

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021



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Pennsylvania Spending Plan Update: January 2024

Contents

Letter from the Pennsylvania State Medicaid Director 3

Summary 4

Spending Plan Narrative..... 5

 Increased Access to HCBS 5

 HCBS Provider Payment Rate and Benefit Enhancements 6

 Supplies and Equipment 8

 Work Force Support 9

 Caregiver Support 10

 Support to Improve Functional Capabilities of Persons with Disabilities 11

 Transition Support 11

 Mental Health and Substance Use Disorder Services 12

 Support State HCBS Capacity Building and LTSS Rebalancing Reform 13

Spending Activities – Removed and Completed 17

Spending Plan Projection – Please see Included Excel Spreadsheet 19

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

Letter from the Pennsylvania State Medicaid Director

Dear Centers for Medicare & Medicaid Services:

Attached is a quarterly update to Pennsylvania's home and community-based services (HCBS) spending plan and narrative that outlines the Commonwealth of Pennsylvania's use of funding available under Section 9817 of the American Rescue Plan Act (ARP) of 2021. In addition, The Department of Human Services (DHS) requests approval of a new proposed activity included in the "Summary" section of the update.

Pennsylvania reaffirms, as part of this update, that we are:

- Using the federal funds attributable to the increased federal medical assistance percentage (FMAP) to supplement and not supplant existing state funds expended for Medicaid HCBS in effect as of April 1, 2021;
- Using the state funds equivalent to the amount of federal funds attributable to the increased FMAP to implement or supplement the implementation of one or more activities to enhance, expand, or strengthen HCBS under the Medicaid program;
- Not imposing stricter eligibility standards, methodologies, or procedures for HCBS programs and services than were in place on April 1, 2021;
- Preserving covered HCBS, including the services themselves and the amount, duration, and scope of those services, in effect as of April 1, 2021; and
- Maintaining HCBS provider payments at a rate no less than those in place as of April 1, 2021.

Please contact Gloria Gilligan at ggilligan@pa.gov with additional questions.

Sincerely,



Sally Kozak

State Medicaid Director

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

Summary

On June 14, 2021, DHS submitted our initial home and community-based services (HCBS) spending plan and narrative that summarized our use of funding available under Section 9817 of the American Rescue Plan Act of 2021. DHS engaged in a public comment period and virtual comment session following submission of the spending plan to solicit feedback from service participants, providers, other stakeholders, and advocates.

DHS submitted an update to the HCBS spending plan in August 2021, which was later revised and resubmitted on November 16, 2021 at the Centers for Medicare & Medicaid Services' (CMS) request. DHS received conditional approval from CMS on December 1, 2021 to implement the activities included in the revised update to the spending plan.

After receiving conditional approval, DHS hosted several virtual media briefings and stakeholder calls during the month of December to share implementation plans for the activities in the approved spending plan. Key updates to the approved activities can be found in **red text**.

Lastly, DHS affirms there are no plans to pay for room and board or on-going internet connectivity costs as part of any of the proposed or approved activities included in the spending plan.

Activity Correction:

None at this time.

New activity to be removed:

No spending activities are proposed to be removed this quarter.

New Activities Proposed:

No new spending activities are being proposed this quarter.

Spending Plan Narrative

Increased Access to HCBS

- (OMAP.16 – combined OMAP.3 and OMAP.6) Fund a “Pediatrics Medical Home” program to focus on the comprehensive coordination of care for children with complex medical conditions. The American Academy of Pediatrics has a medical home program designed to increase the coordination of care for children living with medical complexities. The program focuses on comprehensive coordination of care for healthcare services and other services such as early intervention, education, and social determinants of health. Case management is an essential part of the medical home program and to implement new American Academy of Pediatrics medical homes, one-time start-up funds will be used to help hire new case management employees who will be responsible for coordinating the care of children with medical complexities. Children enrolled into a medical home tend to have better healthcare outcomes and can remain at home with their families. In addition, develop a medical home learning network to expand communications and supports to providers serving children with medical complexities. By developing a subgroup of Pennsylvania’s Patient Centered Medical Home learning network, providers implementing the American Academy of Pediatrics’ medical home model can share best practices and consult on cases. Currently, providers do not have a platform to communicate with each other on challenges and lessons learned through implementation of the American Academy of Pediatrics Medical Home program.
 - **January 2024 Update: Payments to providers continue.**
 - July 2023 Update: MCOs continue to recruit providers based on a list provided by OMAP. MCOs are coordinating to ensure alignment in their program requirements for administrative ease for providers. One MCO has begun making payments to providers.

- (OMAP.13) Fund start-up costs for a singular resource and referral tool that allows for screening of social needs and connection to local community-based organizations to address these needs. Start-up costs do not include funding for room and board. DHS will work with Pennsylvania-Certified Health Information Organizations (HIOs) to onboard a singular resource and referral tool to improve health outcomes of HCBS participants and other Pennsylvanians. COVID-19 has had a disproportionate impact on individuals who are low-income and often reside in areas with high levels of deprivation and vulnerability, the same individuals who would be predominantly served by such a tool. The social determinants of health, such as food and housing security, have existed at historic rates because of COVID-19. HIOs will onboard the resource and referral tool into their health information exchange infrastructure.
 - **January 2024 Update: The vendor contract has been signed. Each of the four HIO’s have been paid.**
 - July 2023 Update: The four HIOs have selected the statewide resource and referral tool vendor to facilitate closed-loop referrals for Health-Related Social Needs (HRSN). Contracts between the four HIOs and the vendor are anticipated to be signed by mid-July 2023. Work to integrate the vendor into the statewide health information exchange ecosystem will begin in August 2023. This project is going to be branded as PA Navigate.

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

- (ODP.5) Expand ODP’s existing training contracts to include the following: peer-to-peer training for individuals and families on topics related to re-engaging in community, addressing trauma and wellness; promoting self-directed services and use of technology/remote services; develop marketing materials to promote lifesharing and supported living models. This initiative builds capacity for trauma informed approaches; expanding available training and materials for self-directed models of service and promoting lifesharing and supported living models which are less costly and have higher satisfaction than other service models.
 - **January 2024 Update: Peer-to-Peer Training continues for individuals, self-advocates, and families.**
 - July 2023 Update: Peer-to-Peer Training continues across the commonwealth for individuals and families on topics related to re-engaging in community, addressing trauma and wellness; promoting self-directed services, lifesharing, supported living models and use of technology/remote services.

- (ODP.17) Provide targeted recruitment and retention supplemental payments by providing supplemental recovery payments to providers of:
 - a) community participation support;
 - b) employment services to cover additional recruitment, retention and infection control improvement projects to restore HCBS service capacity to pre-pandemic levels or greater; and
 - c) supports coordination services to cover costs for recruitment and retention with a focus on post-secondary educational attainment (tuition forgiveness or payment) to improve quality of service provision, promote specialty positions, within SCOs and reduce turnover.
 - **January 2024 Update: Payments started in quarter four of calendar year 2023.**
 - July 2023 Update: Initial calculations for fiscal year-end 6/30/23 and payments are scheduled to start September 2023.

HCBS Provider Payment Rate and Benefit Enhancements

- (OMAP.11) Incentivize the use of value-based purchasing initiatives that reduce missed shifts to strengthen nursing services. By instructing managed care organizations to make performance-based payments to providers that achieve a reduction in missed shifts or other improved outcomes for children receiving shift care, as measured by quarterly reporting, DHS will enhance and strengthen current private duty nursing services for children who have difficult-to-staff cases and to ensure that they receive skilled nursing coverage for all hours for which they are authorized.
 - **January 2024 Update: Payments are being made through capitation.**
 - July 2023 Update: MCOs have developed contracts with home health agencies and are tracking missed shift rates. Payments will be made by MCOs after calendar year data is finalized. This pay-for-performance program was built into the 2023 rates at \$.20 per member.

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

- (OMAP.9) Enhance quality of care by funding a training nurse’s ability to shadow the current nurse assigned to private duty nursing cases. Private duty nursing services are provided in the individual’s home. Currently, home health agencies cannot bill for the second nurse while that nurse is training, so pay must be derived from the agency’s administrative margin. By implementing non-risk arrangements with managed care organizations to pay these nurses while they train, DHS will improve the quality of training and prepare nurses to more competently and confidently staff cases, thus improving retention and quality of care.
 - **January 2024 Update: DHS is awaiting the CY 2023 documentation from the MCOs.**
 - July 2023 Update: Providers continue to submit claims to MCOs. MCOs are tracking these claims and will report them to DHS after the end of the calendar year.

- (OLTL.12 – combined OLTL.3, OLTL.4, OLTL.10) Support provider workforce expansion with funding to issue sign-on and retention bonuses for nurses, direct care workers, and other HCBS providers and fund the purchase of PPE and testing supplies for CHC and OBRA waiver HCBS providers that were not covered through other funding sources.
 - One-time payments will be made available to recruit and retain direct care workers and other HCBS providers funded by the Office of Long-Term Living (OLTL). The direct care workers and other HCBS providers provide personal care services, self-directed personal care services, and long-term services and supports authorized under Section 1915(c). Due to COVID-19, it has been a challenge for HCBS providers to retain direct care workers to continue to serve vulnerable populations. The recruitment and retention efforts which include sign-on bonuses for new workers, retention payments for existing workers, leave benefits, subsidizing health insurance premiums for those buying off the exchange, and incentives for vaccination. In addition, provide one-time financial support to adult daily living providers to make physical, operational, or other changes to ensure services are delivered safely during the reopening of day centers. Many adult daily living service providers, who play an important role in the continuum of long-term services and supports (LTSS), have been closed for most of the public health emergency. Examples of ways the funding could be used include staff recruitment and retention and the development of alternative program models that encourage greater independence through technology.
 - **January 2024 Update: None at this time.**
 - July 2023 Update: None at this time.

- (OMAP.10) Payments to managed care organizations to be passed on to home health agencies for use as retention bonuses for nurses who remain with a home health agency providing private duty nursing for a year. This initiative expands workforce available to provide skilled nursing services in an individual’s home by attracting and retaining qualified nurses.
 - **January 2024 Update: None at this time.**

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

- July 2023 Update: The Department continues to work toward distribution of the funds.
- (ODP.1) Purchase consultation and administrative services for the development and implementation of selective contracting and alternative payment methods. Funds will purchase time limited consultation to support DHS’s development of selective contracting and alternative payment methods for selected ODP HCBS services to improve quality by aligning payment with satisfying performance targets and outcomes. Funds will also be used to procure an external administrative vendor for implementation of the selective contracting model. ODP will pursue a 1915(b)(4) selective contracting waiver for select services currently offered in the Consolidated, Community Living, and Person/Family Directed Support 1915(c) HCBS programs.
 - **January 2024 Update: Ongoing stakeholder engagement, the development of stakeholder communication materials, and reviewing public comments will be conducted in this quarter.**
 - July 2023 Update: Ongoing stakeholder engagement and public comment reviews will be conducted in this quarter. RFP for external administrative vendor is undergoing internal review and is expected to be released in the near future.
- (OMAP.12) Support non-emergency medical transportation workforce expansion with one-time payments to recruit and retain qualified drivers to provide non-emergency medical transportation (NEMT) services. These drivers provide transportation to covered services for Pennsylvania Medicaid beneficiaries, including HCBS services. Due to COVID-19, it has been a challenge for transportation providers to attract and retain qualified drivers. Driver shortages threaten the provision of reliable, timely transportation services that allow individuals to live in the community while still having all their needs met. The inability to access transportation services threaten the ability of those individuals receiving HCBS to continue to live in the community and places them at risk of needing to receive care in institutional settings where transportation is not required. The recruitment and retention efforts would include sign-on bonuses for new drivers and retention payments for existing drivers. The Office of Medical Assistance Programs (OMAP) is polling NEMT administrators to gather information about the number of drivers who would be eligible for such payments.
 - **January 2024 Update: Broker counties have been paid. Contractual vehicles are being finalized for the direct contract counties.**
 - July 2023 Update: OMAP is developing contractual vehicles for the direct contract and broker counties.

Supplies and Equipment

- (ODP.6) Strengthen emergency preparedness of ODP’s non-residential HCBS residents through provision of emergency preparedness kits. The kits promote safety and self-sufficiency during disasters.
 - **January 2024 Update: Distribution of emergency preparedness kits continues, including distribution at a statewide conference in which individuals and families will receive important tips and tools to help stay safe in an emergency.**

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

- July 2023 Update: An online platform to streamline distribution is being established.

Work Force Support

- (OMAP.15 – combined OMAP.1 and OMAP.4) Create an online education and training portal for shift care nursing to strengthen supports to nursing professionals. The development of a training/education portal will provide increased support for home health agencies, caregivers, and managed care organizations with building relationships and expanding their knowledge. The portal will provide trainings that focus on preparing both nurses and families for the private duty nursing transition and what to expect from the private duty nursing experience in one’s home. This will include enhanced training for private duty nurses to staff cases for children who have complex medical conditions as well as significant behavioral health needs. One of the many challenges facing nurses providing care in an individual’s home is the lack of training around behavioral health and how to assist a child who may have behavioral health needs.
 - **January 2024 Update: Documents from the vendor are under review.**
 - July 2023 Update: The scope of work has been finalized, and OMAP anticipates receiving the finalized budget from the project vendor in the near future.
- (OMAP.5) OMAP plans to create “Pediatric Complex Care Resource Centers” (PCCRC) designed to support families of children with complex medical needs and their health care providers. The PCCRCs will provide education and training for specific needs and help families and providers navigate the various systems and resources that are available to support them.
 - **January 2024 Update: HCQU budgets are being finalized and spending will begin in the second half of SFY 23-24.**
 - July 2023 Update: OMAP has developed the position descriptions and finalized the statement of work for the HCQUs to review and complete by the end of July 2023.
- (ODP.13) Enhance quality of service provision for individuals with intellectual disabilities/autism through provider training and credentialing. One-time supplemental payments will be made available for adoption of CMS core competency training for Direct Support Professionals, agency completion of National Association for Dual Diagnosis Accreditation, establishing a business associate program in industry to promote employment for people with disabilities, and certification through the Lifecourse Ambassador program. These one-time funds will increase the quality of services being provided by the agencies and provide models for linking pay to credentialing and certification programs.
 - **January 2024 Update: 88 of 281 eligible providers have been reimbursed for these activities as of this update.**
 - July 2023 Update: Approximately 65 providers have been reimbursed for these activities as of this update.

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

- (OMHSAS.4) Expand current training initiatives to include trauma-informed care that enhance service delivery. An opportunity will be made available to clinicians in the mental health field to become certified in at least one evidence-based modality to treat trauma. Pennsylvania lacks clinicians with specialized training in evidence-based trauma-treatment modalities. The need for growing the Commonwealth’s workforce capacity to recognize and treat trauma has been a consistent need identified by the Mental Health Planning Council and the Governor’s Trauma-Informed PA plan. Individuals receiving HCBS with a history of trauma will benefit from this activity through improved patient engagement, treatment adherence and health outcomes. Similarly, individuals receiving non-HCBS services who also have a history of trauma will benefit from this activity as well, as health outcomes may enable them to transition from higher levels of care into HCBS.
 - **January 2024 Update: Training for the first cohort of eligible practitioners started on January 8, 2023. We anticipate that the first cohort will be full at 55 practitioners.**
 - July 2023 Update: The intergovernmental agreement with the vendor has been fully executed. Through this agreement, high-quality, evidence-based, and trauma-informed EMDR training will be provided to 183 licensed Pennsylvania Medical Assistance mental health providers each year for two years, with the intent of increasing their knowledge, expertise, and skillsets.

Caregiver Support

- (OLTL.2) Develop a registry of direct care workers that allows participants to locate, review and contact direct care workers who will best meet their care needs. This registry would expand the availability and visibility of the direct care workforce which improves access to HCBS by connecting direct care workers with participants through the participant-directed model of HCBS. This activity is still in the concept stage and implementation is contingent on other factors.
 - **January 2024 Update: OLTL and MCOs reached agreement on contract language and submitted the 2024 contracts to CMS December 18, 2023 for approval.**
 - July 2023 Update: OLTL is updating MCO contract language to include provision for the direct care worker matching registry portal.
- (ODP.2) Provide respite, innovative alternatives to community participation support services, and family support services to those on waiting lists for Intellectual Disabilities/Autism Services or on or at risk of being placed on provider waiting lists for community participation support services through one-time funds. These funds will provide short-term temporary relief for those waiting for HCBS waiver services.
 - **January 2024 Update: None at this time.**
 - July 2023 Update: None at this time.

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

Support to Improve Functional Capabilities of Persons with Disabilities

- (ODP.12) Provide funding for assistive and remote support technology to enhance service delivery within ODP HCBS. These one-time funds can be used to support greater independence for individuals (example, assistive technology that turns stove off after inactivity and alerts caregiver) and improves quality of care through implementation of solutions like electronic health records.
 - **January 2024 Update: Approximately 145 providers have been reimbursed for these activities.**
 - July 2023 Update: Approximately 120 providers have been reimbursed for these activities.

- (ODP.14) Enhance HCBS by improving technology for ODP support coordination organizations through one-time funding for the purchase of technology to support remote monitoring, mobile workforce, secure inter-office communications or implementation of quality improvement strategies.
 - **January 2024 Update: Approximately 15 providers have been reimbursed for these activities.**
 - July 2023 Update: None at this time.

- (ODP.7) Accelerate the adoption of technology by funding a consultant to advise OLTL and ODP HCBS providers seeking to adopt remote supports and other technology solutions for individuals receiving HCBS. The use of technology to support independence will reduce need for direct care thereby relieving pressure for staffing from HCBS agencies that provide direct care. This initiative is aimed at capacity building through awareness and education. Training and materials developed will be stored and made available electronically.
 - **January 2024 Update: ODP and OLTL implemented a contract with Pennsylvania's University Center of Excellence in Developmental Disabilities (UCEDD) and will begin executing a statement of work to engage the provider network interested in adopting and expanding the use of supportive technology. Work will include a statewide assessment of technology first approaches, readiness tools, training, and policy analysis.**
 - July 2023 Update: ODP and OLTL continue to work together to identify a vendor to support providers with adoption of supportive technology.

Transition Support

- (OLTL.5) Enhance transitions into the community by incentivizing managed care organizations to meet nursing home transition goals. Pennsylvania's Nursing Home Transition (NHT) program provides the opportunity for nursing facility (NF) residents and their families to be fully informed of the full range of home and community-based services. The program helps individuals move out of NFs so they can receive services and supports in the settings of their choice. OLTL will make one-time incentive payments to the CHC-MCOs, through the managed care contract, to meet NHT goals. The CHC managed care organizations will support expansion of NHT by utilizing ARP funding to provide access to additional equipment or devices that could support NHT.

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

- January 2024 Update: OLTL issued payments to CHC-MCOs that met NHT benchmark and improvement measures as specified in the 2022 CHC managed care contract.
- July 2023 Update: All three CHC-MCOs reached the NHT goals set by the department for 2022 and received the incentive payment.
- (ODP.8) Purchase housing adaptations for those transitioning from institutional or congregate settings. One-time funds will be made available to ODP residential providers for housing adaptations and purchase for individuals transitioning from public or private intermediate facilities, children transitioning from congregate care, medically complex adults when cost effective and to avoid placement in a nursing facility, and to support adults to age in place or transition to supported living or lifesharing.
 - January 2024 Update: Applications have been approved for this funding for approximately 40 individuals. Of these, work has been completed and funds have been disbursed for 5.
 - July 2023 Update: Applications have been approved for this funding for approximately 30 individuals. Funds will be disbursed upon completion of the planned work and the individual moves.
- (ODP.9) Expand consolidated waiver capacity to transfer 25 additional individuals from intermediate care facilities to HCBS.
 - January 2024 Update: 19 individuals have transitioned from ICF's to the consolidated waiver
 - July 2023 Update: Individuals have been identified and are in the process of transferring to HCBS.

Mental Health and Substance Use Disorder Services

- (OMHSAS.6) Support telehealth services with one-time funds for behavioral health providers who provide rehabilitative services to purchase equipment and training supports to enhance telehealth services. This activity enhances and strengthens HCBS as this service minimizes wait times for behavioral health services and affords individuals to receive services from their home thereby reducing mental disability and restoring beneficiaries to their best functional level. In addition, statewide access to services is made available to individuals who require the services of a specialist. The use of telehealth technology has been widely utilized by providers who are either directly providing HCBS or who are providing non-HCBS clinical services to individuals who are also receiving HCBS services.
 - January 2024 Update: OMHSAS has received a total of 347 applications for this funding opportunity. The number of applications includes those providers who were initially ineligible for the funding opportunity due to missing/incomplete information as well as any resubmitted applications for the same provider. To date, 127 of those applications have been approved.
 - July 2023 Update: OMHSAS has received a total of 223 applications for this funding opportunity. The number of applications includes those providers who were initially ineligible for the funding opportunity due to missing/incomplete information as well as any resubmitted applications

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

for the same provider. To date, 96 of those applications have been approved. Providers who received funding in the first quarter of CY 2023, have begun to submit spending reports with invoices for verification of appropriate spending.

- (OMHSAS.5) Provide for technical assistance to implement assisted outpatient therapy (AOT) for enhanced outpatient treatment for individuals in the civil court system who experience serious mental illness. AOT is the practice of providing outpatient treatment under civil court order to individuals with serious mental illness who have demonstrated difficulty engaging with treatment on a voluntary basis. This activity increases access to less restrictive treatment options and community settings and supports the functioning of individuals who may be concurrently enrolled in HCBS or who may be eligible for these services in the future.
 - **January 2024 Update: The vendor continues to hold webinars and in-person meetings with counties and stakeholders, including judges and mental health administrators. The webinars continue to have an attendance of over 40 people. One county has officially signed on to receive grant funding. Two additional counties are in conversation to sign on.**
 - July 2023 Update: None at this time.

- (OMHSAS.7) Fund scholarships to expand the number of certified peer specialists (CPS) in Pennsylvania to ensure a strong workforce in mental health service settings. Peer support services are included in Pennsylvania's state plan under Rehabilitative Services. Offering scholarships for Peer Specialist Certification training enhances and strengthens HCBS as this service reduces mental disability and restores beneficiaries to their best functional level supporting participation in HCBS. COVID-19 paused training and certification for peer specialists for nearly a year, resulting in a reduced subset of the HCBS workforce. To become a CPS in Pennsylvania, individuals must complete a two-week course. Once certified, the CPS may be employed by several mental health organizations and their services may be billed to Medicaid.
 - **January 2024 Update: As of December 2023, 75% of the 94 scholarship recipients are certified.**
 - July 2023 Update: None at this time.

Support State HCBS Capacity Building and LTSS Rebalancing Reform

- (OMAP.7) Invest in technology to enhance care coordination for individuals receiving private duty nursing services in their own home by connecting home health agencies with Pennsylvania's Patient Provider Network. One-time onboarding funds will be made available to connect home health agencies to the Pennsylvania Patient and Provider Network, the Commonwealth's Health Information Exchange, which allows for sharing of patient information among providers. These funds would be made directly to the agencies and represent an investment in technology infrastructure that will enhance care

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

coordination for individuals receiving private duty nursing services in their own home. Many small agencies in Pennsylvania are not yet connected to the exchange and are thus unable to benefit from its information-sharing, which will include a care plan registry in the future.

- **January 2024 Update: None at this time.**
- July 2023 Update: Nine (9) of the 37 home health agencies awarded HIO Onboarding grants under RFA #06-22 have fully onboarded to a P3N HIO in the first half of calendar-year 2023 and an invoice for \$225,000 has been paid.
- ~~(OMAP.8)~~ (OMHSAS.3) Introduce the use of electronic health records (EHR) by state hospitals and HCBS facilities and ensure they are interoperable-with the health information exchange. Both HCBS and state-run psychiatric facility providers will benefit from this activity. An EHR increases access to less restrictive treatment options and community settings. Treating individuals in state psychiatric facilities and enabling them to live in their community requires coordination between the facilities and HCBS providers. When it is required that records be shared manually, via fax, or via post, treatment is delayed, work is duplicated, and human error occurs which can further delay the coordination of care for hundreds of beneficiaries. The absence of an EHR results in:
 - Delays in discharges from the state facilities to less restrictive settings in the community. Individual medical records must be shared with providers to convey behavioral health needs of an individual when making a referral so that the provider can determine if they can appropriately meet the patient’s needs. As consumer needs change, currently paper records are gathered to understand their historic medical interventions.
 - Individuals discharged from our state facilities are complex with lengthy medical histories and a need for proactive, comprehensive HCBS planning. The number of HCBS providers with the expertise to provide this population with an appropriate rehabilitative service is finite. The more time spent on gathering consumer information in paper format, risks the ability for a HCBS provider to add our transitioning member to their caseload.
 - **January 2024 Update: OMHSAS has had two locations, Torrance and Danville State Hospitals, go live with the use of the Pyxis devices. The infrastructure work and/or design planning continues at all sites. OMHSAS remains on target to have the first location using myAvatar in August of 2024.**
 - **January 2024 Update: OMAP is removing this activity from the spending plan. Funds have been reallocated to other approved activities within the spending plan.**
 - July 2023 Update: OMHSAS has finalized an agreement with a software vendor for the EHR. Efforts have begun to digitize frequently used forms. The first of seven facilities should have all infrastructure upgrades completed in July 2023.
 - July 2023 Update: OMAP has closed-out the RFA #12-22 procurement without making any payments to the home health agency that was awarded a \$100,000 EHR Incentive Grant. The grantee implemented a new EHR in 2023, however their new EHR is not certified for Meaningful Use and is not capable of interoperability with a P3N HIO.

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

- (ODP.3) Purchase electronic incident detection reporting systems and dashboards to enhance participant health and welfare in HCBS. Ensuring the health and welfare of HCBS program participants requires fidelity within robust incident management systems. Funding to purchase analytics and establishment of system matching claims with ODP incident data.
 - **January 2024 Update: Testing is complete, and implementation occurred in quarter four of calendar year 2023.**
 - July 2023 Update: Data ingestion has begun. Testing is slated for fall with go-live anticipated in December 2023.

- (OLTL.13 – combined OLTL.6, OLTL.7, OLTL.8) Through one-time funds, OLTL HCBS providers that have innovative ideas that will address social determinants of health, like housing, to improve and enhance services for CHC and OBRA participants. These HCBS providers offer personal care services, self-directed personal care services and long-term services and supports authorized under Section 1915(c). Providers will be able to:
 - Purchase remote support technology to enhance transparency and quality assurance in service delivery. For example, direct care workers could have access to tablets and software that support in-home documentation of participant conditions and other related care needs.
 - Provide training on infection control practices to enhance the quality of services.
 - Purchase and implement new software/technology for electronic health records, quality, or risk management functions. Having access to electronic health care records enables HCBS providers to connect with local hospitals and physicians and ensure real time communication between the HCBS provider and the participant’s medical providers. This would promote and strengthen the coordination of services by affording providers the ability to better track quality measures and associated outcomes.
 - **January 2024 Update: None at this time.**
 - July 2023 Update: 814 providers were approved for payment through this initiative.

- (OLTL.5) Provide incentive payments to the CHC-MCOs, through the managed care contract, to meet the quality measures established by OLTL for HCBS services.
 - **January 2024 Update: OLTL issued total payments to CHC-MCOs that met HEDIS and CAHPS[®] benchmark and improvement measures as specified in the 2022 CHC managed care contract.**
 - July 2023 Update: None at this time.

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

- (OMHSAS.8) The consulting support for HCBS spending plan activities within OMHSAS is focused on funding Crisis Specialists to assist in the statewide implementation of 988 and crisis mental health services. The individuals would be responsible for duties such as on-site visits as needed to evaluate county mobile crisis programs, collaborate with county stakeholders, respond to information requests from CMS and any reporting required, as well as acting as the point of contact and liaison between the actuarial contractor, OMHSAS and other stakeholders.
 - **January 2024 Update: OMHSAS has the new crisis consultant in place.**
 - July 2023 Update: OMHSAS will be utilizing one new crisis consultant in July 2023, who will serve as a replacement to the two current consultants. The new consultant's expertise in providing technical assistance to county-level human services organizations will be an asset to the program.

- (OMHSAS.2) Fund consultant services to support the work of the Pennsylvania Behavioral Health Task Force and Behavioral Health Commission to ensure individuals have the appropriate supports to remain in the community or decrease the length of facility stays. The task force was convened to identify strengths, gaps and opportunities for improvement in the behavioral health system. The commission was charged with making recommendations to the Pennsylvania General Assembly on the allocation of funds to support specific focus areas including: delivery of services via telemedicine, workforce development and retention, and the impact of social determinants of health on behavioral health.
 - **January 2024 Update: This activity is complete.**
 - July 2023 Update: None at this time.

- (OLTL.11) The Office of Long-Term Living (OLTL) is interested in enhancing and strengthening quality improvement activities for the LIFE (Living Independence for the Elderly) program, which has 19 LIFE Provider Organizations who operate more than 50 centers across the Commonwealth. To identify potential quality improvement activities in the LIFE program, the HCBS Elderly Consumer Assessment of Healthcare Providers and Systems (CAHPS[®]) survey will be used to assess patient experience with health care services delivered in different setting and for specific conditions. In addition, quality and evaluation metrics currently applied in the independent assessment of the CHC program will be used to standardize the evaluation of all Medicaid-related HCBS programs in the Commonwealth. This activity is allowable under the SMD# 21-003 Appendix B, Program of All-Inclusive Care for the Elderly (PACE).
 - **January 2024 Update: OLTL and the University of Pittsburgh Medicaid Research Center completed two rounds of HCBS CAHPS[®] surveys, which concluded on 5/22/23 and 6/28/23. OLTL received the survey results on 12/28/23 and began analysis of the results.**
 - July 2023 Update: None at this time.

- (ODP.15) ODP will enhance systems capacity to serve children and youth with complex needs and multi-system involvement through a pediatric capacity building institute. The institute will be provided with the cooperation and input of the DHS Office of Mental Health and Substance Abuse Services

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

(OMHSAS), Office of Children, Youth and Families, and Office of Childhood Development and Early Learning (OCDEL) and the Pennsylvania Department of Education. These offices will develop the curriculum and direct the faculty responsible for conducting the institute. Attendees to this institute will be identified based on their role in the support of children and youth with complex needs at the local, county and state levels. The institute aims to improve communication and coordination of home and community-based services that meets the needs of this population.

- **January 2024 Update: Curriculum has been developed and the Multi-systems Capacity Building Institute will be convening in this quarter.**
 - July 2023 Update: Curriculum develop was delayed due to contract development processes. This work will commence beginning in July.
- (ODP.16) ODP is planning for information technology system enhancements to store Health Risk Screening data in the Enterprise Data Warehouse (EDW) so that data elements can be leveraged in case management activities and used to support individual plan creation and authorization. By collecting the data in the EDW, interface activities with the new ECM system will result in better planning, monitoring of health and safety risks, and streamlining for HCBS participants with intellectual disabilities and autism. DHS will submit an Implementation Advanced Planning Document seeking federal financial participation for this project.
 - **January 2024 Update: Testing is complete, and implementation occurred in quarter four of calendar year 2023.**
 - July 2023 Update: System requirements have been completed. Testing and implementation is scheduled for next quarter.

Spending Activities – Removed and Completed

Removed:

- (OCDEL.1) January 2022 Update: Reimburse Early Intervention (EI) providers for training costs and supplies, like Personal Protective Equipment (PPE), to support safely re-engaging in-person visits.
- (OMAP.14) Fund consultant services to support implementation and monitoring of the HCBS spending plan within OMAP.
- (OMAP.2) Incentivize completion of care plans to improve care coordination and care management activities beginning in calendar year 2023. This activity provides an incentive payment to primary care providers for each semi-annual shared care plan developed, updated, and implemented for the 6,000 children receiving shift-care nursing services. These payments provide support for pediatric medical homes to enhance care coordination and care management activities. These children need care integration across multiple settings- physical health, behavioral health, home care agencies, medical day cares, hospitals, emergency departments, early care and education, early intervention, education, community organizations, and social services. Key elements in shared care planning are person-centered goal setting and engaging the families and primary caregivers in the creation and maintenance of a

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

comprehensive care plan. The HealthChoices managed care organizations will receive funding as part of the contracted monthly capitation rates to provide incentive funding every six months for three years based on a shared care plan developed by the primary care provider and family that includes key elements of care management and coordination.

Completed:

- (ODP.4) Strengthen county infrastructure by providing funding to expand county staffing to accommodate the growth in the intellectual disabilities home and community-based waiver programs. This funding enhances the ability to manage the significant growth of ODPs community program effectively and efficiently and manage new oversight and risk management functions.
- (OMHSAS.9) One-time funding will be made available to behavioral health providers who offer rehabilitative services to offer incentives to recruit and retain staff to both fill and prevent new vacancies and enable providers to re-open service locations or services lines that were closed due to staff vacancies. One-time incentive funding to fill staffing shortages will enable providers to accept new participants into HCBS.
- (ODP.10) One-time funding will be made available for COVID-19 related staffing expenses, recruitment and retention of ODP-funded direct support professionals or supports coordinators to include funding for hazard pay, costs of recruitment efforts, sign-on bonuses, retention bonuses, other incentive payment. ODP-funded direct support professionals or supports coordinators provide long-term services and supports authorized under Section 1915(c). This funding will support providers unable to re-open service locations or services lines due to staff vacancies and providers unable to accept new participants into service due to staff vacancies.
- (OLTL.1) Enhance the comprehensive training program for direct care workers to bolster the quality of services for participants. Pennsylvania's LTSS stakeholders, advocates and providers have provided recommendations to DHS on the development of a comprehensive training program for direct care workers to bolster the quality of services for participants. OLTL would establish a standardized core training curriculum for direct care workers across the LTSS continuum, which will also provide a clear career pathway. The training curriculum will be a series of trainings which would give workers stackable credentials with incentives to reach training milestones.
- (OCDEL.2) Increase rates for Infant/Toddler EI providers who offer home and community-based services. Counties operate the Infant/Toddler EI program on behalf of OCDEL, through contracted EI service providers.
- (ODP.11) Refresh data for ODP services and adjust rates if necessary. ODP is required under state regulation to refresh the data used for rate setting a minimum of every three years; the next update is required no later than October 2022. Refreshing the data earlier than planned gives DHS the ability to address a rate increase, if justified, in response to provider's changing needs as part of the HCBS spending plan. ODP will receive updated rate information

Pennsylvania Spending Plan Update: January 2024
Section 9817 of the American Rescue Plan Act of 2021

for each HCBS service from DHS's actuarial consultant developed using recently released data from the Bureau of Labor Statistics (BLS), trended to the implementation period. Should refreshing the data result in a need to adjust rates, the Commonwealth will ensure that reimbursement rates remain at levels no less than the April 1, 2021 provider rates as required in the HCBS spending plan.

- (OLTL.9) Increase Personal Assistance Services (PAS) payment rates in the CHC and OBRA waivers. By enhancing payment rates in the CHC and OBRA waivers, PAS providers can increase employee wages for direct care workers in both agency and participant-directed models of PAS available in these waivers. The increased wages can assure the PAS providers are recruiting and retaining staff to provide services to the growing HCBS population. Providing increased wages for PAS enhances and strengthens the HCBS system by avoiding issues such as missed shifts due to the unavailability of workers.
- (OMHSAS.1) Increase behavioral health provider rates to support state standards for the facilitation of staff training, education and recruitment based on American Society of Addiction Medicine (ASAM) criteria. By increasing provider rates, DHS can address community-based provider needs related to increased staffing, required certifications, and increased hours which strengthens and expands HCBS. The implementation of ASAM criteria coincides with an increase in demand for treatment that has been fueled by COVID-19.

Spending Plan Projection – Please see Included Excel Spreadsheet