to 314 percent of the FPIG:				
A. Provides for an increase of 190 children during Fiscal Year 2021-2022 from 7,333 to 7,523:	\$94	\$214	\$0	\$308
B. Provides for an increase in the average monthly cost per user of \$0.75, from \$135.23 in Fiscal Year 2020-2021 to \$135.98 in Fiscal Year 2021-2022:	\$39	\$28	\$0	\$67
5. Impact of the change in the Enhanced Federal Medical Assistance Percentage (an increase from 66.54 percent to 66.88 percent, effective October 1, 2021). Full-year blended rate decreases from 69.425 percent to 66.795 percent:	\$10,608	(\$10,608)	\$0	\$0

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Children's Health Insurance Program			
	State \$	Federal \$	Other \$	Total \$
6. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	\$42	(\$42)	\$0	\$0
7. Impact of a nonrecurring rollforward of Fiscal Year 2019-2020 expenditures to Fiscal Year 2020-2021:	(\$5,035)	\$0	\$0	(\$5,035)
8. The Fiscal Year 2021-2022 Governor's Executive Budget recommends \$30.730 million be allocated from the Cigarette Tax to provide supplemental funding to the CHIP appropriation:	\$2,547	\$0	(\$2,547)	\$0
9. Increase in MCO Assessment in Fiscal Year 2021-2022:	(\$2,415)	\$0	\$2,415	\$0
Subtotal Grant & Subsidy	<u>\$6,422</u>	<u>(\$11,241)</u>	<u>(\$132)</u>	<u>(\$4,951)</u>
<b>BUGETARY RESERVE</b>				
1. Impact of excess federal appropriation authority in Fiscal Year 2020-2021:	\$0	(\$66,627)	\$0	(\$66,627)
<b>FISCAL YEAR 2021-2022 INITIATIVES</b>				
<b>GRANT &amp; SUBSIDY</b>				
1. Minimum Wage Increase				
A. Reflects the transfer of children from Medicaid to CHIP related to the change in minimum wage to \$12.00 an hour, effective July 1, 2021:				
	<u>\$4,629</u>	<u>\$9,206</u>	<u>\$0</u>	<u>\$13,835</u>
Subtotal Fiscal Year 2021-2022 Initiatives	<u>\$4,629</u>	<u>\$9,206</u>	<u>\$0</u>	<u>\$13,835</u>
<b>TOTAL OPERATING</b>	<b>\$386</b>	<b>\$664</b>	<b>\$0</b>	<b>\$1,050</b>
<b>TOTAL GRANT &amp; SUBSIDY</b>	<b>\$11,051</b>	<b>(\$2,035)</b>	<b>(\$132)</b>	<b>\$8,884</b>
<b>TOTAL BUDGETARY RESERVE</b>	<b>\$0</b>	<b>(\$66,627)</b>	<b>\$0</b>	<b>(\$66,627)</b>
<b>TOTAL</b>	<b><u>\$11,437</u></b>	<b><u>(\$67,998)</u></b>	<b><u>(\$132)</u></b>	<b><u>(\$56,693)</u></b>

**Children's Health Insurance Program  
Fiscal Year 2021-2022 Governor's Executive Budget  
Fiscal Year 2020-2021**

<b>Free Component</b>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>	<b>Total Enrollment</b>	<b>Average Per Capita</b>
July 2020	\$26,995,540	\$21,078,118	\$1,171,606	\$4,745,816	118,678	\$227.47
August	\$26,967,309	\$21,056,075	\$1,170,381	\$4,740,853	116,146	\$232.18
September	\$26,401,112	\$20,613,988	\$1,145,808	\$4,641,316	114,049	\$231.49
October	\$25,830,948	\$17,187,913	\$1,121,063	\$7,521,972	112,079	\$230.47
November	\$25,324,730	\$16,851,075	\$1,099,093	\$7,374,562	109,594	\$231.08
December	\$24,763,249	\$16,477,466	\$1,074,725	\$7,211,058	107,164	\$231.08
January 2021	\$24,763,249	\$16,477,466	\$1,074,725	\$7,211,058	107,164	\$231.08
February	\$24,763,249	\$16,477,466	\$1,074,725	\$7,211,058	107,164	\$231.08
March	\$24,763,249	\$16,477,466	\$1,074,725	\$7,211,058	107,164	\$231.08
April	\$24,763,249	\$16,477,466	\$1,074,725	\$7,211,058	107,164	\$231.08
May	\$24,763,249	\$16,477,466	\$1,074,725	\$7,211,058	107,164	\$231.08
June	\$24,763,249	\$16,477,466	\$1,074,725	\$7,211,058	107,164	\$231.08
<b>Subtotal Free Component</b>	<b>\$304,862,382</b>	<b>\$212,129,431</b>	<b>\$13,231,026</b>	<b>\$79,501,925</b>	<b>110,058</b>	<b>\$230.85</b>
<b>Subsidized - 208-262%</b>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>	<b>Total Enrollment</b>	<b>Average Per Capita</b>
July 2020	\$6,165,582	\$4,814,086	\$267,586	\$1,083,910	35,744	\$172.49
August	\$6,190,941	\$4,833,887	\$268,687	\$1,088,687	35,409	\$174.84
September	\$6,283,682	\$4,906,299	\$272,712	\$1,104,671	35,521	\$176.90
October	\$6,124,580	\$4,075,296	\$265,807	\$1,783,477	35,222	\$173.89
November	\$6,073,026	\$4,040,992	\$263,569	\$1,768,465	34,926	\$173.88
December	\$6,021,906	\$4,006,976	\$261,351	\$1,753,579	34,632	\$173.88
January 2021	\$6,021,906	\$4,006,976	\$261,351	\$1,753,579	34,632	\$173.88
February	\$6,021,906	\$4,006,976	\$261,351	\$1,753,579	34,632	\$173.88
March	\$6,021,906	\$4,006,976	\$261,351	\$1,753,579	34,632	\$173.88
April	\$6,021,906	\$4,006,976	\$261,351	\$1,753,579	34,632	\$173.88
May	\$6,021,906	\$4,006,976	\$261,351	\$1,753,579	34,632	\$173.88
June	\$6,021,906	\$4,006,976	\$261,351	\$1,753,579	34,632	\$173.88
<b>Subtotal Subsidized - 208-262%</b>	<b>\$72,991,153</b>	<b>\$50,719,392</b>	<b>\$3,167,818</b>	<b>\$19,103,943</b>	<b>34,937</b>	<b>\$174.10</b>
<b>Subsidized - 262-288%</b>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>	<b>Total Enrollment</b>	<b>Average Per Capita</b>
July 2020	\$1,481,691	\$1,156,904	\$64,305	\$260,482	10,016	\$147.93
August	\$1,482,261	\$1,157,349	\$64,330	\$260,582	9,897	\$149.77
September	\$1,529,262	\$1,194,048	\$66,370	\$268,844	10,054	\$152.10
October	\$1,467,362	\$976,383	\$63,684	\$427,295	9,991	\$146.87
November	\$1,458,167	\$970,264	\$63,284	\$424,619	9,928	\$146.87
December	\$1,449,030	\$964,185	\$62,888	\$421,957	9,866	\$146.87
January 2021	\$1,449,030	\$964,185	\$62,888	\$421,957	9,866	\$146.87
February	\$1,449,030	\$964,185	\$62,888	\$421,957	9,866	\$146.87
March	\$1,449,030	\$964,185	\$62,888	\$421,957	9,866	\$146.87
April	\$1,449,030	\$964,185	\$62,888	\$421,957	9,866	\$146.87
May	\$1,449,030	\$964,185	\$62,888	\$421,957	9,866	\$146.87
June	\$1,449,030	\$964,185	\$62,888	\$421,957	9,866	\$146.87
<b>Subtotal Subsidized - 262-288%</b>	<b>\$17,561,953</b>	<b>\$12,204,243</b>	<b>\$762,189</b>	<b>\$4,595,521</b>	<b>9,912</b>	<b>\$147.64</b>
<b>Subsidized - 288-314%</b>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>	<b>Total Enrollment</b>	<b>Average Per Capita</b>
July 2020	\$1,006,951	\$786,227	\$43,702	\$177,022	7,453	\$135.11
August	\$1,024,489	\$799,921	\$44,463	\$180,105	7,447	\$137.57
September	\$1,030,239	\$804,411	\$44,712	\$181,116	7,448	\$138.32
October	\$994,935	\$662,030	\$43,180	\$289,725	7,390	\$134.63
November	\$987,187	\$656,874	\$42,844	\$287,469	7,332	\$134.64
December	\$979,500	\$651,759	\$42,510	\$285,231	7,275	\$134.64
January 2021	\$979,500	\$651,759	\$42,510	\$285,231	7,275	\$134.64
February	\$979,500	\$651,759	\$42,510	\$285,231	7,275	\$134.64
March	\$979,500	\$651,759	\$42,510	\$285,231	7,275	\$134.64
April	\$979,500	\$651,759	\$42,510	\$285,231	7,275	\$134.64
May	\$979,500	\$651,759	\$42,510	\$285,231	7,275	\$134.64
June	\$979,500	\$651,759	\$42,510	\$285,231	7,275	\$134.64
<b>Subtotal Subsidized - 288-314%</b>	<b>\$11,900,301</b>	<b>\$8,271,776</b>	<b>\$516,471</b>	<b>\$3,112,054</b>	<b>7,333</b>	<b>\$135.23</b>
<b>TOTAL PREMIUM PAYMENTS</b>	<b>\$407,315,789</b>	<b>\$283,324,842</b>	<b>\$17,677,504</b>	<b>\$106,313,443</b>		
<b><u>OPERATING</u></b>						
Outreach	\$3,155,000	\$2,190,359	\$136,927	\$827,714		
Total Operating	\$3,155,000	\$2,190,359	\$136,927	\$827,714		
Rollforward of Fiscal Year 2019-2020 Expenditures	\$5,035,260	\$0	\$0	\$5,035,260		
Uncommitted	\$66,626,799	\$66,626,799	\$0	\$0		
<b>Total Program Cost</b>	<b>\$482,132,848</b>	<b>\$352,142,000</b>	<b>\$17,814,431</b>	<b>\$112,176,417</b>		
<b><u>Revenue</u></b>						
MCO Assessment	\$12,841,970	\$0	\$0	\$12,841,970		
Cigarette Tax	\$33,277,453	\$0	\$0	\$33,277,453		
Total Revenue	\$46,119,423	\$0	\$0	\$46,119,423		
<b>Total General Fund Requirement</b>	<b>\$436,013,425</b>	<b>\$352,142,000</b>	<b>\$17,814,431</b>	<b>\$66,056,994</b>		
Act 17-A of 2020		\$352,142,000		\$68,535,000		
Fiscal Year 2020-21 Appropriation Amount			\$18,432,000			
Surplus/(Deficit)		\$0	\$617,569	\$2,478,006		

**Children's Health Insurance Program  
Fiscal Year 2021-2022 Governor's Executive Budget  
Fiscal Year 2021-2022**

<b>Free Component</b>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>	<b>Total Enrollment</b>	<b>Average Per Capita</b>
July 2021	\$25,009,656	\$16,641,425	\$1,085,419	\$7,282,812	107,293	\$233.10
August	\$25,039,667	\$16,661,394	\$1,086,722	\$7,291,551	107,422	\$233.10
September	\$25,068,213	\$16,680,389	\$1,087,960	\$7,299,864	107,544	\$233.10
October	\$25,095,788	\$16,784,063	\$1,089,157	\$7,222,568	107,662	\$233.10
November	\$25,123,393	\$16,802,525	\$1,090,355	\$7,230,513	107,781	\$233.10
December	\$25,151,029	\$16,821,008	\$1,091,555	\$7,238,466	107,899	\$233.10
January 2022	\$25,178,695	\$16,839,511	\$1,092,755	\$7,246,429	108,018	\$233.10
February	\$25,206,391	\$16,858,034	\$1,093,957	\$7,254,400	108,137	\$233.10
March	\$25,234,118	\$16,876,578	\$1,095,161	\$7,262,379	108,256	\$233.10
April	\$25,261,876	\$16,895,143	\$1,096,365	\$7,270,368	108,375	\$233.10
May	\$25,289,664	\$16,913,727	\$1,097,571	\$7,278,366	108,494	\$233.10
June	\$25,317,483	\$16,932,333	\$1,053,479	\$7,331,671	108,613	\$233.10
<b>Subtotal Free Component</b>	<b>\$301,975,973</b>	<b>\$201,706,130</b>	<b>\$13,060,456</b>	<b>\$87,209,387</b>	<b>107,958</b>	<b>\$233.10</b>
<b>Subsidized - 208-262%</b>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>	<b>Total Enrollment</b>	<b>Average Per Capita</b>
July 2021	\$6,106,698	\$4,063,397	\$265,031	\$1,778,270	34,771	\$175.63
August	\$6,131,371	\$4,079,814	\$266,102	\$1,785,455	34,912	\$175.62
September	\$6,156,143	\$4,096,298	\$267,177	\$1,792,668	35,053	\$175.62
October	\$6,181,016	\$4,133,864	\$268,256	\$1,778,896	35,195	\$175.62
November	\$6,205,989	\$4,150,565	\$269,340	\$1,786,084	35,337	\$175.62
December	\$6,231,063	\$4,167,335	\$270,428	\$1,793,300	35,480	\$175.62
January 2022	\$6,256,238	\$4,184,172	\$271,521	\$1,800,545	35,623	\$175.62
February	\$6,281,515	\$4,201,077	\$272,618	\$1,807,820	35,767	\$175.62
March	\$6,306,894	\$4,218,051	\$273,719	\$1,815,124	35,911	\$175.63
April	\$6,332,376	\$4,235,093	\$274,825	\$1,822,458	36,056	\$175.63
May	\$6,357,960	\$4,252,204	\$275,935	\$1,829,821	36,202	\$175.62
June	\$6,383,648	\$4,269,384	\$277,050	\$1,837,214	36,348	\$175.63
<b>Subtotal Subsidized - 208-262%</b>	<b>\$74,930,911</b>	<b>\$50,051,254</b>	<b>\$3,252,002</b>	<b>\$21,627,655</b>	<b>35,555</b>	<b>\$175.62</b>
<b>Subsidized - 262-288%</b>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>	<b>Total Enrollment</b>	<b>Average Per Capita</b>
July 2021	\$1,471,751	\$979,303	\$63,874	\$428,574	9,922	\$148.33
August	\$1,480,029	\$984,811	\$64,233	\$430,985	9,977	\$148.34
September	\$1,488,352	\$990,349	\$64,594	\$433,409	10,034	\$148.33
October	\$1,496,723	\$1,001,008	\$64,958	\$430,757	10,090	\$148.34
November	\$1,505,141	\$1,006,638	\$65,323	\$433,180	10,147	\$148.33
December	\$1,513,606	\$1,012,300	\$65,691	\$435,615	10,204	\$148.33
January 2022	\$1,522,119	\$1,017,993	\$66,060	\$438,066	10,261	\$148.34
February	\$1,530,680	\$1,023,719	\$66,432	\$440,529	10,319	\$148.34
March	\$1,539,288	\$1,029,476	\$66,805	\$443,007	10,377	\$148.34
April	\$1,547,946	\$1,035,266	\$67,181	\$445,499	10,435	\$148.34
May	\$1,556,651	\$1,041,088	\$67,559	\$448,004	10,494	\$148.34
June	\$1,565,406	\$1,046,944	\$67,939	\$450,523	10,553	\$148.34
<b>Subtotal Subsidized - 262-288%</b>	<b>\$18,217,692</b>	<b>\$12,168,895</b>	<b>\$790,649</b>	<b>\$5,258,148</b>	<b>10,234</b>	<b>\$148.34</b>
<b>Subsidized - 288-314%</b>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>	<b>Total Enrollment</b>	<b>Average Per Capita</b>
July 2021	\$994,372	\$661,655	\$43,156	\$289,561	7,313	\$135.97
August	\$999,474	\$665,050	\$43,377	\$291,047	7,350	\$135.98
September	\$1,004,604	\$668,464	\$43,600	\$292,540	7,388	\$135.98
October	\$1,009,759	\$675,327	\$43,824	\$290,608	7,426	\$135.98
November	\$1,014,941	\$678,793	\$44,048	\$292,100	7,464	\$135.98
December	\$1,020,149	\$682,276	\$44,274	\$293,599	7,502	\$135.98
January 2022	\$1,025,384	\$685,777	\$44,502	\$295,105	7,541	\$135.97
February	\$1,030,646	\$689,296	\$44,730	\$296,620	7,579	\$135.99
March	\$1,035,935	\$692,833	\$44,960	\$298,142	7,618	\$135.99
April	\$1,041,251	\$696,389	\$45,190	\$299,672	7,657	\$135.99
May	\$1,046,595	\$699,963	\$45,422	\$301,210	7,697	\$135.97
June	\$1,051,966	\$703,555	\$45,655	\$302,756	7,736	\$135.98
<b>Subtotal Subsidized - 288-314%</b>	<b>\$12,275,076</b>	<b>\$8,199,378</b>	<b>\$532,738</b>	<b>\$3,542,960</b>	<b>7,523</b>	<b>\$135.98</b>
<b>TOTAL PREMIUM PAYMENTS</b>	<b>\$407,399,652</b>	<b>\$272,125,657</b>	<b>\$17,635,845</b>	<b>\$117,638,150</b>		
<b>OPERATING</b>						
Actuarial Contract	\$300,000	\$200,385	\$13,020	\$86,595		
External Quality Review Organization	\$300,000	\$200,385	\$13,020	\$86,595		
Outreach	\$3,155,000	\$2,107,382	\$136,927	\$910,691		
Third Party Liability (TPL) Data Exchange	\$450,000	\$300,578	\$19,530	\$129,892		
<b>Total Operating</b>	<b>\$4,205,000</b>	<b>\$2,808,730</b>	<b>\$182,497</b>	<b>\$1,213,773</b>		
<b>FY 2021-2022 PRR/Initiatives</b>						
Minimum Wage Increase	\$13,835,000	\$9,206,000	\$0	\$4,629,000		
<b>Total Program Cost</b>	<b>\$425,439,652</b>	<b>\$284,140,387</b>	<b>\$17,818,342</b>	<b>\$123,480,923</b>		
<b>Revenue</b>						
MCO Assessment	\$15,257,333	\$0	\$0	\$15,257,333		
Cigarette Tax	\$30,730,000	\$0	\$0	\$30,730,000		
<b>Total Revenue</b>	<b>\$45,987,333</b>	<b>\$0</b>	<b>\$0</b>	<b>\$45,987,333</b>		
<b>Total General Fund Requirement</b>	<b>\$379,452,319</b>	<b>\$284,140,387</b>	<b>\$17,818,342</b>	<b>\$77,493,590</b>		

## **CHILDREN'S HEALTH INSURANCE PROGRAM**

### **PROGRAM STATEMENT**

Pennsylvania's Children's Health Insurance Program (CHIP) is acknowledged as a national model, receiving specific recognition in the federal Balanced Budget Act of 1997 as one of only three child health insurance programs that met congressional specifications. In early 2007, Pennsylvania received approval from the federal government to expand eligibility for CHIP as part of the "Cover All Kids" initiative, and in March 2007 the new eligibility guidelines were implemented.

In State Fiscal Year 2021-2022, CHIP anticipates enrolling an average of 177,394 children, an increase of approximately 3,907 children over 2020-2021, at a total cost of \$425 million in state and federal funds. CHIP continues to coordinate applications for children who may be eligible for Medical Assistance (MA) through the health care handshake process.

### **PROGRAM COMPONENTS**

CHIP has three components that cover children and teens up to age 19 with identical, comprehensive benefits. Children under 133 percent of the Federal Poverty Guidelines are eligible for MA.

- The free component covers children in families with a net household income from 133 percent to 208 percent of federal poverty guidelines. Federal Financial Participation (FFP) is received toward the cost of this coverage. There are no premiums and no co-payments collected for enrollees in this group.
- The low-cost component covers children in families with a net income greater than 208 percent to 314 percent of the federal poverty guidelines. FFP is received toward the cost of this low-cost coverage. The parent or guardian is required to pay a modest monthly premium on a sliding scale based upon household income and is responsible for modest co-payments on certain services and prescriptions. Enrollment in low-cost CHIP is divided into three increments with progressively increasing premiums.
- The full-cost component covers children in households with a net family income greater than 314 percent of the federal poverty guidelines. These families pay the entire monthly premium, as negotiated by the state. There is no state or federal financial participation. This expanded group pays an average of approximately \$203 per child per month for the premium. Comparable insurance must either be unavailable or unaffordable for a child to qualify.

### **FISCAL YEAR 2021-2022 INITIATIVE – MINIMUM WAGE INCREASE**

The State Fiscal Year 2021-2022 Minimum Wage Increase initiative will increase the minimum wage for all Pennsylvania workers to \$12.00 per hour, effective July 1, 2021. Workers earning the minimum must have the ability to afford basic, family-sustaining necessities that help avoid poverty, be productive workers, and reduce the use of assistance programs. Increasing the minimum wage will allow the Department's providers to recruit and retain child care, child welfare caseworkers and direct care workers that are essential to the provision of services in the Department's programs. This initiative is expected to decrease HealthChoices enrollment as more adults will be able to transition off MA as their income increases. Additionally, children will transition to CHIP coverage as their family income increases.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. C1.45, E27.6, E27.7, E27.12, E27.19,  
E27.25-E27.27

**APPROPRIATION:**  
Medical Assistance - Long-Term Living

I. SUMMARY FINANCIAL DATA	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
<b>State Funds Total</b>	\$470,244	\$233,142	\$120,432
<b>State Sources Itemized</b>			
<i>MA - Long-Term Living</i>	\$470,244	\$233,142	\$120,432
<b>Federal Funds Total</b>	\$1,477,862	\$175,362	\$142,531
<b>Federal Sources Itemized</b>			
<i>MA - Long-Term Living</i>	\$777,565	\$154,444	\$125,026
<i>COVID - MA Long-Term Living (EA)</i>	\$67,581	\$20,918 <sup>1</sup>	\$17,505
<i>COVID-RF - MA Long-Term Living</i>	\$626,287	\$0	\$0
<i>COVID-RF- Hazard Pay - MA Long-Term Living</i>	\$6,429	\$0	\$0
<b>Other Funds Total</b>	\$145,333	\$537	\$537
<b>Other Fund Sources Itemized</b>			
<i>Intergovernmental Transfer</i>	\$27,243	\$0	\$0
<i>Nursing Home Assessment</i>	\$118,090	\$0	\$0
<i>Attendant Care Patient Fee</i>	\$0	\$486	\$486
<i>Attendant Care Parking Fines</i>	\$0	\$51	\$51
<b>Total</b>	<b>\$2,093,439</b>	<b>\$409,041</b>	<b>\$263,500</b>
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>			
<b>State Funds</b>		\$0	
<b>Federal Funds</b>		\$6,040	
<b>Federal Sources Itemized</b>			
<i>COVID - MA - Long-Term Living (EA)</i>		\$6,040	
<b>Total</b>		<b>\$6,040</b>	
 <sup>1</sup> Includes a recommended supplemental executive authorization of \$6.040 million. A total of \$14.878 million was originally included for this appropriation in Fiscal Year 2020-2021.			

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Medical Assistance - Long-Term Living			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
<i>State Funds</i>	\$26,825	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$25,259	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$52,084</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$443,419	\$233,142	\$120,432	(\$112,710)	-48.34%
<i>Federal Funds</i>	\$1,452,603	\$159,108	\$136,516	(\$22,592)	-14.20%
<i>Other Funds</i>	\$145,333	\$537	\$537	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$2,041,355</b>	<b>\$392,787</b>	<b>\$257,485</b>	<b>(\$135,302)</b>	<b>-34.45%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$16,254	\$6,015	(\$10,239)	-62.99%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$16,254</b>	<b>\$6,015</b>	<b>(\$10,239)</b>	<b>-62.99%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$470,244	\$233,142	\$120,432	(\$112,710)	-48.34%
<i>Federal Funds</i>	\$1,477,862	\$175,362	\$142,531	(\$32,831)	-18.72%
<i>Other Funds</i>	\$145,333	\$537	\$537	\$0	0.00%
<b>Total Funds</b>	<b>\$2,093,439</b>	<b>\$409,041</b>	<b>\$263,500</b>	<b>(\$145,541)</b>	<b>-35.58%</b>

**APPROPRIATION:**  
**Medical Assistance - Long-Term Living**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2018-2019	2019-2020	2020-2021 Estimated
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	12/31/2019	12/31/2020	2021-2022 Budgeted
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 Funding projections are derived from forecasting models developed on the basis of facilities certified for participation in the Medical Assistance Program, their Medical Assistance case-mix index, projected estimates of patient pay funds and adjustments in net operating costs to reflect changes in resident care cost, and other resident related care and administrative expenses. Capital costs are also included as a component of nursing home payments.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the following pages.

**Legislative Citations:**  
 62 P.S. § 443.1(5) and (6)

**Disbursement Criteria:**  
 The service provider must be enrolled in the Medical Assistance Program, the service recipient must be deemed eligible for Medical Assistance benefits and the service provided must be a covered Medical Assistance benefit. Reimbursement of covered services must be in accordance with promulgated fee schedules/rates of reimbursement. Payments are disbursed upon successful completion of prepayment screens and edits and availability of funding.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Long-Term Living			
	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>GENERAL FUND</b>				
<b>GRANT &amp; SUBSIDY</b>				
<b>PROVIDER PAYMENTS</b>				
1. Reflects an increase in average cost of care during Fiscal Year 2021-2022 from \$153.28 per day to \$154.46 per day:	\$941	\$956	\$0	\$1,897
2. Reflects an increase of 20,831 days of care during Fiscal Year 2021-2022 from 1,593,813 to 1,614,644:	<u>\$1,527</u>	<u>\$1,668</u>	<u>\$0</u>	<u>\$3,195</u>
<b>Subtotal Provider Payments</b>	<b>\$2,468</b>	<b>\$2,624</b>	<b>\$0</b>	<b>\$5,092</b>
<b>OTHER PROVIDER PAYMENTS</b>				
1. Reflects the non-recurring Fiscal Year 2020-2021 Medical Assistance (MA) Day One Incentive Payments to nonpublic facilities who maintain an overall occupancy rate of at least eighty-five percent and an MA occupancy rate of at least sixty-five percent:	(\$16,000)	(\$17,473)	\$0	(\$33,473)
2. Reflects the non-recurring additional Fiscal Year 2020-2021 Supplemental Ventilator Care and Tracheostomy Care payments:	(\$750)	(\$819)	\$0	(\$1,569)
3. Reflects the non-recurring Fiscal Year 2020-2021 Access to Nursing Care payments to qualified nonpublic nursing facilities:	(\$8,000)	(\$8,736)	\$0	(\$16,736)
4. Reflects the non-recurring Fiscal Year 2020-2021 Supplemental Special Rehabilitation Facility payment to qualified facilities:	<u>(\$351)</u>	<u>(\$383)</u>	<u>\$0</u>	<u>(\$734)</u>
<b>Subtotal Other Provider Payments</b>	<b>(\$25,101)</b>	<b>(\$27,411)</b>	<b>\$0</b>	<b>(\$52,512)</b>
<b>OTHER LONG-TERM LIVING SERVICES</b>				
1. Provides for the annualization of OBRA Waiver recipients entering the program in Fiscal Year 2021-2022:	\$52	\$53	\$0	\$105
2. Provides for the annualization of Act 150 Waiver recipients entering the program in Fiscal Year 2021-2022:	\$124	\$0	\$0	\$124
3. Reflects the decrease in Home and Community Based Services Claims merged from the Home and Community Based Services appropriation:	<u>(\$344)</u>	<u>(\$375)</u>	<u>\$0</u>	<u>(\$719)</u>
<b>Subtotal Other Long-Term Living Services</b>	<b>(\$168)</b>	<b>(\$322)</b>	<b>\$0</b>	<b>(\$490)</b>

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Long-Term Living			
	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>ADMINISTRATIVE/CASH FLOW IMPACTS</b>				
1. Impact of the projected decrease in refunds:	\$8,479	\$9,264	\$0	\$17,743
2. Reflects the non-recurring Fiscal Year 2020-2021 payments to offer training, skills and Educational opportunities to Direct Care Workers:	(\$1,793)	(\$1,959)	\$0	(\$3,752)
3. Reflects the non-recurring rollforward of expenditures from Fiscal Year 2019-2020 to Fiscal Year 2020-2021:	(\$97,631)	(\$3,752)	\$0	(\$101,383)
4. Impact of the change in the Federal Medical Assistance Percentage (an increase from 52.20 percent to 52.68 percent, effective October 1, 2021). Full-year blended rate increases from 52.2125 percent to 52.5600 percent:	(\$841)	\$841	\$0	\$0
5. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	\$1,877	(\$1,877)	\$0	\$0
Subtotal Administrative/Cash Flow Impacts	(\$89,909)	\$2,517	\$0	(\$87,392)
<b>BUDGETARY RESERVE</b>				
1. Impact of the change in excess federal appropriation authority from Fiscal Year 2020-2021 to Fiscal Year 2021-2022:	\$0	(\$10,239)	\$0	(\$10,239)
Subtotal Budgetary Reserve	\$0	(\$10,239)	\$0	(\$10,239)
<b>TOTAL GRANT &amp; SUBSIDY</b>	(\$112,710)	(\$22,592)	\$0	(\$135,302)
<b>TOTAL BUDGETARY RESERVE</b>	\$0	(\$10,239)	\$0	(\$10,239)
<b>TOTAL</b>	<u>(\$112,710)</u>	<u>(\$32,831)</u>	<u>\$0</u>	<u>(\$145,541)</u>

**Long-Term Living**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2020-2021**

<b><u>LONG-TERM LIVING FACILITIES</u></b>	<b>Total</b>	<b>Federal</b>	<b>COVID-19</b>	<b>State</b>	<b>Leave and Facility Days</b>	<b>Hospital Days</b>	<b>Cost Per Day</b>
July 2020	\$20,977,840	\$10,960,921	\$1,300,626	\$8,716,293	133,487	2,205	\$156.29
August	\$27,011,951	\$14,113,744	\$1,674,741	\$11,223,466	173,880	2,699	\$154.55
September	\$18,594,827	\$9,715,797	\$1,152,879	\$7,726,151	117,775	1,780	\$157.09
October	\$18,612,210	\$9,715,574	\$1,153,957	\$7,742,679	118,557	2,489	\$155.90
November	\$21,782,696	\$11,370,567	\$1,350,527	\$9,061,602	149,004	3,111	\$145.18
December	\$18,612,210	\$9,715,574	\$1,153,957	\$7,742,679	118,557	2,489	\$155.90
January 2021	\$18,612,210	\$9,715,574	\$1,153,957	\$7,742,679	118,557	2,489	\$155.90
February	\$18,612,210	\$9,715,574	\$1,153,957	\$7,742,679	118,557	2,489	\$155.90
March	\$22,130,443	\$11,552,091	\$1,372,087	\$9,206,265	149,004	3,111	\$147.50
April	\$18,612,210	\$9,715,574	\$1,153,957	\$7,742,679	118,557	2,489	\$155.90
May	\$22,130,443	\$11,552,091	\$1,372,087	\$9,206,265	149,004	3,111	\$147.50
June	\$18,612,210	\$9,715,574	\$1,153,957	\$7,742,679	118,557	2,489	\$155.90
<b>Subtotal Long-Term Living Facilities Expenditures</b>	<b>\$244,301,460</b>	<b>\$127,558,655</b>	<b>\$15,146,689</b>	<b>\$101,596,116</b>	<b>1,583,496</b>	<b>30,951</b>	<b>\$153.28</b>
<b><u>OTHER PROVIDER PAYMENTS</u></b>							
MDOI - MA Day One Incentive Payments - Non Public	\$33,472,803	\$17,472,803	\$2,075,314	\$13,924,686			
Supplemental Ventilator and Tracheostomy Care Payments	\$1,569,038	\$819,038	\$97,280	\$652,720			
Access to Nursing Care	\$16,736,402	\$8,736,402	\$1,037,657	\$6,962,343			
Supplemental Special Rehabilitation Facility Payment	\$734,310	\$383,310	\$45,527	\$305,473			
<b>Subtotal Other Provider Payments</b>	<b>\$52,512,552</b>	<b>\$27,411,552</b>	<b>\$3,255,778</b>	<b>\$21,845,222</b>			
<b><u>OTHER LONG-TERM LIVING SERVICES</u></b>							
OBRA Waiver Services	\$14,861,136	\$7,759,371	\$921,390	\$6,180,375			
Act 150 Under 60 Services	\$24,726,696	\$0	\$0	\$24,726,696			
Waiver Services Claims Runout	\$718,899	\$375,355	\$44,572	\$298,972			
Gross Adjustments/Cost Settlements	\$2,900,058	\$1,514,193	\$0	\$1,385,865			
<b>Subtotal Other Long-Term Living Services</b>	<b>\$43,206,789</b>	<b>\$9,648,919</b>	<b>\$965,962</b>	<b>\$32,591,908</b>			
<b><u>ADMINISTRATIVE/CASH FLOW IMPACTS</u></b>							
Refunds	(\$52,365,782)	(\$27,341,484)	(\$3,246,678)	(\$21,777,620)			
Civil Monetary Penalty Fund (CMP)	(\$500,000)	\$0	\$0	(\$500,000)			
Pennsylvania Long-Term Grant Program (CMP)	\$500,000	\$0	\$0	\$500,000			
Participant – Directed Personal Assistance Services	\$3,751,409	\$1,958,704	\$0	\$1,792,705			
Rollforward of FY 2019-2020 Expenditures	\$101,382,872	\$3,752,203	\$0	\$97,630,669			
<b>Total Administrative/Cash Flow Impacts</b>	<b>\$52,768,499</b>	<b>(\$21,630,577)</b>	<b>(\$3,246,678)</b>	<b>\$77,645,754</b>			
<b>Uncommitted</b>	<b>\$16,251,700</b>	<b>\$11,455,451</b>	<b>\$4,796,249</b>	<b>\$0</b>			
<b>Total Program Cost</b>	<b>\$409,041,000</b>	<b>\$154,444,000</b>	<b>\$20,918,000</b>	<b>\$233,679,000</b>			
<b><u>REVENUE</u></b>							
Parking Fines	\$51,000	\$0	\$0	\$51,000			
Patient Fees	\$486,000	\$0	\$0	\$486,000			
<b>Total Revenue</b>	<b>\$537,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$537,000</b>			
<b>Total General Fund Requirement</b>		<b>\$154,444,000</b>	<b>\$20,918,000</b>	<b>\$233,142,000</b>			
Act 17-A of 2020		\$154,444,000		\$233,142,000			
Fiscal Year 2020-21 Appropriation Amount			\$20,918,000				
Surplus/(Deficit)		\$0	\$0	\$0			

**Long-Term Living**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2021-2022**

<b><u>LONG-TERM LIVING FACILITIES</u></b>	Total	Federal	COVID-19	State	Leave and Facility Days	Hospital Days
July 2021	\$19,569,188	\$10,215,116	\$1,213,290	\$8,140,782	123,147	2,489
August	\$23,271,378	\$12,147,659	\$1,442,825	\$9,680,894	154,671	3,111
September	\$19,525,322	\$10,192,218	\$1,210,570	\$8,122,534	123,147	2,489
October	\$19,585,134	\$10,317,449	\$1,214,278	\$8,053,407	123,147	2,489
November	\$23,271,350	\$12,259,347	\$1,442,824	\$9,569,179	154,671	3,111
December	\$19,525,092	\$10,285,818	\$1,210,556	\$8,028,718	123,147	2,489
January 2022	\$23,271,350	\$12,259,347	\$1,442,824	\$9,569,179	154,671	3,111
February	\$19,525,092	\$10,285,818	\$1,210,556	\$8,028,718	123,147	2,489
March	\$19,525,092	\$10,285,818	\$1,210,556	\$8,028,718	123,147	2,489
April	\$19,525,092	\$10,285,818	\$1,210,556	\$8,028,718	123,147	2,489
May	\$23,271,350	\$12,259,347	\$1,442,824	\$9,569,179	154,671	3,111
June	\$19,525,092	\$10,285,818	\$1,210,556	\$8,028,718	123,147	2,489
<b>Subtotal Long-Term Living Facilities Expenditures</b>	<b>\$249,390,532</b>	<b>\$131,079,573</b>	<b>\$15,462,215</b>	<b>\$102,848,744</b>	<b>1,603,860</b>	<b>32,351</b>
<b><u>OTHER LONG-TERM LIVING SERVICES</u></b>						
OBRA Waiver Services	\$14,965,172	\$7,865,694	\$927,841	\$6,171,637		
Act 150 Under 60 Services	\$24,850,972	\$0	\$0	\$24,850,972		
Gross Adjustments/Cost Settlements	\$2,900,058	\$1,524,270	\$0	\$1,375,788		
<b>Subtotal Other Long-Term Living Services</b>	<b>\$42,716,202</b>	<b>\$9,389,964</b>	<b>\$927,841</b>	<b>\$32,398,397</b>		
<b><u>ADMINISTRATIVE/CASH FLOW IMPACTS</u></b>						
Refunds	(\$34,622,069)	(\$18,197,359)	(\$2,146,568)	(\$14,278,141)		
Civil Monetary Penalty Fund (CMP)	(\$500,000)	\$0	\$0	(\$500,000)		
Pennsylvania Long-Term Grant Program (CMP)	\$500,000	\$0	\$0	\$500,000		
<b>Total Administrative/Cash Flow Impacts</b>	<b>(\$34,622,069)</b>	<b>(\$18,197,359)</b>	<b>(\$2,146,568)</b>	<b>(\$14,278,141)</b>		
<b>Uncommitted</b>	<b>\$6,015,335</b>	<b>\$2,753,823</b>	<b>\$3,261,512</b>	<b>\$0</b>		
<b>Total Program Cost</b>	<b>\$263,500,000</b>	<b>\$125,026,000</b>	<b>\$17,505,000</b>	<b>\$120,969,000</b>		
<b><u>REVENUE</u></b>						
Parking Fines	\$51,000	\$0	\$0	\$51,000		
Patient Fees	\$486,000	\$0	\$0	\$486,000		
<b>Total Revenue</b>	<b>\$537,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$537,000</b>		
<b>Total General Fund Requirement</b>		<b>\$125,026,000</b>	<b>\$17,505,000</b>	<b>\$120,432,000</b>		

## **MEDICAL ASSISTANCE - LONG-TERM LIVING**

### **PROGRAM STATEMENT**

The Long-Term Care Living appropriation provides for care to individuals needing nursing facility services. Recipients are assessed to determine if they are both medically and financially eligible for Medical Assistance (MA) nursing facility benefits before they can have MA payments made for nursing facility services. As the number of people needing long-term care services has grown, the need to realign both the supply of services and the funding that supports them has become increasingly evident.

### **NURSING FACILITY SERVICES**

Nursing facilities provide care to recipients whose medical needs do not require acute hospital care but need a more intense level of care or supervision than provided in a non-nursing facility setting. As of January 22, 2021, there were 685 licensed county and general nursing facilities with approximately 86,432 beds in the Commonwealth. Of these, 617 facilities and approximately 82,551 beds were certified to participate in the MA Program, representing 95.5 percent of the beds in the state. Nursing facilities providing services to MA-eligible individuals who have not completed their enrollment in the Community HealthChoices managed care program are paid for these services through a case-mix prospective payment system. The case-mix prospective payment system recognizes net operating and capital costs and is based on the resources needed to meet their residents' care requirements.

Nursing facility cost reports are audited to ensure that the costs incurred by nursing facilities are reasonable and appropriate prior to developing payment rates. The Department of Human Services uses a Utilization Management Review (UMR) process to ensure rates paid to nursing facilities are based on correct Minimum Data Set (MDS) information and that overpayments are avoided. The UMR activities include monitoring facility MDS submissions, performing reviews of nursing facility billing processes, and providing technical assistance for nursing facility staff.

The MA Participation Review Process objectively analyzes and determines the demand for additional MA-certified nursing facility beds, assuring compliance with federal requirements to safeguard against unnecessary utilization of Medicaid services. For providers to receive approval for MA participation of new bed construction, they must successfully demonstrate demand for additional nursing facility beds in their geographical area. A Preadmission Screening Resident Review of all nursing facility residents with other related conditions is completed to determine if those persons who meet the other related conditions diagnoses require nursing facility care and specialized services. Other related conditions include physical, sensory, or neurological disabilities which manifested before age 22 and are likely to continue indefinitely.

### **OBRA WAIVER**

The OBRA Waiver is provided to individuals who have a severe developmental physical disability requiring an Intermediate Care Facility/Other Related Conditions level of care and need a high level of habilitation services to avoid institutionalization.

### **ACT 150 ATTENDANT CARE PROGRAM**

The Act 150 Attendant Care Program is intended to help individuals with physical disabilities live independently in their homes and in the community. To be eligible for the program, an individual aged 18-59 must have a physical disability, be mentally alert and able to direct their own services and need assistance with activities of daily living. The program provides assistance with bathing, dressing, meal preparation, mobility, housekeeping, and other self-care and daily living functions. This program is for individuals who meet the level of care requirement but fail to financially qualify for Medicaid.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. A1.14, C1.11, C1.45, C3.2, C3.4, E27.7,  
E27.10 - E27.12, E27.19, E27.25 - E27.27, H118

**APPROPRIATION:**  
Medical Assistance - Community HealthChoices

**I. SUMMARY FINANCIAL DATA**

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
<b>State Funds Total</b>	<b>\$2,828,830</b>	<b>\$3,520,647</b>	<b>\$4,260,716</b>
<b>State Sources Itemized</b>			
<i>MA - Community HealthChoices (General Fund)</i>	\$2,328,939	\$3,034,947 <sup>1</sup>	\$3,781,118
<i>MA - Community HealthChoices (Tobacco Settlement Fund)</i>	\$165,878	\$136,734 <sup>2</sup>	\$130,632
<i>MA - Community HealthChoices (Lottery Fund)</i>	\$334,013	\$348,966	\$348,966
<b>Federal Funds Total</b>	<b>\$4,604,667</b>	<b>\$5,978,841</b>	<b>\$7,373,530</b>
<b>Federal Sources Itemized</b>			
<i>MA - Community HealthChoices (General Fund)</i>	\$4,200,922	\$5,427,147	\$6,483,237
<i>COVID-MA-Community HealthChoices (EA) (General Fund)</i>	\$353,745	\$551,694 <sup>3</sup>	\$890,293
<i>COVID-RF-Community HealthChoices (General Fund)</i>	\$50,000	\$0	\$0
<b>Other Funds Total</b>	<b>\$476,544</b>	<b>\$714,662</b>	<b>\$682,420</b>
<b>Other Fund Sources Itemized</b>			
<i>Intergovernmental Transfer</i>	\$65,981	\$101,127	\$101,127
<i>Nursing Home Assessment</i>	\$332,335	\$528,179	\$488,157
<i>Statewide Hospital Assessment</i>	\$39,861	\$39,923	\$39,633
<i>MCO - Assessment</i>	\$38,367	\$45,433	\$53,503
<b>Total</b>	<b>\$7,910,041</b>	<b>\$10,214,150</b>	<b>\$12,316,666</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds Total</b>	<b>\$81,630</b>
<b>State Sources Itemized</b>	
<i>MA - Community HealthChoices (General Fund)</i>	\$84,447
<i>MA - Community HealthChoices (Tobacco Settlement Fund)</i>	(\$2,817)
<b>Federal Funds</b>	<b>(\$152,822)</b>
<b>Federal Sources Itemized</b>	
<i>COVID-MA-Community HealthChoices (EA)</i>	(\$152,822)
<b>Total</b>	<b>(\$71,192)</b>

<sup>1</sup> Includes a recommended supplemental appropriation of \$84.447 million. Act 17-A of 2020 provided \$2,950.500 million for this appropriation in Fiscal Year 2020-2021.

<sup>2</sup> Includes a recommended executive authorization reduction of \$2.817 million. A total of \$139.551 million million was originally included for this appropriation in Fiscal Year 2020-2021.

<sup>3</sup> Includes a recommended executive authorization reduction of \$152.822 million. A total of \$704.516 million was originally included for this appropriation in Fiscal Year 2020-2021.

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Medical Assistance - Community HealthChoices			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$41,857	\$41,857	\$0	0.00%
<i>Federal Funds</i>	\$0	\$40,168	\$40,168	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$82,025</b>	<b>\$82,025</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$2,828,830	\$3,478,790	\$4,218,859	\$740,069	21.27%
<i>Federal Funds</i>	\$4,604,667	\$5,762,235	\$7,047,725	\$1,285,490	22.31%
<i>Other Funds</i>	\$476,544	\$714,662	\$682,420	(\$32,242)	-4.51%
<b>Total Grant &amp; Subsidy</b>	<b>\$7,910,041</b>	<b>\$9,955,687</b>	<b>\$11,949,004</b>	<b>\$1,993,317</b>	<b>20.02%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$176,438	\$285,637	\$109,199	61.89%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$176,438</b>	<b>\$285,637</b>	<b>\$109,199</b>	<b>61.89%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$2,828,830	\$3,520,647	\$4,260,716	\$740,069	21.02%
<i>Federal Funds</i>	\$4,604,667	\$5,978,841	\$7,373,530	\$1,394,689	23.33%
<i>Other Funds</i>	\$476,544	\$714,662	\$682,420	(\$32,242)	-4.51%
<b>Total Funds</b>	<b>\$7,910,041</b>	<b>\$10,214,150</b>	<b>\$12,316,666</b>	<b>\$2,102,516</b>	<b>20.58%</b>

**APPROPRIATION:**  
**Medical Assistance - Community HealthChoices**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2018-2019	2019-2020	2020-2021 Estimated
	State Funds	\$0	\$0

IV. COMPLEMENT INFORMATION	12/31/2019	12/31/2020	2021-2022 Budgeted
	<b>State/Federally Funded</b>		
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

Estimated expenditures are based on the projected number of member months and the average cost per member per month for individuals in the Community HealthChoices program.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the following pages.

**Legislative Citations:**

62 P.S. § 443.5; 42 U.S.C. § 1396n(b); 42 CFR Part 438 and Part 441

**Disbursement Criteria:**

The Community HealthChoices Managed Care Organization (MCO) must be a Medical Assistance Program contractor; the recipient of the services must be deemed eligible for Medical Assistance benefits, and must be enrolled with a Community HealthChoices MCO; and the billed monthly premium must be in accordance with the negotiated rate.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Community HealthChoices			
	State \$	Federal \$	Other \$	Total \$
<b>GENERAL FUND</b>				
<b>OPERATING</b>				
1. The Governor's Executive Budget includes \$82.025 million (\$41.857 million in state funds) for the continuation of the major contracts for the continuation of the Community HealthChoices appropriation in both Fiscal Year 2020-2021 and Fiscal Year 2021-2022:	\$0	\$0	\$0	\$0
Subtotal Operating	\$0	\$0	\$0	\$0
<b>GRANT &amp; SUBSIDY</b>				
1. Provides for an increase in the southwest per member per month cost from \$1,888.26 in Fiscal Year 2020-2021 to \$1,907.10 in Fiscal Year 2021-2022:	\$9,204	\$9,525	\$0	\$18,729
2. Provides for an increase in southwest member months from 931,578 in Fiscal Year 2020-2021 to 994,579 in Fiscal Year 2021-2022:	\$56,848	\$62,114	\$0	\$118,962
3. Provides for an increase in the southeast per member per month cost from \$3,121.39 in Fiscal Year 2020-2021 to \$3,271.55 in Fiscal Year 2021-2022:	\$118,296	\$124,278	\$0	\$242,574
4. Provides for an increase in southeast member months from 1,539,519 in Fiscal Year 2020-2021 to 1,615,461 in Fiscal Year 2021-2022:	\$113,276	\$123,768	\$0	\$237,044
5. Provides for an increase in the L/C, NE and NW per member per month from \$1,982.02 in Fiscal Year 2020-2021 to \$2,035.65 in Fiscal Year 2021-2022:	\$46,967	\$50,301	\$0	\$97,268
6. Provides for an increase in the L/C, NE and NW member months from 1,687,862 in Fiscal Year 2020-2021 to 1,813,621 in Fiscal Year 2021-2022:	\$119,121	\$130,145	\$0	\$249,266
Subtotal Grant & Subsidy	\$463,712	\$500,131	\$0	\$963,843
<b>ADMINISTRATIVE/CASH FLOW IMPACTS</b>				
1. Impact of the projected increase in the transfer of expenditures to the Medical Assistance for Workers with Disabilities program:	(\$424)	(\$540)	\$0	(\$964)
2. Impact of the projected increase of pharmacy rebates to the Community HealthChoices appropriation:	(\$10,397)	(\$12,043)	\$0	(\$22,440)
3. Impact of the non-recurring Health Insurance Provider Fee:	(\$8,307)	(\$9,076)	\$0	(\$17,383)
4. Net impact of a non-recurring rollforward of of expenditures from Fiscal Year 2019-2020 to Fiscal Year 2020-2021:	(\$59,996)	\$0	\$0	(\$59,996)

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Medical Assistance - Community HealthChoices			
	State \$	Federal \$	Other \$	Total \$
5. Impact of the transfer of the non-recurring Calendar Year 2019 risk corridor payment for Fiscal Year 2021-2022:	\$47,561	\$59,679	\$0	\$107,240
6. Impact of a change in payment alignment for the Community HealthChoices MCO's in Fiscal Year 2020-2021:	\$439,647	\$537,975	\$0	\$977,622
7. Impact of the change in the Federal Medical Assistance Percentage from 52.20 percent to 52.68 percent, effective October 1, 2021. The full-year blended rate increases from 52.2125 percent to 52.5600 percent:	(\$36,877)	\$36,877	\$0	\$0
8. Impact of the claim of COVID-19 Emergency FFP in both Fiscal Year 2019-2020 and the rollback of expenditures from Fiscal Year 2022-2023:	\$0	\$55,049	\$0	\$55,049
9. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	(\$115,940)	\$115,940	\$0	\$0
<b>Subtotal Administrative/Cash Flow Impacts</b>	<b>\$255,267</b>	<b>\$783,861</b>	<b>\$0</b>	<b>\$1,039,128</b>
<b>REVENUE</b>				
1. Reflects the usage of one-time Nursing Facility Assessment revenue during Fiscal Year 2020-2021:	\$40,022	\$0	(\$40,022)	\$0
2. Reflects the increase in MCO Assessment revenue:	(\$8,070)	\$0	\$8,070	\$0
3. Reflects the decrease of Statewide Hospital Assessment revenue:	\$290	\$0	(\$290)	\$0
<b>Subtotal Revenue</b>	<b>\$32,242</b>	<b>\$0</b>	<b>(\$32,242)</b>	<b>\$0</b>
<b>SPECIAL FUNDS</b>				
1. Impact of available Tobacco Settlement Funds:	(\$6,102)	\$0	\$0	(\$6,102)
<b>Subtotal Special Funds</b>	<b>(\$6,102)</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$6,102)</b>
<b>BUGETARY RESERVE</b>				
1. Impact of excess federal appropriation authority in Fiscal Year 2020-2021:	\$0	\$14,300	\$0	\$14,300
2. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	\$0	\$94,899	\$0	\$94,899
<b>Subtotal Budgetary Reserve</b>	<b>\$0</b>	<b>\$109,199</b>	<b>\$0</b>	<b>\$109,199</b>
<b>FISCAL YEAR 2021-2022 INITIATIVE</b>				
<b>GRANT &amp; SUBSIDY</b>				
1. Direct Care Worker Comprehensive Training				
A. Provides for education and training to direct care workers:	\$1,052	\$1,498	\$0	\$2,550
<b>Subtotal Fiscal Year 2020-2021 PRR/Initiatives</b>	<b>\$1,052</b>	<b>\$1,498</b>	<b>\$0</b>	<b>\$2,550</b>

**VI. EXPLANATION OF CHANGES**  
 (\$ Amounts in Thousands)

**APPROPRIATION:**  
 Medical Assistance - Community HealthChoices

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
TOTAL OPERATING	\$0	\$0	\$0	\$0
TOTAL GRANT AND SUBSIDY	\$746,171	\$1,285,490	(\$32,242)	\$1,999,419
TOTAL BUDGETARY RESERVE	<u>\$0</u>	<u>\$109,199</u>	<u>\$0</u>	<u>\$109,199</u>
TOTAL GENERAL FUND	<u>\$746,171</u>	<u>\$1,394,689</u>	<u>(\$32,242)</u>	<u>\$2,108,618</u>
<b>TOBACCO SETTLEMENT FUND</b>				
<b>GRANT &amp; SUBSIDY</b>				
1. The Fiscal Year 2021-2022 Governor's Executive Budget recommends that \$130.632 million be allocated from the Tobacco Settlement Fund to provide supplemental funding to the Community HealthChoices appropriation, a decrease of \$6.102 million from the Fiscal Year 2020-2021 amount: transitioned into Community HealthChoices:				
	<u>(\$6,102)</u>	<u>\$0</u>	<u>\$0</u>	<u>(\$6,102)</u>
TOTAL TOBACCO SETTLEMENT FUND	<u>(\$6,102)</u>	<u>\$0</u>	<u>\$0</u>	<u>(\$6,102)</u>
<b>TOTAL</b>	<u><u>\$740,069</u></u>	<u><u>\$1,394,689</u></u>	<u><u>(\$32,242)</u></u>	<u><u>\$2,102,516</u></u>

**Community HealthChoices**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2020-2021**

<b><u>COMMUNITY HEALTHCHOICES</u></b>	Total	Federal	COVID-19	State	Member Months	Per Member Per Month
July 2020	\$777,062,500	\$406,015,156	\$48,021,174	\$323,026,170	366,281	\$2,121.49
August	\$878,256,956	\$458,889,260	\$54,451,931	\$364,915,765	368,774	\$2,381.56
September	\$881,627,444	\$460,650,339	\$54,660,902	\$366,316,203	371,925	\$2,370.44
October	\$858,805,471	\$448,296,456	\$53,245,939	\$357,263,076	374,553	\$2,292.88
November	\$890,154,128	\$464,660,455	\$55,189,556	\$370,304,117	376,537	\$2,364.05
December	\$864,073,146	\$451,046,182	\$53,572,535	\$359,454,429	378,521	\$2,282.76
January 2021	\$895,597,671	\$467,501,984	\$55,527,056	\$372,568,631	380,505	\$2,353.71
February	\$975,500,575	\$509,211,300	\$60,481,036	\$405,808,239	382,490	\$2,550.39
March	\$883,869,620	\$461,379,942	\$54,799,916	\$367,689,762	384,474	\$2,298.91
April	\$1,197,009,252	\$624,838,830	\$74,214,574	\$497,955,848	386,457	\$3,097.39
May	\$881,156,133	\$459,963,501	\$54,631,680	\$366,560,952	388,442	\$2,268.44
<b>Subtotal Community HealthChoices</b>	<b>\$9,983,112,896</b>	<b>\$5,212,453,405</b>	<b>\$618,796,299</b>	<b>\$4,151,863,192</b>	<b>4,158,959</b>	<b>\$2,400.39</b>
<b><u>ADMINISTRATIVE/CASH FLOW IMPACTS</u></b>						
EA for Workers with Disabilities (4/20-3/21)	(\$15,400,990)	(\$8,042,532)	\$0	(\$7,358,458)		
Pharmacy Rebates	(\$55,127,643)	(\$28,783,521)	(\$3,417,914)	(\$22,926,208)		
Unified PDL	(\$16,303,579)	(\$8,512,506)	(\$1,010,822)	(\$6,780,251)		
Health Insurance Provider Fee	\$17,382,815	\$9,076,002	\$0	\$8,306,813		
Rollback of COVID-19 Expenditures To FY 2019-2020	(\$48,021,174)	\$0	(\$48,021,174)	\$0		
Rollforward from Fiscal Year 2019-2020	\$59,996,397	\$0	\$0	\$59,996,397		
<b>Total Administrative/Cash Flow Impacts</b>	<b>(\$57,474,174)</b>	<b>(\$36,262,557)</b>	<b>(\$52,449,910)</b>	<b>\$31,238,293</b>		
<b><u>OPERATING</u></b>						
Actuarial Contract	\$4,600,000	\$2,300,000	\$0	\$2,300,000		
Complex Case Management	\$500,000	\$250,000	\$0	\$250,000		
Claims Processing and PROMISe Contract Costs	\$1,500,000	\$1,125,000	\$0	\$375,000		
Enrollment	\$44,119,356	\$22,059,678	\$0	\$22,059,678		
Enterprise Incident Management System	\$150,000	\$0	\$0	\$150,000		
External Quality Review Organization	\$650,000	\$325,000	\$0	\$325,000		
Information Technology	\$5,589,875	\$3,266,488	\$0	\$2,323,387		
interRAi Software and Training Support	\$500,000	\$375,000	\$0	\$125,000		
Legal Support	\$443,000	\$0	\$0	\$443,000		
NIS/Case-Mix Reimbursement System Services	\$3,500,000	\$1,750,000	\$0	\$1,750,000		
Professional Consulting	\$5,700,000	\$2,850,000	\$0	\$2,850,000		
Professional Staffing	\$10,700,000	\$5,466,850	\$0	\$5,233,150		
Provider Services	\$2,040,000	\$375,000	\$0	\$1,665,000		
Revenue Maximization	\$2,000,000	\$0	\$0	\$2,000,000		
TruCare Case Management	\$33,000	\$25,000	\$0	\$8,000		
<b>Total Operating Expenditures</b>	<b>\$82,025,231</b>	<b>\$40,168,016</b>	<b>\$0</b>	<b>\$41,857,215</b>		

**GRANT PAYMENTS**

	Total	Federal	COVID-19	State
Aging Well Inc.	\$38,000,000	\$34,200,000	\$0	\$3,800,000
Center for Independent Living	\$2,000,000	\$0	\$0	\$2,000,000
Housing Alliance of Pennsylvania	\$150,000	\$150,000	\$0	\$0
Nursing Home Transition	\$1,000,000	\$0	\$0	\$1,000,000
Pennsylvania Legal Aid Network	\$650,000	\$0	\$0	\$650,000
Public Partnership LLC	\$2,900,000	\$0	\$0	\$2,900,000
<b>Total Grant Payments</b>	<b>\$44,700,000</b>	<b>\$34,350,000</b>	<b>\$0</b>	<b>\$10,350,000</b>
Potential Federal shortfall	(\$14,652,389)	\$0	(\$14,652,389)	\$0
<b>Total Program Cost</b>	<b>\$10,037,711,564</b>	<b>\$5,250,708,864</b>	<b>\$551,694,000</b>	<b>\$4,235,308,700</b>
<b><u>REVENUE</u></b>				
Nursing Home Assessment	\$528,178,477	\$0	\$0	\$528,178,477
Intergovernmental Transfer	\$101,127,009	\$0	\$0	\$101,127,009
MCO Assessment	\$45,433,298	\$0	\$0	\$45,433,298
Statewide Hospital Assessment	\$39,922,916	\$0	\$0	\$39,922,916
<b>Total Revenue</b>	<b>\$714,661,700</b>	<b>\$0</b>	<b>\$0</b>	<b>\$714,661,700</b>
<b><u>SPECIAL FUNDS</u></b>				
Transfer Expenditures to Lottery Fund	\$348,966,000	\$0	\$0	\$348,966,000
Transfer Expenditures to Tobacco Settlement Fund	\$136,734,000	\$0	\$0	\$136,734,000
<b>Total Special Funds</b>	<b>\$485,700,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$485,700,000</b>
Uncommitted	\$176,438,136	\$176,438,136	\$0	\$0
<b>Total General Fund Requirement</b>		<b>\$5,427,147,000</b>	<b>\$551,694,000</b>	<b>\$3,034,947,000</b>
Act 17-A of 2020		\$5,427,147,000		\$2,950,500,000
Fiscal Year 2020-21 Appropriation Amount			\$704,516,000	
Surplus/(Deficit)		\$0	\$152,822,000	(\$84,447,000)

**Community HealthChoices**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2021-2022**

<b><u>COMMUNITY HEALTHCHOICES</u></b>	Total	Federal	COVID-19	State	Member Months	Per Member Per Month
July 2021	\$1,781,904,374	\$930,154,084	\$110,478,071	\$741,272,219	782,836	\$2,276.22
August	\$988,718,783	\$516,111,204	\$61,300,564	\$411,307,015	394,395	\$2,506.93
September	\$990,289,367	\$516,931,050	\$61,397,941	\$411,960,376	396,378	\$2,498.35
October	\$962,599,089	\$507,097,199	\$59,681,144	\$395,820,746	398,363	\$2,416.39
November	\$997,928,433	\$525,708,698	\$61,871,563	\$410,348,172	400,347	\$2,492.66
December	\$968,539,708	\$510,226,718	\$60,049,462	\$398,263,528	402,331	\$2,407.32
January 2022	\$1,004,067,882	\$528,942,960	\$62,252,209	\$412,872,713	404,316	\$2,483.37
February	\$1,032,587,826	\$541,489,427	\$63,728,824	\$427,369,575	406,300	\$2,541.44
March	\$930,285,655	\$490,074,483	\$57,677,710	\$382,533,462	408,285	\$2,278.52
April	\$1,249,017,228	\$656,948,505	\$77,439,067	\$514,629,656	410,268	\$3,044.39
May	\$931,377,304	\$490,649,565	\$57,745,393	\$382,982,346	412,252	\$2,259.24
<b>Subtotal Community HealthChoices</b>	<b>\$11,837,315,649</b>	<b>\$6,214,333,893</b>	<b>\$733,621,948</b>	<b>\$4,889,359,808</b>	<b>4,816,071</b>	<b>\$2,457.88</b>
<b><u>ADMINISTRATIVE/CASH FLOW IMPACTS</u></b>						
EA for Workers with Disabilities (4/21-3/22)	(\$16,365,517)	(\$8,582,889)	\$0	(\$7,782,628)		
Pharmacy Rebates/Unified PDL	(\$93,871,566)	(\$49,338,895)	(\$5,820,037)	(\$38,712,634)		
Risk Corridor Payment for Calendar Year 2019	\$114,328,584	\$60,228,298	\$7,088,372	\$47,011,914		
Rollback of COVID-19 Expenditures From FY 2022-2023	\$60,346,052	\$0	\$60,346,052	\$0		
<b>Total Administrative/Cash Flow Impacts</b>	<b>\$64,437,553</b>	<b>\$2,306,514</b>	<b>\$61,614,387</b>	<b>\$516,652</b>		
<b><u>OPERATING</u></b>						
Actuarial Contract	\$4,600,000	\$2,300,000	\$0	\$2,300,000		
Complex Case Management	\$500,000	\$250,000	\$0	\$250,000		
Claims Processing and PROMISe Contract Costs	\$1,500,000	\$1,125,000	\$0	\$375,000		
Enrollment	\$44,119,356	\$22,059,678	\$0	\$22,059,678		
Enterprise Incident Management System	\$150,000	\$0	\$0	\$150,000		
External Quality Review Organization	\$650,000	\$325,000	\$0	\$325,000		
Information Technology	\$5,589,875	\$3,266,488	\$0	\$2,323,387		
interRAi Software and Training Support	\$500,000	\$375,000	\$0	\$125,000		
Legal Support	\$443,000	\$0	\$0	\$443,000		
NIS/Case-Mix Reimbursement System Services	\$3,500,000	\$1,750,000	\$0	\$1,750,000		
Professional Consulting	\$5,700,000	\$2,850,000	\$0	\$2,850,000		
Professional Staffing	\$10,700,000	\$5,466,850	\$0	\$5,233,150		
Provider Services	\$2,040,000	\$375,000	\$0	\$1,665,000		
Revenue Maximization	\$2,000,000	\$0	\$0	\$2,000,000		
TruCare Case Management	\$33,000	\$25,000	\$0	\$8,000		
<b>Total Operating Expenditures</b>	<b>\$82,025,231</b>	<b>\$40,168,016</b>	<b>\$0</b>	<b>\$41,857,215</b>		

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**GRANT PAYMENTS**

	Total	Federal	COVID-19	State
Aging Well Inc.	\$38,000,000	\$34,200,000	\$0	\$3,800,000
Center for Independent Living	\$2,000,000	\$0	\$0	\$2,000,000
Housing Alliance of Pennsylvania	\$150,000	\$150,000	\$0	\$0
Nursing Home Transition	\$1,000,000	\$0	\$0	\$1,000,000
Pennsylvania Legal Aid Network	\$650,000	\$0	\$0	\$650,000
Public Partnership LLC	\$2,900,000	\$0	\$0	\$2,900,000
<b>Total Grant Payments</b>	<b>\$44,700,000</b>	<b>\$34,350,000</b>	<b>\$0</b>	<b>\$10,350,000</b>

**FY 2021-2022 PRR / INITIATIVES**

Direct Care Worker Comprehensive Training	\$2,550,000	\$1,340,280	\$158,100	\$1,051,620
<b>Total PRR / Initiatives</b>	<b>\$2,550,000</b>	<b>\$1,340,280</b>	<b>\$158,100</b>	<b>\$1,051,620</b>
<b>Total Program Cost</b>	<b>\$12,031,028,433</b>	<b>\$6,292,498,703</b>	<b>\$795,394,435</b>	<b>\$4,943,135,295</b>

**REVENUE**

Nursing Home Assessment	\$488,156,681	\$0	\$0	\$488,156,681
Intergovernmental Transfer	\$101,127,009	\$0	\$0	\$101,127,009
MCO Assessment	\$53,503,000	\$0	\$0	\$53,503,000
Statewide Hospital Assessment	\$39,632,605	\$0	\$0	\$39,632,605
<b>Total Revenue</b>	<b>\$682,419,295</b>	<b>\$0</b>	<b>\$0</b>	<b>\$682,419,295</b>

**SPECIAL FUNDS**

Transfer Expenditures to Lottery Fund	\$348,966,000	\$0	\$0	\$348,966,000
Transfer Expenditures to Tobacco Settlement Fund	\$130,632,000	\$0	\$0	\$130,632,000
<b>Total Special Funds</b>	<b>\$479,598,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$479,598,000</b>
<b>Uncommitted</b>	<b>\$285,636,862</b>	<b>\$190,738,297</b>	<b>\$94,898,565</b>	<b>\$0</b>
<b>Total General Fund Requirement</b>		<b>\$6,483,237,000</b>	<b>\$890,293,000</b>	<b>\$3,781,118,000</b>

## COMMUNITY HEALTHCHOICES

### PROGRAM STATEMENT

This appropriation funds a managed long-term care program for older Pennsylvanians and adults aged 21 and older with physical disabilities. The program ensures that one entity is responsible for coordinating the physical health and long-term services and supports (LTSS) needs of participants to improve care coordination and health outcomes while allowing more individuals to live in their community and increase budget predictability. Community HealthChoices (CHC) was implemented statewide effective January 1, 2020. The benefits of this program include the following:

- **Enhanced opportunities for community-based living.** CHC will improve person-centered service planning and, as more community-based living options become available, the ability to honor participant preferences to live and work in the community will expand. Performance incentives built into the program's quality oversight and payment policies will stimulate a wider and deeper array of Home and Community-Based Services (HCBS) options.
- **Strengthened coordination of LTSS and health care, including all Medicare and Medicaid services for dual eligible individuals.** Better coordination of Medicare and Medicaid health services and LTSS will make the system easier to use and will result in better quality of life, health, safety, and well-being for participants. CHC – Managed Care Organizations (MCOs) will also coordinate with Behavioral Health (BH) MCOs to strengthen behavioral health services for participants.
- **Enhanced quality and accountability.** CHC-MCOs will be accountable for participant outcomes, including the overall health and long-term support for the whole person. Quality of life and quality of care will be measured and published, giving participants the information they need to make informed decisions.
- **Advanced program innovation.** Greater creativity and innovation in the program will help to increase community housing options, enhance the LTSS direct care workforce, expand the use of technology, and expand employment among participants who have employment goals.
- **Increased efficiency and effectiveness.** The program will increase the efficiency of health care and LTSS by reducing preventable admissions to hospitals, emergency departments, nursing facilities, and other high-cost services and by increasing the use of health promotion, primary care, and HCBS.

CHC affects more than 450,000 individuals. CHC-MCOs are accountable for most Medicaid-covered services, where Medicare is not the primary payer. These include preventive services, primary and acute care, LTSS (HCBS and nursing facilities), prescription drugs, and dental services. Participants who have Medicaid and Medicare coverage (dual-eligible participants) have the option to have their Medicaid and Medicare services coordinated by the same MCO.

This program builds on the Commonwealth's past success in implementing the country's most extensive network of Programs of All-inclusive Care for the Elderly and Living Independence for the Elderly (LIFE), which will continue to be an option for eligible persons. It also builds on the Commonwealth's experience with Physical Health HealthChoices, a statewide managed care delivery system for children and adults. Behavioral health services will continue to be provided through the BH-MCOs. CHC-MCOs and BH-MCOs will be required to coordinate services for individuals who participate in both programs.



## **FISCAL YEAR 2021 – 2022 INITIATIVE – DIRECT CARE WORKER COMPREHENSIVE TRAINING**

The Direct Care Worker (DCW) Comprehensive Training initiative will be developed to improve in-home care quality and potentially support DCW retention. The model will follow a three-step integrated approach to participant directed DCW training drawing on best practices in other states. The three steps include:

- The development of a standardized training program including a pre-service orientation (already in place).
- Create foundational skills for DCWs that focus both on common needs and conditions that are system cost drivers while providing a career pathway for the workers.
- Provide advanced training that supports care team integration and services associated with supporting participants with complex needs.
- Reduce the need for providers to retrain workers (and incur training costs) who received the training and credential as a result of previous employment

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. C1.45, E27.7, E27.12, E27.19, E27.25-E27.27

**APPROPRIATION:**  
Long-Term Care Managed Care

**I. SUMMARY FINANCIAL DATA**

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
<b>State Funds</b>	\$151,168	\$146,842	\$157,778
<b>Federal Funds Total</b>	\$197,681	\$209,199	\$224,832
<b>Federal Sources Itemized</b>			
<i>Medical Assistance - Long-Term Care Managed Care</i>	\$177,131	\$187,182	\$201,110
<i>COVID - MA- Long-Term Care Managed Care (EA)</i>	\$10,550	\$22,017 <sup>1</sup>	\$23,722
<i>COVID RF - MA-Long-Term Care Managed Care</i>	\$10,000	\$0	\$0
<b>Total</b>	\$348,849	\$356,041	\$382,610

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds</b>	\$0
<b>Federal Funds</b>	\$125
<b>Federal Sources Itemized</b>	
<i>COVID - MA- Long-Term Care Managed Care</i>	\$125
<b>Total</b>	\$125

<sup>1</sup> Includes a recommended supplemental executive authorization of \$0.125 million. A total of \$21.892 million was originally included for this appropriation in Fiscal Year 2020-2021.

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Long-Term Care Managed Care			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$151,168	\$146,842	\$157,778	\$10,936	7.45%
Federal Funds	\$197,681	\$206,225	\$224,832	\$18,607	9.02%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>1</b>	<b>\$348,849</b>	<b>\$353,067</b>	<b>\$382,610</b>	<b>\$29,543</b>	<b>8.37%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$2,974	\$0	(\$2,974)	-100.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$2,974</b>	<b>\$0</b>	<b>(\$2,974)</b>	<b>-100.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$151,168	\$146,842	\$157,778	\$10,936	7.45%
Federal Funds	\$197,681	\$209,199	\$224,832	\$15,633	7.47%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$348,849</b>	<b>\$356,041</b>	<b>\$382,610</b>	<b>\$26,569</b>	<b>7.46%</b>

**APPROPRIATION:**  
Long-Term Care Managed Care

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2018-2019	2019-2020	2020-2021 Estimated
State Funds	\$736	\$0	\$0

IV. COMPLEMENT INFORMATION	12/31/2019	12/31/2020	2021-2022 Budgeted
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

1

**Derivation of Request:**

Estimated expenditures are based on the projected number of recipients in the Living Independence for the Elderly (LIFE) program, the length of care for those recipients and the average cost per month of their services.

Detail on the appropriation is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**

62 P.S. §§ 443.5; 42 U.S.C. §§ 1395eee and 1396u-4; 42 CFR Part 460

**Disbursement Criteria:**

The provider of service must be enrolled in the Medical Assistance program and the service must be a covered Medical Assistance benefit. The recipient of the service must be deemed eligible to receive Medical Assistance benefits and the reimbursement of the covered services must be in accordance with promulgated fee schedules/ rates of reimbursement established for the service.

**VI. EXPLANATION OF CHANGES**  
(\$ Amounts in Thousands)

**APPROPRIATION:**  
Long-Term Care Managed Care

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>GRANT &amp; SUBSIDY</b>				
1. Provides for home and community-based services to 504 additional older Pennsylvanians in Fiscal Year 2021-2022:	\$12,189	\$13,310	\$0	\$25,499
2. Provides for a change in utilization of members entering the program in Fiscal Year 2021-2022 which includes normal growth and expansion due to Community HealthChoices:	\$1,968	\$2,076	\$0	\$4,044
3. Impact of the change in the Federal Medical Assistance Percentage from 52.20 percent to 52.68 percent, effective October 1, 2021. The full-year blended rate increases from				
1 52.2125 percent to 52.5600 percent:	(\$1,390)	\$1,390	\$0	\$0
4. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	(\$1,831)	\$1,831	\$0	\$0
<b>Subtotal Grant &amp; Subsidy</b>	<u>\$10,936</u>	<u>\$18,607</u>	<u>\$0</u>	<u>\$29,543</u>
<b>BUDGETARY RESERVE</b>				
1. Impact of the change in excess federal appropriation authority from Fiscal Year 2020-2021 to Fiscal Year 2021-2022:	<u>\$0</u>	<u>(\$2,849)</u>	<u>\$0</u>	<u>(\$2,849)</u>
2. Impact of the change in excess federal appropriation authority from Fiscal Year 2020-2021 to Fiscal Year 2021-2022 related the COVID-19 appropriation:	<u>\$0</u>	<u>(\$125)</u>	<u>\$0</u>	<u>(\$125)</u>
<b>TOTAL</b>	<u><u>\$10,936</u></u>	<u><u>\$15,633</u></u>	<u><u>\$0</u></u>	<u><u>\$26,569</u></u>

**Long-Term Care Managed Care**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2020-2021**

	<b>Total \$</b>	<b>Federal \$</b>	<b>COVID-19</b>	<b>State \$</b>	<b>Member Months</b>	<b>Expansion Member Months</b>	<b>Total Member Months</b>	<b>Per Member Per Month</b>
JULY 2020	\$28,274,402	\$14,769,479	\$1,753,013	\$11,751,909			7,523	\$3,758.18
AUGUST	\$27,746,182	\$14,491,567	\$1,720,263	\$11,534,352			7,408	\$3,745.43
SEPTEMBER	\$29,159,525	\$15,235,852	\$1,807,891	\$12,115,782			7,775	\$3,750.42
OCTOBER	\$29,131,173	\$15,206,472	\$1,806,133	\$12,118,568			7,734	\$3,766.64
NOVEMBER	\$29,477,815	\$15,387,419	\$1,827,625	\$12,262,771	7,782	48	7,829	\$3,765.08
DECEMBER	\$29,657,159	\$15,481,037	\$1,838,744	\$12,337,378	7,782	95	7,877	\$3,765.08
JANUARY 2021	\$29,836,502	\$15,574,654	\$1,849,863	\$12,411,985	7,782	143	7,925	\$3,765.08
FEBRUARY	\$30,015,845	\$15,668,271	\$1,860,982	\$12,486,592	7,782	191	7,972	\$3,765.08
MARCH	\$30,195,189	\$15,761,889	\$1,872,102	\$12,561,198	7,782	238	8,020	\$3,765.08
APRIL	\$30,374,532	\$15,855,506	\$1,883,221	\$12,635,805	7,782	286	8,067	\$3,765.08
MAY	\$29,508,479	\$15,403,426	\$1,829,526	\$12,275,527	7,782	333	8,115	\$3,765.08
JUNE	\$29,689,548	\$15,497,044	\$1,842,370	\$12,350,134	7,782	381	8,163	\$3,765.08
<b>Total PROMISe Expenditures</b>	<b>\$353,066,350</b>	<b>\$184,332,616</b>	<b>\$21,891,733</b>	<b>\$146,842,000</b>			<b>94,408</b>	<b>\$3,739.78</b>
Uncommitted	\$2,974,651	\$2,849,384	\$125,267	\$0				
<b>Total General Fund Requirement</b>	<b>\$356,041,001</b>	<b>\$187,182,000</b>	<b>\$22,017,000</b>	<b>\$146,842,000</b>				
Act 17-A of 2020 Fiscal Year 2020-21 Appropriation Amount		\$187,182,000	\$22,017,000	\$146,842,000				
Surplus / (Deficit)		(\$0)	\$0	(\$0)				

**Long-Term Care Managed Care**  
**Fiscal Year 2021-2022 Governor's Executive Budget**  
**Fiscal Year 2021-2022**

	<b>Total \$</b>	<b>Federal \$</b>	<b>COVID-19</b>	<b>State \$</b>	<b>Member Months</b>	<b>Expansion Member Months</b>	<b>Total Member Months</b>	<b>Per Member Per Month</b>
JULY 2021	\$30,891,352	\$16,125,286	\$1,915,264	\$12,850,802	8,163	42	8,205	\$3,765.08
AUGUST	\$31,049,485	\$16,207,831	\$1,925,068	\$12,916,586	8,163	84	8,247	\$3,765.08
SEPTEMBER	\$31,207,619	\$16,290,377	\$1,934,872	\$12,982,370	8,163	126	8,289	\$3,765.08
OCTOBER	\$31,365,752	\$16,523,478	\$1,944,677	\$12,897,597	8,163	168	8,331	\$3,765.08
NOVEMBER	\$31,523,885	\$16,606,783	\$1,954,481	\$12,962,621	8,163	210	8,373	\$3,765.08
DECEMBER	\$31,682,019	\$16,690,088	\$1,964,285	\$13,027,646	8,163	252	8,415	\$3,765.08
JANUARY 2022	\$31,840,152	\$16,773,392	\$1,974,089	\$13,092,671	8,163	294	8,457	\$3,765.08
FEBRUARY	\$31,998,285	\$16,856,697	\$1,983,894	\$13,157,694	8,163	336	8,499	\$3,765.08
MARCH	\$32,161,429	\$16,942,641	\$1,994,009	\$13,224,779	8,163	378	8,541	\$3,765.08
APRIL	\$32,330,952	\$17,031,946	\$2,004,519	\$13,294,487	8,163	420	8,583	\$3,765.08
MAY	\$32,832,685	\$17,296,258	\$2,035,626	\$13,500,801	8,163	462	8,625	\$3,765.08
JUNE	\$33,726,648	\$17,765,223	\$2,091,311	\$13,870,114	8,163	504	8,667	\$3,765.08
<b>Total PROMISe Expenditures</b>	<b>\$382,610,263</b>	<b>\$201,110,000</b>	<b>\$23,722,095</b>	<b>\$157,778,168</b>			<b>101,228</b>	<b>\$3,779.67</b>
<b>Total General Fund Requirement</b>		<b>\$201,110,000</b>	<b>\$23,722,095</b>	<b>\$157,778,168</b>				

## **LONG-TERM CARE MANAGED CARE**

### **PROGRAM STATEMENT**

The Long-Term Care Managed Care program appropriation provides comprehensive care and integrated care management for acute, behavioral health, and long-term services and supports to the elderly in Pennsylvania. The program in Pennsylvania is referred to as Living Independence for the Elderly (LIFE), formerly known as the Long-Term Care Capitated Assistance Program and nationally known as the Program of All-Inclusive Care for the Elderly (PACE). Participants are assessed to determine if they are both medically and financially eligible for Medical Assistance (MA) nursing facility benefits and to determine if they can be safely served in the community before they can enroll in LIFE.

As the number of Pennsylvanians needing both home and community-based services and nursing facilities is increasing, the need to realign the supply of services and funding has become increasingly evident. The goal of the LIFE program is to offer individuals a choice in where they receive long-term living services, while providing high-quality care in a clinically appropriate and cost-effective environment. The following are key components of rebalancing: assist and transition nursing facility residents wishing to leave a facility-based care setting in returning to their home or community, align the supply of nursing facility beds with demand, ensure participants currently enrolled or who qualify for long-term living services meet the defined eligibility criteria, and ensure that resources are optimized to serve the maximum number of consumers with high-quality care.

The Office of Long-Term Living will continue to provide nursing facility alternatives to enable MA recipients to obtain services in the least restrictive environment. These alternatives are designed to strengthen both informal and formal community support systems and to attempt to decrease the emphasis on the use of nursing facility services by providing access to home and community-based services.

The LIFE program is uniquely designed to provide elderly participants age 55 and older, who would otherwise have few alternatives to nursing facility placement, a comprehensive array of services administered through an interdisciplinary care team. The LIFE program supports the ongoing community residence of the participants. Service integration through an interdisciplinary care team, along with funding integration through Medicare and Medicaid, allows the care team to directly manage delivery of services and funds. The LIFE program uses person-centered plans of care, in which the member has an active role in planning services. There are currently 19 LIFE providers in Pennsylvania operating 52 LIFE centers and 7 alternative care settings, providing services to more than 7,400 participants. In addition, the Department of Human Services intends to expand LIFE services into all remaining unserved counties in the Commonwealth over the next several years.

### **LIFE COMMUNITY OPPORTUNITIES**

Pennsylvania citizens overwhelmingly state that they would prefer to receive long-term services and supports in a home and community-based setting rather than an institutional setting. Toward that end, a significant investment has been made in the LIFE program, greatly expanding the number of people who can be served in their home. The Fiscal Year 2021-2022 budget proposes to provide home and community-based services to an additional 852 older Pennsylvanians through the LIFE program.



**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. A2.8, C1.45, E27.7, E27.35, E27.37-E27.38

**APPROPRIATION:**  
Intellectual Disabilities - Community Base Program

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
State Funds	\$148,943	\$144,432	\$143,838
Federal Funds Total	\$67,199	\$68,999	\$61,340
Federal Sources Itemized			
<i>Medical Assistance - Community ID Services</i>	\$59,038	\$59,723	\$52,133
<i>SSBG - Community ID Services</i>	\$7,451	\$7,451	\$7,451
<i>COVID - Medical Assistance - Community ID Services (EA)</i>	\$710	\$1,825	\$1,756
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Total</b>	<b>\$216,142</b>	<b>\$213,431</b>	<b>\$205,178</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	\$0
<b>Total</b>	<u>\$0</u>

**II. DETAIL BY MAJOR OBJECT**  
(\$ Amounts in Thousands)

**APPROPRIATION:**  
Intellectual Disabilities - Community Base Program

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$8,660	\$8,660	\$8,660	\$0	0.00%
Federal Funds	\$11,792	\$13,896	\$12,793	(\$1,103)	-7.94%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$20,452</b>	<b>\$22,556</b>	<b>\$21,453</b>	<b>(\$1,103)</b>	<b>-4.89%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$140,171	\$135,772	\$135,178	(\$594)	-0.44%
Federal Funds	\$53,814	\$48,644	\$48,547	(\$97)	-0.20%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$193,985</b>	<b>\$184,416</b>	<b>\$183,725</b>	<b>(\$691)</b>	<b>-0.37%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$112	\$0	\$0	\$0	0.00%
Federal Funds	\$1,593	\$6,459	\$0	(\$6,459)	-100.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$1,705</b>	<b>\$6,459</b>	<b>\$0</b>	<b>(\$6,459)</b>	<b>-100.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXCESS FEDERAL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Excess Federal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$148,943	\$144,432	\$143,838	(\$594)	-0.41%
Federal Funds	\$67,199	\$68,999	\$61,340	(\$7,659)	-11.10%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$216,142</b>	<b>\$213,431</b>	<b>\$205,178</b>	<b>(\$8,253)</b>	<b>-3.87%</b>

<b>APPROPRIATION:</b> Intellectual Disabilities - Community Base Program
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III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$365	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 The Fiscal Year 2021-2022 Governor's Executive Budget provides for maintenance of the current program.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**  
 62 P.S. §§ 201, 206; MH/ID Act of 1966, 50 P.S. §§ 4201(7), 4509; Title XIX of the Social Security Act, 42 U.S.C. § 1396 et seq.; 62 P.S. § 441.1 et seq.; 62 P.S. § 1401-B et seq.

**Disbursement Criteria:**  
 Disbursements are based on allocations developed by the Department of Human Services and are advanced on a quarterly basis to administrative entities. Payments for Medicaid eligible supports coordination are based on invoices submitted by providers through the Provider Reimbursement and Operations Management Information System (PROMISE). Additionally, services provided under the terms of various administrative contracts are reimbursed as invoices are submitted to the Department of Human Services and approved for payment.

**VI. EXPLANATION OF CHANGES**

(\$ Amounts in Thousands)

**APPROPRIATION:**

Intellectual Disabilities - Community Base Program

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>OPERATING</b>				
1. Provides for a decrease in funding for a contract with Deloitte Consulting, LLP for HCSIS and PELICAN system enhancements to meet CMS assurances, and for server information to be transferred to the cloud:	(\$143)	(\$2,217)	\$0	(\$2,360)
2. Provides for an increase in funding for a contract with Hershey Entertainment and Resorts to provide the venues for the Everyday Lives regional conferences, which are held every other year:	\$147	\$147	\$0	\$294
3. Provides for the impact of changes in funding for miscellaneous operating costs:	(\$4)	\$967	\$0	\$963
Subtotal Operating	\$0	(\$1,103)	\$0	(\$1,103)
<b>GRANT &amp; SUBSIDY</b>				
1. Provides for the impact of the change in the Federal Medical Assistance Percentage (FMAP) rate from 52.20 percent to 52.68 percent, effective October 1, 2021, for Medicaid eligible supports coordination services billed through the Provider Reimbursement and Operations Management Information System (PROMISe). The full-year blended state fiscal year rate increases from 52.2125 percent in Fiscal Year 2020-2021 to 52.56 percent in Fiscal Year 2021-2022:	(\$79)	\$79	\$0	\$0
2. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	\$69	(\$69)	\$0	\$0
3. Provides for a reduction in medicaid eligible Targeted Support Management Services:	(\$14)	(\$16)	\$0	(\$30)
4. Other miscellaneous changes:	(\$570)	(\$91)	\$0	(\$661)
Subtotal Grant & Subsidy	(\$594)	(\$97)	\$0	(\$691)
<b>BUDGETARY RESERVE</b>				
1. Change in excess federal Medical Assistance appropriation authority:	\$0	(\$6,459)	\$0	(\$6,459)
Subtotal Budgetary Reserve	\$0	(\$6,459)	\$0	(\$6,459)
<b>TOTAL</b>	<u>(\$594)</u>	<u>(\$7,659)</u>	<u>\$0</u>	<u>(\$8,253)</u>

**Fiscal Year 2021-2022 Model - Blue Book**  
**Appropriation: Intellectual Disabilities - Community Base Program**

\$ Amounts in Thousands	2019-2020 Actual	2020-2021 Estimated	2021-2022 Budget Request	2021-2022 Budget Rqst vs. 2020-2021 Estimated	Percent Change	Notes
<b>COMMUNITY BASE SERVICES (Non-Block Grant):</b>						
<b>MAINTENANCE</b>						
State/Carryover	\$28,883	\$26,998	\$26,998	\$0	0.00%	This funding is non-block grant and cannot be comingled with other funds.
State/Carryover SSBG Backfill	\$0	\$0	\$0	\$0	---	
Fed - SSBG	\$2,378	\$2,219	\$2,219	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$31,261	\$29,217	\$29,217	\$0	0.00%	
<b>PENNHURST DISPERSAL</b>						
State/Carryover (Ineligible)	\$63	\$39	\$39	\$0	0.00%	Provides Base Program funding which was merged from the Pennhurst Dispersal appropriation into the Community ID program beginning in Fiscal Year 2009-2010.
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$63	\$39	\$39	\$0	0.00%	
<b>TARGETED CASE MANAGEMENT (SUPPORTS COORDINATION)</b>						
State/Carryover	\$1,945	\$1,863	\$1,863	\$0	0.00%	Provides case management services for individuals who are not Medicaid eligible.
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$1,945	\$1,863	\$1,863	\$0	0.00%	
<b>FISCAL YEAR 2019-2020 INITIATIVE - SUPPORTING FAMILIES OF CHILDREN WITH COMPLEX MEDICAL NEEDS</b>						
State/Carryover	\$75	\$75	\$75	\$0	0.00%	Provides two Family Facilitators to provide support for children who are medically complex to avoid the use of congregate care and, for those already in congregate care, to provide the support needed to allow the child to live at home.
Fed - MA	\$75	\$75	\$75	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$150	\$150	\$150	\$0	0.00%	
<b>MEDICAID-ELIGIBLE TARGETED SERVICE MANAGEMENT (TSM)</b>						
State/Carryover	\$10,158	\$9,132	\$9,187	\$55	0.60%	Provides targeted service management (TSM) state plan services to Medicaid-eligible individuals not enrolled in the Waiver program.
State Backfill - MA	\$0	\$0	(\$79)	(\$79)	---	
Fed - MA	\$11,943	\$11,973	\$12,036	\$63	0.53%	
Fed - MA COVID	\$710	\$1,825	\$1,756	(\$69)	-3.78%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$22,811	\$22,930	\$22,900	(\$30)	-0.13%	COMMITMENT ITEM #: 6602000 - Grants & Pay to Indiv
<b>TARGETED SERVICE MANAGEMENT - ADMINISTRATION</b>						
State/Carryover	\$761	\$743	\$743	\$0	0.00%	
Fed - MA	\$761	\$743	\$743	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$1,522	\$1,486	\$1,486	\$0	0.00%	
<b>ADMINISTRATIVE COSTS FOR FINANCIAL MANAGEMENT SERVICES, AGENCY WITH CHOICE AND ORGANIZED HEALTH CARE DELIVERY SYSTEM</b>						
State/Carryover	\$4,287	\$0	\$0	\$0	---	Provides funding for the administration of financial management services and Agency-with-Choice services for consumers that self-direct all or some of their services, and for Organized Health Care Delivery System administrative per transaction fee.
Fed - MA	\$5,503	\$0	\$0	\$0	---	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$9,790	\$0	\$0	\$0	---	Fiscal Year 2019-2020 reflects part-year funding due to payment of these service costs being reassigned to the ID-Community Waiver appropriation during the fiscal year. COMMITMENT ITEM #: 6602000 - Grants & Pay to Indiv
<b>MONEY FOLLOWS PERSON - DUAL DIAGNOSIS TRAINING</b>						
State/Carryover	\$0	\$0	\$0	\$0	---	Provides funding for the creation and design of a dual diagnosis training curriculum, to upload the completed training curriculum to the ODP training site, and to perform the dual diagnosis curriculum training.
Fed - MA	\$80	\$80	\$80	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$80	\$80	\$80	\$0	0.00%	

**Fiscal Year 2021-2022 Model - Blue Book**  
**Appropriation: Intellectual Disabilities - Community Base Program**

\$ Amounts in Thousands	2019-2020	2020-2021	2021-2022	2021-2022	Percent	Notes
	Actual	Estimated	Budget Request	Budget Rqst vs. 2020-2021 Estimated		

**COUNTY ADMINISTRATION (Non-Block Grant):**

COUNTY ADMINISTRATION						
ON-GOING						
State/Carryover	\$9,386	\$8,827	\$8,827	\$0	0.00%	
Fed - MA	\$9,386	\$8,827	\$8,827	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$18,772	\$17,654	\$17,654	\$0	0.00%	
HEALTH CARE QUALITY UNITS (HCQU)						
State/Carryover	\$1,000	\$926	\$926	\$0	0.00%	Provides for the health care management of people living in licensed residential programs by medical professionals experienced in providing medical care to people with intellectual disabilities.
Fed - MA	\$870	\$852	\$852	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$1,870	\$1,778	\$1,778	\$0	0.00%	
INDEPENDENT MONITORING TEAMS (IM4Q)						
State/Carryover	\$357	\$321	\$321	\$0	0.00%	Independent Monitoring Teams (IMTs) in the counties/joiners to conduct quality of life and family and consumer satisfaction reviews of contracted services in the counties.
Fed - MA	\$357	\$321	\$321	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$714	\$642	\$642	\$0	0.00%	
TOTAL COUNTY ADMINISTRATION (NON-BLOCK GRANT)						
State/Carryover	\$10,743	\$10,074	\$10,074	\$0	0.00%	
Fed - MA	\$10,613	\$10,000	\$10,000	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Total County Administration	\$21,356	\$20,074	\$20,074	\$0	0.00%	

TOTAL COMMUNITY BASED SERVICES (NON-BLOCK GRANT)						
State/Carryover	\$56,915	\$48,924	\$48,979	\$55	0.11%	
State Backfill - MA	\$0	\$0	(\$79)	(\$79)	---	
Fed - SSBG	\$2,378	\$2,219	\$2,219	\$0	0.00%	
Fed - MA	\$28,975	\$22,871	\$22,934	\$63	0.28%	
Fed - MA COVID	\$710	\$1,825	\$1,756	(\$69)	-3.78%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Total Community Based Services	\$88,978	\$75,839	\$75,809	(\$30)	-0.04%	

HUMAN SERVICES BLOCK GRANT						
State/Carryover	\$86,518	\$88,778	\$88,778	\$0	0.00%	
Fed - MA	\$15,927	\$16,497	\$16,497	\$0	0.00%	
Fed - SSBG	\$5,073	\$5,232	\$5,232	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$107,518	\$110,507	\$110,507	\$0	0.00%	

OPERATING						
CENTRALLY DISTRIBUTED CHARGES:						
State	\$0	\$150	\$150	\$0	0.00%	
Federal MA	\$0	\$150	\$150	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$300	\$300	\$0	0.00%	COMMITMENT ITEM #: 6399999 - Other Operating Expenses
VENDOR: MERCER HEALTH & BENEFITS, LLC						
CONTRACT: RATE DEVELOPMENT AND BUDGET ANALYSIS						
State	\$425	\$1,000	\$1,000	\$0	0.00%	Provides for rate development and budget analysis; stakeholder communication and training; and administrative oversight.
Federal MA	\$425	\$1,000	\$1,000	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$850	\$2,000	\$2,000	\$0	0.00%	COMMITMENT ITEM #: 6343100 - Specialized Services

**Fiscal Year 2021-2022 Model - Blue Book**  
**Appropriation: Intellectual Disabilities - Community Base Program**

\$ Amounts in Thousands	2019-2020 Actual	2020-2021 Estimated	2021-2022 Budget Request	2021-2022 Budget Rqst vs. 2020-2021 Estimated	Percent Change	Notes
<b>OPERATING (Continued)</b>						
<b>VENDOR: DELOITTE CONSULTING, LLP</b>						
CONTRACT: IMPLEMENTATION AND MANAGEMENT SUPPORT (HCSIS & PELICAN) CONTRACT						
State	\$260	\$360	\$217	(\$143)	-39.72%	Provides funding for HCSIS and PELICAN system enhancements to meet CMS assurances, and for server information to be transferred to the cloud. COMMITMENT ITEM #: 6341214 - IT Consulting and Application Development
Federal MA	\$2,028	\$3,240	\$1,023	(\$2,217)	-68.43%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$2,288	\$3,600	\$1,240	(\$2,360)	-65.56%	
<b>VENDOR: PUBLIC CONSULTING GROUP, INC</b>						
CONTRACT: IMPLEMENTATION AND MANAGEMENT SUPPORT (HCSIS) CONTRACT						
State	\$314	\$100	\$100	\$0	0.00%	Provides funding for gathering requirements and the preparation of a written business plan of HCSIS enhancements to meet CMS assurances and to test the completed system for compliance. COMMITMENT ITEM #: 6341215 - IT Consulting - App Main Sup
Federal MA	\$943	\$900	\$900	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$1,257	\$1,000	\$1,000	\$0	0.00%	
<b>VENDOR: TEMPLE UNIVERSITY</b>						
CONTRACTS: CERTIFIED INVESTIGATOR TRAINING CONTRACT <sup>1</sup> MEDICATION ADMINISTRATION TRAIN THE TRAINER CONTRACT <sup>2</sup> HARRY M V. DPW LITIGATION SETTLEMENT WITH DISABILITY RIGHTS NETWORK (DRN) - COMMUNICATION ASSESSMENTS <sup>3</sup> MEMORANDUM OF UNDERSTANDING FOR IM4Q QUALITY INITIATIVE INFORMATION COLLECTION <sup>4</sup> DISCOVERY EMPLOYMENT TRANSITION PROJECT <sup>5</sup>						
State	\$1,137	\$1,000	\$1,000	\$0	0.00%	<sup>1</sup> Provides for the management of the certified investigation training program, which includes implementation, maintenance, support, and ongoing content review and updates. <sup>2</sup> Provides for the management and operation of the medication administration train-the-trainer program. Vendor is responsible for maintaining all online course materials, tests, and resources; delivery of training, tests, and materials to training candidates; implementation of the medication administration recertification course plan; managing training related logistics; providing user support and technical assistance; and tracking, analyzing, and reporting on training-related metrics. <sup>3</sup> Provides for communication assessments of deaf Consolidated Waiver participants and individuals on the waiting list in the emergency category and for communication assessment support and quality control. <sup>4</sup> Provides for the collection of quality initiative information from individuals receiving supports through the Office of Developmental Programs, analyzing and reporting findings; and training for supports coordinators, individuals, families, school personnel, businesses, and other interested individuals to promote a climate that is hospitable to the hiring and successful retention of workers with intellectual disabilities. <sup>5</sup> Provides for the utilization of the Marc Gold & Associates curriculum to provide training and certification in discovery, customized employment job development, and systematic instruction to providers; mentor and provide information, training, and technical assistance to individuals, families, employers, supports coordinators, and providers. COMMITMENT ITEM #: 6343100 - Specialized Services
Federal MA	\$1,137	\$1,000	\$1,000	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$2,274	\$2,000	\$2,000	\$0	0.00%	
<b>LITIGATION COSTS:</b>						
State	\$0	\$50	\$50	\$0	0.00%	COMMITMENT ITEM #: 6342100 - Legal Services/Fees
Federal MA	\$0	\$50	\$50	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$0	\$100	\$100	\$0	0.00%	

**Fiscal Year 2021-2022 Model - Blue Book**  
**Appropriation: Intellectual Disabilities - Community Base Program**

\$ Amounts in Thousands	2019-2020 Actual	2020-2021 Estimated	2021-2022 Budget Request	2021-2022 Budget Rqst vs. 2020-2021 Estimated	Percent Change	Notes
<b>OPERATING (Continued)</b>						
<b>VENDOR: INGENESIS, INC.</b>						
CONTRACT: OFFICE OF DEVELOPMENTAL PROGRAMS CONTRACTED STAFF CONTRACT						
State	\$3,175	\$2,879	\$2,879	\$0	0.00%	Provides for contracted staff to perform quality management, administrative entity oversight, risk management, clinical, and fiscal duties.
Federal MA	\$3,317	\$2,879	\$2,879	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$6,492	\$5,758	\$5,758	\$0	0.00%	COMMITMENT ITEM #: 6343100 - Specialized Services
<b>VENDOR: KEYSTONE PEER REVIEW ORGANIZATION, INC.</b>						
CONTRACT: SIS & PUNS PLUS CONTRACT						
State	\$326	\$845	\$845	\$0	0.00%	Provides for standardized statewide assessments of all current and future Waiver participants.
Federal MA	\$1,363	\$2,535	\$2,535	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$1,689	\$3,380	\$3,380	\$0	0.00%	COMMITMENT ITEM #: 6343100 - Specialized Services
<b>VENDOR: AMERICAN ASSOCIATION ON INTELLECTUAL AND DEVELOPMENTAL DISABILITIES</b>						
CONTRACT: SIS ASSESSMENT COPYRIGHTED TOOL USE CONTRACT						
State	\$57	\$68	\$68	\$0	0.00%	Provides for the use of copyrighted software materials for standardized assessments of all current and future Waiver participants.
Federal MA	\$57	\$68	\$68	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$114	\$136	\$136	\$0	0.00%	COMMITMENT ITEM #: 6344302 - Software Lic Maint
<b>VENDOR: COLUMBUS MEDICAL SERVICES, LLC</b>						
CONTRACTS: TRAINING AND SUPPORT FOR DEVELOPMENTAL DISABILITIES SYSTEM PROVIDERS TO MEET FEDERAL HCBS FINAL RULE REQUIREMENTS						
State	\$581	\$747	\$747	\$0	0.00%	Provides for developing, conducting, and maintaining standardized training, and providing support for DD training programs for providers within the statewide DD service system in an effort to meet the CMS HCBS final rule requirements that individuals have full access to the benefits of community living and are able to receive services in the most integrated setting.
Federal MA	\$639	\$747	\$747	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$1,220	\$1,494	\$1,494	\$0	0.00%	COMMITMENT ITEM #: 6343100 - Specialized Services
<b>VENDOR: TUSCARORA INTERMEDIATE UNIT #11</b>						
CONTRACT: TRAINING AND SUPPORT FOR DEVELOPMENTAL DISABILITIES (DD) SYSTEM PARTICIPANTS AND FAMILIES CONTRACT						
State	\$420	\$0	\$0	\$0	---	Provides for training courses, general communication and information, outreach, and mentorship to individuals with DD and their families regarding the programs and services available, how to access and navigate the system, non-service related supports available, and policy and regulation changes that affect the DD service system. Contract expired June 30, 2020.
Federal MA	\$420	\$0	\$0	\$0	---	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$840	\$0	\$0	\$0	---	COMMITMENT ITEM #: 6343100 - Specialized Services
<b>VENDOR: UNIVERSITY OF MASSACHUSETTS</b>						
CONTRACT: INFORMATION TECHNOLOGY PLATFORM/LEARNING MANAGEMENT SYSTEM CONTRACT						
State	\$332	\$425	\$425	\$0	0.00%	Provides for a web-based learning management system (LMS) that will support all of ODP's training, including: certified investigation, medication administration, DD Participants' and Families' training and support, DD Professionals' training and support, Employment Summit, etc. The LMS will house web-based training and certification courses, provide a vehicle for registration for courses and conferences, etc.
Federal MA	\$319	\$425	\$425	\$0	0.00%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$651	\$850	\$850	\$0	0.00%	COMMITMENT ITEM #: 6343100 - Specialized Services
<b>INTERAGENCY AGREEMENT WITH THE DEPARTMENT OF LABOR &amp; INDUSTRY</b>						
State	\$500	\$500	\$500	\$0	0.00%	Provides funding, in collaboration with OVR in the Department of L & I, to provide additional supports to promote competitive employment opportunities for individuals with ID.
Federal MA	\$0	\$0	\$0	\$0	---	
Subtotal	\$500	\$500	\$500	\$0	0.00%	COMMITMENT ITEM #: 6343100 - Specialized Services



**Fiscal Year 2021-2022 Model - Blue Book**  
**Appropriation: Intellectual Disabilities - Community Base Program**

\$ Amounts in Thousands	2019-2020 Actual	2020-2021 Estimated	2021-2022 Budget Request	2021-2022 Budget Rqst vs. 2020-2021 Estimated	Percent Change	Notes
<b>OPERATING (Continued)</b>						
<b>VENDOR: TO BE DETERMINED</b>						
CONTRACT: MONEY FOLLOWS PERSON - BUILDING CAPACITY TO SERVE INDIVIDUALS WITH INTELLECTUAL DISABILITIES/AUTISM AND MENTAL ILLNESS						
State	\$0	\$0	\$0	\$0	---	Transition individuals with ID/Autism out of mental health institutions and ICF/ID facilities and build capacity in both the community MH and ID/Autism systems to prevent the institutionalization of those at risk (100 percent MFP).
Federal MA	\$85	\$82	\$82	\$0	0.00%	
Subtotal	\$85	\$82	\$82	\$0	0.00%	COMMITMENT ITEM #: 6343100 - Specialized Services
<b>VENDOR: COMMUNITY SERVICES GROUP, INC.</b>						
CONTRACT: MONEY FOLLOWS PERSON - BUILDING CAPACITY TO SERVE INDIVIDUALS WITH INTELLECTUAL DISABILITIES/AUTISM AND MENTAL ILLNESS DUAL DIAGNOSIS - MENTAL HEALTH FIRST AID TRAINING						
State	\$0	\$0	\$0	\$0	---	Provides a Mental Health First Aid Training course to teach professionals and natural supports of individuals with intellectual and developmental disabilities how to identify, understand, and respond to signs of mental illness (100 percent MFP).
Federal MA	\$42	\$120	\$120	\$0	0.00%	
Subtotal	\$42	\$120	\$120	\$0	0.00%	COMMITMENT ITEM #: 6343100 - Specialized Services
<b>VENDOR: OST GLOBAL PENNSYLVANIA IT STAFF AUGMENTATION CONTRACT</b>						
CONTRACT: REPROCUREMENT OF THE HOME AND COMMUNITY-BASED SERVICES INFORMATION SYSTEM (HCSIS) AND THE MEDICAID MANAGEMENT INFORMATION SYSTEM (PROMISe)						
State	\$115	\$88	\$88	\$0	0.00%	Provides for two contracted staff to perform all necessary duties as the Office of Developmental Programs' representative for the reprocurement of both the Home and Community-Based Services Information System (HCSIS) and the Medicaid Management Information System (PROMISe), and to monitor the Ingensis Contract.
Federal MA	\$115	\$88	\$88	\$0	0.00%	
Subtotal	\$230	\$176	\$176	\$0	0.00%	COMMITMENT ITEM #: 6343100 - Specialized Services
<b>VENDOR: BRIDGE CONSULTING</b>						
CONTRACT: PENNSYLVANIA DISABILITY EMPLOYMENT AND EMPOWERMENT SUMMIT						
State	\$58	\$81	\$81	\$0	0.00%	Provides for a disability employment and empowerment summit that the Office of Developmental Programs hosts on behalf of the Governor's Cabinet for People with Disabilities.
Federal MA	\$58	\$81	\$81	\$0	0.00%	
Subtotal	\$116	\$162	\$162	\$0	0.00%	COMMITMENT ITEM #: 6343100 - Specialized Services
<b>VENDOR: HERSHEY ENTERTAINMENT AND RESORTS</b>						
CONTRACT: EVERYDAY LIVES REGIONAL CONFERENCES						
State	\$190	\$0	\$147	\$147	---	Provides the venues for the Everyday Lives regional conferences, which are held every other year.
Federal MA	\$183	\$0	\$147	\$147	---	
Subtotal	\$373	\$0	\$294	\$294	---	COMMITMENT ITEM #: 6343100 - Specialized Services
<b>VENDOR: ELSEVIER, INC.</b>						
CONTRACT: COLLEGES OF DIRECT SUPPORTS AND EMPLOYMENT SERVICES						
State	\$212	\$200	\$200	\$0	0.00%	Provides for a suite of online curricula to train direct care professionals who support people with intellectual, developmental, and physical disabilities.
Federal MA	\$212	\$200	\$200	\$0	0.00%	
Subtotal	\$424	\$400	\$400	\$0	0.00%	COMMITMENT ITEM #: 6343100 - Specialized Services
<b>VENDOR: IBM WATSON HEALTH (TRUVEN HEALTH ANALYTICS, INC.)</b>						
CONTRACT: AUTISM PROGRAM DEVELOPMENT CONSULTING						
State	\$64	\$125	\$125	\$0	0.00%	Provides for the transfer of an autism services contract to support policy and administrative development, including review of amendments submitted to the Centers for Medicare and Medicaid Services for both ACAP and AAW, from the Autism Services appropriation to the Base Program appropriation.
Federal MA	\$64	\$125	\$125	\$0	0.00%	
Subtotal	\$128	\$250	\$250	\$0	0.00%	COMMITMENT ITEM #: 6343100 - Specialized Services
<b>VENDOR: PENNSYLVANIA STATE UNIVERSITY</b>						
CONTRACT: MASTER AGREEMENT THROUGH THE DEPARTMENT OF GENERAL SERVICES FOR THE PERSON CENTERED ASSESSMENT AND MONITORING SYSTEM						
State	\$0	\$0	\$0	\$0	---	Provides for an assessment for adults with autism spectrum disorder in the ACAP Program and the measurement of four domains related to personal well-being and community participation: mental health, physical health, social health, and quality of life. (100% MFP)
Federal MA	\$96	\$143	\$143	\$0	0.00%	
Subtotal	\$96	\$143	\$143	\$0	0.00%	COMMITMENT ITEM #: 6343100 - Specialized Services

**Fiscal Year 2021-2022 Model - Blue Book**  
**Appropriation: Intellectual Disabilities - Community Base Program**

\$ Amounts in Thousands	2019-2020 Actual	2020-2021 Estimated	2021-2022 Budget Request	2021-2022 Budget Rqst vs. 2020-2021 Estimated	Percent Change	Notes
<b>OPERATING (Continued)</b>						
<b>VENDOR: VARIOUS</b>						
CONTRACT: MISCELLANEOUS SMALL CONTRACTS FOR VARIOUS PURPOSES						
State	\$149	\$42	\$38	(\$4)	-9.52%	
Federal MA	\$176	\$63	\$59	(\$4)	-6.35%	
Subtotal	\$325	\$105	\$97	(\$8)	-7.62%	COMMITMENT ITEM #: 6343100 - Specialized Services
<b>OPERATING ADJUSTMENTS</b>						
State	\$345	\$0	\$0	\$0	---	
Federal MA	\$113	\$0	\$971	\$971	---	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Subtotal	\$458	\$0	\$971	\$971	---	COMMITMENT ITEM #: 6343100 - Specialized Services
<b>TOTAL OPERATING</b>						
Subtotal Operating - State	\$8,660	\$8,660	\$8,660	\$0	0.00%	
Subtotal Operating - Federal	\$11,792	\$13,896	\$12,793	(\$1,103)	-7.94%	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Total Current Operating	\$20,452	\$22,556	\$21,453	(\$1,103)	-4.89%	
<b>COMMITTED/UNALLOCATED</b>						
Committed/Unallocated - Excess State Carryover Fed - MA	\$218 \$0	\$0 \$0	\$0 \$0	\$0 \$0	--- ---	Excess Fiscal Year 2018-2019 State fund carryover.
Subtotal	\$218	\$0	\$0	\$0	---	
Committed/Unallocated - State/Carryover Fed - MA	\$0 \$751	\$0 \$0	\$0 \$0	\$0 \$0	--- ---	Committed/Unallocated federal financial participation.
Subtotal	\$751	\$0	\$0	\$0	---	
Subtotal Commit./Unalloc. - State	\$218	\$0	\$0	\$0	---	
Subtotal Commit./Unalloc. - Fed MA	\$751	\$0	\$0	\$0	---	
Subtotal	\$969	\$0	\$0	\$0	---	
<b>STATE FUNDS ADJUSTMENT</b>						
State	\$0	\$570	\$0	(\$570)	-100.00%	
<b>FEDERAL FUNDS ADJUSTMENT</b>						
Fed - MA	\$0	\$0	(\$91)	(\$91)	---	
<b>BUDGETARY RESERVE</b>						
State	\$112	\$0	\$0	\$0	---	
Federal MA	\$1,593	\$6,459	\$0	(\$6,459)	-100.00%	
Subtotal	\$1,705	\$6,459	\$0	(\$6,459)	-100.00%	
<b>GRAND TOTALS</b>						
Total State/Carryover	\$152,423	\$146,932	\$146,417	(\$515)	-0.35%	
Total State Backfill - MA	\$0	\$0	(\$79)	(\$79)	---	
State/Carryover 0% COLA	\$0	\$0	\$0	\$0	---	
Total Federal	\$66,489	\$67,174	\$59,584	(\$7,590)	-11.30%	
TOTAL	\$218,912	\$214,106	\$205,922	(\$8,184)	-3.82%	
State	\$148,943	\$144,432	\$143,838	(\$594)	-0.41%	
Fed - MA	\$59,038	\$59,723	\$52,133	(\$7,590)	-12.71%	
Fed - MA COVID	\$710	\$1,825	\$1,756	(\$69)	-3.78%	
Fed - SSBG	\$7,451	\$7,451	\$7,451	\$0	0.00%	
Subtotal Fed	\$67,199	\$68,999	\$61,340	(\$7,659)	-11.10%	
Total State/Fed/Other	\$216,142	\$213,431	\$205,178	(\$8,253)	-3.87%	
Carryover - State	\$3,480	\$2,500	\$2,500	\$0	0.00%	
Total	\$219,622	\$215,931	\$207,678	(\$8,253)	-3.82%	

## **INTELLECTUAL DISABILITIES - COMMUNITY BASE PROGRAM**

### **PROGRAM STATEMENT**

The Mental Health and Intellectual Disability Act of 1966 mandated the availability of community services for citizens with an intellectual disability and their families. The statute created county administered community programs in Pennsylvania for individuals with an intellectual disability.

In 1966, all services were provided in state institutions which cared for over 13,000 people with an intellectual disability. At the end of Fiscal Year 2019-2020, there were 680 people remaining in state centers while approximately 54,881 people received services in the community. This change has followed the national trend to provide community services as an alternative to institutional care. Changes in federal and state laws, as well as new funding initiatives, have created education programs, family support services, employment training, and home and community-based services for people with disabilities. These trends are built on the recognized values of family relationships and participation in community life.

The Intellectual Disabilities – Community Base Program provides funding for services to individuals of all ages with an intellectual disability or autism who are not eligible for the Intellectual Disabilities/Autism - Community Waiver Program and to Medicaid-eligible individuals not yet enrolled in the Waiver program. About 22,565 people received Community Base Program services in Fiscal Year 2019-2020. Some of the services provided include supports coordination, family aide, family respite care, education training, recreational therapy and recreation/leisure time activities, vocational therapy, dental hygienic services, employment training, and home modifications. The services available for individuals enrolled in the Community Base Program are comparable to the services received by individuals enrolled in the Waivers.

In order to assure that people with intellectual disabilities/autism receive the best physical and behavioral health services, there are eight Health Care Quality Units (HCQUs) that together serve all counties in the Commonwealth. The HCQUs serve as the entities responsible for the overall health status of individuals (Waiver and non-Waiver) receiving services in the county/joinder programs. The physical and behavioral health knowledge and competencies of service providers and supports coordinators, other county personnel, families, consumers, and community medical providers are enhanced through training and technical assistance on physical and behavioral health-related issues as identified by the HCQUs through monitoring.

The Independent Monitoring for Quality (IM4Q) process measures the quality of supports and services within the intellectual disabilities/autism system using nationally recognized methods. The IM4Q surveys are administered under contract with individual County/Joinder Mental Health/Intellectual Disabilities programs. As part of the IM4Q process, independent monitoring teams, which are free of conflict of interest, conduct interviews with individuals (Waiver and non-Waiver) receiving services and families, and report back to the County/Joinder Mental Health/Intellectual Disabilities program for purposes of continuous quality improvement. The IM4Q information is entered directly into the Home and Community Services Information System by independent monitoring programs with assurance that the information remains confidential and secure. The IM4Q monitoring fulfills the federal requirement to have a quality management program for Medicaid waivers.

In Fiscal Year 2017-2018, the Quality Assessment and Improvement project was initiated. It is designed to follow an individual's experience through the system, measure progress toward implementation of "Everyday Lives, Values in Action," gather data to manage system performance, and to use that data to manage the service delivery system. Additionally, the assessment is to be used to demonstrate Administrative Entity (AE) outcomes in the operating agreement, collect data for Waiver performance measures, and verify that providers comply with 55 Pa. Code Chapter 51 or 55 Pa. Code Chapter 6100 regulations and their provider agreement. Through the Quality Assessment and Improvement process, all Administrative Entities, Supports Coordination Entities and providers of Office of Developmental Programs' (ODP) services are assessed over a three-year cycle.

In Fiscal Year 2012-2013, a Human Services Block Grant (HSBG) program was implemented to provide local governments with increased flexibility to address local needs. Beginning in Fiscal Year 2017-2018 the HSBG program was expanded to allow all counties statewide to request participation in the program on a voluntary basis. Currently, a total of 44 counties have submitted applications that were subsequently approved by the Department of Human Services and are participating in the HSBG program.

In Fiscal Year 2018-2019, ODP began the process of designing a system to address the unique needs of children who are medically complex. The Fiscal Year 2021-2022 Governor's Executive Budget continues the funding provided in Fiscal Year 2019-2020 for two family facilitators. The two facilitators coordinate with hospital social workers to identify children at risk for out-of-home placement and assist families through planning, systems navigation, and accessing resources. Other supports that family facilitators provide include support transitioning children in facility-based care to their family home, education, care coordination between systems, home modifications, assistive technology, nursing, respite, and connecting with families in similar situations.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. A1.14, C1.45, E27.8, E27.35, E27.37-E27.39

**APPROPRIATION:**  
Intellectual Disabilities - Intermediate Care Facilities

**I. SUMMARY FINANCIAL DATA**

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
<b>State Funds</b>	\$148,359	\$135,061	\$142,902
<b>Federal Funds Total</b>	\$204,811	\$217,246	\$229,633
<b>Federal Sources Itemized</b>			
<i>Medical Assistance - ID/ICF</i>	\$193,685	\$194,752	\$206,034
<i>COVID-Medical Assistance - ID/ICF (EA)</i>	\$11,126	\$22,494	\$23,599
<b>Other Funds Total</b>	\$19,483	\$18,419	\$20,900
<b>Other Fund Sources Itemized</b>			
<i>ID Assessment - ID/ICF</i>	\$19,483	\$18,419	\$20,900
<b>Total</b>	\$372,653	\$370,726	\$393,435

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds</b>	\$0
<b>Federal Funds Total</b>	\$0
<b>Total</b>	\$0

**II. DETAIL BY MAJOR OBJECT**  
(\$ Amounts in Thousands)

**APPROPRIATION:**  
Intellectual Disabilities - Intermediate Care Facilities

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$148,359	\$135,061	\$142,902	\$7,841	5.81%
Federal Funds	\$204,811	\$217,246	\$229,633	\$12,387	5.70%
Other Funds	\$19,483	\$18,419	\$20,900	\$2,481	13.47%
<b>Total Grant &amp; Subsidy</b>	<b>\$372,653</b>	<b>\$370,726</b>	<b>\$393,435</b>	<b>\$22,709</b>	<b>6.13%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXCESS FEDERAL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Excess Federal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$148,359	\$135,061	\$142,902	\$7,841	5.81%
Federal Funds	\$204,811	\$217,246	\$229,633	\$12,387	5.70%
Other Funds	\$19,483	\$18,419	\$20,900	\$2,481	13.47%
<b>Total Funds</b>	<b>\$372,653</b>	<b>\$370,726</b>	<b>\$393,435</b>	<b>\$22,709</b>	<b>6.13%</b>

**APPROPRIATION:**  
Intellectual Disabilities - Intermediate Care Facilities

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
The recommended appropriation provides for the annualization of program changes for Fiscal Year 2020-2021, the Fiscal Year 2021-2022 conversion of beds to the Community Waiver program, the Fiscal Year 2021-2022 transfer of individuals from ID - State Centers, changes in patient income, settlement of Hearings and Appeals cases, cost settlements, additional funding for waiver costs and an assessment on ICF/ID services.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on subsequent pages.

**Legislative Citations:**  
MH/ID Act 1966, 50 P.S. § 4101 et seq.; Title XIX of the Social Security Act, 42 U.S.C. § 1396 et seq.; 62 P.S. § 443.1(2)

**Disbursement Criteria:**  
Providers are paid via established rates per 55 PA Code Chapter 1181, Subchapter C, Section 1181.336. The total projected operating cost is established by rolling forward prior year costs. The waiver of the standard interim rate methodology, as defined in Section 1181.351, allows for exceptions to be made when the standard methodology is not appropriate or adequate. The approved funding level is then divided by 98 percent of estimated medical assistance days to establish the interim per diem rate by which the provider may invoice. Reimbursement is limited to allowable costs and is further limited by the total projected operating cost or budget level. Differences between the payment made via the interim per diem rate and actual, allowable, audited costs are reconciled at the time of final cost settlement.

**VI. EXPLANATION OF CHANGES**

(\$ Amounts in Thousands)

**APPROPRIATION:**

Intellectual Disabilities - Intermediate Care Facilities

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>GRANT &amp; SUBSIDY</b>				
1. Provides for the Fiscal Year 2021-2022 conversion of 10 beds from the Private Intermediate Care Facilities/Intellectual Disabilities (ICF/ID) program to the Community Waiver program, effective July 1, 2021:	(\$1,414)	(\$1,566)	\$0	(\$2,980)
2. Provides annualized funding for the Fiscal Year 2020-2021 transfer of 21 individuals from the Private ICF/ID program to the Waiver program, with a corresponding increase in capacity:	(\$530)	(\$579)	\$0	(\$1,109)
3. Provides funding for the Fiscal Year 2021-2022 transfer of individuals from ID - State Centers to the Private ICF/ID program as a result of the planned closures of Polk and White Haven Centers:	\$518	\$571	\$0	\$1,089
4. Provides annualized funding for the Fiscal Year 2020-2021 transfer of individuals from ID - State Centers to the Private ICF/ID program as a result of the planned closures of Polk and White Haven Centers:	\$279	\$307	\$0	\$586
5. Provides for the impact of waivers of interim per diem rates based on historical data. Chapter 6211 regulations require the review and approval of appropriately documented requests for additional funding based on program costs:	\$7,090	\$7,856	\$0	\$14,946
6. Provides for the impact of hearings and appeals funding needed to resolve outstanding provider rate and audit appeals that will be settled during the fiscal year:	\$239	\$261	\$0	\$500
7. Assumes the continuation of a provider assessment for Fiscal Year 2021-2022. The assessment payments are estimated at \$8.619 million in state funds, while the revenue is projected at \$20.900 million for Fiscal Year 2021-2022:	(\$1,295)	\$1,295	\$2,481	\$2,481
8. Provides for the impact of the change in the Federal Medical Assistance Percentage (FMAP) rate from 52.20 percent to 52.68 percent, effective October 1, 2021. The state fiscal year blended rate increases from 52.2125 percent in Fiscal Year 2020-2021 to 52.56 percent in Fiscal Year 2021-2022:	(\$1,353)	\$1,353	\$0	\$0
9. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	(\$1,105)	\$1,105	\$0	\$0



**VI. EXPLANATION OF CHANGES**  
(\$ Amounts in Thousands)

**APPROPRIATION:**  
**Intellectual Disabilities - Intermediate Care Facilities**

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>GRANT &amp; SUBSIDY (Continued)</b>				
10. Impact of the roll-forward of Fiscal Year 2019-2020 payments into Fiscal Year 2020-2021:	(\$522)	(\$2,447)	\$0	(\$2,969)
11. Other Miscellaneous changes:	<u>\$5,934</u>	<u>\$4,231</u>	<u>\$0</u>	<u>\$10,165</u>
Subtotal Grant & Subsidy	<u>\$7,841</u>	<u>\$12,387</u>	<u>\$2,481</u>	<u>\$22,709</u>
<b>TOTAL</b>	<u><u>\$7,841</u></u>	<u><u>\$12,387</u></u>	<u><u>\$2,481</u></u>	<u><u>\$22,709</u></u>

Fiscal Year 2021-2022 Governor's Executive Budget  
 Appropriation: Intellectual Disabilities - Intermediate Care Facilities

Category	2019-2020 Actual	2020-2021 Available	2021-2022 Gov's Recomm.	2021-2022 Gov's Recomm. vs. 2020-2021		% Change	Notes
				Available	Available		
<b>Current Facilities</b>							
Beds	1,875	1,875	1,875	0		0.00%	
State Funds	\$152,343	\$141,532	\$138,785	(\$2,747)		-1.94%	
State Backfill - MA	\$0	\$0	(\$1,206)	(\$1,206)		----	
Federal - Medical Assistance	\$178,605	\$178,588	\$179,794	\$1,206		0.68%	
Federal - Medical Assistance-COVID	\$11,126	\$21,954	\$24,701	\$2,747		12.51%	
Subtotal Current Facilities	\$342,074	\$342,074	\$342,074	\$0		0.00%	
<b>Fiscal Year 2020-2021 Initiative "Community Transitions - Polk &amp; White Haven Closures"</b>							
<b>Fiscal Year 2021-2022</b>							
Beds	0	0	10	10		----	
State Funds	\$0	\$0	\$518	\$518		----	
State Backfill - MA	\$0	\$0	\$0	\$0		----	
Federal - Medical Assistance	\$0	\$0	\$571	\$571		----	
Subtotal Fiscal Year 2021-2022	\$0	\$0	\$1,089	\$1,089		----	
<b>Fiscal Year 2020-2021</b>							
Beds	0	7	7	0		0.00%	
State Funds	\$0	\$280	\$559	\$279		99.64%	
State Backfill - MA	\$0	\$0	(\$4)	(\$4)		----	
Federal - Medical Assistance	\$0	\$306	\$617	\$311		101.63%	
Subtotal Fiscal Year 2020-2021	\$0	\$586	\$1,172	\$586		100.00%	
<b>Fiscal Year 2021-2022 Conversions to Community ID Waiver Program:</b>							
<b>Merakey Woodhaven</b>							
Beds	0	0	(10)	(10)		----	Provides for the conversion of 10 beds to the Community ID Waiver, effective July 1, 2021.
State Funds	\$0	\$0	(\$1,414)	(\$1,414)		----	
State Backfill - MA	\$0	\$0	\$0	\$0		----	
Federal - Medical Assistance	\$0	\$0	(\$1,566)	(\$1,566)		----	
Subtotal Merakey Woodhaven Conversion	\$0	\$0	(\$2,980)	(\$2,980)		----	
<b>Fiscal Year 2020-2021 Conversions to Community ID Waiver Program:</b>							
<b>Divine Providence Village</b>							
Beds	0	(11)	(11)	0		0.00%	Provides for the conversion of two certified beds to the ID - Community Waiver Program, effective July 1, 2020, and nine beds, effective January 2021.
State Funds	\$0	(\$423)	(\$813)	(\$390)		92.20%	
State Backfill - MA	\$0	\$0	\$6	\$6		----	
Federal - Medical Assistance	\$0	(\$463)	(\$895)	(\$432)		93.30%	
Subtotal Divine Providence Village	\$0	(\$886)	(\$1,702)	(\$816)		92.10%	
<b>BARC</b>							
Beds	0	(6)	(6)	0		0.00%	Provides for the conversion of a six-bed home to the ID - Community Waiver Program, effective July 1, 2020.
State Funds	\$0	(\$466)	(\$466)	\$0		0.00%	
State Backfill - MA	\$0	\$0	\$3	\$3		----	
Federal - Medical Assistance	\$0	(\$510)	(\$513)	(\$3)		0.59%	
Subtotal BARC	\$0	(\$976)	(\$976)	\$0		0.00%	

Fiscal Year 2021-2022 Governor's Executive Budget  
 Appropriation: Intellectual Disabilities - Intermediate Care Facilities

Category	2019-2020 Actual	2020-2021 Available	2021-2022 Gov's Recomm.	2021-2022 Gov's Recomm. vs. 2020-2021		% Change	Notes
				Available			
<b>Friendship</b>							
Beds	0	(4)	(4)	0		0.00%	Provides for the conversion of a four-bed home to the ID - Community Waiver Program, effective October 1, 2020.
State Funds	\$0	(\$421)	(\$561)	(\$140)		33.25%	
State Backfill - MA	\$0	\$0	\$4	\$4		----	
Federal - Medical Assistance	\$0	(\$459)	(\$616)	(\$157)		34.20%	
Subtotal Friendship	\$0	(\$880)	(\$1,173)	(\$293)		33.30%	
<b>Subtotal Fiscal Year 2020-2021 Conversions to Community ID Waiver Program:</b>							
Beds	0	(21)	(21)	0		0.00%	
State Funds	\$0	(\$1,310)	(\$1,840)	(\$530)		40.46%	
State Backfill - MA	\$0	\$0	\$13	\$13		----	
Federal - Medical Assistance	\$0	(\$1,432)	(\$2,024)	(\$592)		41.34%	
Subtotal FY 20-21 Conversions	\$0	(\$2,742)	(\$3,851)	(\$1,109)		40.44%	
<b>FY 21-22 Waivers of Interim Per Diems</b>							
State Funds	\$0	\$0	\$7,090	\$7,090		----	Represents additional waivers of interim per diem rates based on historical data. Chapter 6211 Regulations require the review and approval of appropriately documented requests for additional funding based on program costs.
State Backfill - MA	\$0	\$0	\$0	\$0		----	
Federal - Medical Assistance	\$0	\$0	\$7,856	\$7,856		----	
Subtotal FY 21-22 Waiver Costs	\$0	\$0	\$14,946	\$14,946		----	
<b>FY 20-21 Waivers of Interim Per Diems</b>							
State Funds	\$0	\$4,779	\$4,779	\$0		0.00%	
State Backfill - MA	\$0	\$0	(\$35)	(\$35)		----	
Federal - Medical Assistance	\$0	\$5,221	\$5,256	\$35		0.67%	
Subtotal FY 20-21 Waiver Costs	\$0	\$10,000	\$10,000	\$0		0.00%	
<b>FY 19-20 Waivers of Interim Per Diems</b>							
State Funds	\$5,286	\$6,212	\$6,212	\$0		0.00%	
State Backfill - MA	\$0	\$0	(\$45)	(\$45)		----	
Federal - Medical Assistance	\$5,439	\$6,788	\$6,833	\$45		0.66%	
Federal - Medical Assistance-COVID	\$340	\$0	\$0	\$0		----	
Subtotal FY 19-20 Waiver Costs	\$10,725	\$13,000	\$13,000	\$0		0.00%	
<b>Hearings and Appeals</b>							
State Funds	\$1,432	\$239	\$478	\$239		100.00%	Represents estimated funding needed to resolve outstanding provider rate and audit appeals that will be settled during the year. The amount does not represent the total of all outstanding appeals, only those anticipated to be resolved during the fiscal year.
State Backfill - MA	\$0	\$0	(\$3)	(\$3)		----	
Federal - Medical Assistance	\$1,568	\$261	\$525	\$264		101.15%	
Subtotal Hearings and Appeals	\$3,000	\$500	\$1,000	\$500		100.00%	
<b>FY 19-20 Roll Forward Adjustment</b>							
State Funds	(\$522)	\$522	\$0	(\$522)		-100.00%	Represents a roll forward of Fiscal Year 2019-2020 deficit to Fiscal Year 2020-2021.
State Backfill - MA	\$0	\$0	\$0	\$0		----	
Federal - Medical Assistance	(\$2,107)	\$2,107	\$0	(\$2,107)		-100.00%	
Federal - Medical Assistance-COVID	(\$340)	\$340	\$0	(\$340)		-100.00%	
Subtotal FY 19-20 Roll Forward Adj.	(\$2,969)	\$2,969	\$0	(\$2,969)		-100.00%	
<b>ICF/ID Assessment</b>							
State Funds	(\$10,180)	(\$9,617)	(\$10,912)	(\$1,295)		13.47%	Represents an assessment on provider revenues as reported on the provider's most recent MR-46 cost report, using only MA income, client liability, and private pay income.
State Backfill - MA	\$0	\$0	(\$73)	(\$73)		----	
Federal - Medical Assistance	\$10,180	\$9,617	\$10,985	\$1,368		14.23%	
Other (Assessment)	\$19,483	\$18,419	\$20,900	\$2,481		13.47%	
Subtotal ICF/ID Assessment	\$19,483	\$18,419	\$20,900	\$2,481		13.47%	

Fiscal Year 2021-2022 Governor's Executive Budget  
 Appropriation: Intellectual Disabilities - Intermediate Care Facilities

Category	2019-2020 Actual	2020-2021 Available	2021-2022 Gov's Recomm.	2021-2022 Gov's Recomm. vs. 2020-2021		Notes
				Available	% Change	
<b>Subtotals - Grant &amp; Subsidy</b>						
Total Beds	1,875	1,854	1,854	0	0.00%	
Total State Funds	\$148,359	\$142,637	\$144,255	\$1,618	1.13%	
Total State Backfill - MA	\$0	\$0	(\$1,353)	(\$1,353)	----	
Total Federal - Medical Assistance	\$193,685	\$201,456	\$208,847	\$7,391	3.67%	
Total Federal - Medical Assistance COVID	\$11,126	\$22,294	\$24,701	\$2,407	10.80%	
Total Other (Assessment)	\$19,483	\$18,419	\$20,900	\$2,481	13.47%	
Subtotal Grant & Subsidy	\$372,653	\$384,806	\$397,350	\$12,544	3.26%	
<b>Funding Adjustment</b>						
State Funds	\$0	(\$7,576)	\$0	\$7,576	-100.00%	
Federal - MA	\$0	(\$6,704)	(\$2,813)	\$3,891	-58.04%	
Federal - Medical Assistance-COVID	\$0	\$200	(\$1,102)	(\$1,302)	-651.00%	
Total Deficit/Surplus	\$0	(\$14,080)	(\$3,915)	\$10,165	-72.19%	
<b>Grand Totals</b>						
Total Beds	1,875	1,854	1,854	0	0.00%	
Total State Funds	\$148,359	\$135,061	\$142,902	\$7,841	5.81%	
Total Federal - Medical Assistance	\$193,685	\$194,752	\$206,034	\$11,282	5.79%	
Total Federal - Medical Assistance COVID	\$11,126	\$22,494	\$23,599	\$1,105	4.91%	
Total Other (Assessment)	\$19,483	\$18,419	\$20,900	\$2,481	13.47%	
Total	\$372,653	\$370,726	\$393,435	\$22,709	6.13%	

## **INTELLECTUAL DISABILITIES - INTERMEDIATE CARE FACILITIES**

### **PROGRAM STATEMENT**

Non-state operated (private) Intermediate Care Facilities for the Intellectually Disabled (ICFs/ID) provide residential and habilitation services to persons with an intellectual disability under Title XIX of the Social Security Act (Medicaid). Participating facilities are required to meet federal standards for licensure and certification related to program services, health, environment, and safety of the persons served. The primary goal of these facilities is to develop each individual's ability to function more independently.

In Pennsylvania, private ICFs/ID serve a diverse population providing a range of habilitative and health services to individuals with an intellectual disability. There are 161 certified facilities varying in size; 139 facilities are homes serving four to eight people, while 22 facilities serve more than eight people (with the largest serving 149 people). For Fiscal Year 2021-2022, it is anticipated that approximately 1,870 individuals will be served in private ICFs/ID.

The downsizing of large private ICFs/ID programs into smaller community programs funded through the Community Intellectual Disabilities/Autism (ID/A) Waiver program is encouraged by the Department of Human Services (Department). The Fiscal Year 2021-2022 Governor's Executive Budget provides funding for the conversion of 10 private ICFs/ID beds to the Community ID/A Waiver program that will occur during Fiscal Year 2021-2022. The home and community-based services funding associated with the conversions is reflected under the Intellectual Disabilities - Community Waiver Program appropriation.

The on-going conversion of private ICFs/ID programs to the Home and Community-Based Services Waiver program will affect a shift in services from a "medical" model, on which the private ICF/ID program is predominantly based, to a less restrictive and more community-oriented model under the Community ID/A Waiver program. Services under the Waiver are enhanced through an individual support plan designed to meet a person's unique needs and preferences.

The Fiscal Year 2021-2022 Governor's Executive Budget assumes the continuation of the ICFs/ID provider assessment, which is applied against both public and private providers of ICFs/ID services, and totals \$38.456 million for Fiscal Year 2021-2022. Of the \$38.456 million, \$20.900 million represents the assessment on services provided in private ICFs/ID and the balance is reflected under the Intellectual Disabilities - State Centers appropriation.

In August 2019, in line with the continued commitment to serve more people in the community, the Department announced the closures of Polk and White Haven Centers. The timeline for closure is 36 months. The Department continues activities associated with the closure initiative begun in Fiscal Year 2020-2021 and has been engaged in planning individualized transitions for each resident of Polk and White Haven Centers.

### **FISCAL YEAR 2021 - 2022 INITIATIVE - MINIMUM WAGE INCREASE**

The Fiscal Year 2021-2022 Minimum Wage Increase initiative will increase the minimum wage for all Pennsylvania workers to \$12.00 per hour, effective July 1, 2021. Workers earning the minimum must have the ability to afford basic, family-sustaining necessities that help avoid poverty, be productive workers, and reduce the use of assistance programs. Increasing the minimum wage will allow the Department's providers to recruit and retain child care, child welfare caseworkers and direct care workers that are essential to the provision of services in the Department's programs. This initiative is expected to decrease HealthChoices enrollment as more adults will be able to transition off Medical Assistance as their income increases. Additionally, children will transition to CHIP coverage as their family income increases. It is estimated that the minimum wage increase will not impact this program until Fiscal Year 2022-2023.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. A1.14, C1.45, E27.8, E27.12, E27.35,  
E27.37-E27.39

**APPROPRIATION:**  
Intellectual Disabilities - Community Waiver Program

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
State Funds	\$1,664,206	\$1,645,307	\$1,813,842
<b>Federal Funds Total</b>	<b>\$2,267,093</b>	<b>\$2,193,594</b>	<b>\$2,268,268</b>
<b>Federal Sources Itemized</b>			
<i>Medical Assistance - Community ID Waiver Program</i>	\$1,900,758	\$1,984,147	\$2,028,166
<i>COVID-Medical Assistance - Community ID         Waiver Program (EA)</i>	\$108,169	\$209,447 <sup>1</sup>	\$240,102
<i>COVID-RF Intellectual Disabilities Community         Waiver Program</i>	\$258,166	\$0	\$0
Other Funds	\$0	\$0	\$0
<b>Total</b>	<b>\$3,931,299</b>	<b>\$3,838,901</b>	<b>\$4,082,110</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
<b>Federal Funds Total</b>	
<b>Federal Sources Itemized</b>	
<i>COVID-Medical Assistance - Community ID         Waiver Program (EA)</i>	<u>(\$35,140) <sup>1</sup></u>
<b>Total</b>	<b>(\$35,140)</b>

<sup>1</sup> The Governor's Executive Budget for Fiscal Year 2021-2022 reflects an executive authorization reduction of \$35.140 million, decreasing the Fiscal Year 2020-2021 funding level from \$244.587 million to \$209.447 million.

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Intellectual Disabilities - Community Waiver Program			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$1,664,206	\$1,645,307	\$1,813,842	\$168,535	10.24%
Federal Funds	\$2,217,093	\$2,015,708	\$2,218,268	\$202,560	10.05%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$3,881,299</b>	<b>\$3,661,015</b>	<b>\$4,032,110</b>	<b>\$371,095</b>	<b>10.14%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$50,000	\$177,886	\$50,000	(\$127,886)	-71.89%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$50,000</b>	<b>\$177,886</b>	<b>\$50,000</b>	<b>(\$127,886)</b>	<b>-71.89%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXCESS FEDERAL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Excess Federal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$1,664,206	\$1,645,307	\$1,813,842	\$168,535	10.24%
Federal Funds	\$2,267,093	\$2,193,594	\$2,268,268	\$74,674	3.40%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$3,931,299</b>	<b>\$3,838,901</b>	<b>\$4,082,110</b>	<b>\$243,209</b>	<b>6.34%</b>

**APPROPRIATION:**  
Intellectual Disabilities - Community Waiver Program

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$141	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
The Fiscal Year 2021-2022 Governor's Executive Budget provides for maintenance of the current program. Annualization of prior year initiatives and Fiscal Year 2021-2022 initiatives are also included.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on subsequent pages.

**Legislative Citations:**  
62 P.S. §§ 201, 206; Title XIX of the Social Security Act, 42 U.S.C. § 1396 et seq.; 62 P.S. § 441.1 et seq.

**Disbursement Criteria:**  
Disbursements are based on invoices for Waiver services rendered that providers submit for payment through the Provider Reimbursement and Operations Management Information System (PROMISE).



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Intellectual Disabilities - Community Waiver Program			
	State \$	Federal \$	Other \$	Total \$
<b>GRANT &amp; SUBSIDY</b>				
1. Provides funding for the Fiscal Year 2021-2022 transfer of 10 individuals from the Private Intermediate Care Facility/Intellectual Disabilities (ICF/ID) program and a corresponding increase in capacity in the Waiver program, effective July 1, 2021:	\$1,545	\$1,435	\$0	\$2,980
2. Provides annualized funding for the Fiscal Year 2020-2021 transfer of 21 individuals from the Private Intermediate Care Facility/Intellectual Disabilities (ICF/ID) program and a corresponding increase in capacity in the Waiver program:	\$119	\$110	\$0	\$229
3. Provides annualized funding for the Fiscal Year 2020-2021 "Waiting List" Initiative:				
A. Provides annualized funding for the placement of 50 Individuals from the emergency waiting list into the Consolidated Waiver:	\$2,480	\$2,212	\$0	\$4,692
B. Provides annualized funding for the placement of 150 Individuals from the emergency waiting list into the Community Living Waiver:	\$1,724	\$1,882	\$0	\$3,606
Subtotal	\$4,204	\$4,094	\$0	\$8,298
4. Provides annualized funding for the Fiscal Year 2020-2021 "Community Transitions" Initiative for the transition of individuals from ICFs/ID, State Mental Hospitals, and ID-State Centers to home and community-based settings:	\$59	\$1,171	\$0	\$1,230
5. Provides funding for the Fiscal Year 2021-2022 transition of individuals to home and community-based settings as a result of the planned closures of Polk and White Haven Centers:	\$10,960	\$5,890	\$0	\$16,850
6. Provides annualized funding for the Fiscal Year 2020-2021 transition of individuals to home and community-based settings as a result of the planned closures of Polk and White Haven Centers:	(\$3)	\$503	\$0	\$500
7. Impact of the roll-forward of Fiscal Year 2019-2020 payments into Fiscal Year 2020-2021:	(\$67,089)	\$0	\$0	(\$67,089)
8. Nonrecurring Year 2020-2021 provider retainer payments as a result of the COVID-19 pandemic:	(\$19,099)	(\$20,901)	\$0	(\$40,000)
9. Provides funding for on-going residential service costs, including changes in utilization:	\$123,049	\$87,528	\$0	\$210,577
10. Provides for maintenance of services, including the impact of changes in utilization:	\$78,930	\$85,678	\$0	\$164,608
11. Provides for the impact of the reinstatement of prudent pay:	\$67,320	\$66,818	\$0	\$134,138

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Intellectual Disabilities - Community Waiver Program			
	State \$	Federal \$	Other \$	Total \$
<b>GRANT &amp; SUBSIDY (Continued)</b>				
12. Provides for the impact of the change in the Federal Medical Assistance Percentage (FMAP) rate from 52.20 percent to 52.68 percent, effective October 1, 2021. The state fiscal year blended rate increases from 52.2125 percent in Fiscal Year 2020-2021 to 52.56 percent in Fiscal Year 2021-2022:	(13,825)	13,825	\$0	\$0
13. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	(30,655)	30,655	\$0	\$0
14. Other miscellaneous changes:	\$0	(84,721)	\$0	(84,721)
Subtotal Grant & Subsidy	\$155,515	\$192,085	\$0	\$347,600
<b>BUDGETARY RESERVE</b>				
1. Impact of a change in excess federal Medical Assistance appropriation authority:	\$0	(127,886)	\$0	(127,886)
Subtotal Budgetary Reserve	\$0	(127,886)	\$0	(127,886)
<b>INITIATIVES</b>				
<b>GRANT &amp; SUBSIDY</b>				
1. Waiting List, which includes provision for medically complex children living in congregate care settings to move to a home and community-based living arrangement:				
A. Provides 135 days funding for the placement of 100 individuals on the emergency waiting list into the Consolidated Waiver:	\$8,282	\$3,376	\$0	\$11,658
B. Provides 135 days funding for the placement of 732 individuals on the emergency waiting list into the Community Living Waiver:	\$5,566	\$7,971	\$0	\$13,537
Subtotal	\$13,848	\$11,347	\$0	\$25,195
2. Residential Transitions - Outcome-Based Incentive:				
A. Provides for the transition of 20 individuals from group home living arrangements into less costly life-sharing or supported living options:				
i. Reduction in residential group home costs:	(\$1,445)	(\$1,755)	\$0	(\$3,200)
ii. Life-sharing or supported living costs, including a performance-based incentive payment that will be made to providers for successful transitions:	\$617	\$883	\$0	\$1,500
Subtotal	(\$828)	(\$872)	\$0	(\$1,700)
Subtotal Initiatives	\$13,020	\$10,475	\$0	\$23,495
<b>TOTAL</b>	<b>\$168,535</b>	<b>\$74,674</b>	<b>\$0</b>	<b>\$243,209</b>

**Fiscal Year 2021-2022 Governor's Executive Budget  
Intellectual Disabilities - Community Waiver Program  
\$ Amounts in Thousands**

		FISCAL YEAR 2020-2021				
		Total	State Inelig	State Elig	Federal	Federal - COVID
<b>CONSOLIDATED WAIVER:</b>						
Residential - 5 or More Person Homes		\$65,102	\$3,065	\$29,646	\$32,391	\$0
Residential - 4 Person Homes		\$331,581	\$18,458	\$149,633	\$163,490	\$0
Residential - 3 Person Homes		\$880,859	\$50,627	\$396,746	\$433,486	\$0
Residential - 2 Person Homes		\$529,381	\$31,941	\$237,713	\$259,727	\$0
Residential - 1 Person Homes		\$375,991	\$22,553	\$168,898	\$184,540	\$0
FY 18-19 Regional Residential Habilitation Ineligible Rate Increase (effect. 1/1/19)		\$5,300	\$5,300	\$0	\$0	\$0
	Subtotal Residential Services	\$2,188,214	\$131,944	\$982,636	\$1,073,634	\$0
Behavioral Support		\$10,516	\$0	\$5,025	\$5,491	\$0
Benefits Counseling		\$22	\$0	\$11	\$11	\$0
Communications Specialist		\$157	\$0	\$75	\$82	\$0
Community Participation Support		\$324,193	\$0	\$154,935	\$169,258	\$0
Companion Services		\$67,228	\$0	\$32,126	\$35,102	\$0
Consultative Nutritional Services		\$10	\$0	\$5	\$5	\$0
Employment Services		\$34,193	\$0	\$16,340	\$17,853	\$0
Family/Caregiver Training and Support		\$10	\$0	\$5	\$5	\$0
Homemaker/Chore		\$372	\$0	\$178	\$194	\$0
Housing Transition and Tenancy Sustaining Service		\$54	\$0	\$26	\$28	\$0
In-Home and Community Support		\$171,341	\$0	\$81,879	\$89,462	\$0
Life-sharing		\$98,434	\$0	\$47,039	\$51,395	\$0
Music, Art, and Equine Therapy		\$32	\$0	\$15	\$17	\$0
Residential Habilitation-Other Support Services		\$8,813	\$0	\$4,212	\$4,601	\$0
Respite Services		\$6,296	\$0	\$3,008	\$3,288	\$0
Shift Nursing		\$79,448	\$0	\$37,966	\$41,482	\$0
Support Broker Services		\$1,006	\$0	\$481	\$525	\$0
Supports Coordination		\$63,495	\$0	\$30,343	\$33,152	\$0
Therapy Services		\$72	\$0	\$34	\$38	\$0
Transportation		\$37,916	\$0	\$18,119	\$19,797	\$0
	Subtotal Non-Residential	\$903,608	\$0	\$431,822	\$471,786	\$0
Outcomes-Based Vendor Services		\$1,414	\$0	\$676	\$738	\$0
	Subtotal Cost Based Services	\$1,414	\$0	\$676	\$738	\$0
FY 20-21 ACAP Transfers		\$597	\$144	\$216	\$237	\$0
FY 21-22 ICF-ID Conversions		\$0	\$0	\$0	\$0	\$0
FY 20-21 ICF-ID Conversions		\$2,672	\$172	\$1,195	\$1,305	\$0
FY 18-19 ICF-ID Conversions		\$11,671	\$787	\$5,201	\$5,683	\$0
FY 17-18 ICF-ID Conversions		\$2,540	\$186	\$1,125	\$1,229	\$0
FY 18-19 Transfer from Base to Waiver		\$6,114	\$303	\$2,777	\$3,034	\$0
FY 17-18 Transfer from Base to Waiver		\$271	\$0	\$129	\$142	\$0
FY 20-21 Initiative - Community Transitions (Polk & White Haven Closures)		\$0	\$0	\$0	\$0	\$0
Fiscal Year 2021-2022		\$1,900	\$763	\$544	\$593	\$0
Fiscal Year 2020-2021		\$20,672	\$806	\$9,493	\$10,373	\$0
FY 20-21 Initiative - Community Transitions (ICFs, State Hosp, State Centers)		\$4,050	\$1,688	\$1,129	\$1,233	\$0
FY 18-19 Initiative - DRN/Olmstead		\$4,048	\$237	\$1,943	\$1,868	\$0
FY 17-18 Initiative - DRN/Olmstead		\$6,477	\$378	\$2,915	\$3,184	\$0
FY 21-22 Initiative - Emergency Waiting List		\$0	\$0	\$0	\$0	\$0
FY 20-21 Initiative - Emergency Waiting List		\$3,962	\$796	\$1,513	\$1,653	\$0
FY 19-20 Initiative - Emergency Waiting List		\$15,865	\$765	\$7,216	\$7,884	\$0
FY 18-19 Initiative - Emergency Waiting List		\$15,873	\$765	\$7,220	\$7,888	\$0
FY 21-22 Initiative - Residential Transitions - Performance-Based Incentive		\$0	\$0	\$0	\$0	\$0
	<b>TOTAL CONSOLIDATED WAIVER</b>	\$3,189,948	\$139,734	\$1,457,750	\$1,592,464	\$0
<b>PERSON/FAMILY DIRECTED SUPPORTS WAIVER:</b>						
Behavioral Support		\$3,261	\$0	\$1,559	\$1,702	\$0
Benefits Counseling		\$10	\$0	\$5	\$5	\$0
Communications Specialist		\$36	\$0	\$17	\$19	\$0
Community Participation Support		\$76,594	\$0	\$36,606	\$39,988	\$0
Companion Services		\$25,216	\$0	\$12,050	\$13,166	\$0
Employment Services		\$18,961	\$0	\$9,061	\$9,900	\$0
Homemaker/Chore		\$195	\$0	\$93	\$102	\$0
Housing Transition and Tenancy Sustaining Service		\$9	\$0	\$4	\$5	\$0
In-Home and Community Support		\$109,521	\$0	\$52,337	\$57,184	\$0
Music, Art, and Equine Therapy		\$7	\$0	\$3	\$4	\$0
Outcomes-Based Vendor Services		\$1,393	\$0	\$666	\$727	\$0
Participant-Directed Goods and Services		\$51	\$0	\$24	\$27	\$0
Respite Services		\$5,967	\$0	\$2,851	\$3,116	\$0
Shift Nursing		\$228	\$0	\$109	\$119	\$0
Support Broker Services		\$688	\$0	\$329	\$359	\$0
Supports Coordination		\$29,955	\$0	\$14,315	\$15,640	\$0
Therapy Services		\$10	\$0	\$5	\$5	\$0
Transportation		\$25,366	\$0	\$12,122	\$13,244	\$0
	<b>TOTAL P/FDS WAIVER</b>	\$297,468	\$0	\$142,156	\$155,312	\$0

**Fiscal Year 2021-2022 Governor's Executive Budget  
Intellectual Disabilities - Community Waiver Program  
\$ Amounts in Thousands**

	FISCAL YEAR 2020-2021				
	Total	State Inelig	State Elig	Federal	Federal - COVID
<b>COMMUNITY LIVING WAIVER:</b>					
Behavioral Support	\$775	\$0	\$370	\$405	\$0
Communications Specialist	\$12	\$0	\$6	\$6	\$0
Community Participation Support	\$20,404	\$0	\$9,751	\$10,653	\$0
Companion Services	\$6,900	\$0	\$3,297	\$3,603	\$0
Employment Services	\$2,128	\$0	\$1,017	\$1,111	\$0
Homemaker/Chore	\$4	\$0	\$2	\$2	\$0
In-Home and Community Support	\$19,708	\$0	\$9,418	\$10,290	\$0
Life-sharing	\$988	\$0	\$472	\$516	\$0
Outcomes-Based Vendor Services	\$108	\$0	\$52	\$56	\$0
Participant-Directed Goods and Services	\$4	\$0	\$2	\$2	\$0
Residential Habilitation - Other Support Services	\$176	\$0	\$84	\$92	\$0
Respite Services	\$1,112	\$0	\$531	\$581	\$0
Shift Nursing	\$16	\$0	\$7	\$9	\$0
Supported Living	\$230	\$0	\$110	\$120	\$0
Support Broker Services	\$100	\$0	\$48	\$52	\$0
Supports Coordination	\$3,437	\$0	\$1,643	\$1,794	\$0
Therapy Services	\$9	\$0	\$4	\$5	\$0
Transportation	\$5,044	\$0	\$2,410	\$2,634	\$0
FY 20-21 ICF-ID Conversions	\$70	\$0	\$33	\$37	\$0
FY 21-22 Initiative - Emergency Waiting List	\$0	\$0	\$0	\$0	\$0
FY 20-21 Initiative - Emergency Waiting List	\$3,201	\$0	\$1,530	\$1,671	\$0
FY 19-20 Initiative - Emergency Waiting List	\$48,866	\$0	\$23,351	\$25,515	\$0
FY 18-19 Initiative - Spec Education Grads/Community Living	\$60,982	\$0	\$29,142	\$31,840	\$0
<b>TOTAL COMMUNITY LIVING WAIVER</b>	<b>\$174,274</b>	<b>\$0</b>	<b>\$83,280</b>	<b>\$90,994</b>	<b>\$0</b>
Litigation Settlement Costs Related to Provider Appeals	\$10,000	\$0	\$4,779	\$5,221	\$0
Adjustment for 53rd Payment Cycle in FY 19-20	\$0	\$0	\$0	\$0	\$0
Transfer of Admin Costs for FMS, AWC & OHCD System from Base Program	\$16,374	\$0	\$8,187	\$8,187	\$0
Additional Funds Requested to Cover Ongoing Program Costs	\$0	\$0	\$0	\$0	\$0
Fiscal Year 2019-2020 Deficit Rolled Forward to Fiscal Year 2020-2021	\$67,089	\$6,141	\$60,948	\$0	\$0
Additional Federal Earned as a Result of COVID-19 Pandemic	\$0	\$0	(\$209,447)	\$0	\$209,447
Provider Retainer Payments as a Result of COVID-19 Pandemic	\$40,000	\$0	\$19,099	\$20,901	\$0
One-Time Payment for Direct Care Staff Related to COVID-19 Pandemic	\$0	\$0	\$0	\$0	\$0
Impact of Prudent Pay Suspension and Reinstatement	(\$134,138)	(\$6,165)	(\$61,155)	(\$66,818)	\$0
Federal Funds Adjustment	\$0	\$0	\$0	\$0	\$0
Budgetary Reserve	\$177,886	\$0	\$0	\$177,886	\$0
<b>TOTAL BELOW THE LINE ADJUSTMENTS</b>	<b>\$177,211</b>	<b>(\$24)</b>	<b>(\$177,589)</b>	<b>\$145,377</b>	<b>\$209,447</b>
<b>GRAND TOTAL ID - COMMUNITY WAIVER PROGRAM</b>	<b>\$3,838,901</b>	<b>\$139,710</b>	<b>\$1,505,597</b>	<b>\$1,984,147</b>	<b>\$209,447</b>
		<b>Total State</b>	<b>\$1,645,307</b>		

**Fiscal Year 2021-2022 Governor's Executive Budget  
Intellectual Disabilities - Community Waiver Program  
\$ Amounts in Thousands**

		FISCAL YEAR 2021-2022				
		Total	State Inelig	State Elig	Federal	Federal - COVID
<b>CONSOLIDATED WAIVER:</b>						
Residential - 5 or More Person Homes		\$71,186	\$4,080	\$31,836	\$35,270	\$0
Residential - 4 Person Homes		\$363,284	\$24,573	\$160,685	\$178,026	\$0
Residential - 3 Person Homes		\$965,478	\$67,400	\$426,047	\$472,031	\$0
Residential - 2 Person Homes		\$580,614	\$42,524	\$255,270	\$282,820	\$0
Residential - 1 Person Homes		\$412,346	\$30,026	\$181,373	\$200,947	\$0
FY 18-19 Regional Residential Habilitation Ineligible Rate Increase (effect. 1/1/19)		\$5,883	\$5,883	\$0	\$0	\$0
	Subtotal Residential Services	\$2,398,791	\$174,486	\$1,055,211	\$1,169,094	\$0
Behavioral Support		\$11,376	\$0	\$5,397	\$5,979	\$0
Benefits Counseling		\$22	\$0	\$11	\$11	\$0
Communications Specialist		\$170	\$0	\$81	\$89	\$0
Community Participation Support		\$383,991	\$0	\$182,164	\$201,827	\$0
Companion Services		\$72,722	\$0	\$34,499	\$38,223	\$0
Consultative Nutritional Services		\$10	\$0	\$5	\$5	\$0
Employment Services		\$36,987	\$0	\$17,546	\$19,441	\$0
Family/Caregiver Training and Support		\$10	\$0	\$5	\$5	\$0
Homemaker/Chore		\$402	\$0	\$191	\$211	\$0
Housing Transition and Tenancy Sustaining Service		\$58	\$0	\$27	\$31	\$0
In-Home and Community Support		\$185,344	\$0	\$87,927	\$97,417	\$0
Life-sharing		\$106,478	\$0	\$50,513	\$55,965	\$0
Music, Art, and Equine Therapy		\$36	\$0	\$17	\$19	\$0
Residential Habilitation-Other Support Services		\$9,533	\$0	\$4,523	\$5,010	\$0
Respite Services		\$6,811	\$0	\$3,232	\$3,579	\$0
Shift Nursing		\$85,940	\$0	\$40,770	\$45,170	\$0
Support Broker Services		\$1,089	\$0	\$516	\$573	\$0
Supports Coordination		\$68,683	\$0	\$32,583	\$36,100	\$0
Therapy Services		\$78	\$0	\$37	\$41	\$0
Transportation		\$41,015	\$0	\$19,457	\$21,558	\$0
	Subtotal Non-Residential	\$1,010,755	\$0	\$479,501	\$531,254	\$0
Outcomes-Based Vendor Services		\$1,530	\$0	\$726	\$804	\$0
	Subtotal Cost Based Services	\$1,530	\$0	\$726	\$804	\$0
FY 20-21 ACAP Transfers		\$650	\$160	\$233	\$257	\$0
FY 21-22 ICF-ID Conversions		\$2,980	\$250	\$1,295	\$1,435	\$0
FY 20-21 ICF-ID Conversions		\$2,895	\$191	\$1,282	\$1,422	\$0
FY 18-19 ICF-ID Conversions		\$12,647	\$874	\$5,585	\$6,188	\$0
FY 17-18 ICF-ID Conversions		\$2,752	\$206	\$1,208	\$1,338	\$0
FY 18-19 Transfer from Base to Waiver		\$6,622	\$336	\$2,982	\$3,304	\$0
FY 17-18 Transfer from Base to Waiver		\$293	\$0	\$139	\$154	\$0
FY 20-21 Initiative - Community Transitions (Polk & White Haven Closures)						
Fiscal Year 2021-2022		\$16,850	\$5,650	\$5,310	\$5,890	\$0
Fiscal Year 2020-2021		\$2,400	\$300	\$996	\$1,104	\$0
FY 17-18 Unified Systems (Hamburg Center Closure)		\$22,384	\$895	\$10,194	\$11,295	\$0
FY 20-21 Initiative - Community Transitions (ICFs, State Hosp, State Centers)		\$5,280	\$675	\$2,185	\$2,420	\$0
FY 18-19 Initiative - DRN/Olmstead		\$4,385	\$263	\$1,956	\$2,166	\$0
FY 17-18 Initiative - DRN/Olmstead		\$7,017	\$420	\$3,130	\$3,467	\$0
FY 21-22 Initiative - Emergency Waiting List		\$11,658	\$5,925	\$2,357	\$3,376	\$0
FY 20-21 Initiative - Emergency Waiting List		\$8,654	\$1,250	\$3,512	\$3,892	\$0
FY 19-20 Initiative - Emergency Waiting List		\$17,183	\$849	\$7,748	\$8,586	\$0
FY 18-19 Initiative - Emergency Waiting List		\$17,192	\$849	\$7,753	\$8,590	\$0
FY 21-22 Initiative - Residential Transitions - Performance-Based Incentive		(\$1,700)	\$0	(\$828)	(\$872)	\$0
	<b>TOTAL CONSOLIDATED WAIVER</b>	\$3,551,218	\$193,579	\$1,592,475	\$1,765,164	\$0
<b>PERSON/FAMILY DIRECTED SUPPORTS WAIVER:</b>						
Behavioral Support		\$3,528	\$0	\$1,673	\$1,855	\$0
Benefits Counseling		\$10	\$0	\$5	\$5	\$0
Communications Specialist		\$40	\$0	\$19	\$21	\$0
Community Participation Support		\$90,722	\$0	\$43,038	\$47,684	\$0
Companion Services		\$29,373	\$0	\$13,935	\$15,438	\$0
Employment Services		\$20,511	\$0	\$9,731	\$10,780	\$0
Homemaker/Chore		\$211	\$0	\$99	\$112	\$0
Housing Transition and Tenancy Sustaining Service		\$9	\$0	\$4	\$5	\$0
In-Home and Community Support		\$118,472	\$0	\$56,205	\$62,267	\$0
Music, Art, and Equine Therapy		\$7	\$0	\$3	\$4	\$0
Outcomes-Based Vendor Services		\$1,507	\$0	\$714	\$793	\$0
Participant-Directed Goods and Services		\$56	\$0	\$26	\$30	\$0
Respite Services		\$6,454	\$0	\$3,062	\$3,392	\$0
Shift Nursing		\$247	\$0	\$117	\$130	\$0
Support Broker Services		\$745	\$0	\$353	\$392	\$0
Supports Coordination		\$32,404	\$0	\$15,372	\$17,032	\$0
Therapy Services		\$10	\$0	\$5	\$5	\$0
Transportation		\$27,439	\$0	\$13,017	\$14,422	\$0
	<b>TOTAL P/FDS WAIVER</b>	\$331,745	\$0	\$157,378	\$174,367	\$0

**Fiscal Year 2021-2022 Governor's Executive Budget  
Intellectual Disabilities - Community Waiver Program  
\$ Amounts in Thousands**

	FISCAL YEAR 2021-2022				
	Total	State Inelig	State Elig	Federal	Federal - COVID
<b>COMMUNITY LIVING WAIVER:</b>					
Behavioral Support	\$838	\$0	\$398	\$440	\$0
Communications Specialist	\$12	\$0	\$6	\$6	\$0
Community Participation Support	\$24,169	\$0	\$11,465	\$12,704	\$0
Companion Services	\$7,464	\$0	\$3,540	\$3,924	\$0
Employment Services	\$2,302	\$0	\$1,092	\$1,210	\$0
Homemaker/Chore	\$4	\$0	\$2	\$2	\$0
In-Home and Community Support	\$21,321	\$0	\$10,116	\$11,205	\$0
Life-sharing	\$1,069	\$0	\$507	\$562	\$0
Outcomes-Based Vendor Services	\$117	\$0	\$56	\$61	\$0
Participant-Directed Goods and Services	\$4	\$0	\$2	\$2	\$0
Residential Habilitation - Other Support Services	\$190	\$0	\$91	\$99	\$0
Respite Services	\$1,203	\$0	\$570	\$633	\$0
Shift Nursing	\$16	\$0	\$7	\$9	\$0
Supported Living	\$249	\$0	\$118	\$131	\$0
Support Broker Services	\$108	\$0	\$52	\$56	\$0
Supports Coordination	\$3,719	\$0	\$1,765	\$1,954	\$0
Therapy Services	\$9	\$0	\$4	\$5	\$0
Transportation	\$5,456	\$0	\$2,588	\$2,868	\$0
FY 20-21 ICF-ID Conversions	\$76	\$0	\$36	\$40	\$0
FY 21-22 Initiative - Emergency Waiting List	\$13,537	\$0	\$5,566	\$7,971	\$0
FY 20-21 Initiative - Emergency Waiting List	\$6,807	\$0	\$3,230	\$3,577	\$0
FY 19-20 Initiative - Emergency Waiting List	\$52,859	\$0	\$25,076	\$27,783	\$0
FY 18-19 Initiative - Spec Education Grads/Community Living	\$65,965	\$0	\$31,294	\$34,671	\$0
<b>TOTAL COMMUNITY LIVING WAIVER</b>	<b>\$207,494</b>	<b>\$0</b>	<b>\$97,581</b>	<b>\$109,913</b>	<b>\$0</b>
Litigation Settlement Costs Related to Provider Appeals	\$10,000	\$0	\$4,744	\$5,256	\$0
Adjustment for 53rd Payment Cycle in FY 19-20	\$0	\$0	\$0	\$0	\$0
Transfer of Admin Costs for FMS, AWC & OHCD System from Base Program	\$16,374	\$0	\$8,187	\$8,187	\$0
Additional Funds Requested to Cover Ongoing Program Costs	\$0	\$0	\$0	\$0	\$0
Fiscal Year 2019-2020 Deficit Rolled Forward to Fiscal Year 2020-2021	\$0	\$0	\$0	\$0	\$0
Additional Federal Earned as a Result of COVID-19 Pandemic	\$0	\$0	(\$240,102)	\$0	\$240,102
Provider Retainer Payments as a Result of COVID-19 Pandemic	\$0	\$0	\$0	\$0	\$0
One-Time Payment for Direct Care Staff Related to COVID-19 Pandemic	\$0	\$0	\$0	\$0	\$0
Impact of Prudent Pay Suspension and Reinstatement	\$0	\$0	\$0	\$0	\$0
Federal Funds Adjustment	(\$84,721)	\$0	\$0	(\$84,721)	\$0
Budgetary Reserve	\$50,000	\$0	\$0	\$50,000	\$0
<b>TOTAL BELOW THE LINE ADJUSTMENTS</b>	<b>(\$8,347)</b>	<b>\$0</b>	<b>(\$227,171)</b>	<b>(\$21,278)</b>	<b>\$240,102</b>
<b><u>GRAND TOTAL ID - COMMUNITY WAIVER PROGRAM</u></b>	<b>\$4,082,110</b>	<b>\$193,579</b>	<b>\$1,620,263</b>	<b>\$2,028,166</b>	<b>\$240,102</b>
		<b>Total State</b>	<b>\$1,813,842</b>		

## **INTELLECTUAL DISABILITIES – COMMUNITY WAIVER PROGRAM**

### **PROGRAM STATEMENT**

The Mental Health and Intellectual Disability Act of 1966 mandated the availability of community services for citizens with an intellectual disability and their families. The statute created county administered community programs in Pennsylvania for individuals with an intellectual disability.

In 1966, all services were provided in state institutions which cared for over 13,000 people with an intellectual disability. At the end of State Fiscal Year (SFY) 2019-2020, there were 680 people remaining in state centers while approximately 54,881 people received services in the community. This change has followed the national trend to provide community services as an alternative to institutional care. Changes in federal and state laws, as well as new funding initiatives, have created education programs, family support services, employment training, and home and community-based services for people with disabilities. These trends are built on the recognized values of family relationships and participation in community life.

The Secretary of the United States Department of Health and Human Services is authorized, under Section 1915(c) of the Social Security Act, to waive certain Medicaid statutory requirements. These waivers allow Pennsylvania to provide home and community-based services for individuals with an intellectual disability or autism as an alternative to institutional care.

The first Home and Community-Based Services Waiver for individuals with an intellectual disability in Pennsylvania began in the Philadelphia area in 1983. This was followed by two additional Waivers that covered other areas of the state. In 1987, the three earlier Waivers were combined into the statewide Consolidated Waiver. The Person/Family Directed Supports (P/FDS) Waiver began in 1999 and the Community Living Waiver, which targets individuals in the emergency or critical waiting list categories that have aging caregivers and families who desire to keep them at home, began on January 1, 2018. These three waivers are fee-for-service programs which are administered by the Office of Developmental Programs (ODP) and County Administrative Entities. Priority for participant enrollment is established through the Priority of Urgency of Need (PUNS) process and is available statewide.

Examples of services available through the community waiver program include community residential programs provided in licensed or unlicensed homes across the Commonwealth, as well as the options of life-sharing and supported living. In life-sharing, one or two people receive services in a licensed or unlicensed family home. Through supported living services, individuals receive services to live in their own home in the community and to acquire, maintain or improve skills necessary to live more independently and be more productive and participatory in community life. Additional eligible services include: community participation support; in-home and community support; respite; transportation; accessibility adaptations; homemaker/chore; assistive technology; specialized therapies (physical, occupational, speech/language, and orientation/visual/mobility), shift nursing; behavioral supports; education support; small group employment; supported employment; supports coordination; advanced supported employment; benefits counseling; communications specialist; consultative nutritional services; family/caregiver training and support; housing transition and tenancy sustaining services; and additional therapy services.

The Intellectual Disabilities - Community Waiver Program's budget provides approximately \$4.1 billion in total annual funding for Consolidated Waiver, P/FDS Waiver and Community Living Waiver enrolled individuals. During SFY 2019-2020, 18,687 individuals received Consolidated Waiver services, 13,784 individuals received P/FDS Waiver services and 3,772 individuals received Community Living Waiver services.

Within the current Community Waiver Program systems' capacity; all individuals with an intellectual disability or autism, who are eligible for services, will receive Targeted Supports Management. ODP will continue to plan for and be able to serve the public high school graduates in 2021 through normal turnover in the waivers so that no young adult faces a service cliff after graduation.

In August 2019, in line with the continued commitment to serve more people in the community, the Department of Human Services (Department) announced the closures of Polk and White Haven Centers. The timeline for closure is 36 months. The Department continues activities associated with the closure initiative begun in SFY 2020-2021 and has been engaged in planning individualized transitions for each resident of Polk and White Haven Centers.

### **STATE FISCAL YEAR 2021 - 2022 INITIATIVE - EMERGENCY WAITING LIST**

The Governor's Executive Budget for SFY 2021-2022 provides a total of \$25.195 million (\$13,848 million in state funds) to expand service capacity for a total of 832 people on the waiting list, which includes 732 individuals in need of emergency services in the Community Living Waiver and 100 individuals with emergency needs in the Consolidated Waiver.

Specifically, through the expansion of the Community Living Waiver, which provides supports to people with intellectual disabilities or autism who are living with family members, 732 individuals from the waiting list who are currently in the emergency need category will begin receiving services. This includes up to 20 children with medical complexities living in congregate care or at risk of placement in congregate care. The Community Living Waiver supports individuals to live more independently in their homes and participate more fully in their communities through services that support living, employment in a competitive job, and full engagement in community activities.

Additionally, this initiative provides home and community-based funding for the provision of Consolidated Waiver services to 100 individuals from the emergency waiting list. The term "emergency waiting list" refers to individuals who are classified on the ODP PUNS list as requiring supports immediately or within six months. This includes up to 20 children with medical complexities living in congregate care or at risk of placement in congregate care.

### **STATE FISCAL YEAR 2021 - 2022 INITIATIVE - RESIDENTIAL TRANSITIONS – OUTCOME-BASED INCENTIVE**

The Governor's Executive Budget for SFY 2021-2022 includes a total savings of \$1.700 million (\$0.828 million in state funds), which includes an incentive-based payment to providers who are successful in transitioning individuals from 24-hour, group homes to more independent models such as life-sharing and supported living. Life-sharing and supported living are less costly and have been shown to have better outcomes for the individuals. This initiative provides for 20 individuals enrolled in the Consolidated Waiver and living in a 24-hour, group home setting to transition to a life-sharing or supported living setting.

### **STATE FISCAL YEAR 2021 - 2022 INITIATIVE - MINIMUM WAGE INCREASE**

The SFY 2021-2022 Minimum Wage Increase initiative will increase the minimum wage for all Pennsylvania workers to \$12.00 per hour, effective July 1, 2021. Workers earning the minimum must have the ability to afford basic, family-sustaining necessities that help avoid poverty, be productive workers, and reduce the use of assistance programs. Increasing the minimum wage will allow the Department's providers to recruit and retain child care, child welfare caseworkers and direct care workers that are essential to the provision of services in the Department's programs. This initiative is expected to decrease HealthChoices enrollment as more adults will be able to transition off Medical Assistance as their income increases. Additionally, children will transition to CHIP coverage as their family income increases. It is estimated that the minimum wage increase will not impact this program until SFY 2024-2025.



**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. C1.45, E27.8, E27.37-E27.38

**APPROPRIATION:**  
Intellectual Disabilities - Lansdowne Residential  
Services (Elwyn Institute)

**I. SUMMARY FINANCIAL DATA**

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budgeted</u>
State Funds	\$340	\$200	\$200
Federal Funds	\$0	\$0	\$0
Other Funds	\$0	\$0	\$0
<b>Total</b>	<b>\$340</b>	<b>\$200</b>	<b>\$200</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	\$0
<b>Total</b>	<b>\$0</b>

**II. DETAIL BY MAJOR OBJECT**  
(\$ Amounts in Thousands)

**APPROPRIATION:**  
Intellectual Disabilities - Lansdowne Residential  
Services (Elwyn Institute)

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$340	\$200	\$200	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$340</b>	<b>\$200</b>	<b>\$200</b>	<b>\$0</b>	<b>0.00%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$340	\$200	\$200	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$340</b>	<b>\$200</b>	<b>\$200</b>	<b>\$0</b>	<b>0.00%</b>

<b>APPROPRIATION:</b> Intellectual Disabilities - Lansdowne Residential Services (Elwyn Institute)
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III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$157	\$170	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 The level of funding proposed for Fiscal Year 2021-2022 is based on Fiscal Year 2020-2021 allocations.

**Legislative Citations:**  
 MH/ID Act of 1966, 50 P.S. § 4101, et seq.

**Disbursement Criteria:**  
 The Department of Human Services allocates funding to Delaware County for contracted services provided by Elwyn Institute. Disbursements are based on that allocation.

**VI. EXPLANATION OF CHANGES**  
 (\$ Amounts in Thousands)

**APPROPRIATION:**  
 Intellectual Disabilities - Lansdowne Residential  
 Services (Elwyn Institute)

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>GRANT &amp; SUBSIDY</b>				
1. Provides base grant and subsidy funding at the Fiscal Year 2020-2021 allocation level of \$0.200 million in state funds:	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Subtotal Grant &amp; Subsidy</b>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>TOTAL</b>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>

**INTELLECTUAL DISABILITIES - LANSDOWNE RESIDENTIAL SERVICES**

**PROGRAM STATEMENT**

This appropriation provides funds through the Delaware County Mental Health and Intellectual Disabilities Program for the cost of providing community participation services for a total of 38 people. The Governor's Executive Budget for Fiscal Year 2021-2022 includes a total of \$0.200 million in state funds for this appropriation.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. A1.14, C1.45, E27.8, E27.12, E27.35,  
E27.37-E27.39

**APPROPRIATION:**  
Autism Intervention and Services

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
State Funds	\$25,252	\$26,448	\$25,434
Federal Funds Total	\$36,228	\$30,471	\$32,152
Federal Sources Itemized			
<i>Medical Assistance - Autism Intervention Services</i>	\$33,839	\$27,438	\$28,953
<i>COVID-Medical Assistance - Autism Intervention Services (EA)</i>	\$1,673	\$3,033 <sup>1</sup>	\$3,199
<i>COVID-RF Autism Services</i>	\$716	\$0	\$0
Other Funds	\$0	\$0	\$0
<b>Total</b>	<b>\$61,480</b>	<b>\$56,919</b>	<b>\$57,586</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	\$158
Federal Sources Itemized	
<i>COVID-Medical Assistance - Autism Intervention Services (EA)</i>	\$158 <sup>1</sup>
<b>Total</b>	<b>\$158</b>

<sup>1</sup> The Governor's Executive Budget for Fiscal Year 2021-2022 reflects a supplemental executive authorization of \$0.158 million, increasing the Fiscal Year 2020-2021 funding level from \$2.875 million to \$3.033 million.

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Autism Intervention and Services				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	\$0	\$0	\$0	\$0	0.00%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$25,252	\$26,448	\$25,434	(\$1,014)	-3.83%
<i>Federal Funds</i>	\$30,052	\$29,497	\$31,152	\$1,655	5.61%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	\$55,304	\$55,945	\$56,586	\$641	1.15%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$6,176	\$974	\$1,000	\$26	2.67%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$6,176	\$974	\$1,000	\$26	2.67%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>EXCESS FEDERAL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Excess Federal</b>	\$0	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$25,252	\$26,448	\$25,434	(\$1,014)	-3.83%
<i>Federal Funds</i>	\$36,228	\$30,471	\$32,152	\$1,681	5.52%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	\$61,480	\$56,919	\$57,586	\$667	1.17%

**APPROPRIATION:**  
Autism Intervention and Services

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$2,075	\$1,800	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget provides for maintenance of the current program. Funding requirements are projected utilizing actual monthly paid claims data from the Provider Reimbursement and Operations Management Information System (PROMISe).

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**

62 P.S. § 201

**Disbursement Criteria:**

Disbursements are based on invoices for Waiver services rendered that providers submit for payment through PROMISe. Disbursements for the Adult Community Autism Program are made to the provider on a monthly basis based on the number of individuals enrolled on the first day of each month.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Autism Intervention and Services			
	State \$	Federal \$	Other \$	Total
<b>GRANT &amp; SUBSIDY</b>				
1. Provides increased funding for the Adult Autism Waiver (AAW) program associated with changes in utilization:	\$1,144	\$1,455	\$0	\$2,599
2. Provides increased funding for room and board costs in the AAW program associated with changes in utilization:	(\$37)	\$0	\$0	(\$37)
3. Provides increased funding for the Adult Community Autism Program associated with changes in utilization:	\$234	\$349	\$0	\$583
4. Provides for the impact of non-recurring Fiscal Year 2020-2021 legislative additions:				
A. Wellspan (formerly Philhaven):	(\$450)	(\$500)	\$0	(\$950)
B. University of Pittsburgh:	(\$260)	\$0	\$0	(\$260)
C. St. Joseph's University:	(\$260)	\$0	\$0	(\$260)
D. Citizens Acting Together Can Help:	(\$200)	\$0	\$0	(\$200)
E. Keystone Autism Services:	(\$500)	\$0	\$0	(\$500)
F. The Janus School:	(\$500)	\$0	\$0	(\$500)
Subtotal	(\$2,170)	(\$500)	\$0	(\$2,670)
5. Provides for the impact of the change in the Federal Medical Assistance Percentage (FMAP) rate from 52.20 percent to 52.68 percent, effective October 1, 2021. The state fiscal year blended rate increases from 52.2125 percent in Fiscal Year 2020-2021 to 52.56 percent in Fiscal Year 2021-2022:	(\$185)	\$185	\$0	\$0
6. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	\$0	\$166	\$0	\$166
Subtotal Grant & Subsidy	(\$1,014)	\$1,655	\$0	\$641
<b>BUDGETARY RESERVE</b>				
1. Change in excess federal Medical Assistance spending authority:	\$0	\$26	\$0	\$26
Subtotal Budgetary Reserve	\$0	\$26	\$0	\$26
<b>TOTAL</b>	<b>(\$1,014)</b>	<b>\$1,681</b>	<b>\$0</b>	<b>\$667</b>

**Fiscal Year 2021-2022 Budget Request - Governor's Executive Budget**  
**Autism Intervention and Services**  
**Fiscal Year 2020-2021 Available**  
**(\$ Amounts in Thousands)**

	<u>State</u>	<u>Federal - MA</u>	<u>Federal - MA - COVID</u>	<u>Total</u>
<b>ON-GOING PROGRAM COSTS</b>				
Adult Autism Waiver (AAW)	\$15,795	\$19,688	\$2,293	\$37,776
AAW Residential Room and Board	\$561	\$0	\$0	\$561
Adult Community Autism Program (ACAP)	<u>\$5,042</u>	<u>\$6,276</u>	<u>\$740</u>	<u>\$12,058</u>
<b>Subtotal - On-Going Program Costs</b>	<b><u>\$21,398</u></b>	<b><u>\$25,964</u></b>	<b><u>\$3,033</u></b>	<b><u>\$50,395</u></b>
<b>SPECIAL INITIATIVES</b>				
Autism Services, Education, Resources, and Training (ASERT) Collaboratives				
Drexel University	\$960	\$0	\$0	\$960
University of Pittsburgh Medical Center Presbyterian Shadyside	\$960	\$0	\$0	\$960
Penn State Milton S. Hershey Medical Center	<u>\$960</u>	<u>\$0</u>	<u>\$0</u>	<u>\$960</u>
Subtotal - ASERTS	\$2,880	\$0	\$0	\$2,880
Legislative Initiatives				
Wellspring (formerly Philhaven)	\$450	\$500	\$0	\$950
University of Pittsburgh	\$260	\$0	\$0	\$260
St. Joseph's University	\$260	\$0	\$0	\$260
Citizens Acting Together Can Help	\$200	\$0	\$0	\$200
Keystone Autism Services	\$500	\$0	\$0	\$500
The Janus School	<u>\$500</u>	<u>\$0</u>	<u>\$0</u>	<u>\$500</u>
Subtotal - Legislative Initiatives	<u>\$2,170</u>	<u>\$500</u>	<u>\$0</u>	<u>\$2,670</u>
<b>Subtotal - Special Initiatives</b>	<b><u>\$5,050</u></b>	<b><u>\$500</u></b>	<b><u>\$0</u></b>	<b><u>\$5,550</u></b>
<b>Budgetary Reserve</b>	<b><u>\$0</u></b>	<b><u>\$974</u></b>	<b><u>\$0</u></b>	<b><u>\$974</u></b>
<b>GRAND TOTAL</b>	<b><u>\$26,448</u></b>	<b><u>\$27,438</u></b>	<b><u>\$3,033</u></b>	<b><u>\$56,919</u></b>

	<u>Average Monthly Recipients</u>	<u>Average Annual Cost Per Individual</u>
		(\$ Amounts in Thousands)
<b>Adult Autism Waiver</b>	<b><u>700</u></b>	<b><u>\$55</u></b>
<b>Adult Community Autism Program</b>	<b><u>180</u></b>	<b><u>\$67</u></b>
<b>Total</b>	<b><u>880</u></b>	<b><u>\$61</u></b>

**Fiscal Year 2021-2022 Budget Request - Governor's Executive Budget  
Autism Intervention and Services  
Fiscal Year 2021-2022 Budget Request  
(\$ Amounts in Thousands)**

	<u>State</u>	<u>Federal - MA</u>	<u>Federal - MA - COVID</u>	<u>Total</u>
<b>ON-GOING PROGRAM COSTS</b>				
Adult Autism Waiver (AAW)	\$16,798	\$21,284	\$2,412	\$40,494
AAW Residential Room and Board	\$524	\$0	\$0	\$524
Adult Community Autism Program (ACAP)	<u>\$5,232</u>	<u>\$6,669</u>	<u>\$787</u>	<u>\$12,688</u>
<b>Subtotal - On-Going Program Costs</b>	<b><u>\$22,554</u></b>	<b><u>\$27,953</u></b>	<b><u>\$3,199</u></b>	<b><u>\$53,706</u></b>
<b>SPECIAL INITIATIVES</b>				
Autism Services, Education, Resources, and Training (ASERT) Collaboratives				
Drexel University	\$960	\$0	\$0	\$960
University of Pittsburgh Medical Center Presbyterian Shadyside	\$960	\$0	\$0	\$960
Penn State Milton S. Hershey Medical Center	<u>\$960</u>	<u>\$0</u>	<u>\$0</u>	<u>\$960</u>
Subtotal - ASERTS	\$2,880	\$0	\$0	\$2,880
Legislative Initiatives				
Wellspring (formerly Philhaven)	\$0	\$0	\$0	\$0
University of Pittsburgh	\$0	\$0	\$0	\$0
St. Joseph's University	\$0	\$0	\$0	\$0
Citizens Acting Together Can Help	\$0	\$0	\$0	\$0
Keystone Autism Services	\$0	\$0	\$0	\$0
The Janus School	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Subtotal - Legislative Initiatives	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Subtotal - Special Initiatives</b>	<b><u>\$2,880</u></b>	<b><u>\$0</u></b>	<b><u>\$0</u></b>	<b><u>\$2,880</u></b>
<b>Budgetary Reserve</b>	<b><u>\$0</u></b>	<b><u>\$1,000</u></b>	<b><u>\$0</u></b>	<b><u>\$1,000</u></b>
<b>GRAND TOTAL</b>	<b><u>\$25,434</u></b>	<b><u>\$28,953</u></b>	<b><u>\$3,199</u></b>	<b><u>\$57,586</u></b>

	<u>Average Monthly Recipients</u>	<u>Average Annual Cost Per Individual</u> (\$ Amounts in Thousands)
<b>Adult Autism Waiver</b>	<u>715</u>	<u>\$57</u>
<b>Adult Community Autism Program</b>	<u>190</u>	<u>\$67</u>
<b>Total</b>	<b>905</b>	<b>\$62</b>

## Adult Community Autism Program

### FISCAL YEAR 2020-2021 SERVICE COSTS

	<b>Total \$</b>	<b>Federal \$</b>	<b>State \$</b>	<b>Base Individuals</b>	<b>Expansion Individuals</b>	<b>Total Individuals</b>	<b>Total \$ Per Individual</b>
JULY 2020	\$1,106,924	\$610,512	\$496,412	182	0	182	\$6,082.00
AUGUST	\$1,113,006	\$611,394	\$501,612	183	0	183	\$6,082.00
SEPTEMBER	\$1,094,760	\$604,156	\$490,604	180	0	180	\$6,082.00
OCTOBER	\$1,094,760	\$603,650	\$491,110	180	0	180	\$6,082.00
NOVEMBER *	\$1,094,760	\$601,351	\$493,409	180	0	180	\$6,082.00
DECEMBER	\$1,001,700	\$527,696	\$474,004	180	0	180	\$5,565.00
JANUARY 2021	\$1,001,700	\$527,696	\$474,004	180	0	180	\$5,565.00
FEBRUARY	\$1,001,700	\$527,696	\$474,004	180	0	180	\$5,565.00
MARCH	\$1,001,700	\$527,696	\$474,004	180	0	180	\$5,565.00
APRIL	\$1,001,700	\$527,696	\$474,004	180	0	180	\$5,565.00
MAY	\$1,001,700	\$527,696	\$474,004	180	0	180	\$5,565.00
JUNE	\$1,001,700	\$527,696	\$474,004	180	0	180	\$5,565.00
<b>Total</b>	<b>\$12,516,110</b>	<b>\$6,724,932</b>	<b>\$5,791,178</b>				
<b>Monthly Average</b>	<b>\$1,043,009</b>	<b>\$560,411</b>	<b>\$482,598</b>	<b>180</b>	<b>0</b>	<b>180</b>	<b>\$5,781.11</b>

\* Fiscal Year 2020-2021 includes actuals through November 2020.

### FISCAL YEAR 2021-2022 SERVICE COSTS

	<b>Total \$</b>	<b>Federal \$</b>	<b>State \$</b>	<b>Base Individuals</b>	<b>Expansion Individuals</b>	<b>Total Individuals</b>	<b>Total \$ Per Individual</b>
JULY 2021	\$1,057,350	\$555,743	\$501,607	190	0	190	\$5,565.00
AUGUST	\$1,057,350	\$555,743	\$501,607	190	0	190	\$5,565.00
SEPTEMBER	\$1,057,350	\$555,743	\$501,607	190	0	190	\$5,565.00
OCTOBER	\$1,057,350	\$555,743	\$501,607	190	0	190	\$5,565.00
NOVEMBER	\$1,057,350	\$555,743	\$501,607	190	0	190	\$5,565.00
DECEMBER	\$1,057,350	\$555,743	\$501,607	190	0	190	\$5,565.00
JANUARY 2022	\$1,057,350	\$555,743	\$501,607	190	0	190	\$5,565.00
FEBRUARY	\$1,057,350	\$555,743	\$501,607	190	0	190	\$5,565.00
MARCH	\$1,057,350	\$555,743	\$501,607	190	0	190	\$5,565.00
APRIL	\$1,057,350	\$555,743	\$501,607	190	0	190	\$5,565.00
MAY	\$1,057,350	\$555,743	\$501,607	190	0	190	\$5,565.00
JUNE	\$1,057,350	\$555,743	\$501,607	190	0	190	\$5,565.00
<b>Total</b>	<b>\$12,688,200</b>	<b>\$6,668,918</b>	<b>\$6,019,282</b>				
<b>Monthly Average</b>	<b>\$1,057,350</b>	<b>\$555,743</b>	<b>\$501,607</b>	<b>190</b>	<b>0</b>	<b>190</b>	<b>\$5,565.00</b>

**Autism Waiver**

**FISCAL YEAR 2020-2021 SERVICE COSTS**

	<b>Total \$</b>	<b>Federal \$</b>	<b>State \$</b>	<b>Base Users</b>	<b>Expansion Users</b>	<b>Total Users</b>	<b>Total \$ Per User</b>
JULY 2020	\$2,658,067	\$1,388,970	\$1,269,097	688	0	688	\$3,863.47
AUGUST	\$3,676,177	\$1,921,009	\$1,755,168	692	0	692	\$5,312.39
SEPTEMBER	\$2,739,536	\$1,431,447	\$1,308,089	691	0	691	\$3,964.60
OCTOBER	\$2,772,423	\$1,447,183	\$1,325,241	689	0	689	\$4,023.84
NOVEMBER *	\$3,390,789	\$1,770,162	\$1,620,627	693	0	693	\$4,892.91
DECEMBER	\$2,810,105	\$1,469,875	\$1,340,230	685	0	685	\$4,102.34
JANUARY 2021	\$2,719,853	\$1,421,763	\$1,298,090	663	0	663	\$4,102.34
FEBRUARY	\$2,777,286	\$1,451,743	\$1,325,543	677	0	677	\$4,102.34
MARCH	\$3,840,312	\$2,006,643	\$1,833,669	688	0	688	\$5,581.85
APRIL	\$2,814,207	\$1,472,016	\$1,342,191	686	0	686	\$4,102.34
MAY	\$3,812,403	\$1,992,074	\$1,820,329	683	0	683	\$5,581.85
JUNE	\$2,838,821	\$1,483,865	\$1,354,956	692	0	692	\$4,102.34
<b>TOTAL</b>	<b>\$36,849,979</b>	<b>\$19,256,749</b>	<b>\$17,593,230</b>				
<b>Monthly Average</b>	<b>\$3,070,832</b>	<b>\$1,604,729</b>	<b>\$1,466,102</b>	<b>686</b>	<b>0</b>	<b>686</b>	<b>\$4,477.72</b>

\* Fiscal Year 2020-2021 includes actuals through November 2020.

**FISCAL YEAR 2020-2021 RESIDENTIAL ROOM AND BOARD**

	<b>Total \$</b>	<b>Federal \$</b>	<b>State \$</b>	<b>Base Users</b>	<b>Expansion Users</b>	<b>Total Users</b>	<b>Total \$ Per User</b>
JULY 2020	\$41,728	\$0	\$41,728	50	0	50	\$834.56
AUGUST	\$62,143	\$0	\$62,143	50	0	50	\$1,242.86
SEPTEMBER	\$40,269	\$0	\$40,269	49	0	49	\$821.82
OCTOBER	\$43,996	\$0	\$43,996	51	0	51	\$862.66
NOVEMBER *	\$52,876	\$0	\$52,876	48	0	48	\$1,101.58
DECEMBER	\$36,751	\$0	\$36,751	42	0	42	\$875.02
JANUARY 2021	\$35,876	\$0	\$35,876	41	0	41	\$875.02
FEBRUARY	\$37,626	\$0	\$37,626	43	0	43	\$875.02
MARCH	\$48,632	\$0	\$48,632	45	0	45	\$1,080.70
APRIL	\$36,751	\$0	\$36,751	42	0	42	\$875.02
MAY	\$47,551	\$0	\$47,551	44	0	44	\$1,080.70
JUNE	\$37,626	\$0	\$37,626	43	0	43	\$875.02
<b>TOTAL</b>	<b>\$521,823</b>	<b>\$0</b>	<b>\$521,823</b>				
<b>Monthly Average</b>	<b>\$43,485</b>	<b>\$0</b>	<b>\$43,485</b>	<b>46</b>	<b>0</b>	<b>46</b>	<b>\$952.23</b>

\* Fiscal Year 2020-2021 includes actuals through November 2020.

**FISCAL YEAR 2021-2022 SERVICE COSTS**

	<b>Total \$</b>	<b>Federal \$</b>	<b>State \$</b>	<b>Base Users</b>	<b>Expansion Users</b>	<b>Total Users</b>	<b>Total \$ Per User</b>
JULY 2021	\$3,018,251	\$1,586,393	\$1,431,858	715	0	715	\$4,221.33
AUGUST	\$4,086,969	\$2,148,111	\$1,938,858	715	0	715	\$5,716.04
SEPTEMBER	\$3,018,251	\$1,586,393	\$1,431,858	715	0	715	\$4,221.33
OCTOBER	\$3,018,251	\$1,586,393	\$1,431,858	715	0	715	\$4,221.33
NOVEMBER	\$4,086,969	\$2,148,111	\$1,938,858	715	0	715	\$5,716.04
DECEMBER	\$3,018,251	\$1,586,393	\$1,431,858	715	0	715	\$4,221.33
JANUARY 2022	\$4,086,969	\$2,148,111	\$1,938,858	715	0	715	\$5,716.04
FEBRUARY	\$3,018,251	\$1,586,393	\$1,431,858	715	0	715	\$4,221.33
MARCH	\$3,018,251	\$1,586,393	\$1,431,858	715	0	715	\$4,221.33
APRIL	\$3,018,251	\$1,586,393	\$1,431,858	715	0	715	\$4,221.33
MAY	\$4,086,969	\$2,148,111	\$1,938,858	715	0	715	\$5,716.04
JUNE	\$3,018,251	\$1,586,393	\$1,431,858	715	0	715	\$4,221.33
<b>TOTAL</b>	<b>\$40,493,882</b>	<b>\$21,283,584</b>	<b>\$19,210,298</b>				
<b>Monthly Average</b>	<b>\$3,374,490</b>	<b>\$1,773,632</b>	<b>\$1,600,858</b>	<b>715</b>	<b>0</b>	<b>715</b>	<b>\$4,719.57</b>

**FISCAL YEAR 2021-2022 RESIDENTIAL ROOM AND BOARD**

	<b>Total \$</b>	<b>Federal \$</b>	<b>State \$</b>	<b>Base Users</b>	<b>Expansion Users</b>	<b>Total Users</b>	<b>Total \$ Per User</b>
JULY 2021	\$39,838	\$0	\$39,838	50	0	50	\$796.77
AUGUST	\$51,323	\$0	\$51,323	50	0	50	\$1,026.46
SEPTEMBER	\$39,838	\$0	\$39,838	50	0	50	\$796.77
OCTOBER	\$39,838	\$0	\$39,838	50	0	50	\$796.77
NOVEMBER	\$51,323	\$0	\$51,323	50	0	50	\$1,026.46
DECEMBER	\$39,838	\$0	\$39,838	50	0	50	\$796.77
JANUARY 2022	\$51,323	\$0	\$51,323	50	0	50	\$1,026.46
FEBRUARY	\$39,838	\$0	\$39,838	50	0	50	\$796.77
MARCH	\$39,838	\$0	\$39,838	50	0	50	\$796.77
APRIL	\$39,838	\$0	\$39,838	50	0	50	\$796.77
MAY	\$51,323	\$0	\$51,323	50	0	50	\$1,026.46
JUNE	\$39,838	\$0	\$39,838	50	0	50	\$796.77
<b>TOTAL</b>	<b>\$523,999</b>	<b>\$0</b>	<b>\$523,999</b>				
<b>Monthly Average</b>	<b>\$43,667</b>	<b>\$0</b>	<b>\$43,667</b>	<b>50</b>	<b>0</b>	<b>50</b>	<b>\$873.33</b>

## **AUTISM INTERVENTION AND SERVICES**

### **PROGRAM STATEMENT**

Autism Spectrum Disorder (ASD), commonly referred to as autism, is a developmental disability that can cause significant social, communication, and behavioral challenges. The need for adult autism services is demonstrated by the rapidly growing numbers of Pennsylvanians living with autism. According to the Pennsylvania Autism Census, there were more than 55,000 children and adults with autism receiving services in Pennsylvania in 2011, an increase of over 180 percent from 2005. According to the Centers for Disease Control (CDC) data on the national prevalence of autism spectrum disorder, 172,935 of the 10.2 million adults living in Pennsylvania may have an autism spectrum disorder diagnosis. The CDC national prevalence estimate is based upon information concerning 8-year old children with adult population prevalence not currently being collected.

The results of the Pennsylvania Autism Needs Assessment show that 85 percent of individuals with autism also have another diagnosis such as an intellectual disability, physical health challenges, or mental health issues. Individuals with autism and their families need a range of services to live as independently as possible, participate in their communities, and enrich their quality of life.

The Autism Services, Education, Resources, and Training (ASERT) Collaborative, established in 2009, serves as the Commonwealth's primary resource for individuals with autism and their families. The purpose of the ASERT Collaborative is to improve access to quality services and interventions, provide information and support to families, train professionals in best practices, and facilitate program development. The ASERT Collaborative supports the Department's efforts to continually improve the quality of programs and connect existing resources to address regional gaps in effective services and supports, serves as a valuable resource to staff and provider networks supporting program participants, and collects and analyzes information about autism services and programs in order to help inform change.

Pennsylvania has emerged as a national leader in developing autism policy and services. At the forefront has been the development of two innovative service delivery models designed specifically for adults with a diagnosis of ASD; the Adult Autism Waiver (AAW) and the Adult Community Autism Program (ACAP). These programs are outcome-based and have been designed to assist participants in gaining the greatest level of independence possible, encouraging involvement in community life, improving social skills, and providing support to caregivers. These two programs are uniquely different from other Pennsylvania direct service models in that they are specifically designed to meet the needs of people with autism and are administered at the state level. Service providers under the programs are required to complete autism-specific training and to demonstrate competency.

The Department of Human Services (Department) received approval from the Centers for Medicare and Medicaid Services (CMS) to begin administering services through the AAW, effective July 1, 2008. The AAW is a fee-for-service Home and Community-Based Services program which is administered at the state level. Priority for enrollment is given to individuals not receiving ongoing services. It is available statewide and has the current capacity to support 718 adults with autism.

The Department received approval from CMS in January 2009 to begin administering services through the ACAP. The ACAP is a managed care model with one rate paid per person per month to one provider for integrated physical health, behavioral health, and community services. The program is currently available in Lancaster, Cumberland, Dauphin, and Chester counties and has the capacity to support 200 individuals.

## **FISCAL YEAR 2021-2022 INITIATIVE – MINIMUM WAGE INCREASE**

The Fiscal Year 2021-2022 Minimum Wage Increase initiative will increase the minimum wage for all Pennsylvania workers to \$12.00 per hour, effective July 1, 2021. Workers earning the minimum must have the ability to afford basic, family-sustaining necessities that help avoid poverty, be productive workers, and reduce the use of assistance programs. Increasing the minimum wage will allow the Department's providers to recruit and retain child care, child welfare caseworkers and direct care workers that are essential to the provision of services in the Department's programs. This initiative is expected to decrease HealthChoices enrollment as more adults will be able to transition off Medical Assistance as their income increases. Additionally, children will transition to CHIP coverage as their family income increases. It is estimated that the minimum wage increase will not impact this program until Fiscal Year 2022-2023.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. C1.45, E27.8, E27.31, E27.33-E27.34

**APPROPRIATION:**  
Behavioral Health Services

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
State Funds	\$57,149	\$57,149	\$57,149
Federal Funds Total	\$16,500	\$8,353	\$0
Federal Sources Itemized			
<i>Access to Medication-Assisted Treatment</i>	\$1,500	\$1,500	\$0
<i>State Opioid Response (EA)</i>	\$15,000	\$6,853	\$0
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Total	\$73,649	\$65,502	\$57,149

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	<u>\$0</u>
Total	\$0



II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Behavioral Health Services				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$1,400	\$399	\$0	(\$399)	-100.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	\$1,400	\$399	\$0	(\$399)	-100.00%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$57,142	\$57,149	\$57,149	\$0	0.00%
<i>Federal Funds</i>	\$15,100	\$7,953	\$0	(\$7,953)	-100.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	\$72,242	\$65,102	\$57,149	(\$7,953)	-12.22%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$7	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$1	\$0	(\$1)	-100.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$7	\$1	\$0	(\$1)	-100.00%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$0	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$57,149	\$57,149	\$57,149	\$0	0.00%
<i>Federal Funds</i>	\$16,500	\$8,353	\$0	(\$8,353)	-100.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	\$73,649	\$65,502	\$57,149	(\$8,353)	-12.75%

**APPROPRIATION:**  
Behavioral Health Services

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2018-2019	2019-2020	2020-2021 Estimated
State Funds	\$2,773	\$7	\$0

IV. COMPLEMENT INFORMATION	12/31/2019	12/31/2020	2021-2022 Budgeted
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 These county programs provide alternative substance abuse and mental health services to avert more costly psychiatric inpatient and hospital detoxification for individuals who do not have insurance that covers services needed and cannot obtain Medical Assistance benefits.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**  
 62 P.S. §§ 201, 206, 443.1, 443.3, 443.5.

**Disbursement Criteria:**  
 Quarterly payments are disbursed to county mental health programs and single county authorities based upon an approved allocation plan. The allocation plan is finalized following a review of annual expenditure reports.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Behavioral Health Services			
	State \$	Federal \$	Other \$	Total \$
<b>OPERATING</b>				
1. Reflects a decrease in funding due to the expiration of the State Opioid Response subgrant:	\$0	(\$399)	\$0	(\$399)
Subtotal Operating	\$0	(\$399)	\$0	(\$399)
<b>GRANT &amp; SUBSIDY</b>				
1. Reflects a decrease in funding due to the expiration of the State Opioid Response subgrant:	\$0	(\$6,453)	\$0	(\$6,453)
2. Reflects a decrease in funding due to the expiration of the Access to Medication-Assisted Treatment grant:	\$0	(\$1,500)	\$0	(\$1,500)
Subtotal Grant & Subsidy	\$0	(\$7,953)	\$0	(\$7,953)
<b>BUDGETARY RESERVE</b>				
1. Nonrecurring Fiscal Year 2020-2021 federal State Opioid Response budgetary reserve:	\$0	(\$1)	\$0	(\$1)
Subtotal Budgetary Reserve	\$0	(\$1)	\$0	(\$1)
<b>TOTAL</b>	<u>\$0</u>	<u>(\$8,353)</u>	<u>\$0</u>	<u>(\$8,353)</u>
The following table provides a comparison of fiscal year state funding for the Behavioral Health Services appropriation by program component:				
	Fiscal Year 2019-2020 Actual	Fiscal Year 2020-2021 Available	Fiscal Year 2021-2022 Budgeted	2021-2022 vs. 2020-2021
Mental Health	\$17,247	\$17,247	\$17,247	\$0
Drug and Alcohol	\$39,902	\$39,902	\$39,902	\$0
Total State Funds	<u>\$57,149</u>	<u>\$57,149</u>	<u>\$57,149</u>	<u>\$0</u>

## **BEHAVIORAL HEALTH SERVICES**

### **PROGRAM STATEMENT**

The Department of Human Services (Department) provides funding for drug and alcohol treatment services, as well as mental health treatment services, through the Behavioral Health Services appropriation. This appropriation was created in response to Act 35 of 1996, which revised eligibility criteria for General Assistance Medically Needy Only (GA-MNO) benefits under the Medical Assistance (MA) Program and led to approximately 18,800 individuals in need of drug and alcohol treatment services or mental health treatment services losing GA-MNO eligibility. The Behavioral Health Services appropriation ensured that these non-MA eligible individuals continued to receive necessary mental health and drug and alcohol treatment services.

In Fiscal Year 2012-2013, a Human Services Block Grant (HSBG) program was implemented to provide local governments with increased flexibility to address local needs. Beginning in Fiscal Year 2017-2018, the HSBG program was expanded to allow all counties statewide to request participation in the program on a voluntary basis. Currently, a total of 44 counties have submitted applications that were subsequently approved by the Department and are participating in the HSBG program.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. C1.45, E27.8, E27.31, E27.33

**APPROPRIATION:**  
Special Pharmaceutical Services

**I. SUMMARY FINANCIAL DATA**

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budgeted</u>
State Funds	\$952	\$752	\$752
Federal Funds	\$0	\$0	\$0
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Total	\$952	\$752	\$752

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	<u>\$0</u>
Total	\$0

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Special Pharmaceutical Services				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$13	\$15	\$15	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	\$13	\$15	\$15	\$0	0.00%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$939	\$737	\$737	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	\$939	\$737	\$737	\$0	0.00%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$0	\$0	\$0	\$0	0.00%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$0	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$952	\$752	\$752	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	\$952	\$752	\$752	\$0	0.00%

<b>APPROPRIATION:</b> Special Pharmaceutical Services
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III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2018-2019	2019-2020	2020-2021 Estimated
State Funds	\$455	\$85	\$0

IV. COMPLEMENT INFORMATION	12/31/2019	12/31/2020	2021-2022 Budgeted
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Governor's Executive Budget for Fiscal Year 2021-2022 recommends funding based on projected levels of utilization, pharmaceutical costs, mandatory manufacturer rebates, and additional third party liability recoveries.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**

62 P.S. § 201; 72 P.S. §§ 3761-702-3761-709.

**Disbursement Criteria:**

The provider of a service must be enrolled in the Medical Assistance program; the service recipient must be deemed eligible for Special Pharmaceutical Services and not eligible for the Medical Assistance program; and the service provided must be a covered Special Pharmaceutical Services Program benefit. The claims processing functions for the Special Pharmaceutical Services Program, which include provider enrollment, on-line claims processing, and provider remittance, are performed by a contractor under the Pharmaceutical Assistance Contract for the Elderly (PACE) Program.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Special Pharmaceutical Services			
	State \$	Federal \$	Other \$	Total \$
<b>OPERATING</b>				
1. Provides operating funding at the Fiscal Year 2020-2021 level of \$0.015 million in state funds:	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Subtotal Operating	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>GRANT &amp; SUBSIDY</b>				
1. Provides base grant and subsidy funding at the Fiscal Year 2020-2021 level of \$0.737 million in state funds:	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Subtotal Grant & Subsidy	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>TOTAL</b>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>



Special Pharmaceutical Services  
Fiscal Year 2021-2022 Governor's Executive Budget

Fiscal Year 2020-2021

	<u>Total Cost</u>
Total Baseline Formulary	\$732,544
Pharmaceutical Rebates	(\$88,607)
Third Party Liability Refunds	(\$19,847)
Medicare Part D Premiums	\$112,092
Total Current Program	\$736,182
Regular Claims Processing	\$15,000
AdHoc reporting services	\$350
Total Operating	\$15,350
<b>Total Program Requirement</b>	<b>\$751,532</b>

Special Pharmaceutical Services  
Fiscal Year 2021-2022 Governor's Executive Budget

Fiscal Year 2021-2022

	<u>Total Cost</u>
Total Baseline Formulary	\$703,373
Pharmaceutical Rebates	(\$68,720)
Third Party Liability Refunds	(\$3,401)
Medicare Part D Premiums	\$104,819
Total Current Program	\$736,071
Claims Processing Automation:	
Regular Claims Processing	\$15,000
AdHoc reporting services	\$350
Total Operating	\$15,350
<b>Total Program Requirement</b>	<b>\$751,421</b>

## **SPECIAL PHARMACEUTICAL SERVICES**

### **PROGRAM STATEMENT**

The Special Pharmaceutical Services program for mental health is administered by the Pennsylvania Department of Human Services and provides payment for specific atypical antipsychotic medications for eligible participants with behavioral health needs.

The Special Pharmaceutical Services program is for individuals residing in the community who are not eligible for pharmaceutical coverage under the Medical Assistance Program. The income limit for an individual is \$35,000 with an increase of \$2,893 for each additional family member. During Calendar Year 2020, a total of 5,274 claims were paid supporting 694 enrollees in Pennsylvania.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. A1.14, A2.8, A2.10, C1.45, E27.8, E27.12,  
E27.40-E27.43

APPROPRIATION:  
County Child Welfare

I. SUMMARY FINANCIAL DATA	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
State Funds	\$1,257,751	\$1,166,448	\$1,319,456
Federal Funds Total	\$513,965	\$608,627	\$551,983
Federal Sources Itemized			
Child Welfare Services	\$13,735	\$34,719	\$34,174
COVID - Child Welfare Services (EA)	\$1,571	\$0	\$0
Child Welfare - Title IV-E	\$385,369	\$440,805	\$392,960
COVID - Child Welfare - Title IV-E (EA)	\$18,000	\$18,000	\$18,000
Medical Assistance - Child Welfare	\$1,438	\$1,824	\$1,477
TANFBG - Child Welfare	\$58,508	\$58,508	\$58,508
SSBG - Child Welfare	\$12,021	\$12,021	\$12,021
Child Welfare Training and Certification	\$16,665	\$18,665	\$20,000
Community-Based Family Resource and Support	\$143	\$143	\$143
Child Abuse Prevention and Treatment	\$4,000	\$4,608	\$12,500
Title IV-B - Caseworker Visits	\$1,365	\$1,365	\$1,000
Children's Justice Act	\$1,150	\$1,150	\$1,200
COVID-Promoting Safe and Stable Families (EA)	\$0	\$2,665 <sup>1</sup>	\$0
COVID-Chafee Foster Care Program and ETV (EA)	\$0	\$14,154 <sup>2</sup>	\$0
Other Funds Total	\$953	\$2,290	\$953
Other Fund Sources Itemized			
Birth Certificate - Mandated Reporter Training	\$953	\$2,290	\$953
<b>Total</b>	<b>\$1,772,669</b>	<b>\$1,777,365</b>	<b>\$1,872,392</b>
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>			
State Funds		\$0	
Federal Funds		\$16,819	
Federal Sources Itemized			
COVID-Promoting Safe and Stable Families (EA)		\$2,665 <sup>1</sup>	
COVID-Chafee Foster Care Program and ETV (EA)		\$14,154 <sup>2</sup>	
<b>Total</b>		<b>\$16,819</b>	
<sup>1</sup> The Governor's Executive Budget for Fiscal Year 2021-2022 reflects a Fiscal Year 2020-2021 supplemental executive authorization of \$2.665 million based on an estimate of Federal grant distributions. <sup>2</sup> The Governor's Executive Budget for Fiscal Year 2021-2022 reflects a Fiscal Year 2020-2021 supplemental executive authorization of \$14.154 million based on an estimate of Federal grant distributions.			

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: County Child Welfare				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$6,886	\$9,039	\$8,883	(\$156)	-1.73%
<i>Federal Funds</i>	\$5,905	\$8,767	\$10,200	\$1,433	16.35%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	\$12,791	\$17,806	\$19,083	\$1,277	7.17%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$1,204,391	\$1,157,409	\$1,310,573	\$153,164	13.23%
<i>Federal Funds</i>	\$507,719	\$559,189	\$541,583	(\$17,606)	-3.15%
<i>Other Funds</i>	\$953	\$2,290	\$953	(\$1,337)	-58.38%
<b>Total Grant &amp; Subsidy</b>	\$1,713,063	\$1,718,888	\$1,853,109	\$134,221	7.81%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total NonExpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$46,474	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$341	\$40,671	\$200	(\$40,471)	-99.51%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$46,815	\$40,671	\$200	(\$40,471)	-99.51%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$0	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$1,257,751	\$1,166,448	\$1,319,456	\$153,008	13.12%
<i>Federal Funds</i>	\$513,965	\$608,627	\$551,983	(\$56,644)	-9.31%
<i>Other Funds</i>	\$953	\$2,290	\$953	(\$1,337)	-58.38%
<b>Total Funds</b>	\$1,772,669	\$1,777,365	\$1,872,392	\$95,027	5.35%

**APPROPRIATION:**  
County Child Welfare

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2018-2019	2019-2020	2020-2021 Estimated
State Funds	\$2	\$0	\$0

IV. COMPLEMENT INFORMATION	12/31/2019	12/31/2020	2021-2022 Budgeted
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget provides state and federal funding for operating expenses and grants that support the county-administered social services program for children and youth in each of the Commonwealth's 67 counties.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on subsequent pages.

**Legislative Citations:**

62 P.S. § 704.1 et seq.

**Disbursement Criteria:**

Program allocations are developed based on Act 30 of 1991. Child Welfare needs-based budgets are submitted by counties and reviewed and approved by the Department of Human Services. Funds are also disbursed to counties for special grants and for other child welfare-related costs.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: County Child Welfare			
	State \$	Federal \$	Other \$	Total
<b>OPERATING</b>				
1. Provides for an increase in Media/Public Relations costs:	\$74	\$0	\$0	\$74
2. Reflects a decrease in Data Analysis/Child and Family Services Review costs:	(\$117)	\$0	\$0	(\$117)
3. Reflects a decrease in Title IV-E Compliance costs:	(\$2,098)	\$0	\$0	(\$2,098)
4. Reflects a decrease in Mandated Reporter Training costs:	(\$65)	\$0	\$0	(\$65)
5. Provides for an increase in Child Abuse Research and Technical Assistance costs:	\$2,050	\$0	\$0	\$2,050
6. Provides for an increase in Child Welfare Services - Title IV-E associated contract costs:	\$0	\$1,351	\$0	\$1,351
7. Provides for an increase in Child Welfare Services - Title IV-B Media/Public Relations costs:	\$0	\$82	\$0	\$82
Subtotal Operating	(\$156)	\$1,433	\$0	\$1,277
<b>GRANT &amp; SUBSIDY</b>				
1. Reflects the change in funding associated with the needs-based budget requirements mandated by Act 30 of 1991:	(\$21,403)	\$0	\$0	(\$21,403)
2. Reflects the net change in timing of expenditures:	\$151,226	\$0	\$0	\$151,226
3. Provides for a decrease in Youth Development Center billings (County Share):	\$1,643	\$0	\$0	\$1,643
4. Provides for an increase in state funding for the Independent Living grant:	\$5,810	\$0	\$0	\$5,810
5. Provides for an increase in state funding for the Information Technology grants to the counties:	\$1,916	\$0	\$0	\$1,916
6. Provides for an increase in state funding for the Evidence-Based Practices grant:	\$6,954	\$0	\$0	\$6,954
7. Reflects a decrease in state funding for the Pennsylvania Promising Practices grant:	(\$105)	\$0	\$0	(\$105)
8. Provides for an increase in state funding for the Housing Initiatives grant for the expansion of housing related to the opioid crisis:	\$1,389	\$0	\$0	\$1,389
9. Provides for an increase in state funding for the Truancy grant for expansion of intervention services:	\$1,644	\$0	\$0	\$1,644
10. Provides for an increase in state funding for the Statewide Adoptions and Permanency Network (SWAN) grant:	\$428	\$0	\$0	\$428

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: County Child Welfare			
	State \$	Federal \$	Other \$	Total
<b>GRANT &amp; SUBSIDY (Continued)</b>				
11. Reflects a decrease in state funding for the Administrative Offices - PA Courts grant:	(\$150)	\$0	\$0	(\$150)
12. Provides for an increase in state funding for the Child Abuse Prevention Education grant:	\$165	\$0	\$0	\$165
13. Provides for an increase in state funding for the Child Welfare Training, Child Welfare Education for Leadership, and Child Welfare of Baccalaureates (CWT, CWEL & CWEB) grant and an increase in federal funding for Child Welfare Training and Certification:	\$500	\$1,335	\$0	\$1,835
14. Provides for an increase in federal funding for the Child Welfare Services - Title IV-B grant:	\$0	\$19,373	\$0	\$19,373
15. Reflects a decrease in federal funding for the Child Welfare Services - Title IV-E grant:	\$0	(\$29,212)	\$0	(\$29,212)
16. Reflects a decrease in federal funding for the Medical Assistance needs-based grant:	\$0	(\$347)	\$0	(\$347)
17. Provides for an increase of federal funding for the Child Abuse Prevention and Treatment Act grant:	\$0	\$7,892	\$0	\$7,892
18. Reflects a decrease in federal funding for the Title IV-B - Caseworker Visits grant:	\$0	(\$365)	\$0	(\$365)
19. Provides for an increase of federal funding for the Children's Justice Act grant:	\$0	\$136	\$0	\$136
20. Reflects a non-recurring Fiscal Year 2020-2021 federal COVID-Promoting Safe and Stable Families grant:	\$0	(\$2,665)	\$0	(\$2,665)
21. Reflects a non-recurring Fiscal Year 2020-2021 federal COVID-Chafee Foster Care Program and ETV grant:	\$0	(\$14,154)	\$0	(\$14,154)
22. Reflects a decrease in Act 28 of 2014 Mandated Reporter Training funds:	\$0	\$0	(\$1,337)	(\$1,337)
<b>Subtotal Grant &amp; Subsidy</b>	<b>\$150,017</b>	<b>(\$18,007)</b>	<b>(\$1,337)</b>	<b>\$130,673</b>
<b>BUDGETARY RESERVE</b>				
1. Impact of Fiscal Year 2020-2021 federal Child Welfare Services - Title IV-B budgetary reserve:	\$0	(\$20,000)	\$0	(\$20,000)
2. Impact of Fiscal Year 2020-2021 federal Child Welfare Services - Title IV-E budgetary reserve:	\$0	(\$20,385)	\$0	(\$20,385)
3. Impact of Fiscal Year 2020-2021 federal Children's Justice Act budgetary reserve:	\$0	(\$86)	\$0	(\$86)
<b>Subtotal Budgetary Reserve</b>	<b>\$0</b>	<b>(\$40,471)</b>	<b>\$0</b>	<b>(\$40,471)</b>

**VI. EXPLANATION OF CHANGES**  
 (\$ Amounts in Thousands)

**APPROPRIATION:**  
 County Child Welfare

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total</u>
<b>INITIATIVES</b>				
<b>GRANT &amp; SUBSIDY</b>				
<b>1. Minimum Wage Increase</b>				
<b>A. The Fiscal Year 2021-2022 "Minimum Wage Increase" initiative will increase the minimum wage for all Pennsylvania workers to \$12.00 per hour, effective July 1, 2021:</b>	\$2,147	\$401	\$0	\$2,548
<b>2. Stable Housing Interventions in Facilitated Teams</b>				
<b>A. The Fiscal Year 2021-2022 "Stable Housing Interventions in Facilitated Teams" initiative will reduce the number of children and youth out-of-home placements and stabilize families and their housing:</b>	<u>\$1,000</u>	<u>\$0</u>	<u>\$0</u>	<u>\$1,000</u>
<b>Subtotal Initiatives</b>	<u>\$3,147</u>	<u>\$401</u>	<u>\$0</u>	<u>\$3,548</u>
<b>TOTAL</b>	<u>\$153,008</u>	<u>(\$56,644)</u>	<u>(\$1,337)</u>	<u>\$95,027</u>



DEPARTMENT OF HUMAN SERVICES  
FISCAL YEAR 2021-2022 BLUE BOOK  
County Child Welfare Model  
(\$ Amounts in Thousands)

State Funds - Appropriation 1026600000:	FY 2019-2020 Actual	FY 2020-2021 Available	FY 2021-2022 Budgeted	2021-2022 Budgeted vs 2020-2021 Available
<b>Tentative Needs-Based Allocations</b>				
Needs-Based	\$1,130,315	\$1,175,718	\$1,154,315	(\$21,403)
Roll Forward FY 2019-2020	(\$38,091)	\$0	\$0	\$0
Roll Forward FY 2020-2021	(\$9,486)	\$9,486	\$0	(\$9,486)
Roll Forward FY 2021-2022	\$0	(\$204,359)	\$204,359	\$408,718
Roll Forward FY 2022-2023	\$0	\$0	(\$248,006)	(\$248,006)
<b>Subtotal</b>	<b>\$1,082,738</b>	<b>\$980,845</b>	<b>\$1,110,668</b>	<b>\$129,823</b>
<b>Less: Interim YDC/YFC Billings (County Share)</b>	<b>(\$24,161)</b>	<b>(\$22,983)</b>	<b>(\$21,340)</b>	<b>\$1,643</b>
<b>County Child Welfare Grants:</b>				
Independent Living	\$34,404	\$34,201	\$40,011	\$5,810
Information Technology	\$31,093	\$32,286	\$34,202	\$1,916
Evidence-Based Practices	\$48,831	\$48,054	\$55,008	\$6,954
Pennsylvania Promising Practices	\$6,310	\$6,245	\$6,140	(\$105)
Housing Initiatives	\$14,259	\$14,875	\$16,264	\$1,389
Truancy	\$19,226	\$23,430	\$25,074	\$1,644
SWAN - Counties	\$25,614	\$28,246	\$26,981	(\$1,265)
<b>Subtotal County Child Welfare Grants</b>	<b>\$179,737</b>	<b>\$187,337</b>	<b>\$203,680</b>	<b>\$16,343</b>
<b>Grants:</b>				
SWAN	\$16,939	\$15,307	\$17,000	\$1,693
Administrative Offices - PA Courts	\$850	\$750	\$600	(\$150)
Kinship Caregiver Navigator Program	\$1,000	\$500	\$500	\$0
Child Abuse Prevention Education	\$135	\$135	\$300	\$165
Training - Child Welfare Training (CWT) and Child Welfare Education for Baccalaureates/Child Welfare Education for Leaders (CWEB/CWEL)	\$6,628	\$8,518	\$9,018	\$500
<b>Subtotal Grants</b>	<b>\$25,552</b>	<b>\$25,210</b>	<b>\$27,418</b>	<b>\$2,208</b>
<b>Operating:</b>				
Media/PR	\$1,926	\$1,926	\$2,000	\$74
Data Analysis/Child and Family Services Review	\$617	\$617	\$500	(\$117)
Title IV-E Compliance	\$2,884	\$4,598	\$2,500	(\$2,098)
Mandated Reporter Training	\$275	\$565	\$500	(\$65)
Child Abuse Research & Technical Assistance	\$150	\$300	\$2,350	\$2,050
Civil Service Billings	\$1,033	\$1,033	\$1,033	\$0
<b>Subtotal Operating</b>	<b>\$6,885</b>	<b>\$9,039</b>	<b>\$8,883</b>	<b>(\$156)</b>
<b>Act 28 of 2014 Mandated Reporter Training</b>	<b>\$953</b>	<b>\$2,290</b>	<b>\$953</b>	<b>(\$1,337)</b>
<b>COVID-19 Impact:</b>				
State Savings	(\$1,571)	\$0	\$0	\$0
State Savings	(\$13,000)	(\$13,000)	(\$13,000)	\$0
COVID-19 costs	\$1,571	\$0	\$0	\$0
<b>Subtotal COVID-19 Impact</b>	<b>(\$13,000)</b>	<b>(\$13,000)</b>	<b>(\$13,000)</b>	<b>\$0</b>
<b>Stable Housing Interventions in Facilitated Teams (SHIFT)</b>				
Pilot initiative:	\$0	\$0	\$1,000	\$1,000
<b>FY 21-22 Minimum Wage Increase initiative will increase the minimum wage for all Pennsylvania workers to \$12.00 per hour, effective July 1, 2021:</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,147</b>	<b>\$2,147</b>

DEPARTMENT OF HUMAN SERVICES  
 FISCAL YEAR 2021-2022 BLUE BOOK  
 County Child Welfare Model  
 (\$ Amounts in Thousands)

<u>State Funds - Appropriation 1026600000 (continued):</u>	<u>FY 2019-2020 Actual</u>	<u>FY 2020-2021 Available</u>	<u>FY 2021-2022 Budgeted</u>	<u>2021-2022 Budgeted vs 2020-2021 Available</u>
TOTAL STATE FUNDS	\$1,257,751	\$1,166,448	\$1,319,456	\$153,008
TOTAL FEDERAL FUNDS	\$513,965	\$608,627	\$551,983	(\$56,644)
TOTAL AUGMENTATIONS	\$953	\$2,290	\$953	(\$1,337)
TOTAL COUNTY CHILD WELFARE	<u>\$1,772,669</u>	<u>\$1,777,365</u>	<u>\$1,872,392</u>	<u>\$95,027</u>

**DEPARTMENT OF HUMAN SERVICES  
FISCAL YEAR 2021-2022 BLUE BOOK  
COUNTY CHILD WELFARE MODEL (Federal  
funds)(\$ Amounts in Thousands)**

<u>Detail listing of Federal Funds:</u>	FY 2019-2020 Actual	FY 2020-2021 Available	FY 2021-2022 Budgeted	2021-2022 Budgeted vs 2020-2021 Available
<b><u>Child Welfare Services - Title IV-B (70155)</u></b>				
<b><u>Operating:</u></b>				
Media/PR	\$118	\$118	\$200	\$82
<b><u>Grants:</u></b>				
Needs-Based requirements	\$8,802	\$8,802	\$8,354	(\$448)
Statewide Adoption and Permanency Network (SWAN)	\$2,516	\$3,500	\$2,600	(\$900)
Child Welfare Resource Center (CWRC)	\$2,299	\$2,299	\$2,000	(\$299)
Kinship Caregiver Navigator Program	\$0	\$0	\$1,000	\$1,000
Safe Haven	\$0	\$0	\$20	\$20
Family First	\$0	\$0	\$20,000	\$20,000
Total Grants	\$13,617	\$14,601	\$33,974	\$19,373
Budgetary Reserve	\$0	\$20,000	\$0	(\$20,000)
Total	\$13,735	\$34,719	\$34,174	(\$545)
<b><u>COVID - Child Welfare Services (87632)</u></b>	\$1,571	\$0	\$0	\$0
<b><u>Child Welfare Services - Title IV-E (70157)</u></b>				
<b><u>Operating:</u></b>				
IV-E Compliance Review	\$1,606	\$3,860	\$2,000	(\$1,860)
Media/PR	\$289	\$289	\$500	\$211
Data Analysis/Child Family Service Review	\$0	\$0	\$500	\$500
Adoption Incentive	\$2,500	\$2,500	\$5,000	\$2,500
Total Operating	\$4,395	\$6,649	\$8,000	\$1,351
<b><u>Grants:</u></b>				
Needs-Based	\$323,388	\$342,735	\$323,994	(\$18,741)
Independent Living Grant	\$5,234	\$5,391	\$4,469	(\$922)
Independent Living Educational Vouchers	\$2,410	\$2,410	\$2,000	(\$410)
Information Technology Grants	\$6,162	\$6,347	\$12,838	\$6,491
SWAN - Counties	\$21,225	\$18,067	\$19,258	\$1,191
SWAN	\$15,865	\$34,023	\$15,000	(\$19,023)
Data Analysis/Child Family Service Review	\$292	\$400	\$0	(\$400)
Juvenile Probation Administrative Claiming	\$4,398	\$4,398	\$2,000	(\$2,398)
Adoption Incentive	\$0	\$0	\$5,000	\$5,000
Federal Match of Title IV-E compliance	\$2,000	\$0	\$0	\$0
FY 21-22 Minimum Wage Increase initiative will increase the minimum wage for all Pennsylvania workers to \$12.00 per hour, effective July 1, 2021:	\$0	\$0	\$401	\$401
Total Grants	\$380,974	\$413,771	\$384,960	(\$28,811)
Budgetary Reserve	\$0	\$20,385	\$0	(\$20,385)
Total	\$385,369	\$440,805	\$392,960	(\$47,845)
<b><u>COVID - Child Welfare - Title IV-E (87654)</u></b>	\$18,000	\$18,000	\$18,000	\$0
<b><u>SSBG (Title XX) Needs-Based (70159)</u></b>	\$12,021	\$12,021	\$12,021	\$0
<b><u>Medical Assistance Needs-Based (70169)</u></b>	\$1,383	\$1,824	\$1,477	(\$347)
Budgetary Reserve (70169)	\$55	\$0	\$0	\$0
Total	\$1,438	\$1,824	\$1,477	(\$347)
<b><u>CW Training &amp; Certification (70171)</u></b>				
Training - Child Welfare Training (CWT)				
Child Welfare Education for Baccalaureates & Child Welfare Education for Leaders (CWEB/CWEL)	\$16,665	\$18,665	\$20,000	\$1,335
<b><u>TANF Needs-Based (70197)</u></b>	\$58,508	\$58,508	\$58,508	\$0
<b><u>CBFRS Parent Anonymous (70204)</u></b>	\$143	\$143	\$143	\$0

**DEPARTMENT OF HUMAN SERVICES  
FISCAL YEAR 2021-2022 BLUE BOOK  
COUNTY CHILD WELFARE MODEL (Federal  
funds)(\$ Amounts in Thousands)**

<u>Detail listing of Federal Funds (continued):</u>	FY 2019-2020 Actual	FY 2020-2021 Available	FY 2021-2022 Budgeted	2021-2022 Budgeted vs 2020-2021 Available
<b><u>Child Abuse Prevention and Treatment Act (70707)</u></b>				
<b><u>Operating:</u></b>				
Mandated Reporter	\$1,392	\$2,000	\$2,000	\$0
<b><u>Grants:</u></b>				
Child Welfare Resource Center (CWRC)	\$308	\$308	\$500	\$192
Plans of Safe Care	\$1,900	\$1,900	\$5,000	\$3,100
County Support	\$400	\$400	\$5,000	\$4,600
Total Grants	\$2,608	\$2,608	\$10,500	\$7,892
Total	\$4,000	\$4,608	\$12,500	\$7,892
<b><u>Title IV-B - Caseworker Visits (70718)</u></b>	\$1,365	\$1,365	\$1,000	(\$365)
<b><u>Children's Justice Act (70977)</u></b>				
Child Welfare Resource Center (CWRC)	\$864	\$864	\$1,000	\$136
Budgetary Reserve (70977)	\$286	\$286	\$200	(\$86)
Total	\$1,150	\$1,150	\$1,200	\$50
<b><u>COVID-Chafee Foster Care Program and ETV (87408)</u></b>				
Grants:	\$0	\$14,154	\$0	(\$14,154)
Budgetary Reserve:	\$0	\$0	\$0	\$0
Total	\$0	\$14,154	\$0	(\$14,154)
<b><u>COVID-Promoting Safe and Stable Families (87409)</u></b>				
Grants:	\$0	\$2,665	\$0	(\$2,665)
Budgetary Reserve:	\$0	\$0	\$0	\$0
Total	\$0	\$2,665	\$0	(\$2,665)
<b>Total Operating</b>	\$5,905	\$8,767	\$10,200	\$1,433
<b>Total Budgetary Reserve</b>	\$341	\$40,671	\$200	(\$40,471)
<b>Total Grants</b>	\$507,719	\$559,189	\$541,583	(\$17,606)
<b>TOTAL FEDERAL FUNDS</b>	\$513,965	\$608,627	\$551,983	(\$56,644)

## COUNTY CHILD WELFARE

### PROGRAM STATEMENT

The child welfare appropriation provides state and federal funds to support the county-administered social services program for children and youth in each of the Commonwealth's 67 counties, as required in the County Code and the Human Services Code. Services are provided to dependent and delinquent children, as well as those needing prevention and/or intervention services, and their families. Eligibility for service is based on each child's need for service as determined by the county Children and Youth Agency or the Juvenile Probation Office. While services are provided without regard to income, the county children and youth agencies may establish fee scales based on a family's ability to pay. Services are provided to children in their own homes and, if necessary, in out-of-home placements.

Federal funds for child welfare services are available through Title IV-E, Title XX, Title XIX, and Title IV-B of the Social Security Act. In addition, Temporary Assistance for Needy Families (TANF) funds are used to support these services.

- Title IV-E provides funding for children in placement, for independent living services, for adoption subsidies for eligible children, permanent legal custodianship subsidies for eligible children, and certain administrative and training costs. The Department of Human Services (Department) plans to opt into the Title IV-E Prevention Program beginning October 1, 2021 which will permit Pennsylvania to begin claiming Title IV-E for certain evidence-based programs when the child/youth meets criteria established under the Family First Prevention Services Act.
- Title XX funds are used for preventing or remedying neglect, abuse, or exploitation of children.
- Title XIX funds are used for administrative activities related to Medical Assistance eligibility.
- Title IV-B provides funds to states to establish and strengthen in-home services.
- TANF funds are used to prevent or remedy neglect, abuse, or exploitation of children.

Services provided by county children and youth programs under these appropriations include, but are not limited to: family reunification, adoption assistance, subsidized permanent legal custodianship, emergency and planned temporary placement, child protective services, general protective services, and other services ordered by the court. Costs of these services are paid with federal, state, and county funds.

The Department provides reimbursement for expenditures that the county incurs serving children and youth. Based on the type of services delivered, varying levels of state reimbursement are provided. Adoption services are reimbursed at 100 percent; Emergency Shelter services are reimbursed at 90 percent; foster family care, adoption subsidies, permanent legal custodianship subsidies, community-based placement, and in-home services are reimbursed at 80 percent; institutional placement services, other than detention services, are reimbursed at 60 percent; administrative activities of county children and youth agencies are reimbursed at 60 percent; and detention costs are reimbursed at 50 percent. Act 30 of 1991 requires that the Department submit to the Governor its determination of the statewide child welfare funding needs based on a review of the needs-based plan and budgets submitted by counties.

The Department provides incentives through higher state reimbursement for the following child welfare grants:

- Evidence-Based Practices: These grants provide proven outcomes for the improvement of safety, permanency, and well-being of children. These practices have, and continue to play, a crucial role in keeping children safely in their own homes.

- Pennsylvania Promising Practices: These grants, while not yet supported by evidence, provide meaningful outcomes.
- Housing Grants: These grants provide funding to assist with securing housing and averting evictions/utility shut-offs, which are a useful means in maintaining family unity while the family may be in crisis. Children should not be removed from their family based solely on lack of adequate housing and the availability of these funds to assist and supplement other housing funds that have been used to that end. Maintaining family stability through these grants is cost effective when compared with the costs of out-of-home placement.
- Truancy Grants: These grants provide funding to support services to the family to identify the causes of poor school attendance.

The MaryLee Allen Promoting Safe and Stable Families program provides funds for family preservation, family support, family reunification, adoption promotion, and support services. The Commonwealth's five-year Child and Family Services Plan was submitted to, and approved by, the federal Department of Health and Human Services. This plan identifies the long and short-term goals of the child welfare system with tasks and benchmarks to administer and integrate programs and services to promote the safety, permanency, and well-being of children and families. Funding is used to facilitate integration of the programs that serve children and families funded under Title IV-B into a continuum of services for children and their families, which include, but are not limited to, family preservation, family support, time-limited family reunification, and services through the Child Welfare Resource Center. To improve the Department's success in achieving permanence for children in placement who cannot be reunited with their birth families and to assure the well-being of children in foster care, the Department is continuing the Statewide Adoption and Permanency Network (SWAN) and the Youth Independent Living Program.

Many children awaiting adoption have special needs that make it difficult to find adoptive homes. The SWAN Program was established to place children with special needs in permanent homes. The SWAN program serves children in the custody of a county children and youth agency that may or may not have a goal of adoption to help them achieve permanency, whether that permanency is reunification, adoption, permanent legal custodianship or placement with a fit and willing relative. Funds are provided in the Governor's Executive Budget for State Fiscal Year (SFY) 2021-2022 to provide family recruitment services, adoptive placement services, post-adoption services, legal services and adoption training. As of September 30, 2020, Pennsylvania had 3,006 children in foster care with a court-ordered goal of adoption, which is 21.36 percent of the 14,070 children in care statewide on that date.

Pennsylvania's Independent Living Services Program is a state-supervised, county-administered grant program funded with state and federal Title IV-E Chafee Foster Care Independence Program monies. Counties are expected to request and receive grant funding to support youth in a wide variety of areas designed to support a successful transition to adulthood. Activities and programs are grouped into six service areas: life skills training, housing, support, employment, education and training, and prevention and wellness. Beginning July 1, 2020, this program provides these services, as well as post-secondary education/supports to youth under 23 years of age who have been discharged from placement. A portion of the funding may be made available to provide room and board for youth discharged from placement after age 18. As a result of the Foster Care Independence Act of 1999, Pennsylvania implemented a grant program for youth who have transitioned from foster care which offers vouchers for education and training, including postsecondary training and education, to assist them in leading independent and productive lives. Beginning with school year 2020-2021, this grant program serves youth up to age 26.

In State Fiscal Year 2021-22, a portion of federal Child Abuse Prevention and Treatment Act (CAPTA) funds are being distributed to county children and youth agencies to develop a coordinated, multi-system approach to improve child protective services that is grounded in early identification and intervention of substance affected infants and strengthens families by ensuring support and access to services for substance use disorders and other needs.

### **FISCAL YEAR –2021 - 2022 INITIATIVE - MINIMUM WAGE INCREASE**

The Governor's Executive Budget for Fiscal Year 2021-2022 provides a total of \$2.548 million (\$2.147 million in state funds) to increase the minimum wage for all Pennsylvania workers to \$12.00 per hour, effective July 1, 2021. Workers earning the minimum must have the ability to afford basic, family-sustaining necessities that help avoid poverty, be productive workers, and reduce the use of assistance programs. Increasing the minimum wage will allow the Department's providers to recruit and retain child care, child welfare caseworkers and direct care workers that are essential to the provision of services in the Department's programs. This initiative is expected to decrease HealthChoices enrollment as more adults will be able to transition off Medical Assistance as their income increases. Additionally, children will transition to Children's Health Insurance Program coverage as their family income increases.

### **FISCAL YEAR 2021 - 2022 INITIATIVE - STABLE HOUSING INTERVENTIONS IN FACILITATED TEAMS (SHIFT)**

The Governor's Executive Budget for State Fiscal Year 2021-2022 provides a total of \$1.000 million to reduce the number of children and youth removed from their homes due in whole, or part to, inadequate housing or homelessness. The SHIFT pilot will incentivize children and youth agencies to convene person-centered, targeted, multi-disciplinary interventions that stabilize the families and their housing. The pilot counties chosen will receive funding to build a local SHIFT Team made up of local resources that include local government, housing specialists, nonprofits, and the business community. Funding would also be available to augment existing rental assistance, workforce development, skills training, and other necessary supports to holistically move the family from crisis to stability. The primary goal will be a substantial reduction of housing related placements in the pilot counties by 25% or more. Secondary goals include reduction in homelessness, increased employment and income, and a cost-benefit analysis of shifting from a punitive to a supportive/healing paradigm.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. C1.45, E27.8-9, E27.45

APPROPRIATION:  
Community-Based Family Centers

**I. SUMMARY FINANCIAL DATA**

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
<b>State Funds</b>	\$18,320	\$19,558	\$19,558
<b>Federal Funds Total</b>	\$25,342	\$25,342	\$25,342
<b>Federal Sources Itemized</b>			
<i>Family Preservation - Family Centers</i>	\$2,691	\$2,691	\$2,691
<i>Family Resource &amp; Support - Family Centers</i>	\$480	\$480	\$480
<i>Title IV-B - Family Centers</i>	\$5,871	\$5,871	\$5,871
<i>MCH - Early Childhood Home Visiting</i>	\$16,300	\$16,300	\$16,300
<b>Other Funds Total</b>	\$0	\$0	\$0
<b>Total</b>	\$43,662	\$44,900	\$44,900

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds</b>	\$0
<b>Federal Funds</b>	\$0
<b>Total</b>	\$0



II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Community-Based Family Centers				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$10	\$10	\$10	\$0	100.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$10</b>	<b>\$10</b>	<b>\$10</b>	<b>\$0</b>	<b>100.00%</b>
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$17,697	\$19,558	\$19,558	\$0	0.00%
<i>Federal Funds</i>	\$25,332	\$25,332	\$25,332	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$43,029</b>	<b>\$44,890</b>	<b>\$44,890</b>	<b>\$0</b>	<b>0.00%</b>
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$623	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$623</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$18,320	\$19,558	\$19,558	\$0	0.00%
<i>Federal Funds</i>	\$25,342	\$25,342	\$25,342	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$43,662</b>	<b>\$44,900</b>	<b>\$44,900</b>	<b>\$0</b>	<b>0.00%</b>

**APPROPRIATION:**  
Community-Based Family Centers

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2018-2019	2019-2020	2020-2021 Estimated
State Funds	\$427	\$238	\$0

IV. COMPLEMENT INFORMATION	12/31/2019	12/31/2020	2021-2022 Budgeted
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

Budget information is based on estimated program needs.

Details for the appropriation request are outlined in Section VI entitled "Explanation of Changes" on subsequent pages.

**Legislative Citations:**

62 P.S. §§ 201, 206

**Disbursement Criteria:**

Funds are expended through contracts with service providers.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Community-Based Family Centers			
	State \$	Federal \$	Other \$	Total
<b>OPERATING</b>				
1. Maintains federal funds at the Fiscal Year 2020-2021 level of \$0.010 million in operating:	\$0	\$0	\$0	\$0
<b>GRANT &amp; SUBSIDY</b>				
1. Maintains State funding at the Fiscal Year 2020-2021 of \$19.558 million:	\$0	\$0	\$0	\$0
2. Maintains Federal funding at the Fiscal Year 2020-2021 of \$25.332 million:	\$0	\$0	\$0	\$0
Subtotal Grants and Subsidies	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

## **COMMUNITY-BASED FAMILY CENTERS**

### **PROGRAM STATEMENT**

This appropriation supports the implementation of evidence-based home visiting services to support vulnerable and at-risk pregnant and parenting families and their infants, toddlers and preschoolers across the Commonwealth as well as the 42 community-based Family Centers serving 31 Pennsylvania counties. Evidence-based home visiting provides comprehensive support services designed and shown to improve maternal and child health, prevent child abuse and neglect, encourage positive parenting, and promote child development and school readiness. This appropriation also includes the federally funded Maternal, Infant, and Early Childhood Home Visiting program overseen by the Health Resources and Services Administration (HRSA). These funds support the development and local implementation of evidence-based, voluntary home visiting programs that strengthen families by providing resources and skill development needed to raise children who are physically, socially, and emotionally healthy and ready to learn. Evidence-based home visiting supports and services are provided by models currently recognized by the HRSA as evidence-based. The six evidence based home visiting models currently operating throughout the Commonwealth are: Parents as Teachers; Nurse-Family Partnership, Healthy Families America, Early Head Start-Home Based, Safe Care Augmented, and Family Check-Up.

All community-based Family Centers provide evidence-based home visiting to support families in their community but are also designed to offer a variety of community services to assist families in improving their ability to successfully raise their children. Family Centers allow families to choose from a variety of services including: positive parenting support and education programs, health care information, assistance in accessing health care services, child development activities and screenings, toy and resource libraries, and comprehensive information about services available in the community. Family Center services are provided on-site to families, in their homes, or through a referral to other agencies in the community. Each Family Center requires community planning, collaboration, and commitment from key community members including: parents, local government representatives, educators, health providers, and social service directors. This collaboration ensures that the community's strengths, needs, and priorities are represented in the services provided by the Family Center. Program reviews of Family Centers have demonstrated measurable improvements in the lives of families who participate in their programs.

**DEPARTMENT OF HUMAN SERVICES**  
**BUDGET REQUEST FOR FISCAL YEAR 2021-2022**  
(\$ Amounts in Thousands)

**Page # of Governor's Executive Budget:**  
Pp. A1.6, A2.4, A2.8, C1.5, C1.45, E27.9, E27.44-45

**APPROPRIATION:**  
Child Care Services

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
<b>State Funds</b>	\$156,332	\$156,482	\$156,482
<b>Federal Funds Total</b>	\$649,291	\$766,459	\$466,172
<b>Federal Sources Itemized</b>			
<i>CCDFBG - Child Care</i>	\$392,812	\$431,136	\$433,710
<i>CCDFBG - School Age</i>	\$1,260	\$1,260	\$1,260
<i>SSBG - Child Care</i>	\$30,977	\$30,977	\$30,977
<i>Head Start Collaboration Project</i>	\$242	\$225	\$225
<i>COVID-CCDFBG-CC Serv (EA)</i>	\$108,000	\$302,861 <sup>1</sup>	\$0
<i>COVID-RF Child Care Serv</i>	\$116,000	\$0	\$0
<b>Other Funds Total</b>	\$0	\$0	\$0
<b>Total</b>	<u>\$805,623</u>	<u>\$922,941</u>	<u>\$622,654</u>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds</b>	\$0
<b>Federal Funds</b>	\$0
<b>Total</b>	<u>\$0</u>

<sup>1</sup> Includes recommended supplemental executive authorization for \$302.861 million, which represents an estimate of federal grant distributions.

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Child Care Services				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$486	\$86	\$86	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	\$486	\$86	\$86	\$0	0.00%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$156,332	\$156,482	\$156,482	\$0	0.00%
<i>Federal Funds</i>	\$375,662	\$712,735	\$431,693	(\$281,042)	-39.43%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	\$531,994	\$869,217	\$588,175	(\$281,042)	-32.33%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$49,143	\$53,638	\$34,393	(\$19,245)	-35.88%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$49,143	\$53,638	\$34,393	(\$19,245)	-35.88%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$224,000	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$224,000	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$156,332	\$156,482	\$156,482	\$0	0.00%
<i>Federal Funds</i>	\$649,291	\$766,459	\$466,172	(\$300,287)	-39.18%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	\$805,623	\$922,941	\$622,654	(\$300,287)	-32.54%

<b>APPROPRIATION:</b> Child Care Services
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III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$484	\$150	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

Budget information is projected on actual monthly data provided by the Pennsylvania's Enterprise to Link Information for Children Across Networks (PELICAN) system. PELICAN data is reflected in the Low Income - Child Care Services.

Details for the appropriation request are outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**

62 P.S. § 201 et seq.; 62 P.S. § 401 et seq.

**Disbursement Criteria:**

These funds are expended through contracts with service providers. Payments to contractors are based on a fee-for-service unit cost for child care services.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Child Care Services			
	State \$	Federal \$	Other \$	Total
<b>OPERATING</b>				
1. Maintains funding in Operations Research and Evaluation for Fiscal Year 2020-2021:	\$0	\$0	\$0	\$0
<b>GRANT &amp; SUBSIDY</b>				
1. Net Impact of change for the Low Income Child Care Care Service average monthly cost per slot from \$571.98 in Fiscal Year 2020-2021 to \$602.80 in Fiscal Year 2021-2022:	(\$1,369)	\$25,682	\$0	\$24,313
2. Provides for an increase for the low-income Child Care Service average monthly consumers from 65,738 in Fiscal Year 2020-2021 to 66,854 in Fiscal Year 2021-2022:	\$1,369	\$6,703	\$0	\$8,072
3. Reflects a decrease in administrative costs for Early Learning Resource Centers and for Early Keys to Quality - PA Key administrative Support:	\$0	(\$1,284)	\$0	(\$1,284)
4. Reflects a decrease in STARS Grants Awards/Quality Initiatives in Fiscal Year 2021-2022:	\$0	(\$10,000)	\$0	(\$10,000)
5. Reflects an increase in Child Care Base Rates beginning in Fiscal Year 2020-2021. The Fiscal Year 2020-2021 budget includes \$28.767 million for Fiscal Year 2020-2021 while \$87.172 million is included for the annualization in Fiscal Year 2021-2022 out of COVID funds appropriated in Fiscal Year 2020-2021:	\$0	(\$115,939)	\$0	(\$115,939)
6. Reflects non-recurring CARES Act funding available in Fiscal Year 2020-2021:	\$0	(\$216,800)	\$0	(\$216,800)
7. Provides for the annualization of the Fiscal Year 2020-2021 Child Care Co-Payment Restructuring Initiative:	\$0	\$73	\$0	\$73
Subtotal Grant & Subsidy	\$0	(\$311,565)	\$0	(\$311,565)
<b>BUDGETARY RESERVE</b>				
1. Reflects a decrease in excess federal spending authority in Fiscal Year 2021-2022:	\$0	(\$19,245)	\$0	(\$19,245)
<b>FISCAL YEAR 2021-2022 INITIATIVES</b>				
<b>GRANT &amp; SUBSIDY</b>				
1. Minimum Wage Increase				
A. Provides for an increase in rates to support the change in minimum wage to \$12.00 an hour:	\$0	\$30,523	\$0	\$30,523
<b>TOTAL</b>	<u>\$0</u>	<u>(\$300,287)</u>	<u>\$0</u>	<u>(\$300,287)</u>



**Child Care Services**  
**Fiscal Year 2020-2021 Available**

	TOTAL	STATE	SSBG	CCDFBG-Child Care	COVID-CCDFBG CHILD CARE SERVICES	CCDFB - School Age	Headstart
<b>Early Learning Resource Centers</b>							
Low Income Child Care (65,738)	\$ 451,205,000	\$ 132,267,000	\$ 30,977,000	\$ 287,961,000	\$ -	\$ -	\$ -
Administrative Support	\$ 35,078,000	\$ 9,897,000	\$ -	\$ 25,181,000	\$ -	\$ -	\$ -
STARS Grants Awards/Quality Initiatives	\$ 22,646,000	\$ 10,024,000	\$ -	\$ 12,622,000	\$ -	\$ -	\$ -
Professional Development	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Subtotal Consumers:</b>	<b>\$ 508,929,000</b>	<b>\$ 152,188,000</b>	<b>\$ 30,977,000</b>	<b>\$ 325,764,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Early Keys to Quality - PA Key</b>							
Administrative Support	\$ 7,331,000	\$ 374,000	\$ -	\$ 5,492,000	\$ -	\$ 1,260,000	\$ 205,000
Professional Development	\$ 7,386,000	\$ 3,000,000	\$ -	\$ 4,386,000	\$ -	\$ -	\$ -
Quality Program Initiatives	\$ 1,719,000	\$ 170,000	\$ -	\$ 1,529,000	\$ -	\$ -	\$ 20,000
<b>Subtotal PA Key:</b>	<b>\$ 16,436,000</b>	<b>\$ 3,544,000</b>	<b>\$ -</b>	<b>\$ 11,407,000</b>	<b>\$ -</b>	<b>\$ 1,260,000</b>	<b>\$ 225,000</b>
<b>Early Childhood Education</b>							
Professional Development Organization	\$ 10,000,000	\$ -	\$ -	\$ 10,000,000	\$ -	\$ -	\$ -
<b>Operations</b>							
Care Check	\$ 86,000	\$ -	\$ -	\$ 86,000	\$ -	\$ -	\$ -
Research and Evaluation	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Subtotal Operating:</b>	<b>\$ 86,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 86,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Early Head Start</b>	<b>\$ 750,000</b>	<b>\$ 750,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>FY 20-21 Initiative: Co-Pay Restructuring</b>	<b>\$ 363,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 363,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Child Care Base Rate FY 20-21</b>	<b>\$ 115,939,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$28,767,000</b>	<b>\$87,172,000</b>	<b>\$ -</b>	<b>\$ -</b>
<b>FY 20-21 COVID-19 Relief</b>	<b>\$ 216,800,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$1,111,000</b>	<b>\$215,689,000</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Budgetary Reserve</b>	<b>\$ 53,638,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 53,638,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Program Total</b>	<b>\$ 922,941,000</b>	<b>\$ 156,482,000</b>	<b>\$ 30,977,000</b>	<b>\$ 431,136,000</b>	<b>\$ 302,861,000</b>	<b>\$ 1,260,000</b>	<b>\$ 225,000</b>
Surplus/(Deficit)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Requested Supplemental	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

**Child Care Services**  
**Fiscal Year 2021-2022 Governor's Executive Budget**

	TOTAL	STATE	SSBG	CCDFBG-Child Care	CCDFB - School Age	Headstart
<b>Early Learning Resource Centers</b>						
Low Income Child Care (66,854)	\$483,590,000	\$132,267,000	\$ 30,977,000	\$ 320,346,000	\$ -	\$ -
Administrative Support	\$ 33,794,000	\$ 9,897,000	\$ -	\$23,897,000	\$ -	\$ -
STARS Grants Awards/Quality Initiatives	\$ 12,646,000	\$ 10,024,000	\$ -	\$ 2,622,000	\$ -	\$ -
Professional Development	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Subtotal Consumers:</b>	<b>\$ 530,030,000</b>	<b>\$ 152,188,000</b>	<b>\$ 30,977,000</b>	<b>\$ 346,865,000</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Early Keys to Quality - PA Key</b>						
Administrative Support	\$ 7,331,000	\$ 374,000	\$ -	\$ 5,492,000	\$ 1,260,000	\$ 205,000
Professional Development	\$ 7,386,000	\$ 3,000,000	\$ -	\$ 4,386,000	\$ -	\$ -
Quality Program Initiatives	\$ 1,719,000	\$ 170,000	\$ -	\$ 1,529,000	\$ -	\$ 20,000
<b>Subtotal PA Key:</b>	<b>\$ 16,436,000</b>	<b>\$ 3,544,000</b>	<b>\$ -</b>	<b>\$ 11,407,000</b>	<b>\$ 1,260,000</b>	<b>\$ 225,000</b>
<b>Early Childhood Education</b>						
Professional Development Organization	\$ 10,000,000	\$ -	\$ -	\$ 10,000,000	\$ -	\$ -
<b>Operations</b>						
Care Check	\$ 86,000	\$ -	\$ -	\$ 86,000	\$ -	\$ -
Research and Evaluation	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Subtotal Operating:</b>	<b>\$ 86,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 86,000</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Early Head Start</b>	<b>\$ 750,000</b>	<b>\$ 750,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>FY 20-21 Initiative: Co-Pay Restructuring Annualized</b>	<b>\$ 436,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 436,000</b>	<b>\$ -</b>	<b>\$ -</b>
<b>FY 21-22 Initiative: Minimum Wage Increase</b>	<b>\$ 30,523,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 30,523,000</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Budgetary Reserve</b>	<b>\$ 34,393,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 34,393,000</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Program Total</b>	<b>\$ 622,654,000</b>	<b>\$ 156,482,000</b>	<b>\$ 30,977,000</b>	<b>\$ 433,710,000</b>	<b>\$ 1,260,000</b>	<b>\$ 225,000</b>

**FISCAL YEAR 2021-2022 Governor's Executive Budget  
Low Income - Child Care Services**

**FISCAL YEAR 2019-2020 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Service</b>	<b>FSS/Admin</b>	<b>Users</b>	<b>Total \$ Per Slot</b>
JULY 2019	\$42,963,152	\$40,179,527	\$2,783,625	69,846	\$615.11
AUGUST	\$42,140,875	\$39,354,808	\$2,786,067	71,317	\$590.90
SEPTEMBER	\$32,157,181	\$29,371,113	\$2,786,067	68,411	\$470.06
OCTOBER	\$37,591,119	\$34,805,052	\$2,786,067	68,587	\$548.08
NOVEMBER	\$34,363,653	\$31,577,585	\$2,786,067	69,119	\$497.17
DECEMBER	\$34,844,268	\$32,058,200	\$2,786,067	69,868	\$498.72
JANUARY 2020	\$40,121,499	\$37,335,432	\$2,786,067	71,487	\$561.24
FEBRUARY	\$35,118,829	\$32,326,362	\$2,792,467	71,340	\$492.27
MARCH	\$37,353,932	\$34,561,464	\$2,792,467	70,800	\$527.60
APRIL	\$38,615,055	\$35,822,588	\$2,792,467	69,112	\$558.73
MAY	\$37,302,664	\$34,510,196	\$2,792,467	68,969	\$540.86
JUNE	\$40,454,697	\$37,389,854	\$3,064,843	57,526	\$703.24
<b>TOTAL</b>	<b>\$453,026,923</b>	<b>\$419,292,181</b>	<b>\$33,734,742</b>	<b>826,382</b>	<b>\$548.21</b>
Average	\$37,752,244	\$34,941,015	\$2,811,229	68,865	\$548.21
Service					\$507.38
Family Support Services					\$40.82

**FISCAL YEAR 2020-2021 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Service</b>	<b>FSS/Admin</b>	<b>Users</b>	<b>Total \$ Per Slot</b>
JULY 2020	\$47,200,756	\$44,317,753	\$2,883,003	70,405	\$670.42
AUGUST	\$41,474,950	\$38,591,947	\$2,883,003	71,833	\$577.38
SEPTEMBER	\$38,962,090	\$36,030,889	\$2,931,201	59,035	\$659.98
OCTOBER	\$33,954,900	\$31,023,699	\$2,931,201	52,751	\$643.68
NOVEMBER	\$40,586,307	\$37,655,106	\$2,931,201	66,854	\$607.09
DECEMBER	\$40,586,307	\$37,655,106	\$2,931,201	66,854	\$607.09
JANUARY 2021	\$40,586,307	\$37,655,106	\$2,931,201	66,854	\$607.09
FEBRUARY	\$40,586,307	\$37,655,106	\$2,931,201	66,854	\$607.09
MARCH	\$40,586,307	\$37,655,106	\$2,931,201	66,854	\$607.09
APRIL	\$40,586,307	\$37,655,106	\$2,931,201	66,854	\$607.09
MAY	\$40,586,307	\$37,655,106	\$2,931,201	66,854	\$607.09
JUNE	\$40,586,307	\$37,655,106	\$2,931,201	66,854	\$607.09
<b>TOTAL</b>	<b>\$486,283,156</b>	<b>\$451,205,139</b>	<b>\$35,078,017</b>	<b>788,854</b>	<b>\$616.44</b>
Average	\$40,523,596	\$37,600,428	\$2,923,168	65,738	\$616.44
Service					\$571.98
Family Support Services					\$44.47

**FISCAL YEAR 2021-2022 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Service</b>	<b>FSS/Admin</b>	<b>Users</b>	<b>Total \$ Per Slot</b>
JULY 2021	\$43,115,320	\$40,299,153	\$2,816,167	66,854	\$644.92
AUGUST	\$43,115,320	\$40,299,153	\$2,816,167	66,854	\$644.92
SEPTEMBER	\$43,115,320	\$40,299,153	\$2,816,167	66,854	\$644.92
OCTOBER	\$43,115,320	\$40,299,153	\$2,816,167	66,854	\$644.92
NOVEMBER	\$43,115,320	\$40,299,153	\$2,816,167	66,854	\$644.92
DECEMBER	\$43,115,320	\$40,299,153	\$2,816,167	66,854	\$644.92
JANUARY 2022	\$43,115,320	\$40,299,153	\$2,816,167	66,854	\$644.92
FEBRUARY	\$43,115,320	\$40,299,153	\$2,816,167	66,854	\$644.92
MARCH	\$43,115,320	\$40,299,153	\$2,816,167	66,854	\$644.92
APRIL	\$43,115,320	\$40,299,153	\$2,816,167	66,854	\$644.92
MAY	\$43,115,320	\$40,299,153	\$2,816,167	66,854	\$644.92
JUNE	\$43,115,320	\$40,299,153	\$2,816,167	66,854	\$644.92
<b>TOTAL</b>	<b>\$517,383,845</b>	<b>\$483,589,841</b>	<b>\$33,794,004</b>	<b>802,245</b>	<b>\$644.92</b>
Average	\$43,115,320	\$40,299,153	\$2,816,167	66,854	\$644.92
Service					\$602.80
Family Support Services					\$42.12

## **CHILD CARE SERVICES**

### **PROGRAM STATEMENT**

The Child Care Services appropriation helps support Child Care Works, Pennsylvania's subsidized child care program, and Pennsylvania's continuous quality rating improvement system, Keystone Standards, Training/Professional Development, Assistance, Resources, and Supports (STARS).

To be eligible for a low-income child care subsidy, the parent(s) must meet the minimum work requirement and the family's annual income must fall within the program's income guidelines for eligibility which are currently 200 percent of the Federal Poverty Income Guidelines (FPIG) at entry into the program. Each family is assigned a weekly co-payment based on the family's size and income. Co-payments provide low-income working families an affordable way to contribute to the cost of their children's care.

The need for low-income child care assistance exceeds the Department of Human Services (Department) resources, resulting in a waiting list for low-income child care. In State Fiscal Year 2019-2020, this program served up to 66,854 children of low-income working families per month. In January of 2020 there were 2,225 children on the waiting list, but by December of 2020, that number was reduced to 958 children. The decrease of children on the waitlist was due to lower child care enrollments due to the COVID-19 pandemic.

Local agencies comprised of county governments and non-profit organizations administer Child Care Works. They receive a combination of federal and state funds to pay child care providers for services rendered to eligible families along with administrative funds to conduct their daily tasks such as eligibility determination, waiting list management, case management, resource and referral, provider payments and provider management, funds management, and other associated family and provider services.

Pennsylvania's response to the national research on early care and education and to the federal quality earmark requirements is the Keystone STARS program; a comprehensive early learning quality improvement initiative. Early care and education programs participating in Keystone STARS at higher levels provide the kind of quality environments that research has shown relates to improved child outcomes.

The State Fiscal Year 2021-2022 Governor's Executive Budget provides \$87.172 million in federal funds to support increased Child Care Base Rates. Funding for the increased Base Rates for both State Fiscal Year 2020-2021 and 2021-2022 utilize available State Fiscal Year 2020-2021 COVID funding. Child Care Base Rates were regionalized and increased effective March 1, 2021 to create a more stable business environment for child care facilities and to ensure equal access to child care services. Rate increases are variable by county, provider type, and care level.

### **FISCAL YEAR 2021-2022 INITIATIVE – MINIMUM WAGE INCREASE**

The State Fiscal Year 2021-2022 Governor's Executive Budget provides an additional \$30.073 million (\$30.523 million in federal CCDFBG funds in Child Care Services, \$0.090 million in state Child Care Assistance Supplemental Nutrition Assistance Program funding, and \$0.090 million in federal Supplemental Nutrition Assistance Program funding) to increase the minimum wage to \$12.00 per hour. The State Fiscal Year 2021-2022 Minimum Wage Increase initiative will increase the minimum wage for all Pennsylvania workers to \$12.00 per hour, effective July 1, 2021. Workers earning the minimum must have the ability to afford basic, family-sustaining necessities that help avoid poverty, be productive workers, and reduce the use of assistance programs. Increasing the minimum wage will allow Department providers to recruit and retain child care and direct care workers that are essential to the provision of services in the Department's programs.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. A2.4, A2.10, C1.45, E27.9, E27.44-45

**APPROPRIATION:**  
Child Care Assistance

**I. SUMMARY FINANCIAL DATA**

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budgeted</u>
<b>State Funds</b>	<b>\$104,569</b>	<b>\$109,885</b>	<b>\$109,975</b>
<b>Federal Funds Total</b>	<b>\$239,248</b>	<b>\$271,210</b>	<b>\$260,901</b>
<i>TANFBG - Child Care Assistance</i>	<i>\$152,214</i>	<i>\$230,306</i>	<i>\$219,042</i>
<i>CCDFBG - Child Care Assistance</i>	<i>\$85,356</i>	<i>\$38,710</i>	<i>\$38,710</i>
<i>SNAP - Child Care Assistance</i>	<i>\$1,678</i>	<i>\$2,194</i>	<i>\$3,149</i>
<b>Other Funds Total</b>	<b>\$1,656</b>	<b>\$1,705</b>	<b>\$1,705</b>
<i>Early Childhood Education Revenue</i>	<i>\$1,656</i>	<i>\$1,705</i>	<i>\$1,705</i>
<b>Total</b>	<b>\$345,473</b>	<b>\$382,800</b>	<b>\$372,581</b>

**IA. REQUESTED SUPPLEMENTALS AND TRANSFERS (Included above)**

<b>State Funds</b>	<b>\$0</b>
<b>Federal Funds</b>	<b>\$0</b>
<b>Total</b>	<b>\$0</b>

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Child Care Assistance				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$3,686	\$3,686	\$3,686	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$1,656	\$1,705	\$1,705	\$0	0.00%
<b>Total Operating</b>	\$5,342	\$5,391	\$5,391	\$0	0.00%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$100,883	\$106,199	\$106,289	\$90	0.08%
<i>Federal Funds</i>	\$206,357	\$179,866	\$207,542	\$27,676	15.39%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	\$307,240	\$286,065	\$313,831	\$27,766	9.71%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$32,891	\$91,344	\$53,359	(\$37,985)	-41.58%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$32,891	\$91,344	\$53,359	(\$37,985)	-41.58%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$0	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$104,569	\$109,885	\$109,975	\$90	0.08%
<i>Federal Funds</i>	\$239,248	\$271,210	\$260,901	(\$10,309)	-3.80%
<i>Other Funds</i>	\$1,656	\$1,705	\$1,705	\$0	0.00%
<b>Total Funds</b>	\$345,473	\$382,800	\$372,581	(\$10,219)	-2.67%

**APPROPRIATION:**  
Child Care Assistance

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$17,026	\$6,817	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

Budget information is projected on actual monthly data provided by the Pennsylvania's Enterprise to Link Information for Children Across Networks (PELICAN) system. PELICAN data is reflected in the Temporary Assistance for Needy Families, Former Temporary Assistance for Needy Families, and Supplemental Nutrition Assistance Program eligible program consumers.

Details for the appropriation request are outlined in Section VI entitled "Explanation of Changes" on the next page.

Legislative Citations: 62 P.S. § 201 et seq.; § 401 et seq.

**Disbursement Criteria:**

These funds are expended through contracts with service providers. Payments to contractors are based on a fee-for-service unit cost for child care services.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Child Care Assistance			
	State \$	Federal \$	Other \$	Total
<b>GRANT &amp; SUBSIDY</b>				
1. Net Impact of change in the Temporary Assistance for Needy Families (TANF) program average monthly service cost per consumer from \$596.86 in Fiscal Year 2020-2021 to \$636.75 in Fiscal Year 2021-2022:	(\$7,115)	\$10,034	\$0	\$2,919
2. Provides for an increase in the TANF program average monthly number of consumers from 6,099 in Fiscal Year 2020-2021 to 6,933 in Fiscal Year 2021-2022:	\$1,858	\$4,516	\$0	\$6,374
3. Provides for an increase in the Former Temporary Assistance for Needy Families (Former TANF) average monthly cost from \$602.29 in Fiscal Year 2020-2021 to \$633.68 in Fiscal Year 2021-2022:	\$3,284	\$7,982	\$0	\$11,266
4. Provides for an increase in the Former TANF program average monthly number of consumers from 29,907 in Fiscal Year 2020-2021 to 30,566 in Fiscal Year 2021-2022:	\$1,459	\$3,547	\$0	\$5,006
5. Reflects a decrease in Supplemental Nutrition Assistance Program (SNAP) average monthly service cost per consumer from \$676.30 in Fiscal Year 2020-2021 to \$660.88 in Fiscal Year 2021-2022:	(\$12)	(\$29)	\$0	(\$41)
6. Provides for an increase in SNAP average monthly number of consumers for services from 222 in Fiscal Year 2020-2021 to 245 in Fiscal Year 2021-2022:	\$55	\$133	\$0	\$188
7. Provides for an increase in Early Learning Resource Centers (ELRC) administrative costs in Fiscal Year 2021-2022:	\$468	\$1,139	\$0	\$1,607
8. Provides for the annualization of the Fiscal Year 2020-2021 Child Care Base Rate Increase Initiative:	\$3	\$264	\$0	\$267
Subtotal Grant & Subsidy	\$0	\$27,586	\$0	\$27,586
<b>BUDGETARY RESERVE</b>				
1. Reflects a decrease in excess federal spending authority available for unanticipated caseload changes:	\$0	(\$37,985)	\$0	(\$37,985)
<b>FISCAL YEAR 2021-2022 INITIATIVES</b>				
<b>GRANT &amp; SUBSIDY</b>				
1. Minimum Wage				
A. Provides for an increase in rates to support the change in minimum wage to \$12.00 an hour:	\$90	\$90	\$0	\$180
<b>TOTAL</b>	<b>\$90</b>	<b>(\$10,309)</b>	<b>\$0</b>	<b>(\$10,219)</b>



**Child Care Assistance**  
**Fiscal Year 2020-2021 Available**

	TOTAL	STATE	CCDFBG	TANFBG	SNAP	ECE Revenue
<b>Early Learning Resource Centers</b>						
TANF Eligible	\$ 43,685,000	\$ 33,640,000	\$ -	\$ 10,045,000	\$ -	\$ -
Former TANF Eligible	\$ 216,153,000	\$ 48,550,000	\$ 3,824,000	\$ 163,779,000	\$ -	\$ -
SNAP Eligible	\$ 1,799,000	\$ 899,000	\$ -	\$ -	\$ 900,000	\$ -
Admin Costs	\$ 23,095,000	\$ 23,095,000	\$ -	\$ -	\$ -	\$ -
<b>Subtotal ELRC</b>	<b>\$ 284,732,000</b>	<b>\$ 106,184,000</b>	<b>\$ 3,824,000</b>	<b>\$ 173,824,000</b>	<b>\$ 900,000</b>	<b>\$ -</b>
<b>I/T Support</b>						
PELICAN Early Learning Network Support	\$ 3,686,000	\$ 3,686,000	\$ -	\$ -	\$ -	\$ -
PELICAN Support from PDE	\$ 1,705,000	\$ -	\$ -	\$ -	\$ -	\$ 1,705,000
<b>FY 20-21 Initiative: Child Care Base Rate Increase</b>	<b>\$ 1,333,000</b>	<b>\$ 15,000</b>	<b>\$ -</b>	<b>\$ 1,303,000</b>	<b>\$ 15,000</b>	<b>\$ -</b>
<b>Budgetary Reserve</b>	<b>\$ 91,344,000</b>	<b>\$ -</b>	<b>\$ 34,886,000</b>	<b>\$ 55,179,000</b>	<b>\$ 1,279,000</b>	<b>\$ -</b>
<b>SAP Budgetary Reserve</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Program Total</b>	<b>\$ 382,800,000</b>	<b>\$ 109,885,000</b>	<b>\$ 38,710,000</b>	<b>\$ 230,306,000</b>	<b>\$ 2,194,000</b>	<b>\$ 1,705,000</b>
Surplus/(Deficit)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Supplement	-	-	-	-	-	-
	Average Monthly Consumers	Average Monthly Cost/Consumer				
TANF Child Care	6,099	\$ 689				
Former TANF Child Care	29,907	\$ 648				
SNAP Child Care	222	\$ 676				
<b>Total CCA Consumers</b>	<b>36,228</b>	<b>\$ 655</b>				

**Child Care Assistance**  
**Fiscal Year 2021-2022 Governor's Executive Budget**

	TOTAL	STATE	CCDFBG	TANFBG	SNAP	ECE Revenue
<b>Early Learning Resource Centers</b>						
TANF Eligible	\$52,978,000	\$ 42,933,000	\$ -	\$ 10,045,000	\$ -	\$ -
Former TANF Eligible	\$232,425,000	\$ 37,659,000	\$ 2,525,000	\$ 192,241,000	\$ -	\$ -
SNAP Eligible	\$1,946,000	\$973,000	\$ -	\$ -	\$ 973,000	\$ -
Admin Costs	\$24,702,000	\$ 24,616,000	\$ -	\$ -	\$ 86,000	\$ -
<b>Subtotal ELRC</b>	<b>\$312,051,000</b>	<b>\$ 106,181,000</b>	<b>\$ 2,525,000</b>	<b>\$ 202,286,000</b>	<b>\$ 1,059,000</b>	<b>\$ -</b>
<b>I/T Support</b>						
PELICAN Early Learning Network Support	\$ 3,686,000	\$ 3,686,000	\$ -	\$ -	\$ -	\$ -
PELICAN Support from PDE	\$ 1,705,000	\$ -	\$ -	\$ -	\$ -	\$ 1,705,000
<b>FY 20-21 Initiative: Child Care Base Rate Increase Ann.</b>	<b>\$ 1,600,000</b>	<b>\$ 18,000</b>	<b>\$ -</b>	<b>\$ 1,564,000</b>	<b>\$ 18,000</b>	<b>\$ -</b>
<b>FY 21-22 Initiative: Minimum Wage Increase</b>	<b>\$ 180,000</b>	<b>\$ 90,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 90,000</b>	<b>\$ -</b>
<b>Budgetary Reserve</b>	<b>\$ 53,359,000</b>	<b>\$ -</b>	<b>\$ 36,185,000</b>	<b>\$ 15,192,000</b>	<b>\$ 1,982,000</b>	<b>\$ -</b>
<b>SAP Budgetary Reserve</b>	<b>\$ -</b>					
<b>Program Total</b>	<b>\$ 372,581,000</b>	<b>\$ 109,975,000</b>	<b>\$ 38,710,000</b>	<b>\$ 219,042,000</b>	<b>\$ 3,149,000</b>	<b>\$ 1,705,000</b>
	Average Monthly Consumers	Average Monthly Cost/Consumer				
TANF Child Care	6,933	\$ 728				
Former TANF Child Care	30,566	\$ 680				
SNAP Child Care	245	\$ 719				
Total CCA Consumers	37,744	\$ 689				

**FISCAL YEAR 2021-2022 Governor's Executive Budget  
TANF Eligible - Child Care Assistance**

**FISCAL YEAR 2019-2020 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Service</b>	<b>FSS/Admin</b>	<b>Users</b>	<b>Total \$ Per Slot</b>	
JULY 2019	\$6,624,840	\$6,185,201	\$439,638	11,644	\$568.95	
AUGUST	\$6,311,356	\$5,871,717	\$439,638	11,463	\$550.58	
SEPTEMBER	\$5,119,960	\$4,680,322	\$439,638	11,528	\$444.13	
OCTOBER	\$6,216,200	\$5,776,562	\$439,638	11,447	\$543.04	
NOVEMBER	\$5,550,782	\$5,111,143	\$439,638	10,629	\$522.23	
DECEMBER	\$5,444,015	\$5,004,376	\$439,638	10,261	\$530.55	
JANUARY 2020	\$5,530,525	\$5,090,887	\$439,638	9,673	\$571.75	
FEBRUARY	\$4,360,187	\$3,920,549	\$439,638	9,205	\$473.68	
MARCH	\$4,586,119	\$4,146,481	\$439,638	8,545	\$536.70	
APRIL	\$4,702,674	\$4,263,035	\$439,638	6,698	\$702.10	
MAY	\$3,946,125	\$3,506,487	\$439,638	5,955	\$662.66	
JUNE	\$3,786,692	\$3,347,053	\$439,638	4,511	\$839.44	
<b>TOTAL</b>	<b>\$62,179,475</b>	<b>\$56,903,813</b>	<b>\$5,275,661</b>	<b>\$111,559</b>	<b>\$557.37</b>	
	Average	\$5,181,623	\$4,741,984	\$439,638	9,297	\$557.37
Service					\$510.08	
Family Support Services					\$47.29	

**FISCAL YEAR 2020-2021 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Service</b>	<b>FSS/Admin</b>	<b>Users</b>	<b>Total \$ Per Slot</b>	
JULY 2020	\$3,852,807	\$3,433,599	\$419,208	5,087	\$757.38	
AUGUST	\$3,378,408	\$2,959,200	\$419,208	4,787	\$705.75	
SEPTEMBER	\$3,115,138	\$2,695,530	\$419,608	4,165	\$747.93	
OCTOBER	\$2,689,696	\$2,270,088	\$419,608	3,685	\$729.90	
NOVEMBER	\$4,673,565	\$4,040,836	\$632,729	6,933	\$674.06	
DECEMBER	\$4,673,565	\$4,040,836	\$632,729	6,933	\$674.06	
JANUARY 2021	\$4,673,565	\$4,040,836	\$632,729	6,933	\$674.06	
FEBRUARY	\$4,673,565	\$4,040,836	\$632,729	6,933	\$674.06	
MARCH	\$4,673,565	\$4,040,836	\$632,729	6,933	\$674.06	
APRIL	\$4,673,565	\$4,040,836	\$632,729	6,933	\$674.06	
MAY	\$4,673,565	\$4,040,836	\$632,729	6,933	\$674.06	
JUNE	\$4,673,565	\$4,040,836	\$632,729	6,933	\$674.06	
<b>TOTAL</b>	<b>\$50,424,567</b>	<b>\$43,685,102</b>	<b>\$6,739,465</b>	<b>\$73,191</b>	<b>\$688.94</b>	
	Average	\$4,202,047	\$3,640,425	\$561,622	6,099	\$688.94
Service					\$596.86	
Family Support Services					\$92.08	

**FISCAL YEAR 2021-2022 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Service</b>	<b>FSS/Admin</b>	<b>Users</b>	<b>Total \$ Per Slot</b>	
JULY 2021	\$5,047,597	\$4,414,868	\$632,729	6,933	\$728.01	
AUGUST	\$5,047,597	\$4,414,868	\$632,729	6,933	\$728.01	
SEPTEMBER	\$5,047,597	\$4,414,868	\$632,729	6,933	\$728.01	
OCTOBER	\$5,047,597	\$4,414,868	\$632,729	6,933	\$728.01	
NOVEMBER	\$5,047,597	\$4,414,868	\$632,729	6,933	\$728.01	
DECEMBER	\$5,047,597	\$4,414,868	\$632,729	6,933	\$728.01	
JANUARY 2022	\$5,047,597	\$4,414,868	\$632,729	6,933	\$728.01	
FEBRUARY	\$5,047,597	\$4,414,868	\$632,729	6,933	\$728.01	
MARCH	\$5,047,597	\$4,414,868	\$632,729	6,933	\$728.01	
APRIL	\$5,047,597	\$4,414,868	\$632,729	6,933	\$728.01	
MAY	\$5,047,597	\$4,414,868	\$632,729	6,933	\$728.01	
JUNE	\$5,047,597	\$4,414,868	\$632,729	6,933	\$728.01	
<b>TOTAL</b>	<b>\$60,571,160</b>	<b>\$52,978,412</b>	<b>\$7,592,748</b>	<b>\$83,201</b>	<b>\$728.01</b>	
	Average	\$5,047,597	\$4,414,868	\$632,729	6,933	\$728.01
Service					\$636.75	
Family Support Services			\$853,283		\$91.26	

**FISCAL YEAR 2021-2022 Governor's Executive Budget  
Former TANF Eligible - Child Care Assistance**

**FISCAL YEAR 2019-2020 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Service</b>	<b>FSS/Admin</b>	<b>Users</b>	<b>Total \$ Per Slot</b>
JULY 2019	\$21,947,780	\$20,619,929	\$1,327,850	34,170	\$642.31
AUGUST	\$21,583,176	\$20,255,325	\$1,327,850	34,477	\$626.02
SEPTEMBER	\$12,152,938	\$10,825,088	\$1,327,850	33,185	\$366.22
OCTOBER	\$23,878,834	\$22,550,984	\$1,327,850	32,917	\$725.43
NOVEMBER	\$16,859,319	\$15,531,468	\$1,327,850	32,374	\$520.77
DECEMBER	\$17,064,120	\$15,736,269	\$1,327,850	32,591	\$523.58
JANUARY 2020	\$19,250,816	\$17,922,966	\$1,327,850	32,703	\$588.66
FEBRUARY	\$16,829,745	\$15,501,894	\$1,327,850	32,454	\$518.57
MARCH	\$17,781,466	\$16,453,616	\$1,327,850	32,147	\$553.13
APRIL	\$18,178,377	\$16,850,527	\$1,327,850	31,801	\$571.63
MAY	\$18,310,635	\$16,982,785	\$1,327,850	31,960	\$572.92
JUNE	\$20,495,712	\$19,167,862	\$1,327,850	26,394	\$776.53
<b>TOTAL</b>	<b>\$224,332,919</b>	<b>\$208,398,714</b>	<b>\$15,934,205</b>	<b>387,173</b>	<b>\$579.41</b>
Average	\$18,694,410	\$17,366,559	\$1,327,850	32,264	\$579.41
Service					\$538.26
Family Support Services					\$41.16

**FISCAL YEAR 2020-2021 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Service</b>	<b>FSS/Admin</b>	<b>Users</b>	<b>Total \$ Per Slot</b>
JULY 2020	\$22,775,948	\$21,490,837	\$1,285,111	32,532	\$700.11
AUGUST	\$20,401,273	\$19,116,162	\$1,285,111	32,554	\$626.69
SEPTEMBER	\$17,946,420	\$16,699,869	\$1,246,551	26,614	\$674.32
OCTOBER	\$16,014,812	\$14,768,260	\$1,246,551	22,662	\$706.68
NOVEMBER	\$19,421,200	\$18,009,713	\$1,411,487	30,566	\$635.40
DECEMBER	\$19,421,200	\$18,009,713	\$1,411,487	30,566	\$635.40
JANUARY 2021	\$19,421,200	\$18,009,713	\$1,411,487	30,566	\$635.40
FEBRUARY	\$19,421,200	\$18,009,713	\$1,411,487	30,566	\$635.40
MARCH	\$19,421,200	\$18,009,713	\$1,411,487	30,566	\$635.40
APRIL	\$19,421,200	\$18,009,713	\$1,411,487	30,566	\$635.40
MAY	\$19,421,200	\$18,009,713	\$1,411,487	30,566	\$635.40
JUNE	\$19,421,200	\$18,009,713	\$1,411,487	30,566	\$635.40
<b>TOTAL</b>	<b>\$232,508,057</b>	<b>\$216,152,836</b>	<b>\$16,355,221</b>	<b>358,886</b>	<b>\$647.86</b>
Average	\$19,375,671	\$18,012,736	\$1,362,935	29,907	\$647.86
Service					\$602.29
Family Support Services					\$45.57

**FISCAL YEAR 2021-2022 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Service</b>	<b>FSS/Admin</b>	<b>Users</b>	<b>Total \$ Per Slot</b>
JULY 2021	\$20,780,261	\$19,368,774	\$1,411,487	30,566	\$679.86
AUGUST	\$20,780,261	\$19,368,774	\$1,411,487	30,566	\$679.86
SEPTEMBER	\$20,780,261	\$19,368,774	\$1,411,487	30,566	\$679.86
OCTOBER	\$20,780,261	\$19,368,774	\$1,411,487	30,566	\$679.86
NOVEMBER	\$20,780,261	\$19,368,774	\$1,411,487	30,566	\$679.86
DECEMBER	\$20,780,261	\$19,368,774	\$1,411,487	30,566	\$679.86
JANUARY 2022	\$20,780,261	\$19,368,774	\$1,411,487	30,566	\$679.86
FEBRUARY	\$20,780,261	\$19,368,774	\$1,411,487	30,566	\$679.86
MARCH	\$20,780,261	\$19,368,774	\$1,411,487	30,566	\$679.86
APRIL	\$20,780,261	\$19,368,774	\$1,411,487	30,566	\$679.86
MAY	\$20,780,261	\$19,368,774	\$1,411,487	30,566	\$679.86
JUNE	\$20,780,261	\$19,368,774	\$1,411,487	30,566	\$679.86
<b>TOTAL</b>	<b>\$249,363,130</b>	<b>\$232,425,286</b>	<b>\$16,937,844</b>	<b>366,786</b>	<b>\$679.86</b>
Average	\$20,780,261	\$19,368,774	\$1,411,487	30,566	\$679.86
Service					\$633.68
Family Support Services					\$46.18

\$582,623

**FISCAL YEAR 2021-2022 Governor's Executive Budget  
SNAP Eligible - Child Care Assistance**

**FISCAL YEAR 2019-2020 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Service</b>	<b>FSS/Admin</b>	<b>Users</b>	<b>Total \$ Per Slot</b>
JULY 2019	\$181,006	\$168,985	\$12,021	259	\$698.86
AUGUST	\$166,488	\$154,466	\$12,021	295	\$564.36
SEPTEMBER	\$124,230	\$112,209	\$12,021	315	\$394.38
OCTOBER	\$174,339	\$174,223	\$115	310	\$562.38
NOVEMBER	\$204,421	\$192,400	\$12,021	300	\$681.40
DECEMBER	\$171,538	\$159,517	\$12,021	304	\$564.27
JANUARY 2020	\$180,348	\$168,327	\$12,021	307	\$587.45
FEBRUARY	\$166,455	\$154,434	\$12,021	311	\$535.23
MARCH	\$204,832	\$192,811	\$12,021	296	\$692.00
APRIL	\$199,589	\$187,568	\$12,021	272	\$733.78
MAY	\$170,288	\$158,266	\$12,021	256	\$665.19
JUNE	\$167,100	\$155,079	\$12,021	201	\$831.35
<b>TOTAL</b>	<b>\$2,110,633</b>	<b>\$1,978,286</b>	<b>\$132,348</b>	<b>3,426</b>	<b>\$616.06</b>
Average	\$175,886	\$164,857	\$11,029	286	\$616.06
Service					\$577.43
Family Support Services					\$38.63

**FISCAL YEAR 2020-2021 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Service</b>	<b>FSS/Admin</b>	<b>Users</b>	<b>Total \$ Per Slot</b>
JULY 2020	\$144,460	\$144,460	\$0	190	\$760.32
AUGUST	\$125,886	\$125,886	\$0	195	\$645.57
SEPTEMBER	\$111,109	\$111,109	\$0	168	\$661.36
OCTOBER	\$100,130	\$100,130	\$0	144	\$695.35
NOVEMBER	\$164,644	\$164,644	\$0	245	\$671.10
DECEMBER	\$164,644	\$164,644	\$0	245	\$671.10
JANUARY 2021	\$164,644	\$164,644	\$0	245	\$671.10
FEBRUARY	\$164,644	\$164,644	\$0	245	\$671.10
MARCH	\$164,644	\$164,644	\$0	245	\$671.10
APRIL	\$164,644	\$164,644	\$0	245	\$671.10
MAY	\$164,644	\$164,644	\$0	245	\$671.10
JUNE	\$164,644	\$164,644	\$0	245	\$671.10
<b>TOTAL</b>	<b>\$1,798,740</b>	<b>\$1,798,740</b>	<b>\$0</b>	<b>2,660</b>	<b>\$676.30</b>
Average	\$149,895	\$149,895	\$0	222	\$676.30
Service					\$676.30
Family Support Services					\$0.00

**FISCAL YEAR 2021-2022 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Service</b>	<b>FSS/Admin</b>	<b>Users</b>	<b>Total \$ Per Slot</b>
JULY 2021	\$176,456	\$162,136	\$14,320	245	\$719.25
AUGUST	\$176,456	\$162,136	\$14,320	245	\$719.25
SEPTEMBER	\$176,456	\$162,136	\$14,320	245	\$719.25
OCTOBER	\$176,456	\$162,136	\$14,320	245	\$719.25
NOVEMBER	\$176,456	\$162,136	\$14,320	245	\$719.25
DECEMBER	\$176,456	\$162,136	\$14,320	245	\$719.25
JANUARY 2022	\$176,456	\$162,136	\$14,320	245	\$719.25
FEBRUARY	\$176,456	\$162,136	\$14,320	245	\$719.25
MARCH	\$176,456	\$162,136	\$14,320	245	\$719.25
APRIL	\$176,456	\$162,136	\$14,320	245	\$719.25
MAY	\$176,456	\$162,136	\$14,320	245	\$719.25
JUNE	\$176,456	\$162,136	\$14,320	245	\$719.25
<b>TOTAL</b>	<b>\$2,117,472</b>	<b>\$1,945,632</b>	<b>\$171,840</b>	<b>2,944</b>	<b>\$719.25</b>
Average	\$176,456	\$162,136	\$14,320	245	\$719.25
Service					\$660.88
Family Support Services					\$58.37

## **CHILD CARE ASSISTANCE**

### **PROGRAM STATEMENT**

The Child Care Assistance appropriation helps support Child Care Works; Pennsylvania's subsidized child care program. Child care subsidies are provided to the following Pennsylvanians through this appropriation: families in approved employment and training activities that receive Temporary Assistance for Needy Families (TANF), working families that have transitioned off of TANF (Former TANF) and families that participate in unpaid employment and training activities through the Supplemental Nutrition Assistance Program (SNAP).

Quality child care programs aid Pennsylvania's efforts to reach and maintain the 50 percent federal work participation rate for TANF clients. These programs also promote and support the development of vulnerable and at-risk children and help them prepare for school and life success. The State Fiscal Year 2021-2022 Governor's Executive Budget is estimated to serve approximately 37,499 children from TANF and Former TANF families and 245 children from SNAP families each month.

Local agencies comprised of county governments and non-profit organizations administer Child Care Works. They receive a combination of federal and state funds to pay child care providers for services rendered to eligible families along with administrative funds to conduct their daily tasks such as eligibility determination, waiting list management, case management, resource and referral, provider payments and provider management, funds management, and other associated family and provider services.

Research has demonstrated the benefits of child care subsidies and stresses the importance of this program to families receiving benefits. When families are not able to access child care assistance they may go into debt, return to TANF benefits, choose lower quality and less stable child care, or face difficult choices in their household budgets. Studies have shown that receiving a subsidy for child care promotes longer employment durations among women, regardless of marital status or educational attainment. Studies have also found that single mothers of young children and former TANF recipients who received child care assistance were more likely to still be employed after two years.

### **FISCAL YEAR 2021-2022 INITIATIVE – MINIMUM WAGE INCREASE**

The State Fiscal Year 2021-2022 Governor's Executive Budget provides an additional \$30.073 million (\$30.523 million in federal Child Care Development Fund Block Grant (CCDFBG) funds in Child Care Services, \$0.090 million in state Child Care Assistance SNAP funding, and \$0.090 million in federal SNAP funding) to increase the minimum wage to \$12.00 per hour. The State Fiscal Year 2021-2022 Minimum Wage Increase initiative will increase the minimum wage for all Pennsylvania workers to \$12.00 per hour, effective July 1, 2021. This initiative will help ensure workers earning the minimum wage have the ability to afford basic, family-sustaining necessities that help avoid poverty, be productive workers, and reduce the use of assistance programs. Increasing the minimum wage will allow Department of Human Services (Department) providers to recruit and retain child care and direct care workers that are essential to the provision of services in the Department's programs.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

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Pp. C1.45, E27.9, E27.12, E27.44-45

APPROPRIATION:  
Nurse Family Partnership

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
State Funds	\$13,059	\$12,999	\$13,083
Federal Funds Total	\$2,624	\$2,636	\$2,639
Federal Sources Itemized			
Medical Assistance - Nurse Family Partnership	\$2,544	\$2,544	\$2,544
COVID-MA Nurse Family Partnership (EA)	\$80	\$92 <sup>1</sup>	\$95
Other Funds Total	\$0	\$0	\$0
<b>Total</b>	<b>\$15,683</b>	<b>\$15,635</b>	<b>\$15,722</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	(\$28)
Federal Sources Itemized	
COVID - MA - Nurse Family Partnership (EA)	(\$28)
<b>Total</b>	<b>(\$28)</b>

<sup>1</sup> The Governor's Executive Budget for Fiscal Year 2021-2022 reflects an executive authorization reduction of \$0.028 million, decreasing the Fiscal Year 2020-2021 funding level from \$0.120 million to \$0.092 million.

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Nurse Family Partnership				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	\$0	\$0	\$0	\$0	0.00%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$12,910	\$12,999	\$13,083	\$84	0.65%
<i>Federal Funds</i>	\$2,624	\$2,636	\$2,639	\$3	0.11%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	\$15,534	\$15,635	\$15,722	\$87	0.56%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$149	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$149	\$0	\$0	\$0	0.00%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$0	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$13,059	\$12,999	\$13,083	\$84	0.65%
<i>Federal Funds</i>	\$2,624	\$2,636	\$2,639	\$3	0.11%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	\$15,683	\$15,635	\$15,722	\$87	0.56%



**APPROPRIATION:**  
Nurse Family Partnership

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$380	\$208	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

Budget information for state funding is based on estimated program needs. Federal data for Medical Assistance is provided by the Provider Reimbursement and Operations Management Information System (PROMISE).

Details for the appropriation request are outlined in Section VI entitled "Explanation of Changes" on subsequent pages.

**Legislative Citations:**

62 P.S. § 201(2)

**Disbursement Criteria:**

Quarterly payments are expended through contracts with service providers.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Nurse Family Partnership			
	State \$	Federal \$	Other \$	Total
<b>GRANT &amp; SUBSIDY</b>				
1. Provides for an increase in state funding at the Fiscal Year 2021-2022 level of \$13.083 million:	\$84	\$0	\$0	\$84
2. Provides for an increase of \$0.003 million of COVID-Medical Assistance funding at the Fiscal Year 2021-2022 level of 0.095 million:	\$0	\$3	\$0	\$3
<b>Subtotal Grants and Subsidies</b>	<u>\$84</u>	<u>\$3</u>	<u>\$0</u>	<u>\$87</u>
<b>TOTAL</b>	<u>\$84</u>	<u>\$3</u>	<u>\$0</u>	<u>\$87</u>

## **NURSE-FAMILY PARTNERSHIP**

### **PROGRAM STATEMENT**

The Nurse-Family Partnership (NFP) is an intensive and comprehensive evidence-based home visitation program that gives low-income, first-time mothers the supports necessary to provide an excellent start for their children. Each mother served by NFP is partnered with a specially educated bachelor level nurse early in her pregnancy and receives ongoing nurse home visits that continue through the child's second birthday. Home visits by NFP nurses promote the physical, cognitive and emotional development of the children, and also support mothers and their families through the provision of instructive parenting and life skills education to help ensure success. NFP goals include:

- Improved pregnancy outcomes by helping women engage in preventive health practices: thorough prenatal care from their healthcare providers; improving diets; and striving to reduce the use of cigarettes, alcohol, and illegal substances.
- Improved child health and development by helping parents provide responsible and competent care.
- Improved economic self-sufficiency of the family by helping parents develop a vision for their own future, plan future pregnancies, continue their education, and find employment.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

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Pp. A1.6, C1.11, C1.39, C1.45, E11.5, E15.4, E15.11,  
E15.13-14, E27.9, E27.44-45

**APPROPRIATION:**  
Early Intervention

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
<b>State Funds</b>	\$174,271	\$169,432 <sup>1</sup>	\$180,383
<b>Federal Funds Total</b>	<b>\$85,687</b>	<b>\$98,342</b>	<b>\$94,285</b>
<b>Federal Sources Itemized</b>			
<i>Medical Assistance-Early Intervention</i>	\$67,051	\$76,978	\$72,400
<i>Education for Children with Disabilities</i>	\$15,136	\$15,026	\$15,026
<i>COVID-MA Early Intervention (EA)</i>	\$3,500	\$6,338 <sup>2</sup>	\$6,859
<b>Other Funds</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Total</b>	<b>\$259,958</b>	<b>\$267,774</b>	<b>\$274,668</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

<b>State Funds</b>	(\$8,995)
<b>Federal Funds</b>	(\$562)
<b>Federal Sources Itemized</b>	
<i>COVID - MA - Early Intervention (EA)</i>	(\$562)
<b>Total</b>	<b>(\$9,557)</b>

<sup>1</sup> Reflects a recommended appropriation reduction of \$8.995 million. Act #17-A provided \$178.427 million for this program in Fiscal Year 2020-2021.

<sup>2</sup> The Governor's Executive Budget for Fiscal Year 2021-2022 reflects an executive authorization reduction of \$0.562 million, decreasing the Fiscal Year 2020-2021 funding level from \$6.900 million to \$6.338 million.

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Early Intervention				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$2,020	\$2,020	\$2,020	\$0	0.00%
<i>Federal Funds</i>	\$1,253	\$1,253	\$1,253	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	\$3,273	\$3,273	\$3,273	\$0	0.00%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$172,251	\$167,412	\$178,363	\$10,951	6.54%
<i>Federal Funds</i>	\$77,824	\$77,187	\$78,903	\$1,716	2.22%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	\$250,075	\$244,599	\$257,266	\$12,667	5.18%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$3,110	\$19,902	\$14,129	(\$5,773)	-29.01%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$3,110	\$19,902	\$14,129	(\$5,773)	-29.01%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$3,500	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$3,500	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$174,271	\$169,432	\$180,383	\$10,951	6.46%
<i>Federal Funds</i>	\$85,687	\$98,342	\$94,285	(\$4,057)	-4.13%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	\$259,958	\$267,774	\$274,668	\$6,894	2.57%

**APPROPRIATION:**  
Early Intervention

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$23	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 Budget information is projected on actual monthly data provided by the Provider Reimbursement and Operations Management Information System. (PROMISE). PROMISE data is reflected in the Early Periodic Screening, Diagnosis, and Treatment program and the Infant, Toddlers, and Families Medicaid Waiver program.

Details for the appropriation request are outlined in Section VI entitled "Explanation of Changes" on subsequent pages.

**Legislative Citations:**  
 62 P.S. §§ 201, 206; Early Intervention Services System Act of 1990, 11 P.S. § 875-101 et seq.  
 Individuals with Disabilities Education Act (IDEA), 20 U.S.C. § 1400-1482; 42 U.S.C. § 1396 et seq.

**Disbursement Criteria:**  
 Disbursements are made based on allocations developed by the Office of Child Development and Early Learning. Counties receive quarterly advance payments based on their individual allocations in accordance with the Early Intervention Services System Act of 1990. The allocations are based on the prior year's program and special needs identified by counties during a rebudget process. Counties also receive funding for new or expanded programs or to annualize programs initiated in the prior year.

Early Periodic Screening, Diagnosis, and Treatment (EPSDT) and Infant, Toddler, and Families Waiver providers submit invoices for payment of eligible services rendered through the Provider Reimbursement and Operations Management Information System.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Early Intervention			
	State \$	Federal \$	Other \$	Total \$
<b>GRANT &amp; SUBSIDY</b>				
1. Provides for an increase in the Maintenance and Coordination of services:	\$11,127	\$0	\$0	\$11,127
2. Provides for an increase in the Early Periodic Screening, Diagnosis, and Treatment program average monthly cost per user from \$564.74 in Fiscal Year 2020-2021 to \$572.75 in Fiscal Year 2021-2022:	\$665	\$727	\$0	\$1,392
3. Provides for an increase in the Infant, Toddler, and Families Waiver program average monthly cost per user program from \$447.25 in Fiscal Year 2020-2021 to \$457.00 in Fiscal Year 2021-2022:	\$84	\$91	\$0	\$175
4. Reflects a decrease in available carryover funds in 2021-2022:	\$2,600	\$0	\$0	\$2,600
5. Reflects the non-recurring roll-forward of expenditures from Fiscal Year 2019-2020 to Fiscal Year 2020-2021:	(\$2,627)	\$0	\$0	(\$2,627)
6. Impact of a change in the amount claimed related to the 6.2 percent COVID-19 FMAP:	(\$521)	\$521	\$0	\$0
7. Net Impact of the change in the Federal Medical Assistance Percentage from 52.20 percent, to 52.68 percent effective October 1, 2022:	(\$377)	\$377	\$0	\$0
<b>Subtotal Grant &amp; Subsidy</b>	<b>\$10,951</b>	<b>\$1,716</b>	<b>\$0</b>	<b>\$12,667</b>
<b>BUDGETARY RESERVE</b>				
1. Reflects a decrease in excess federal spending authority available in Fiscal Year 2020-2021:	\$0	(\$5,773)	\$0	(\$5,773)
<b>Subtotal Budgetary Reserve</b>	<b>\$0</b>	<b>(\$5,773)</b>	<b>\$0</b>	<b>(\$5,773)</b>
<b>TOTAL</b>	<b>\$10,951</b>	<b>(\$4,057)</b>	<b>\$0</b>	<b>\$6,894</b>

**Early Intervention**  
**Fiscal Year 2020-2021 Available**

	TOTAL	STATE	MA Early Intervention	COVID-MA-Early Intervention	Ed for Children w/Disabilities
<b>CARRY FORWARD BUDGET:</b>					
Maintenance and Coordination	\$ 126,553,000	\$ 112,780,000	\$ -	\$ -	\$ 13,773,000
Early Periodic Screening, Diagnosis, and Treatment (EPSDT)	\$ 98,095,000	\$ 46,880,000	\$51,215,000	\$ -	\$ -
On-Going County EI Administration	\$ 8,926,000	\$ 8,926,000	\$ -	\$ -	\$ -
Infant, Toddlers & Families Medicaid Waiver Program	\$ 8,002,000	\$ 3,824,000	\$ 4,178,000	\$ -	\$ -
County Waiver Administration	\$ 3,366,000	\$ 1,683,000	\$ 1,683,000	\$ -	\$ -
County Training	\$ 630,000	\$ 630,000	\$ -	\$ -	\$ -
<b>SUBTOTAL CARRYFORWARD</b>	<b>\$ 245,572,000</b>	<b>\$ 174,723,000</b>	<b>\$ 57,076,000</b>	<b>\$ -</b>	<b>\$ 13,773,000</b>
Tuscarora Intermediate Unit 11 Contract (Oper)	\$ 3,273,000	\$ 2,020,000	\$ -	\$ -	\$ 1,253,000
Carryforward (Estimate)	\$ (3,600,000)	\$ (3,600,000)	\$ -	\$ -	\$ -
Roll forward FY 19-20 Expenditures to FY 20-21	\$ 2,627,000	\$2,627,000	\$ -	\$ -	\$ -
Roll forward FY 20-21 Expenditures to FY 21-22	\$ -	\$ -	\$ -	\$ -	\$ -
Budgetary Reserve	\$ 19,902,000	\$ -	\$ 19,902,000		\$ -
COVID-19 FMAP	\$ -	(6,338,000)	-	6,338,000	-
<b>Program Total</b>	<b>\$ 267,774,000</b>	<b>\$ 169,432,000</b>	<b>\$ 76,978,000</b>	<b>\$ 6,338,000</b>	<b>\$ 15,026,000</b>
Surplus/(Deficit)	\$ -	\$ -	\$ -	\$ -	\$ -
Requested Supplemental	\$ -	\$ -	\$ -	\$ -	\$ -



## Early Intervention

### Fiscal Year 2021-2022 Governor's Executive Budget

	TOTAL	STATE	MA Early Intervention	COVID-MA-Early Intervention	Ed for Children w/Disabilities
<b>CARRY FORWARD BUDGET:</b>					
Maintenance and Coordination	\$ 137,680,000	\$ 123,907,000	\$ -	\$ -	\$ 13,773,000
Early Periodic Screening, Diagnosis, and Treatment (EPSDT)	\$99,487,000	\$ 47,197,000	\$52,290,000	\$ -	\$ -
On-Going County EI Administration	\$ 8,926,000	\$ 8,926,000	\$ -	\$ -	\$ -
Infant, Toddlers & Families Medicaid Waiver Program	\$8,177,000	\$ 3,879,000	\$4,298,000	\$ -	\$ -
County Waiver Administration	\$ 3,366,000	\$ 1,683,000	\$ 1,683,000	\$ -	\$ -
County Training	\$ 630,000	\$ 630,000	\$ -	\$ -	\$ -
<b>SUBTOTAL CARRYFORWARD</b>	<b>\$ 258,266,000</b>	<b>\$ 186,222,000</b>	<b>\$ 58,271,000</b>	<b>\$ -</b>	<b>\$ 13,773,000</b>
Tuscarora Intermediate Unit 11 Contract (Oper)	\$ 3,273,000	\$ 2,020,000	\$ -	\$ -	\$ 1,253,000
Carryforward (Estimate)	\$ (1,000,000)	\$ (1,000,000)	\$ -	\$ -	\$ -
Budgetary Reserve	\$ 14,129,000	\$ -	\$ 14,129,000	\$ -	\$ -
COVID-19 FMAP	\$ -	(6,859,000)	-	6,859,000	-
<b>Program Total</b>	<b>\$ 274,668,000</b>	<b>\$ 180,383,000</b>	<b>\$ 72,400,000</b>	<b>\$ 6,859,000</b>	<b>\$ 15,026,000</b>

**FISCAL YEAR 2021-2022 Governor's Executive Budget  
Early Periodic Screening, Diagnosis, and Treatment**

**FISCAL YEAR 2019-2020 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Federal \$</b>	<b>State \$</b>	<b>Users</b>	<b>Total \$ Per User</b>
JULY 2019	\$9,998,093	\$5,224,013	\$4,774,080	16,517	\$605.32
AUGUST	\$7,255,270	\$3,790,776	\$3,464,494	14,454	\$501.96
SEPTEMBER	\$10,008,718	\$5,229,379	\$4,779,339	15,840	\$631.86
OCTOBER	\$8,907,458	\$4,653,997	\$4,253,462	15,980	\$557.41
NOVEMBER	\$7,759,818	\$4,054,365	\$3,705,454	14,717	\$527.27
DECEMBER	\$10,727,960	\$5,605,174	\$5,122,786	16,889	\$635.20
JANUARY 2020	\$7,290,549	\$3,809,181	\$3,481,367	14,531	\$501.72
FEBRUARY	\$8,184,182	\$4,276,090	\$3,908,092	14,991	\$545.94
MARCH	\$9,913,935	\$5,180,031	\$4,733,904	15,350	\$645.86
APRIL	\$8,421,066	\$4,400,007	\$4,021,059	15,350	\$548.60
MAY	\$8,421,066	\$4,400,007	\$4,021,059	15,350	\$548.60
JUNE	\$9,913,935	\$5,180,031	\$4,733,904	15,350	\$645.86
<b>Total</b>	<b>\$106,802,052</b>	<b>\$55,803,051</b>	<b>\$50,999,000</b>	<b>185,319</b>	<b>\$6895.60</b>
<b>Average</b>	<b>\$8,900,171</b>	<b>\$4,650,254</b>	<b>\$4,249,917</b>	<b>15,443</b>	<b>\$576.32</b>

\* actuals through June 2020

**FISCAL YEAR 2020-2021 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Federal \$</b>	<b>State \$</b>	<b>Users</b>	<b>Total \$ Per User</b>
JULY 2020	\$5,977,767	\$3,123,277	\$2,854,489	13,130	\$455.28
AUGUST	\$7,141,127	\$3,731,130	\$3,409,997	14,299	\$499.41
SEPTEMBER	\$5,762,008	\$3,010,551	\$2,751,457	12,998	\$443.30
OCTOBER	\$6,673,041	\$3,483,376	\$3,189,665	13,100	\$562.94
NOVEMBER	\$8,827,163	\$4,607,779	\$4,219,384	13,100	\$673.83
DECEMBER	\$7,922,877	\$4,135,742	\$3,787,135	14,074	\$562.94
JANUARY 2021	\$8,725,635	\$4,554,782	\$4,170,853	15,500	\$562.94
FEBRUARY	\$8,725,635	\$4,554,782	\$4,170,853	15,500	\$562.94
MARCH	\$10,444,353	\$5,451,952	\$4,992,401	15,500	\$673.83
APRIL	\$8,725,635	\$4,554,782	\$4,170,853	15,500	\$562.94
MAY	\$10,444,353	\$5,451,952	\$4,992,401	15,500	\$673.83
JUNE	\$8,725,635	\$4,554,782	\$4,170,853	15,500	\$562.94
<b>Total</b>	<b>\$98,095,229</b>	<b>\$51,214,888</b>	<b>\$46,880,341</b>	<b>173,701</b>	<b>\$6,797.14</b>
<b>Average</b>	<b>\$8,174,602</b>	<b>\$4,267,907</b>	<b>\$3,906,695</b>	<b>14,475</b>	<b>\$564.74</b>

**FISCAL YEAR 2021-2022 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Federal \$</b>	<b>State \$</b>	<b>Users</b>	<b>Total \$ Per User</b>
JULY 2021	\$7,774,719	\$4,058,403	\$3,716,316	14,475	\$537.11
AUGUST	\$9,322,302	\$4,866,242	\$4,456,060	14,475	\$644.03
SEPTEMBER	\$7,774,719	\$4,058,403	\$3,716,316	14,475	\$537.11
OCTOBER	\$7,774,719	\$4,095,722	\$3,678,997	14,475	\$537.11
NOVEMBER	\$9,322,302	\$4,910,989	\$4,411,313	14,475	\$644.03
DECEMBER	\$7,774,719	\$4,095,722	\$3,678,997	14,475	\$537.11
JANUARY 2022	\$7,774,719	\$4,095,722	\$3,678,997	14,475	\$537.11
FEBRUARY	\$7,774,719	\$4,095,722	\$3,678,997	14,475	\$537.11
MARCH	\$9,322,302	\$4,910,989	\$4,411,313	14,475	\$644.03
APRIL	\$7,774,719	\$4,095,722	\$3,678,997	14,475	\$537.11
MAY	\$9,322,302	\$4,910,989	\$4,411,313	14,475	\$644.03
JUNE	\$7,774,719	\$4,095,722	\$3,678,997	14,475	\$537.11
<b>Total</b>	<b>\$99,486,962</b>	<b>\$52,290,347</b>	<b>\$47,196,615</b>	<b>173,700</b>	<b>\$6,873.02</b>
<b>Average</b>	<b>\$8,290,580</b>	<b>\$4,357,529</b>	<b>\$3,933,051</b>	<b>14,475</b>	<b>\$572.75</b>

**FISCAL YEAR 2021-2022 Governor's Executive Budget  
Infant, Toddlers, and Families Waiver**

**FISCAL YEAR 2019-2020 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Federal \$</b>	<b>State \$</b>	<b>Users</b>	<b>Total \$ Per User</b>
JULY 2019	\$1,032,483	\$539,476	\$493,007	2,054	\$462.50
AUGUST	\$638,557	\$333,633	\$304,924	1,517	\$457.54
SEPTEMBER	\$1,087,094	\$567,977	\$519,118	1,988	\$459.82
OCTOBER	\$785,129	\$410,208	\$374,921	1,838	\$458.35
NOVEMBER	\$788,325	\$411,878	\$376,447	1,757	\$455.18
DECEMBER	\$998,266	\$521,567	\$476,699	1,926	\$453.98
JANUARY 2020	\$738,352	\$385,770	\$352,582	1,694	\$448.84
FEBRUARY	\$838,667	\$438,182	\$400,484	1,722	\$487.03
MARCH	\$855,621	\$446,463	\$409,158	1,826	\$468.58
APRIL	\$817,835	\$427,319	\$390,516	1,826	\$447.88
MAY	\$817,835	\$427,319	\$390,516	1,826	\$447.88
JUNE	\$855,621	\$447,062	\$408,559	1,826	\$468.58
<b>Total</b>	<b>\$10,253,784</b>	<b>\$5,356,854</b>	<b>\$4,896,930</b>	<b>21,800</b>	<b>\$5,516.15</b>
<b>Average</b>	<b>\$854,482</b>	<b>\$446,405</b>	<b>\$408,078</b>	<b>1,817</b>	<b>\$470.27</b>

\* actuals through June 2020

**FISCAL YEAR 2020-2021 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Federal \$</b>	<b>State \$</b>	<b>Users</b>	<b>Total \$ Per User</b>
JULY 2020	\$474,983	\$248,166	\$226,817	1,216	\$390.61
AUGUST	\$531,825	\$277,863	\$253,962	1,195	\$445.04
SEPTEMBER	\$415,989	\$217,342	\$198,647	1,062	\$391.70
OCTOBER	\$463,281	\$241,843	\$221,438	1,108	\$457.36
NOVEMBER	\$512,713	\$267,636	\$245,077	1,108	\$462.74
DECEMBER	\$506,758	\$264,528	\$242,230	1,108	\$457.36
JANUARY 2021	\$846,122	\$441,675	\$404,447	1,850	\$457.36
FEBRUARY	\$846,122	\$441,675	\$404,447	1,850	\$457.36
MARCH	\$856,064	\$446,865	\$409,199	1,850	\$462.74
APRIL	\$846,122	\$441,675	\$404,447	1,850	\$457.36
MAY	\$856,064	\$446,865	\$409,199	1,850	\$462.74
JUNE	\$846,122	\$441,675	\$404,447	1,850	\$457.36
<b>Total</b>	<b>\$8,002,163</b>	<b>\$4,177,808</b>	<b>\$3,824,355</b>	<b>17,897</b>	<b>\$5,359.75</b>
<b>Average</b>	<b>\$666,847</b>	<b>\$348,151</b>	<b>\$318,696</b>	<b>1,491</b>	<b>\$447.25</b>

**FISCAL YEAR 2021-2022 CONSUMER SERVICE COSTS**

	<b>Total \$</b>	<b>Federal \$</b>	<b>State \$</b>	<b>Users</b>	<b>Total \$ Per User</b>
JULY 2021	\$670,810	\$350,163	\$320,647	1,491	\$449.91
AUGUST	\$702,559	\$366,736	\$335,823	1,491	\$471.20
SEPTEMBER	\$670,810	\$350,163	\$320,647	1,491	\$449.91
OCTOBER	\$670,810	\$353,383	\$317,427	1,491	\$449.91
NOVEMBER	\$702,559	\$370,108	\$332,451	1,491	\$471.20
DECEMBER	\$670,810	\$353,383	\$317,427	1,491	\$449.91
JANUARY 2022	\$670,810	\$353,383	\$317,427	1,491	\$449.91
FEBRUARY	\$670,810	\$353,383	\$317,427	1,491	\$449.91
MARCH	\$702,559	\$370,108	\$332,451	1,491	\$471.20
APRIL	\$670,810	\$353,383	\$317,427	1,491	\$449.91
MAY	\$702,559	\$370,108	\$332,451	1,491	\$471.20
JUNE	\$670,810	\$353,383	\$317,427	1,491	\$449.91
<b>Total</b>	<b>\$8,176,718</b>	<b>\$4,297,684</b>	<b>\$3,879,034</b>	<b>17,892</b>	<b>\$5,484.05</b>
<b>Average</b>	<b>\$681,393</b>	<b>\$358,140</b>	<b>\$323,253</b>	<b>1,491</b>	<b>\$457.00</b>

## **EARLY INTERVENTION**

### **PROGRAM STATEMENT**

The Pennsylvania Infant Toddler Early Intervention service system ensures supports and services are available for children from birth to age three with developmental delays and disabilities. Early Intervention (EI) programs are provided in close collaboration with the family to address the developmental, physical, communication, cognitive, social, and adaptive needs of eligible children. EI is administered at a local level through 48 county or joinder operated programs.

Children who may need EI receive a screening and comprehensive evaluation to determine if they are eligible. The evaluation also provides information about the family's priorities for the child, important developmental needs, and family routines. The evaluation is followed by the development of an Individualized Family Service Plan, which identifies important goals and describes the services and strategies needed to meet them. The family is a key member of the evaluation and planning process.

Children receiving EI may be provided special instruction, developmental therapies and other services, usually provided in "natural environments," such as the home, child care, or other community locations. All EI services are intended to address the child's individual needs, promote family independence, build on the child's and family's strengths, and help families create enhanced learning opportunities within their daily routines.

Children exposed to certain risks including: lead exposure; treatment in a neonatal intensive care unit; low birth weight; prenatal substance exposure, including alcohol; serious abuse or neglect; and homelessness, are eligible for tracking and periodic monitoring/screening to ensure that EI services are provided in a timely fashion, should developmental delays emerge.

State statute requires two to four percent of state funds be used for professional development and technical assistance. To meet this requirement, a portion of state funding is distributed to the statewide professional development system, Early Intervention Technical Assistance (EITA), which provides statewide training and technical assistance at the direction of the Office of Child Development and Early Learning. The remainder of the required training funding is allocated to the local county programs to permit them to meet community needs directly.

EI funding comes from multiple sources. The Commonwealth of Pennsylvania provides state funding to ensure the availability of EI services and supports. Counties are required to contribute a ten percent match on state funding in EI. The Infant, Toddlers, and Families Waiver and Early Periodic Screening Diagnosis and Treatment (EPSDT) programs are supported through federal Medicaid funds. Federal funds allocated through the Individuals with Disabilities Act augment the EI program as well.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

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APPROPRIATION:  
Domestic Violence

I. SUMMARY FINANCIAL DATA	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
State Funds	\$19,093	\$19,093	\$19,093
Federal Funds Total	\$20,890	\$9,644	\$9,544
Federal Sources Itemized			
<i>Family Violence Prevention Services</i>	\$3,739	\$3,739	\$3,739
<i>COVID- Family Violence Prevention Services (EA)</i>	\$1,346	\$0	\$0
<i>SSBG - Domestic Violence Programs</i>	\$5,705	\$5,705	\$5,705
<i>PHHSBG - Domestic Violence (EA)</i>	\$100	\$200	\$100
<i>COVID- RF Family Violence Prevention Services</i>	\$10,000	\$0	\$0
Other Funds Total	\$833	\$833	\$833
Other Fund Sources Itemized			
<i>Marriage Law Fees</i>	\$833	\$833	\$833
<b>Total</b>	<b>\$40,816</b>	<b>\$29,570</b>	<b>\$29,470</b>
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>			
State Funds		\$0	
Federal Funds		\$0	
<b>Total</b>		<b>\$0</b>	

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Domestic Violence				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	\$0	\$0	\$0	\$0	0.00%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$19,093	\$19,093	\$19,093	\$0	0.00%
<i>Federal Funds</i>	\$20,890	\$9,644	\$9,544	(\$100)	-1.04%
<i>Other Funds</i>	\$833	\$833	\$833	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	\$40,816	\$29,570	\$29,470	(\$100)	-0.34%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$0	\$0	\$0	\$0	0.00%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$0	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$19,093	\$19,093	\$19,093	\$0	0.00%
<i>Federal Funds</i>	\$20,890	\$9,644	\$9,544	(\$100)	-1.04%
<i>Other Funds</i>	\$833	\$833	\$833	\$0	0.00%
<b>Total Funds</b>	\$40,816	\$29,570	\$29,470	(\$100)	-0.34%

**APPROPRIATION:**  
Domestic Violence

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$81	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget maintains state funding at the Fiscal Year 2020-2021 level of \$19.093 million. The Fiscal Year 2021-2022 Governor's Executive Budget maintains federal funding at the Fiscal Year 2020-2021 level of \$3.739 million for the Family Violence Prevention Services and \$5.705 million for the Social Services Block Grant - Domestic Violence Programs. The Fiscal Year 2021-2022 Governor's Executive Budget reflects a decrease of \$0.100 million in federal funding for the Preventive Health and Health Services Block Grant - Domestic Violence. The Fiscal Year 2021-2022 Governor's Executive Budget maintains funding at the Fiscal Year 2020-2021 level of \$0.833 million for Marriage Law Fees.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**

62 P.S. §§ 201, 206; 71 P.S. § 611.13

**Disbursement Criteria:**

These funds are distributed to various subcontractors across the state based on population, need for service or increased service demand, and types of services offered. An Independent Review Panel makes recommendations on local provider allocations; however, the Department of Human Services makes final allocation decisions.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Domestic Violence			
	State \$	Federal \$	Other \$	Total
<b>GRANT &amp; SUBSIDY</b>				
1. The Fiscal Year 2021-2022 Governor's Executive Budget maintains state funding at the Fiscal Year 2020-2021 level of \$19.093 million. Of this, \$17.195 million in state funding will be used for payments to counties to provide funding to nonprofit centers to serve clients in communities statewide. The remaining \$1.897 million in state funds will be used for administrative costs:	\$0	\$0	\$0	\$0
2. Maintains federal Social Services Block Grant funding at the Fiscal Year 2020-2021 allocation level of \$5.705 million to support the following programs:				
A. Maintains \$0.500 million for expanded services as a result of the training of County Assistance Office staff to recognize and refer cases of domestic violence and maintains \$1.205 million for protective services to victims of domestic violence. The program services are administered at the county level as intervention in crisis situations:	\$0	\$0	\$0	\$0
B. Maintains \$2.000 million in federal funding to provide legal assistance to victims of domestic violence through local domestic violence programs and enhanced training to County Domestic Relations staff, legal staff, and legal advocates related to domestic violence legal issues:	\$0	\$0	\$0	\$0
C. Maintains \$1.000 million for the availability of medical advocacy services through community-based domestic violence programs, local hospitals, and health care facilities:	\$0	\$0	\$0	\$0
D. Maintains \$1.000 million for relocation expenses of domestic violence victims. This funding is for moving expenses and related costs of up to \$1,500 in a 24-month period to qualifying victims of domestic violence (under 235 percent of Federal Poverty Income Guidelines) to relocate for safety reasons:	\$0	\$0	\$0	\$0
Subtotal	\$0	\$0	\$0	\$0
3. Maintains \$3.739 million in federal funding for Family Violence Prevention Services:	\$0	\$0	\$0	\$0
4. Reflects a decrease \$0.100 million in federal funding for the Preventive Health and Health Services Block Grant:	\$0	(\$100)	\$0	(\$100)
5. Maintains \$0.833 million for Marriage Law fees (Other funds) at the Fiscal Year 2020-2021 level. Marriage Law fees are from the collection of a \$10 surcharge on marriage licenses as stipulated in Act 222 of 1990. Protection from Abuse fees are from the collection of a \$25 surcharge on protection orders as stipulated in Act 66 of 2005. Funds are deposited into a restricted revenue account and transferred as augmenting revenue to the Domestic Violence appropriation:	\$0	\$0	\$0	\$0
Subtotal Grant & Subsidy	\$0	(\$100)	\$0	(\$100)
<b>TOTAL</b>	<b>\$0</b>	<b>(\$100)</b>	<b>\$0</b>	<b>(\$100)</b>



## **DOMESTIC VIOLENCE**

### **PROGRAM STATEMENT**

Domestic violence services are provided to domestic violence victims and their children. The Department of Human Services has a grant with the Pennsylvania Coalition Against Domestic Violence, which sub-grants with 59 local domestic violence programs, serving all 67 counties.

Domestic violence programs provide emergency crisis intervention services to persons in an abusive situation. These emergency services include free, confidential counseling for the survivor and temporary shelter for the survivor and the survivor's family. After the emergency has eased, local domestic violence programs provide ongoing supportive counseling and referral to other community services. Local programs provide prevention and community education services to identify persons needing assistance, reduce the incidence, and lessen the risk of domestic violence in the community at large. All services are provided without consideration of the client's family income.

The Fiscal Year 2021-2022 Governor's Executive Budget provides for domestic violence services for approximately 45,000 clients (victims, children, and significant others), including approximately 125,000 days of shelter and an estimated 175,000 hours of counseling.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. A2.8, C1.45, E27.9, E27.40-43

**APPROPRIATION:**  
Rape Crisis

**I. SUMMARY FINANCIAL DATA**

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budgeted</u>
State Funds	\$10,921	\$10,921	\$10,921
Federal Funds Total	\$1,721	\$1,721	\$1,721
Federal Sources Itemized			
<i>SSBG - Rape Crisis</i>	\$1,721	\$1,721	\$1,721
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Total</b>	<b>\$12,642</b>	<b>\$12,642</b>	<b>\$12,642</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	\$0
	<u>          </u>
<b>Total</b>	<b>\$0</b>

ii. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Rape Crisis				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	\$0	\$0	\$0	\$0	0.00%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$10,921	\$10,921	\$10,921	\$0	0.00%
<i>Federal Funds</i>	\$1,721	\$1,721	\$1,721	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	\$12,642	\$12,642	\$12,642	\$0	0.00%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$0	\$0	\$0	\$0	0.00%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$0	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$10,921	\$10,921	\$10,921	\$0	0.00%
<i>Federal Funds</i>	\$1,721	\$1,721	\$1,721	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	\$12,642	\$12,642	\$12,642	\$0	0.00%

**APPROPRIATION:**  
Rape Crisis

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$12	\$30	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget maintains state funds at the Fiscal Year 2020-2021 level of \$10.921 million. The Fiscal Year 2021-2022 Governor's Executive Budget maintains federal Social Services Block Grant funds at the Fiscal Year 2020-2021 level of \$1.721 million for ongoing program support.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**

62 P.S. §§ 201, 206; 71 P.S. § 611.13.

**Disbursement Criteria:**

The Department of Human Services contracts with an administrative agency, Pennsylvania Coalition Against Rape (PCAR), which in turn contracts with local nonprofit centers to serve clients in communities statewide. These funds are distributed to various subcontractors across the state based on population, need for service or increased service demand, and types of services offered. An Independent Review Panel makes recommendations on local provider allocations, however, the Department of Human Services makes final allocation decisions.

**VI. EXPLANATION OF CHANGES**  
 (\$ Amounts in Thousands)

**APPROPRIATION:**  
 Rape Crisis

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total</u>
<b>GRANT &amp; SUBSIDY</b>				
1. The Fiscal Year 2021-2022 Governor's Executive Budget maintains state and federal funding for Rape Crisis at the Fiscal Year 2020-2021 levels of \$10.921 million and \$1.721 million, respectively:	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Subtotal Grant & Subsidy	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>TOTAL</b>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>

## **RAPE CRISIS**

### **PROGRAM STATEMENT**

Rape crisis services are provided to victims of sexual violence, the survivor's family, friends, partners and spouses who need a variety of emergency crisis intervention services and ongoing support in dealing with emotional and physical trauma resulting from sexual violence. The Department of Human Services has a grant with the Pennsylvania Coalition Against Rape (PCAR), which sub-grants with 48 local nonprofit rape crisis centers covering all 67 counties. Pennsylvania's rape crisis centers provide a continuum of direct services that includes a 24-hour hotline, free and confidential crisis counseling, information and referrals to other services, and accompaniment through the medical and criminal justice systems. Rape crisis programs also provide services to their communities at-large through the provision of prevention education activities to schools, organizations, and other public groups. Training is also provided to a broad scope of professionals to enhance their responsiveness to victims of sexual violence and significant others.

The Fiscal Year 2021-2022 Governor's Executive Budget provides for rape crisis services for approximately 34,000 victims of sexual violence and their significant others, including approximately 150,000 hours of service from PCAR sub-grantee.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. C1.46, E27.10, E27.40-43

**APPROPRIATION:**  
Breast Cancer Screening

**I. SUMMARY FINANCIAL DATA**

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budgeted</u>
State Funds	\$1,723	\$1,723	\$1,723
Federal Funds Total	\$2,000	\$2,000	\$2,000
Federal Sources Itemized			
<i>SSBG - Family Planning</i>	\$2,000	\$2,000	\$2,000
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Total</b>	<b>\$3,723</b>	<b>\$3,723</b>	<b>\$3,723</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	<u>\$0</u>
<b>Total</b>	<b>\$0</b>

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Breast Cancer Screening				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	\$0	\$0	\$0	\$0	0.00%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$1,723	\$1,723	\$1,723	\$0	0.00%
<i>Federal Funds</i>	\$2,000	\$2,000	\$2,000	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	\$3,723	\$3,723	\$3,723	\$0	0.00%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$0	\$0	\$0	\$0	0.00%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$0	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$1,723	\$1,723	\$1,723	\$0	0.00%
<i>Federal Funds</i>	\$2,000	\$2,000	\$2,000	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	\$3,723	\$3,723	\$3,723	\$0	0.00%



**APPROPRIATION:**  
Breast Cancer Screening

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
The Fiscal Year 2021-2022 Governor's Executive Budget maintains state funds at the Fiscal Year 2020-2021 funding level of \$1.723 million which includes \$0.406 million in state funds to continue the funding of specialized education and outreach services provided by the Pennsylvania Breast Cancer Coalition. Funding for the Title XX Family Planning program is assumed at the Fiscal Year 2020-2021 appropriation level of \$2.000 million.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**  
62 P.S. §§ 201, 206; Title XIX of the Social Security Act, 42 U.S.C. § 1396a(aa)

**Disbursement Criteria:**  
The allocation of Breast Cancer Screening funds is based on the percentage distribution of Title XX Family Planning funds. Based on an agreement between the Department of Human Services and the Family Planning Councils, county allocations for Family Planning Services are determined using each of the four Family Planning Council's proportional share of the overall population receiving services.

**VI. EXPLANATION OF CHANGES**

(\$ Amounts in Thousands)

**APPROPRIATION:**

Breast Cancer Screening

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total</u>
<b>GRANT &amp; SUBSIDY</b>				
1. The Fiscal Year 2021-2022 Governor's Executive Budget maintains state funding at the Fiscal Year 2020-2021 appropriation level of \$1.723 million and assumes federal Social Services Block Grant funding at the Fiscal Year 2020-2021 appropriation level of \$2.000 million:	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Subtotal Grant & Subsidy	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>TOTAL</b>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>

## **BREAST CANCER SCREENING**

### **PROGRAM STATEMENT**

A variety of health care services are provided through grants with four private, nonprofit corporations called Family Planning Councils, which sub-grant with approximately 250 clinic sites. The grants are integrated with the Department of Human Services - Office of Medical Assistance Programs and the Department of Health - Bureau of Family Health Promotion and Risk Reduction. This integration of service prevents duplication and ensures the Commonwealth provides the greatest number of quality services possible for its investment.

Breast cancer is the leading type of cancer for women in Pennsylvania (28 percent) and accounts for a slightly larger percentage of all cancer deaths for women in Pennsylvania than in the United States. In Pennsylvania, cancer is the second leading cause of death. This program educates women about the importance of routine screening, such as mammograms, and leads to early detection that reduces the mortality rate. It is focused on low-income women who do not usually see a clinician for medical care other than for family planning services. The program has been expanded to provide mammograms and echograms to low-income women who are not eligible to participate in the Medical Assistance program and are not covered by private health insurance but are at increased risk of developing breast cancer due to family, economic, or health factors.

During State Fiscal Year 2019-2020, 38,537 women with incomes less than 185 percent of the Federal Poverty Income Guidelines were screened for breast cancer through this program. It is anticipated that the number of individuals receiving Breast Cancer Screening services in State Fiscal Year 2020-2021 and State Fiscal Year 2021-2022 will be maintained at approximately 67,000.

Family planning services address contraceptive and health screening needs through the provision of educational, medical, and social services. Family planning enables individuals to determine family size, determine intervals between children and to prevent unplanned pregnancies. Free family planning services are provided to individuals whose family income is at or below 215 percent of the Federal Poverty Income Guidelines.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. C1.46, E27.10, E27.41-42

**APPROPRIATION:**  
Human Services Development Fund

**I. SUMMARY FINANCIAL DATA**

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budgeted</u>
State Funds	\$13,460	\$13,460	\$13,460
Federal Funds	\$0	\$0	\$0
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Total	\$13,460	\$13,460	\$13,460

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	\$0
Total	<u>\$0</u>

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Human Services Development Fund				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change	
<b>PERSONNEL</b>						
State Funds	\$0	\$0	\$0	\$0	0.00%	
Federal Funds	\$0	\$0	\$0	\$0	0.00%	
Other Funds	\$0	\$0	\$0	\$0	0.00%	
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>	
<b>OPERATING</b>						
State Funds	\$0	\$0	\$0	\$0	0.00%	
Federal Funds	\$0	\$0	\$0	\$0	0.00%	
Other Funds	\$0	\$0	\$0	\$0	0.00%	
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>	
<b>FIXED ASSETS</b>						
State Funds	\$0	\$0	\$0	\$0	0.00%	
Federal Funds	\$0	\$0	\$0	\$0	0.00%	
Other Funds	\$0	\$0	\$0	\$0	0.00%	
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>	
<b>GRANT &amp; SUBSIDY</b>						
State Funds	\$13,460	\$13,460	\$13,460	\$0	0.00%	
Federal Funds	\$0	\$0	\$0	\$0	0.00%	
Other Funds	\$0	\$0	\$0	\$0	0.00%	
<b>Total Grant &amp; Subsidy</b>	<b>\$13,460</b>	<b>\$13,460</b>	<b>\$13,460</b>	<b>\$0</b>	<b>0.00%</b>	
<b>NONEXPENSE</b>						
State Funds	\$0	\$0	\$0	\$0	0.00%	
Federal Funds	\$0	\$0	\$0	\$0	0.00%	
Other Funds	\$0	\$0	\$0	\$0	0.00%	
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>	
<b>BUDGETARY RESERVE</b>						
Other Funds	\$0	\$0	\$0	\$0	0.00%	
Federal Funds	\$0	\$0	\$0	\$0	0.00%	
Other Funds	\$0	\$0	\$0	\$0	0.00%	
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>	
<b>UNCOMMITTED</b>						
Other Funds	\$0	\$0	\$0	\$0	0.00%	
Federal Funds	\$0	\$0	\$0	\$0	0.00%	
Other Funds	\$0	\$0	\$0	\$0	0.00%	
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>	
<b>OTHER</b>						
State Funds	\$0	\$0	\$0	\$0	0.00%	
Federal Funds	\$0	\$0	\$0	\$0	0.00%	
Other Funds	\$0	\$0	\$0	\$0	0.00%	
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>	
<b>TOTAL FUNDS</b>						
State Funds	\$13,460	\$13,460	\$13,460	\$0	0.00%	
Federal Funds	\$0	\$0	\$0	\$0	0.00%	
Other Funds	\$0	\$0	\$0	\$0	0.00%	
<b>Total Funds</b>	<b>\$13,460</b>	<b>\$13,460</b>	<b>\$13,460</b>	<b>\$0</b>	<b>0.00%</b>	

<b>APPROPRIATION:</b> Human Services Development Fund
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III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2018-2019	2019-2020	2020-2021 Estimated
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	12/31/2019	12/31/2020	2021-2022 Budgeted
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 The Fiscal Year 2021-2022 Governor's Executive Budget maintains state funds at the Fiscal Year 2020-2021 level of \$13.460 million for the Human Services Development Fund.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**  
 62 P.S. §§ 201, 206, 3101 et seq.; 62 P.S. § 1401-B et seq.

**Disbursement Criteria:**  
 The Human Services Development Fund (HSDF) payments are disbursed to counties quarterly as advance payments. Each quarterly payment represents 25 percent of the counties' annual HSDF allocation. Each county submits an annual expenditure report at the end of the fiscal year so that the Department of Human Services has a record on file of how the quarterly payments were expended.

**VI. EXPLANATION OF CHANGES**  
 (\$ Amounts in Thousands)

**APPROPRIATION:**  
 Human Services Development Fund

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total</u>
<b>GRANT &amp; SUBSIDY</b>				
1. The Fiscal Year 2021-2022 Governor's Executive Budget maintains state funding for the Human Services Development Fund at the Fiscal Year 2020-2021 level of \$13.460 million for payments to the counties:	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Subtotal Grant & Subsidy	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>TOTAL</b>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>

## **HUMAN SERVICES DEVELOPMENT FUND**

### **PROGRAM STATEMENT**

The Human Services Development Fund (HSDF) is a powerful tool for Pennsylvania county governments to combat isolation, poverty, and dependence among their neediest populations. Created by a statute through the HSDF Act (Act 78 of 1994), funding is allocated annually to each county to provide a wide range of social services. Human services plans, approved annually by the Department of Human Services (Department), describe individual HSDF-funded programs and human services available in each county. However, county governments have ultimate discretion over which services will be available and the amount of HSDF funding each will receive. This local control is one of the hallmarks of the HSDF program that allows counties to focus human service funding where it is most needed and adapt funding to meet unforeseen changes in human service needs.

The HSDF funding can be used to extend services, enhance services or reach multi-system clients within seven categories of human service populations for which counties are responsible by statute. The populations served by these categorical county-based programs are as follows: low-income adults; the aged and aging; dependent and delinquent children and youth; individuals with substance use disorder; individuals experiencing homelessness; individuals experiencing mental health challenges; and individuals with intellectual disabilities.

Specialized services empower local officials, communities, and human service planners to develop unique services designed to address purely local needs. Specialized services enable individuals to remain or become integrated members of their families as well as vital participants in their communities. For example, case management programs based in schools or satellite locations provide critical support and guidance to families where they live and parenting training helps lower-income single parents experiencing the unique challenges of living in economically depressed rural communities.

Certain supports within the adult generic services category are frequently needed by clients in other categories of need. The ten adult services categories are as follows: Adult Day Care, Adult Placement, Chore/Home Support, Counseling, Employment, Homemaker, Centralized Information and Referral, Life Skills Education, Service Planning/Case Management, and Transportation Services. The HSDF improves the cost efficiency of county contracting operations for purchasing these services by permitting the negotiation of only one contract with a service provider instead of the multiple individual contracts normally used.

Use of the HSDF for service coordination must conform to Act 78 of 1994. These activities are limited to "Planning and management activities designed to improve the effectiveness of county human services." Examples of appropriate service coordination activities include the following: the coordination of a needs assessment process to identify gaps or duplications in service, the provision of overhead costs for human services committees or councils which serve in an advisory capacity, and central recruitment and training of volunteers assigned to social services agencies.

In Fiscal Year 2012-2013, a Human Services Block Grant (HSBG) program was implemented to provide local governments with increased flexibility to address local needs. Beginning in Fiscal Year 2017-2018 the HSBG program was expanded to allow all counties statewide to request participation in the program on a voluntary basis. Currently, a total of 44 counties have submitted applications that were subsequently approved by the Department and are participating in the HSBG program.



**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. A1.13, A2.8, C1.46, E27.10, E27.40-42

**APPROPRIATION:**  
Legal Services

**I. SUMMARY FINANCIAL DATA**

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budgeted</u>
State Funds	\$2,661	\$2,661	\$3,661
Federal Funds Total	\$13,049	\$5,049	\$5,049
Federal Sources Itemized			
<i>SSBG - Legal Services</i>	\$5,049	\$5,049	\$5,049
<i>COVID- RF Legal Services</i>	\$8,000	\$0	\$0
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Total	\$15,710	\$7,710	\$8,710

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	<u>\$0</u>
Total	\$0

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Legal Services				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	\$0	\$0	\$0	\$0	0.00%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$2,661	\$2,661	\$3,661	\$1,000	37.58%
<i>Federal Funds</i>	\$13,049	\$5,049	\$5,049	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	\$15,710	\$7,710	\$8,710	\$1,000	12.97%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$0	\$0	\$0	\$0	0.00%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$0	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$2,661	\$2,661	\$3,661	\$1,000	37.58%
<i>Federal Funds</i>	\$13,049	\$5,049	\$5,049	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	\$15,710	\$7,710	\$8,710	\$1,000	12.97%

**APPROPRIATION:**

Legal Services

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA****Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget provides \$3.661 million in State funds; an increase of \$1.000 million over the Fiscal Year 2020-2021 level of \$2.661 million. The Fiscal Year 2021-2022 Governor's Executive Budget maintains federal Social Services Block Grant funds at the at the Fiscal Year 2020-2021 level of \$5.049 million of ongoing program support.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**

62 P.S. §§ 201, 206.

**Disbursement Criteria:**

Funds are distributed to 13 local legal service providers. Funding distributions are based on a formula which includes the factors of poverty population, local monies available, and special institutional populations. Funds are expended through a contract with the Pennsylvania Legal Aid Network (PLAN).

**VI. EXPLANATION OF CHANGES**

(\$ Amounts in Thousands)

**APPROPRIATION:**

Legal Services

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total</u>
<b>GRANT &amp; SUBSIDY</b>				
1. The Fiscal Year 2021-2022 Governor's Executive Budget maintains state funding at the Fiscal Year 2020-2021 level of \$2.661 million and assumes federal Social Services Block Grant funding for Legal Services at the Fiscal Year 2020-2021 level of \$5.049 million:	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Subtotal Grants & Subsidy	\$0	\$0	\$0	\$0
<b>FISCAL YEAR 2021-2022 INITIATIVES</b>				
<b>GRANT &amp; SUBSIDY</b>				
1. Increase Access to Legal Services				
A. Provides \$1.000 million in state funds for additional legal services for Department of Human Services clients:	\$1,000	\$0	\$0	\$1,000
<b>TOTAL</b>	<u><u>\$1,000</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$1,000</u></u>

## **LEGAL SERVICES**

### **PROGRAM STATEMENT**

This program provides legal assistance to low-income individuals and families who are unable to afford these services from the private sector. These services are provided through a statewide grantee, Pennsylvania Legal Aid Network, Inc., (PLAN) that uses 16 local and/or specialized legal service providers which include ten independent regional and six specialty programs. A seventh specialty project, the PA Utility Law Project is housed within Regional Housing Legal Services. The 16 programs have 70 permanent staffed offices throughout PA.

Services are provided to resolve the civil legal problems of persons with a family income of less than 125 percent of the federal poverty level. Emergency legal services are provided without consideration of family income to victims of abuse who need immediate protective services. Lawyers and their aides provide consultation, advice, assessment, negotiation, and representation to eligible clients. During Fiscal Year 2020-2021, an estimated 15,200 cases will be handled on behalf of 13,825 unique clients. It is anticipated that approximately 16,500 cases will be handled during Fiscal Year 2021-2022. Services provided through the statewide contractor do not include legal assistance regarding political activities or representation in criminal matters. In Fiscal Year 1995-1996, the state and the contractor reached an agreement regarding when a class action lawsuit may be undertaken.

Funds provided by the Department of Human Services supplement federal funding received by local providers directly from the Pennsylvania Legal Aid Network, Inc. and private funding from the community at large. The Fiscal Year 2021-2022 Governor's Executive Budget provides \$3.661 million in state funds and \$5.049 million in federal funds for Legal Services. PLAN also receives \$1 million in state funds for an Eviction Prevention Program that operates in the Health Enterprise Zone in Philadelphia and \$525,000 for the PA Health Law Project.

### **FISCAL YEAR 2021-2022 INITIATIVE – INCREASE ACCESS TO LEGAL SERVICES**

The Fiscal Year 2021-2022 Governor's Executive Budget provides \$1.000 million in state funding to expand the legal services program that provides legal assistance to vulnerable populations who are unable to afford necessary legal services from the private sector. Services include emergency protective services for victims of abuse without consideration of income and consultation, advice, assessment, negotiation, and representation for clients under 125 percent of the federal poverty level.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

**Page # of Governor's Executive Budget:**  
Pp. C1.46, E27.10, E27.41-43

**APPROPRIATION:**  
Homeless Assistance

**I. SUMMARY FINANCIAL DATA**

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budgeted</u>
State Funds	\$18,496	\$18,496	\$18,496
Federal Funds Total	\$16,013	\$575,974	\$6,166
Federal Sources Itemized			
SSBG - Homeless Services	\$4,183	\$4,183	\$4,183
SABG - Homeless Services (EA)	\$1,983	\$1,983	\$1,983
COVID-Emergency Rental Assistance Program	\$0	\$569,808 <sup>1</sup>	\$0
COVID-RF Homeless Assistance	\$9,847	\$0	\$0
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Total</b>	<b>\$34,509</b>	<b>\$594,470</b>	<b>\$24,662</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	<u>\$0</u>
<b>Total</b>	<b>\$0</b>

<sup>1</sup> The Governor's Executive Budget for Fiscal Year 2021-2022 reflects a Fiscal Year 2020-2021 supplemental appropriation of \$569,808 million based on an estimate of Federal grant distributions.

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Homeless Assistance			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$18,496	\$18,496	\$18,496	\$0	0.00%
Federal Funds	\$16,013	\$575,974	\$6,166	(\$569,808)	-98.93%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$34,509</b>	<b>\$594,470</b>	<b>\$24,662</b>	<b>(\$569,808)</b>	<b>-95.85%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OTHER</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$18,496	\$18,496	\$18,496	\$0	0.00%
Federal Funds	\$16,013	\$575,974	\$6,166	(\$569,808)	-98.93%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$34,509</b>	<b>\$594,470</b>	<b>\$24,662</b>	<b>(\$569,808)</b>	<b>-95.85%</b>

**APPROPRIATION:**  
Homeless Assistance

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget maintains state funding at the Fiscal Year 2020-2021 level of \$18.496 million. The Fiscal Year 2021-2022 Governor's Executive Budget maintains federal Substance Abuse Block Grant funding at the Fiscal Year 2020-2021 level of \$1.983 million and Social Services Block Grant (Title XX) funding at the Fiscal Year 2020-2021 level of \$4.183 million. The Fiscal Year 2021-2022 Governor's Executive Budget reflects a decrease of \$569.808 million in federal COVID - Emergency Rental Assistance funding.

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**

62 P.S. §§ 201, 206; 62 P.S. § 1401-B et seq.

**Disbursement Criteria:**

Grants awarded to counties are based on proposals reviewed and approved by the Department of Human Services. The Homeless Assistance Program includes case management, rental assistance, bridge housing, emergency shelter assistance, and innovative supportive housing services. The Department of Human Services funds services for all 67 counties in the Commonwealth.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Homeless Assistance			
	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total</u>
<b>GRANT &amp; SUBSIDY</b>				
1. The Fiscal Year 2021-2022 Governor's Executive Budget maintains state funding at the Fiscal Year 2020-2021 level of \$18.496 million:	\$0	\$0	\$0	\$0
2. The Fiscal Year 2021-2022 Governor's Executive Budget maintains federal funding at the Fiscal Year 2020-2021 level of \$6.166 million:	\$0	\$0	\$0	\$0
3. Reflects one-time federal COVID - Emergency Rental Assistance Program funds available in Fiscal Year 2020-2021:	<u>\$0</u>	<u>(\$569,808)</u>	<u>\$0</u>	<u>(\$569,808)</u>
Subtotal Grants & Subsidy	\$0	(\$569,808)	\$0	(\$569,808)
<b>TOTAL</b>	<u><u>\$0</u></u>	<u><u>(\$569,808)</u></u>	<u><u>\$0</u></u>	<u><u>(\$569,808)</u></u>

## **HOMELESS ASSISTANCE**

### **PROGRAM STATEMENT**

The Homeless Assistance Program (HAP) makes available a continuum of services to individuals and families experiencing and/or near homelessness. Funding is provided to county governments by the Department of Human Services (Department). In Fiscal Year 2019-2020, a total of 73,975 clients received services for Case Management, Rental Assistance, Bridge Housing, Emergency Shelter Assistance, and Innovative Supportive Housing services. In Fiscal Year 2020-2021, an estimated 75,000 clients are expected to receive services and in Fiscal Year 2021-2022, an estimated 75,000 clients are expected to receive services.

The HAP is also being used in Fiscal Year 2020-2021 to issue funds for the Emergency Rental Assistance Program allocated to states in the Federal 2021 Consolidated Appropriations Act. These funds are available for rental and utility arrears for up to twelve months for applicants below 80% of Area Median Income.

### **CASE MANAGEMENT**

This component is designed to provide a series of coordinated activities to determine, with the client, what services are needed to prevent the reoccurrence of homelessness and to coordinate their timely provision by administering agency and community resources. In Fiscal Year 2019-2020, a total of 40,628 clients were served.

### **RENTAL ASSISTANCE**

This component aids in the form of payments for rent, utilities, mortgage arrearages, and security deposits for individuals and families experiencing and/or near homelessness. It is designed to prevent homelessness by intervening when eviction is imminent and to expedite the movement of people out of shelters and into available housing. In Fiscal Year 2019-2020, a total of 14,295 clients were served in the Rental Assistance component.

### **BRIDGE HOUSING**

Realizing that emergency shelter is not the answer to homelessness, Bridge Housing helps homeless individuals and families experiencing homelessness to attain the most independent, self-sufficient life situation possible by providing temporary housing and case management. In Fiscal Year 2019-2020, a total of 3,926 clients were served in the Bridge Housing component.

### **EMERGENCY SHELTER ASSISTANCE**

This component provides funds for congregate shelter, shelter supplies, and individual shelter services. The target groups are individuals and families who are in immediate need of shelter. In Fiscal Year 2019-2020, a total of 12,074 clients were served in the Emergency Shelter Assistance component.

### **INNOVATIVE SUPPORTIVE HOUSING SERVICES**

This component allows counties the flexibility to design innovative supportive housing services that address unique county needs that may not be met within conventional HAP service components. An example of this is the establishment of a clothes closet, making clothing available to assist those clients who need appropriate attire for a scheduled job interview. In Fiscal Year 2019-2020, a total of 3,052 clients were served in Innovative Supportive Housing Services.

### **HUMAN SERVICES BLOCK GRANT**

In Fiscal Year 2012-2013, a Human Services Block Grant (HSBG) program was implemented to provide local governments with increased flexibility to address local needs. Beginning in Fiscal Year 2017-2018 the HSBG program was expanded to allow all counties statewide to request participation in the program on a voluntary basis. Currently, a total of 44 counties have submitted applications that were subsequently approved by the Department and are participating in the HSBG program.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. C1.46, E27.10, E27.16

**APPROPRIATION:**  
211 Communications

**I. SUMMARY FINANCIAL DATA**

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
State Funds	\$750	\$750	\$750
Federal Funds	\$0	\$0	\$0
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Total</b>	<b>\$750</b>	<b>\$750</b>	<b>\$750</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds	<u>\$0</u>
<b>Total</b>	<b>\$0</b>

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: 211 Communications			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$750	\$750	\$750	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$750</b>	<b>\$750</b>	<b>\$750</b>	<b>\$0</b>	<b>0.00%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXCESS FEDERAL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$750	\$750	\$750	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$750</b>	<b>\$750</b>	<b>\$750</b>	<b>\$0</b>	<b>0.00%</b>

**APPROPRIATION:**  
211 Communications

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2020-2021</u>	<u>2021-2022 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Total Authorized</i>	N/A	N/A	N/A
<i>Total Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

Derivation of Request:

State funding of \$0.750 million was provided for this program in Act 17-A of 2020. The Fiscal Year 2020-2021 Governor's Executive Budget maintains funding at the Fiscal Year 2019-2020 level of \$0.750 million.

Legislative Citations:

62 P.S. § 403.1

Disbursement Criteria:

The 211 Communications appropriation provides challenge grants to communities which do not have fully operational 2-1-1 service so that 100% of the state's population is covered with phone access 24 hours per day, 7 days per week, with access to resource data specific to their county.

**VI. EXPLANATION OF CHANGES**  
 (\$ Amounts in Thousands)

**APPROPRIATION:**  
 211 Communications

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>GRANTS AND SUBSIDIES</b>				
1. The Fiscal Year 2021-2022 Governor's Executive Budget maintains state funding at the Fiscal Year 2020-2021 appropriation level of \$0.750 million:	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Subtotal Grants and Subsidies	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

## **211 COMMUNICATIONS**

### **PROGRAM STATEMENT**

The 211 Communications appropriation provides grants to communities which do not have fully operational 211 service, to ensure 100 percent of the state's population is covered with 24-hour phone access, seven days per week, and are able to access resource data specific to their county.



**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. C1.46, E27.10, E27.41-42

APPROPRIATION:  
Health Program Assistance and Services

I. SUMMARY FINANCIAL DATA	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
State Funds	\$13,325	\$13,615	\$0
Federal Funds	\$0	\$0	\$0
Other Funds Total	\$336	\$336	\$0
Other Fund Sources Itemized			
<i>DMVA interagency Agreement</i>	<u>\$336</u>	<u>\$336</u>	<u>\$0</u>
<b>Total</b>	<b>\$13,661</b>	<b>\$13,951</b>	<b>\$0</b>
 IA. REQUESTED SUPPLEMENTALS (Included above)			
State Funds		\$0	
Federal Funds		<u>\$0</u>	
<b>Total</b>		<b>\$0</b>	

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Health Program Assistance and Services			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$13,325	\$13,615	\$0	(\$13,615)	-100.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$336	\$336	\$0	(\$336)	-100.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$13,661</b>	<b>\$13,951</b>	<b>\$0</b>	<b>(\$13,951)</b>	<b>-100.00%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXCESS FEDERAL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$13,325	\$13,615	\$0	(\$13,615)	-100.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$336	\$336	\$0	(\$336)	-100.00%
<b>Total Funds</b>	<b>\$13,661</b>	<b>\$13,951</b>	<b>\$0</b>	<b>(\$13,951)</b>	<b>-100.00%</b>

<b>APPROPRIATION:</b> Health Program Assistance and Services
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III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Total Authorized</i>	N/A	N/A	N/A
<i>Total Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

No funding is requested for the Health Program Assistance and Services program in Fiscal Year 2021-2022.

**Legislative Citations:**

62 P.S. §§ 443.1

**Disbursement Criteria:**

The Health Program Assistance and Services appropriation provides funding to coordinate a variety of specialized medical services for commonwealth patients and to support specialty care programs.

**VI. EXPLANATION OF CHANGES**  
(\$ Amounts in Thousands)

**APPROPRIATION:**  
Health Program Assistance and Services

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>GRANTS AND SUBSIDIES</b>				
1. Reflects the elimination of the Health Program Assistance and Services in the Department of Human Services:	<u>(\$13,615)</u>	<u>\$0</u>	<u>(\$336)</u>	<u>(\$13,951)</u>
Subtotal Grants and Subsidies	<u>(\$13,615)</u>	<u>\$0</u>	<u>(\$336)</u>	<u>(\$13,951)</u>
<b>TOTAL</b>	<u><u>(\$13,615)</u></u>	<u><u>\$0</u></u>	<u><u>(\$336)</u></u>	<u><u>(\$13,951)</u></u>

## **HEALTH PROGRAM ASSISTANCE AND SERVICES**

### **PROGRAM STATEMENT**

The Health Program Assistance and Services appropriation provides funding to coordinate a variety of specialized medical services for commonwealth patients and to support specialty care programs. No funding is requested for this program in Fiscal Year 2021-2022.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. C1.46, E27.10, E27.42

**APPROPRIATION:**  
Services for the Visually Impaired

I. SUMMARY FINANCIAL DATA	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budgeted</u>
State Funds	\$3,102	\$3,102	\$3,102
Federal Funds	\$0	\$0	\$0
Other Funds	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Total</b>	<b>\$3,102</b>	<b>\$3,102</b>	<b>\$3,102</b>
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>			
State Funds		\$0	
Federal Funds		<u>\$0</u>	
<b>Total</b>		<b>\$0</b>	

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)		APPROPRIATION: Services for the Visually Impaired			
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>FIXED ASSETS</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>GRANT &amp; SUBSIDY</b>					
State Funds	\$3,102	\$3,102	\$3,102	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	<b>\$3,102</b>	<b>\$3,102</b>	<b>\$3,102</b>	<b>\$0</b>	<b>0.00%</b>
<b>NONEXPENSE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>UNCOMMITTED</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>EXCESS FEDERAL</b>					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$3,102	\$3,102	\$3,102	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	<b>\$3,102</b>	<b>\$3,102</b>	<b>\$3,102</b>	<b>\$0</b>	<b>0.00%</b>

**APPROPRIATION:**  
Services for the Visually Impaired

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Total Authorized</i>	N/A	N/A	N/A
<i>Total Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget maintains state funding at the Fiscal Year 2020-2021 level of \$3.102 million.

**Legislative Citations:**

62 P.S. §§ 443.1

**Disbursement Criteria:**

The Services for the Visually Impaired appropriation provides funding for grants to support the visually impaired, including a grant to the Pennsylvania Association for the Blind (PAB). The PAB is the nation's only statewide private non-profit organization providing services for people who are blind or visually impaired, as well as prevention of blindness programs to the general population. The PAB assists people who have an adverse visual impairment to obtain the skills and tools they need to lead productive, independent lives.



**VI. EXPLANATION OF CHANGES**  
 (\$ Amounts in Thousands)

**APPROPRIATION:**  
 Services for the Visually Impaired

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>GRANTS AND SUBSIDIES</b>				
1. The Fiscal Year 2021-2022 Governor's Executive Budget maintains state funding at the Fiscal Year 2020-2021 level of \$3.102 million:	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>Subtotal Grants and Subsidies</b>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<b>TOTAL</b>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>

## **SERVICES FOR THE VISUALLY IMPAIRED**

### **PROGRAM STATEMENT**

The Services for the Visually Impaired appropriation provides funding for grants to support the visually impaired. Grantees assist people who have an adverse visual impairment to obtain the skills and tools they need to lead productive, independent lives. Services include: instruction in daily living activities, orientation and mobility instruction, summer instructional programs for children, counseling and case management, access to technology instruction, low vision examinations, in-home supportive services, and adjustment to blindness support groups.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. E27.11, E27.12, H118

**APPROPRIATION:**  
Tobacco Settlement Fund  
Uncompensated Care

**I. SUMMARY FINANCIAL DATA**

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted
State Funds	\$28,273	\$26,144 <sup>1</sup>	\$25,002
Federal Funds Total	\$34,941	\$36,976	\$27,835
Federal Sources Itemized			
Medical Assistance - Uncompensated Care (EA)	\$30,938	\$32,806 <sup>2</sup>	\$27,835
COVID - MA - Uncompensated Care (EA)	\$4,003	\$4,170 <sup>3</sup>	\$0
Other Funds	\$0	\$0	\$0
<b>Total</b>	<b>\$63,214</b>	<b>\$63,120</b>	<b>\$52,837</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	(\$527)
Federal Funds	\$7,562
Federal Sources Itemized	
Medical Assistance - Uncompensated Care (EA)	\$3,665
COVID - MA - Uncompensated Care (EA)	\$3,897
<b>Total</b>	<b>\$7,035</b>

<sup>1</sup> Includes a recommended executive authorization reduction of \$0.527 million. A total of \$26.671 million was originally included for this appropriation in Fiscal Year 2020-2021.

<sup>2</sup> Includes a recommended supplemental executive authorization of \$3.665 million. A total of \$29.141 million was originally included for this appropriation in Fiscal Year 2020-2021.

<sup>3</sup> Includes a recommended supplemental executive authorization of \$3.897 million. A total of \$0.273 million was originally included for this appropriation in Fiscal Year 2020-2021.

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Tobacco Settlement Fund Uncompensated Care				
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Operating</b>	\$0	\$0	\$0	\$0	0.00%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$28,273	\$26,144	\$25,002	(\$1,142)	-4.37%
<i>Federal Funds</i>	\$34,941	\$36,976	\$27,835	(\$9,141)	-24.72%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	\$63,214	\$63,120	\$52,837	(\$10,283)	-16.29%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$0	\$0	\$0	\$0	0.00%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$0	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$28,273	\$26,144	\$25,002	(\$1,142)	-4.37%
<i>Federal Funds</i>	\$34,941	\$36,976	\$27,835	(\$9,141)	-24.72%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Funds</b>	\$63,214	\$63,120	\$52,837	(\$10,283)	-16.29%

**APPROPRIATION:**  
Tobacco Settlement Fund  
Uncompensated Care

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$755	\$380	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2021-2022 Governor's Executive Budget recommends that \$25.002 million be allocated from the Tobacco Settlement Fund for payments under the Uncompensated Care Payment Program.

**Legislative Citations:**

35 P.S. §§ 5701.1101-1108

**Disbursement Criteria:**

This appropriation provides for annual payments to qualifying hospitals for a portion of the hospitals' uncompensated costs for services provided to uninsured individuals. It also provides for payment of qualifying extraordinary expenses for services rendered to uninsured patients. Disbursement is administered by the Office of Medical Assistance Programs. Of the total appropriation, 85 percent is allocated for uncompensated care and 15 percent is allocated for extraordinary expenses.

Hospitals must have a plan in place to treat the uninsured. Eligibility for payment is based on criteria specified in Act 77. Qualifying hospitals receive a pro rata share of the uncompensated care allocation based on the methodology specified in the Act. For a hospital to receive payment for extraordinary expenses, specified criteria must be met as outlined in the Act. Although a hospital may qualify for both the uncompensated care payment and the extraordinary expense payment, they are required to choose which payment they wish to receive.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Tobacco Settlement Fund Uncompensated Care			
	State \$	Federal \$	Other \$	Total \$
<b>GRANT &amp; SUBSIDY</b>				
1. Reflects a decrease in available Uncompensated Care funds in Fiscal Year 2021-2022:	(\$1,142)	(\$5,502)	\$0	(\$6,644)
2. Impact of the increase in the Federal Medical Assistance Percentage from 52.20 percent to 52.68 percent, effective October 1, 2021:	\$0	\$531	\$0	\$531
3. Impact of a change in the amount claimed related to the 6.2 percent enhanced COVID-19 FMAP:	\$0	(\$4,170)	\$0	(\$4,170)
<b>TOTAL</b>	<u>(\$1,142)</u>	<u>(\$9,141)</u>	<u>\$0</u>	<u>(\$10,283)</u>

## **TOBACCO SETTLEMENT FUND - UNCOMPENSATED CARE**

### **PROGRAM STATEMENT**

The Tobacco Settlement Act of 2001 (Act 77) established the Uncompensated Care program to provide funding to hospitals in Pennsylvania for the cost of care provided to uninsured patients or those who are unable to pay for services rendered by the hospital. The payments are made annually to qualified acute, psychiatric, and rehabilitative care hospitals.

**DEPARTMENT OF HUMAN SERVICES  
BUDGET REQUEST FOR FISCAL YEAR 2021-2022  
(\$ Amounts in Thousands)**

Page # of Governor's Executive Budget:  
Pp. E27.11, H4, H19

**APPROPRIATION:**  
Children's Trust Fund

**I. SUMMARY FINANCIAL DATA**

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budgeted</u>
State Funds	\$0	\$0	\$0
Federal Funds Total	\$0	\$0	\$0
Other Funds Total	\$1,400	\$1,400	\$1,400
Other Fund Sources Itemized			
<i>Children's Trust Fund (EA)</i>	\$1,400	\$1,400	\$1,400
<b>Total</b>	<b>\$1,400</b>	<b>\$1,400</b>	<b>\$1,400</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

State Funds	\$0
Federal Funds Total	\$0
<b>Total</b>	<b>\$0</b>



II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)			APPROPRIATION: Children's Trust Fund		
	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Personnel</b>	\$0	\$0	\$0	\$0	0.00%
<b>OPERATING</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$5	\$5	\$5	\$0	0.00%
<b>Total Operating</b>	\$5	\$5	\$5	\$0	0.00%
<b>FIXED ASSETS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Fixed Assets</b>	\$0	\$0	\$0	\$0	0.00%
<b>GRANT &amp; SUBSIDY</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$1,395	\$1,395	\$1,395	\$0	0.00%
<b>Total Grant &amp; Subsidy</b>	\$1,395	\$1,395	\$1,395	\$0	0.00%
<b>NONEXPENSE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Nonexpense</b>	\$0	\$0	\$0	\$0	0.00%
<b>BUDGETARY RESERVE</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	\$0	\$0	\$0	\$0	0.00%
<b>UNCOMMITTED</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Uncommitted</b>	\$0	\$0	\$0	\$0	0.00%
<b>OTHER</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
<b>Total Other</b>	\$0	\$0	\$0	\$0	0.00%
<b>TOTAL FUNDS</b>					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$1,400	\$1,400	\$1,400	\$0	0.00%
<b>Total Funds</b>	\$1,400	\$1,400	\$1,400	\$0	0.00%

**APPROPRIATION:**  
Children's Trust Fund

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2018-2019</u>	<u>2019-2020</u>	<u>2020-2021 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2019</u>	<u>12/31/2020</u>	<u>2021-2022 Budgeted</u>
<b>State/Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Federally Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Other Funded</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Total</b>			
<i>Authorized</i>	N/A	N/A	N/A
<i>Filled</i>	N/A	N/A	N/A
<b>Benefit Rate</b>	N/A	N/A	N/A

**V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 The Children's Trust Fund request is derived from the anticipated expenditures needed in relation to the available Children's Trust Fund revenue from marriage/divorce surcharges, Children's Trust Fund donations, and interest.

Detail on the appropriation is outlined in Section VI entitled "Explanation of Changes" on the next page.

**Legislative Citations:**  
 11 P.S. §§ 2231-2238

**Disbursement Criteria:**  
 Disbursements are made based on grant applications approved by the Office of Child Development and Early Learning to establish community-based children's programs and services for child abuse and neglect prevention.

**VI. EXPLANATION OF CHANGES**  
 (\$ Amounts in Thousands)

**APPROPRIATION:**  
 Children's Trust Fund

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total</u>
<b>OPERATING</b>				
1. The Fiscal Year 2021-2022 Governor's Executive Budget maintains funding for operating expenses at the Fiscal Year 2020-2021 level of \$0.005 million:	\$0	\$0	\$0	\$0
<b>GRANT &amp; SUBSIDY</b>				
1. The Fiscal Year 2021-2022 Governor's Executive Budget maintains Grant & Subsidy funding at the Fiscal Year 2020-2021 level of \$1.395 million:	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

## CHILDREN'S TRUST FUND

### PROGRAM STATEMENT

Pennsylvania's Children's Trust Fund (CTF) was established by Act 151 of 1988. The CTF funds innovative and community-based programs that help to reduce the incidence of child abuse and neglect by promoting prevention programs. Funds for the CTF are generated from a \$10 surcharge on all applications for marriage licenses and divorce complaints, interest, and tax return donations. Specific emphasis for funding is placed on primary and secondary prevention programs that focus on the prevention of abuse before it occurs for the first time.

Research indicates that efforts to reduce child abuse and neglect are most successful when services and supports embody a strength-based, family support approach that builds on assets and protective factors to strengthen families and promote resiliency. This is the basis for the CTF's ongoing support of strategies that focus on strengthening families and building protective factors and resiliency within parents, caregivers, and children in order to prevent child abuse and neglect.

Ongoing research conducted by the Center for the Study of Social Policy has shown that the presence and prominence of five protective factors in families reduces the likelihood of child abuse and neglect and contributes to excellent outcomes for young children.

Strengthening Families Protective Factors include:

- **Parental resilience** – parents maintain a positive attitude and have the ability to cope with, creatively solve, and bounce back from all types of life challenges
- **Social connections** – parents have a network of people, agencies and organizations that provide emotional support and concrete assistance
- **Knowledge of parenting and child development** – parents understand what to expect at different stages of child development, effective parenting skills, and ways of finding help with specific developmental and behavioral problems
- **Concrete support in times of need** – parents have access to formal and informal services and support from social networks in times of family crisis
- **Social and emotional competence of children** – parents work with children to help them learn to interact positively with others, communicate their emotions and feel good about themselves

Grantees are required to use evidence, research-based, and/or promising practice methods to provide comprehensive family support services that will strengthen families and build protective factors to prevent child abuse and neglect.

<b>Title</b>	Social Services Block Grant
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Description: This block grant provides funding to help individuals achieve or maintain self-sufficiency; to prevent, reduce or eliminate dependency; to prevent or remedy abuse and neglect of children and adults; and to prevent or reduce institutional care. Most services are delivered by local entities that receive grants or contract with the Department of Human Services. These federal allocations serve to augment state appropriations thereby increasing the total level of services provided.

In addition to funds received directly through the Social Services Block Grant, the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 authorized transfers from the Temporary Assistance to Needy Families Block Grant.

(Dollar Amounts in Thousands)

<u>Department/Appropriation</u>	<u>Fiscal Year 2019-2020 Actual</u>	<u>Fiscal Year 2020-2021 Available</u>	<u>Fiscal Year 2021-2022 Request</u>
<b>Human Services</b>			
Administration:			
SSBG - Administration	\$325	\$358	\$800
Services:			
SSBG - County Assistance Offices	\$3,000	\$3,000	\$3,000
SSBG - Basic Institutional Program	\$10,000	\$10,000	\$10,000
SSBG - Community Mental Health Services	\$10,366	\$10,366	\$10,366
SSBG - Community ID Services	\$7,451	\$7,451	\$7,451
SSBG - Child Welfare	\$12,021	\$12,021	\$12,021
SSBG - Child Care Services	\$30,977	\$30,977	\$30,977
SSBG - Domestic Violence Programs	\$5,705	\$5,705	\$5,705
SSBG - Rape Crisis	\$1,721	\$1,721	\$1,721
SSBG - Family Planning	\$2,000	\$2,000	\$2,000
SSBG - Legal Services	\$5,049	\$5,049	\$5,049
SSBG - Homeless Services	\$4,183	\$4,183	\$4,183
<b>Total Appropriated</b>	<b><u>\$92,798</u></b>	<b><u>\$92,831</u></b>	<b><u>\$93,273</u></b>

<b>Title:</b> Mental Health Services
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Description: This block grant provides funds for the provision of services to individuals who are either adults with a serious mental illness or children with a serious emotional disturbance. Services may be provided by a variety of community-based programs including community mental health centers, child mental health programs, psychosocial rehabilitation programs, mental health peer support programs and mental health primary consumer directed programs.

(Dollar Amounts in Thousands)

<u>Department/Appropriation</u>	<u>Fiscal Year 2019-2020 Actual</u>	<u>Fiscal Year 2020-2021 Available</u>	<u>Fiscal Year 2021-2022 Request</u>
<b>Department of Human Services</b>			
MHSBG			
Administration	\$579	\$979	\$890
MHSBG-Community Mental Health Services	\$28,100	\$32,000	\$32,000
COVID-Mental Health Services Block Grant (EA)	\$0	\$53,955	\$0
Subtotal	<u>\$28,679</u>	<u>\$86,934</u>	<u>\$32,890</u>
<b>Block Grant Total</b>	<b><u>\$28,679</u></b>	<b><u>\$86,934</u></b>	<b><u>\$32,890</u></b>

<b>Title</b>	Low Income Home Energy Assistance
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Description: This block grant provides funds to assist eligible households in meeting the costs of home energy through cash assistance and to alleviate crisis situations. The amount of benefit to an eligible household varies according to income, fuel type, family size, and weather region. Benefits are provided through direct payments to fuel suppliers or to households which pay for heat in their rents. Weatherization services are also provided for eligible clients. Outreach activities are conducted to ensure that eligible households are aware of available energy assistance.

(Dollar Amounts in Thousands)

<u>Department/Appropriation</u>	<u>Fiscal Year 2019-2020 Actual</u>	<u>Fiscal Year 2020-2021 Available</u>	<u>Fiscal Year 2021-2022 Request</u>
<b>Human Services</b>			
Administration:			
Low Income Home Energy Assistance Administration	\$28,859	\$27,000	\$27,000
COVID-LIHEABG Administration (EA)	\$3,493	\$0	\$0
COVID-LIHEABG- Low-Income Families and Individuals (EA)	\$31,439	\$0	\$0
Services:			
Low Income Families and Individuals	<u>\$188,563</u>	<u>\$188,563</u>	<u>\$188,563</u>
Sub Total	\$252,354	\$215,563	\$215,563
<b>Community and Economic Development</b>			
Administration:			
Administration	\$1,500	\$1,500	\$1,500
COVID-LIHEAP Administration (EA)	\$22	\$140	\$0
Services:			
Weatherization Program (1)	\$48,000	\$48,000	\$48,000
COVID-LIHEAP Program (EA)	<u>\$5,078</u>	<u>\$0</u>	<u>\$0</u>
Sub Total	\$54,600	\$49,640	\$49,500
<b>Total Appropriated</b>	<b><u>\$306,954</u></b>	<b><u>\$265,203</u></b>	<b><u>\$265,063</u></b>

1) Allocation for the Weatherization Program reflects 15% of total awarded allocation as of January 31, 2020.

Title: Child Care and Development Fund

Description: The Personal Responsibility and Work Opportunity Reconciliation Act of 1996 created this new block grant which provides funds to states for an integrated child care program. This program allows states flexibility in developing programs to provide child care to children of low income working parents and parents trying to become independent of public assistance, to provide consumer education information to parents, to promote parental choice, and to implement state health, safety, licensing, and registration standards. States are not required to match discretionary funds; however, there are some maintenance of effort and state matching requirements.

(Dollar Amounts in Thousands)

<u>Department/Appropriation</u>	<u>Fiscal Year 2019-2020 Actual</u>	<u>Fiscal Year 2020-2021 Available</u>	<u>Fiscal Year 2021-2022 Request</u>
<b>Executive Offices</b>			
Inspector General - Welfare Fraud			
CCDFBG - Subsidized Day Care Fraud	\$905	\$905	\$905
<b>Human Services</b>			
CCDFBG - Administration	\$28,640	\$31,201	\$32,091
CCDFBG - Child Care Services	\$392,812	\$431,136	\$433,710
COVID-CCDFBG - Child Care Services (EA)	\$108,000	\$302,861	\$0
CCDFBG - School Age	\$1,260	\$1,260	\$1,260
CCDFBG - Child Care Assistance	\$85,356	\$38,710	\$38,710
Subtotal	<u>\$616,068</u>	<u>\$805,168</u>	<u>\$505,771</u>
<b>TOTAL APPROPRIATED</b>	<b><u>\$616,973</u></b>	<b><u>\$806,073</u></b>	<b><u>\$506,676</u></b>



<b>Title</b>	Temporary Assistance for Needy Families
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Description: The Personal Responsibility and Work Opportunity Reconciliation Act of 1996 created a block grant which made funds available to the states to operate the Temporary Assistance for Needy Families (TANF) Program. Pennsylvania implemented TANF effective March 3, 1997. Funds are available to provide temporary cash and other benefits to help needy families participating in activities designed to end the dependence on government benefits and to provide services for dependent and abused children. This block grant requires a state maintenance of effort and establishes mandatory work participation rates. States are allowed to transfer up to a total of 30 percent of their TANF funds to the Child Care and Development Fund and the Social Services Block Grant (SSBG). The SSBG is limited to a maximum 10 percent transfer.

<u>Department/Appropriation</u>	(Dollar Amounts in Thousands)		
	<u>Fiscal Year 2019-2020 Actual</u>	<u>Fiscal Year 2020-2021 Available</u>	<u>Fiscal Year 2021-2022 Request</u>
<b>Executive Offices</b>			
Office of Inspector General			
TANFBG - Program Accountability	\$1,500	\$1,500	\$1,500
<b>Education</b>			
TANFBG - Teenage Parenting Ed. (EA)	\$14,200 <sup>1</sup>	\$13,784 <sup>1</sup>	\$13,784 <sup>1</sup>
<b>Labor and Industry</b>			
TANFBG - Youth Employment & Training	\$25,105	\$25,000	\$25,000
<b>Human Services</b>			
TANFBG - Administration	\$13,898	\$15,208	\$19,146
TANFBG - Information Systems	\$12,631	\$11,189	\$13,424
TANFBG - County Administration-Statewide	\$1,072	\$1,072	\$1,072
TANFBG - County Assistance Offices	\$55,689	\$51,037	\$42,543
TANFBG - New Directions	\$111,346 <sup>1</sup>	\$126,197 <sup>1</sup>	\$126,197 <sup>1</sup>
TANFBG - Cash Grants	\$189,319	\$207,093	\$207,093
TANFBG - Alternatives to Abortion	\$1,000	\$1,000	\$1,000
TANFBG - Child Welfare	\$58,508	\$58,508	\$58,508
TANFBG - Child Care Assistance	\$152,214	\$230,306	\$219,042
Subtotal	<u>\$595,677</u>	<u>\$701,610</u>	<u>\$688,025</u>
<b>Total Appropriated</b>	<b><u>\$622,282</u></b>	<b><u>\$728,110</u></b>	<b><u>\$714,525</u></b>

1. Teen Parenting is a subgrant of the New Directions appropriation. Amount shown in New Directions is net the Teen Parenting program to avoid double counting.



pennsylvania



**2021-2022**