

# Commonwealth of Pennsylvania Department of Human Services Office of Medical Assistance Programs

# **2022 External Quality Review Report** UnitedHealthcare

Final Report April 2023



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# Introduction

# **Purpose and Background**

The final rule of the Balanced Budget Act (BBA) of 1997 requires that State agencies contract with an External Quality Review Organization (EQRO) to conduct an annual external quality review (EQR) of the services provided by contracted Medicaid Managed Care Organizations (MCOs). This EQR must include an analysis and evaluation of aggregated information on quality, timeliness and access to the health care services that an MCO furnishes to Medicaid Managed Care recipients. The Centers for Medicare & Medicaid Services (CMS) is required to develop EQR protocols to guide and support the annual EQR process. The first set of protocols was issued in 2003 and updated in 2012. CMS revised the protocols in 2018 to incorporate regulatory changes contained in the May 2016 Medicaid and Children's Health Insurance Program (CHIP) managed care final rule. Updated protocols were published in late 2019.

The Commonwealth of Pennsylvania (PA) Department of Human Services (DHS) Office of Medical Assistance Programs (OMAP) contracted with IPRO as its EQRO to conduct the 2022 EQRs (Review Period: 1/1/2021–12/31/2021) for the HealthChoices PH MCOs and to prepare the technical reports. HealthChoices Physical Health (PH) is the mandatory managed care program that provides Medical Assistance (MA) recipients with physical health services in PA.

The mandatory EQR-related activities that must be included in detailed technical reports, per *Title 42 Code of Federal Regulations (CFR) Section (§) 438.358*, are as follows:

- validation of performance improvement projects,
- · validation of MCO performance measures, and
- review of compliance with Medicaid and CHIP managed care regulations.

It should be noted that a fourth mandatory activity, validation of network adequacy, was named in the CMS External Quality Review (EQR) Protocols published in October 2019. However, CMS has not published an official protocol for this activity, and this activity is conducted at the state's discretion. Each managed care program agreement entered into by DHS identifies network adequacy standards for those programs. For PH MCOs, DHS has published multiple provider network standards through its Exhibit AAA: Provider Network Composition/Service Access; MCOs submit annual geographic access reports as outlined in these standards. DHS uses a web-based program to assist with ongoing network compliance and during the review year (RY), its monitoring team planned implementation of new methods of verification, such as Access to Care campaigns, network spot checks, and provider directory reviews.

This technical report includes six core sections:

- I. Validation of Performance Improvement Projects
- II. Performance Measures and Consumer Assessment of Healthcare Providers and Systems (CAHPS®) Survey
- III. Review of Compliance with Medicaid and CHIP Managed Care Regulations
- IV. MCO Responses to the Previous EQR Recommendations
- V. Strengths, Opportunities for Improvement, and EQR Recommendations
- VI. Summary of Activities

Information for **Section I** of this report is derived from activities conducted with and on behalf of DHS to research, select, and define Performance Improvement Projects (PIPs) for a new validation cycle, as well as IPRO's validation of each PH MCO's PIPs, including review of the PIP design and implementation using documents provided by the MCO.

Information for **Section II** of this report is derived from IPRO's validation of each PH MCO's performance measure submissions. Performance measure validation as conducted by IPRO includes PA-specific performance measures as well as Healthcare Effectiveness Data and Information Set (HEDIS®) measures for each Medicaid PH MCO. Within **Section II**, CAHPS Survey results follow the performance measures.

For the PH Medicaid MCOs, the information for the compliance with Medicaid and CHIP Managed Care Regulations in **Section III** of the report is derived from the commonwealth's monitoring of the MCOs against the Systematic Monitoring,

Access and Retrieval Technology (SMART) standards, from the HealthChoices Agreement, and from National Committee for Quality Assurance ( $NCQA^{TM}$ ) accreditation results for each MCO. This section also contains discussion of the revisions to the required structure and compliance standards presented in the updated EQR protocols.

**Section IV** includes the MCO's responses to the 2021 EQR Technical Report's opportunities for improvement and presents the degree to which the MCO addressed each opportunity for improvement.

**Section V** has a summary of the MCO's strengths and opportunities for improvement for this review period as determined by IPRO and a "report card" of the MCO's performance as related to selected HEDIS measures.

Section VI provides a summary of EQR activities for the PH MCO for this review period.

# **I: Validation of Performance Improvement Projects**

# **Objectives**

Title 42 CFR § 438.330(d) establishes that state agencies require contracted MCOs to conduct PIPs that focus on both clinical and non-clinical areas. According to the CMS, the purpose of a PIP is to assess and improve the processes and outcomes of health care provided by an MCO.

In accordance with current BBA regulations, IPRO undertook validation of Performance Improvement Projects (PIPs) for each Medicaid PH MCO. For the purposes of the EQR, PH MCOs were required to participate in studies selected by OMAP for validation by IPRO in 2020 for 2019 activities. Under the applicable HealthChoices Agreement with the DHS in effect during this review period, Medicaid PH MCOs are required to conduct focus studies each year. For all PH MCOs, two PIPs were initiated as part of this requirement in 2020. For each PIP, PH MCOs are required to implement improvement actions and to conduct follow-up in order to demonstrate initial and sustained improvement or the need for further action.

As part of the EQR PIP cycle that was initiated for all PH MCOs in 2020, PH MCOs were required to implement two internal PIPs in priority topic areas chosen by DHS. For this PIP cycle, two topics were selected: "Preventing Inappropriate Use or Overuse of Opioids" and "Reducing Potentially Preventable Hospital Admissions and Readmissions and Emergency Department Visits."

"Preventing Inappropriate Use or Overuse of Opioids" was selected in light of the growing epidemic of accidental drug overdose in the United States, which is currently the leading cause of death in those under 50 years old living in the United States. In light of this, governmental regulatory agencies have released multiple regulatory measures and societal recommendations in an effort to decrease the number of opioid prescriptions. PA DHS has sought to implement these measures as quickly as possible to impact its at-risk populations. While these measures are new and there is currently little historical data on these measures as of 2020, it remains a priority that future trends are monitored. MCOs were encouraged to develop aim statements, or objectives, for this project that look at preventing overuse/overdose, promoting treatment options, and reducing stigma. Since the HEDIS Risk of Continued Opioid Use (COU) and CMS Adult Core Set Concurrent Use of Opioids and Benzodiazepines (COB) measures were first-year measures in 2019, a comparison to the national average was not available at project implementation. However, in PA, Use of Opioids at High Dosage (HDO) was found to be better than the national average for 2019, while Use of Opioids from Multiple Providers (UOP) was worse. The HEDIS UOP measure was worse than the national average for all three indicators: four or more prescribers, four or more pharmacies, and four or more prescribers and pharmacies.

In addition to increased collection of national measures, DHS has implemented mechanisms to examine other issues related to opioid use disorder (OUD) and coordinated treatment. In 2016, the governor of PA implemented the Centers of Excellence (COE) for Opioid Use Disorder program. Prior to COE implementation, 48% of Medicaid enrollees received OUD treatment, whereas after one year of implementation, 71% received treatment. Additionally, the DHS Quality Care Hospital Assessment Initiative, which focuses on ensuring access to quality hospital services for Pennsylvania Medical Assistance (MA) beneficiaries, was reauthorized in 2018 and included the addition of an Opioid Use Disorder (OUD) incentive. The incentive, based on follow up within 7 days for opioid treatment after a visit to the emergency department (ED) for opioid use disorder, allows hospitals the opportunity to earn incentives by implementing defined clinical pathways to help them get more individuals with OUD into treatment. The DHS also worked with the University of Pittsburgh to analyze OUD treatment, particularly MAT, for PA Medicaid enrollees. Among the findings presented in January 2020 were that the number of Medicaid enrollees receiving medication for OUD more than doubled from 2014–2018, and that the increase was driven by office-based prescriptions for buprenorphine or naltrexone. This was seen for nearly all demographic sub-groups and was higher for rural areas. Similarly, under the Drug and Treatment Act (DATA), prescription rates for buprenorphine have increased. This act allows qualifying practitioners to prescribe buprenorphine for OUD treatment from 30 up to 275 patients and is another component of DHS' continuum of care.

Because opioid misuse and abuse is a national crisis, and due to the impact this has had particularly on PA, the new PH PIP is centered on opioids in the following four common outcome objectives: opioid prevention, harm reduction, coordination/facilitation into treatment, and increase medicated-assisted treatment (MAT) utilization. For this PIP, the

four outcome measures discussed above will be collected, and in consideration of the initiatives already implemented in PA, three process-oriented measures related to these initiatives will also be collected, focusing on the percentage of individuals with OUD who get into MAT, the duration of treatment for those that get into MAT, and follow-up after an emergency department (ED) visit for OUD. MCOs will define these three measures for their PIPs.

For this PIP, OMAP has required all PH MCOs to submit the following measures on an annual basis:

- Use of Opioids at High Dosage (HDO HEDIS)
- Use of Opioids from Multiple Providers (UOP HEDIS)
- Risk of Continued Opioid Use (COU HEDIS)
- Concurrent Use of Opioids and Benzodiazepines (COB CMS Adult Core Set)
- Percent of Individuals with OUD who receive MAT (MCO-defined)
- Percentage of adults > 18 years with pharmacotherapy for OUD who have (MCO-defined):
  - o at least 90 and;
  - o 180 days of continuous treatment
- Follow-up treatment within 7 days after ED visit for Opioid Use Disorder (MCO-defined)

Additionally, MCOs are expected to expand efforts to address health disparities in their populations. MCOs were instructed to identify race and ethnicity barriers and identify interventions that will be implemented to remediate the barriers identified.

"Reducing Potentially Preventable Hospital Admissions and Readmissions and Emergency Department Visits" was selected again due to several factors. General findings and recommendations from the PA Rethinking Care Program (RCP) – Serious Mental Illness (SMI) Innovation Project (RCP-SMI) and Joint PH/BH Readmission projects, as well as overall statewide readmission rates and results from several applicable HEDIS and PA Performance Measures across multiple years have highlighted this topic as an area of concern to be addressed for improvement. For the recently completed Readmissions PIP, several performance measures targeted at examining preventable hospitalizations and ED visits were collected, including measures collected as part of the PH-MCO and BH-MCO Integrated Care Plan (ICP) Program Pay-for-Performance (P4P) Program, which was implemented in 2016 to address the needs of individuals with severe and persistent mental illness (SPMI). From PIP reporting years 2016 to 2019, results were varied across measures and MCOs. Additionally, from 2017 to 2019, the ICP performance measures targeting the SPMI population showed inconsistent trends and little to no improvement in reducing hospitalizations and ED visits.

Research continues to indicate multiple factors that can contribute to preventable admissions and readmissions as well as the link between readmissions and mental illness. Additionally, within PA, there are existing initiatives that lend themselves to integration of care and targeting preventable hospitalizations and can potentially be leveraged for applicable interventions. The Patient-Centered Medical Home (PCMH) model of patient care, which focuses on the whole person, taking both the individual's PH and behavioral health (BH) into account, has been added to the HealthChoices Agreement. The DHS Quality Care Hospital Assessment Initiative focuses on ensuring access to quality hospital services for PA MA beneficiaries. Under this initiative, the Hospital Quality Incentive Program (HQIP) builds off existing DHS programs: MCO P4P, Provider P4P within HealthChoices PH, and the ICP Program. It focuses on preventable admissions and provides incentives for annual improvement or against a state benchmark.

Given the PA DHS initiatives that focus on coordination and integration of services and the inconsistent improvement on several metrics, it has become apparent that continued intervention in this area of healthcare for the HealthChoices population is warranted. MCOs were encouraged to develop aim statements for this project that look at reducing potentially avoidable ED visits and hospitalizations, including admissions that are avoidable initial admissions and readmissions that are potentially preventable.

For this PIP, OMAP has required all PH MCOs to submit the following core measures on an annual basis:

- Ambulatory Care (AMB): ED Utilization (HEDIS)
- Inpatient Utilization—General Hospital/Acute Care (IPU): Total Discharges (HEDIS)
- Plan All-Cause Readmissions (PCR HEDIS)

- PH MCOs were given the criteria used to define the SPMI population, and will be collecting each of the following ICP measures using data from their own systems:
  - Initiation and Engagement of Alcohol and Other Drug Dependence Treatment (MCO Defined)
  - Emergency Room Utilization for Individuals with SPMI (MCO Defined)
  - o Inpatient Admission Utilization for Individuals with SPMI (MCO Defined)
  - Adherence to Antipsychotic Medications for Individual with Schizophrenia (MCO Defined)
  - Inpatient 30-Day Readmission Rate for Individuals with SPMI (MCO Defined)

Additionally, MCOs are expected to expand efforts to address health disparities in their populations. MCOs were instructed to identify race/ethnicity barriers and identify interventions that will be implemented to remediate the barriers identified.

These PIPs will extend from January 2019 through December 2022. With research beginning in 2019, initial PIP proposals were developed and submitted in third quarter 2020, with a final report due in October 2023. The non-intervention baseline period was January 2019 to December 2019. Following the formal PIP proposal, the timeline defined for the PIPs includes interim reports in October 2021 and October 2022, as well as a final report in October 2023. For the current review year, 2022, interim reports were due in October. These proposals underwent initial review by IPRO, and feedback was provided to plans, with a timeline to resubmit to address areas of concern.

For each PIP, all PH MCOs shared the same baseline period and timeline defined for that PIP. To introduce each PIP cycle, DHS provided specific guidelines that addressed the PIP submission schedule, the measurement period, documentation requirements, topic selection, study indicators, study design, baseline measurement, interventions, re-measurement, and sustained improvement. Direction was given with regard to expectations for PIP relevance, quality, completeness, resubmissions, and timeliness.

As part of the new EQR PIP cycle that was initiated for all Medicaid MCOs in 2020, IPRO adopted the Lean methodology, following the CMS recommendation that quality improvement organizations (QIOs) and other healthcare stakeholders embrace Lean in order to promote continuous quality improvement in healthcare.

All PH MCOs were required to submit their projects using a standardized PIP template form, which is consistent with the CMS protocol for *Conducting Performance Improvement Projects*. These protocols follow a longitudinal format and capture information relating to:

- Activity Selection and Methodology
- Data/Results
- Analysis Cycle
- Interventions

# **Technical Methods of Data Collection and Analysis**

IPRO's validation process begins at the PIP proposal phase and continues through the life of the PIP. During the conduct of the PIPs, IPRO provides technical assistance to each MCO. The technical assistance includes feedback.

CMS's *Protocol 1. Validation of Performance Improvement Projects* was used as the framework to assess the quality of each PIP, as well as to score the compliance of each PIP with both federal and state requirements. IPRO's assessment involves the following 10 elements:

- 1. Review of the selected study topic(s) for relevance of focus and for relevance to the MCO's enrollment.
- 2. Review of the study question(s) for clarity of statement.
- 3. Review of the identified study population to ensure it is representative of the MCO's enrollment and generalizable to the MCO's total population.
- 4. Review of selected study indicator(s), which should be objective, clear, unambiguous, and meaningful to the focus of the PIP.
- 5. Review of sampling methods (if sampling used) for validity and proper technique.
- 6. Review of the data collection procedures to ensure complete and accurate data were collected.

- 7. Review of the data analysis and interpretation of study results.
- 8. Assessment of the improvement strategies for appropriateness.
- 9. Assessment of the likelihood that reported improvement is "real" improvement.
- 10. Assessment of whether the MCO achieved sustained improvement.

Following the review of the listed elements, the review findings are considered to determine whether the PIP outcomes should be accepted as valid and reliable.

Scoring elements and methodology are utilized during the intervention and sustainability periods. Measurement years (MYs) 2019 and 2020 were the baseline year and proposal year. MY 2021 was the first interim review year, and elements were reviewed and scored at multiple points during the year once interim reports were submitted. All MCOs received some level of guidance towards improving their projects in these findings, and MCOs responded accordingly with resubmission to correct specific areas. MY 2022 was the second interim review year, and elements were reviewed and scored once interim reports were submitted in October 2022. These initial review findings are included in each MCO's technical report, although MCOs continue to respond and resubmit as applicable to correct specific areas.

For each review element, the assessment of compliance is determined through the weighted responses to each review item. Each element carries a separate weight. Scoring for each element is based on full, partial and non-compliance. Points can be awarded for the two phases of the project noted above and combined to arrive at an overall score. The overall score is expressed in terms of levels of compliance. For the current PIPs, compliance levels were assessed, but no formal scoring was provided.

**Table 1.1** presents the terminologies used in the scoring process, their respective definitions, and their weight percentage.

Table 1.1: Element Designation

Element Designation									
Element Definition Weight									
Met	Met or exceeded the element requirements	100%							
Partially Met	Met essential requirements but is deficient in some areas	50%							
Not Met	Has not met the essential requirements of the element	0%							

When the PIPs are reviewed, all projects are evaluated for the same elements. The scoring matrix is completed for those review elements where activities have occurred during the review year. At the time of the review, a project can be reviewed for only a subset of elements. It will then be evaluated for other elements at a later date, according to the PIP submission schedule. At the time each element is reviewed, a finding is given of "Met," "Partially Met," or "Not Met." Elements receiving a "Met" will receive 100% of the points assigned to the element, "Partially Met" elements will receive 50% of the assigned points, and "Not Met" elements will receive 0%.

# **Findings**

To encourage focus on improving the quality of the projects, PIPs were assessed for compliance on all applicable elements, but were not formally scored. However, the multiple levels of activity and collaboration between DHS, the PH MCOs, and IPRO continued and progressed throughout the implementation of the PIP cycle during the review year.

The Readmission PIP topic was chosen again due to mixed results across MCOs for the current PIP and because the ICP program remains an important initiative. The Opioid PIP was chosen to address the critical issue of increasing opioid use. Following selection of the topics, IPRO worked with DHS to refine the focus and indicators.

For the Readmission PIP, DHS determined that the ICP measures would be defined and collected by the MCOs for the PIP. This was done to address challenges with the previous PIP and to give MCOs more control and increased ability to implement interventions to directly impact their population. Rates for the ICP program are calculated by IPRO annually during late fourth quarter, using PA PROMISe™ encounters submitted by both the PH MCOs and the BH MCOs. Because 2022 External Quality Review Report: UnitedHealthcare

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the rates are produced late in the year, and because PH MCOs do not have consistent access to BH encounter data, MCOs have experienced some difficulty implementing interventions to have a timely impact on their population. However, to keep the ICP population consistent, MCOs were provided with the methodology used in the program to define members with SPMI. Additionally, as discussions continued around the multiple factors that contribute to preventable admission and readmission, DHS requested that discussion of social determinants of health (SDoH) be included, as the conditions in the places where people live, learn, work, and play affect a wide range of health risks and outcomes; differences in health are striking in communities with poor SDoH.

For the Opioid PIP, in order to develop a comprehensive project, DHS initially selected several measures to focus not only on opioid use but also on measures that might be impacted by changes in opioid use. IPRO researched opioid PIPs in other states and discovered that most attempted to first focus on impacting opioid use metrics. This, coupled with Lean guidance that suggests the use of fewer measures to target interventions and change more directly, led to the selection of HEDIS and CMS opioid-related measures. Upon further internal discussion, DHS wanted to ensure that MCOs were using and incorporating DHS opioid-related initiatives, including the PA Centers of Excellence (COE) for Opioid Use Disorder program and incentives under the DHS Quality Care Hospital Assessment Initiative. To this end, DHS added three process oriented measures related to current PA initiatives.

For both PIPs, in light of the current health crisis and ongoing adverse impacts, DHS required MCOs to expand efforts to address health disparities. For a number of the PIP indicators, the PH MCOs already provide member level data files that are examined by race/ethnicity breakdowns and are part of ongoing quality discussions between DHS and PH MCOs. To expand on this for each PIP project, PH MCOs were instructed that they will need to identify race/ethnicity barriers and identify interventions that will be implemented to remediate the barriers identified.

Throughout 2022, the third year of the cycle, there were several levels of communication provided to MCOs after their first interim submissions and in preparation for their second Interim submissions, including:

- MCO-specific review findings for each PIP, including detailed information to assist MCOs in preparing their next interim resubmissions.
- Conference calls as requested with each MCO to discuss the PIP interim review findings with key MCO staff assigned to each PIP topic.

In response to the feedback provided, MCOs were requested to revise and resubmit their documents to address the identified issues and to review again. PIP-specific calls were held with each MCO that experienced continued difficulty, attended by both DHS and IPRO. Additionally, as needed, PA DHS discusses ongoing issues with MCOs as part of their regularly scheduled monitoring calls. As noted above, for the current review year, 2022, MCOs were requested to submit a Project Interim Report, including updated rates and interventions. Review teams consisted of one clinical staff member and one analytical staff member. Following initial review, MCOs were asked to update their submission according to the recommendations noted in the findings. **Table A.1.1** of the MCO's interventions for the project can be found in the **Appendix** of this report.

# **Preventing Inappropriate Use or Overuse of Opioids**

UnitedHealthcare's (UHC's) baseline proposal demonstrated that the topic reflects high-volume/high-risk conditions for the population under review. The MCO provided statistics that quantified membership with OUD and further characterized opioid use by race, gender, and geographic location.

UHC provided detailed aims and objectives statements, in which they described the interventions they planned to implement, the targeted populations of the interventions, and how the interventions would improve rates for the performance indicators. UHC selected bold target goals for some measures. Where target goals were more modest, the MCO provided a rationale.

For the Preventing Inappropriate Use or Overuse of Opioids PIP, seven performance measures were predetermined by DHS and were identified in the template distributed across MCOs, some with multiple indicators. Four measures are to be collected via HEDIS or the CMS Core Set. The remaining three were to be defined by the MCO. The information provided

by UHC for all measures demonstrates that they are clearly defined and measurable. Following reviewer recommendation, the MCO provided more detail for the MCO-defined indicators. The indicators measure changes in health status, functional status, and satisfaction or processes of care with strong associations with improved outcomes. UHC stated plans to measure the indicators consistently over time, in order to provide a clear trend with potential actionable information. Additionally, the MCO's study design specifies data collection methodologies that are valid and reliable, along with robust data analysis procedures.

The MCO's barriers for improvement were identified through data analysis and quality improvement processes. UHC highlighted seven robust interventions that were informed by the barrier analysis, and which targeted member, provider, and MCO levels. Further, the interventions involved outreach to members, coordination of transfer of care, and several programs and therapies to address the lack of members' knowledge on and motivation towards treatment, provider knowledge and appropriate use of clinical practice guidelines, resources for SUD treatment, and availability of medication for particular populations, such as pregnant women of color. Related to the last intervention, UHC provided justification as requested, for the expansion of SUD Maternal Health Homes.

In October 2021, UHC submitted an Interim report for this project and reported improvement that surpassed their established goals during the Proposal period. In response, the MCO revised four of its goals at the Interim reporting period. The MCO included initial data for intervention tracking measures (ITMs) across all interventions, with some measures showing declining trends over each quarter.

UHC clearly reported its results of the PIP thus far, including bar graphs which described reporting progress in relationship to target goals. Rates for four submeasures demonstrated improvement and exceeded target goals; UHC revised targets for these measures. Availability, motivation, and access to care due to the 2019 novel coronavirus (COVID-19) were identified by the MCO as a factor in results. However, transitions to telehealth and telephonic outreach were expected to have improved after initial decline.

In October 2022, the MCO submitted a second Interim report for this project. Further detail was provided addressing the impact of COVID-19 and role of telehealth, with a 30% increase in telehealth utilization noted between MY 2020 and MY 2021. In addition to an increase in some ITMs, several successful interventions were expanded. The plan noted ongoing quarterly workgroup activity to review indicators to enhance performance indicator outcomes. Reviewers observed there were comments regarding the expansion of successful interventions, but detail was lacking regarding other opportunities to improve less successful interventions and requested specifics regarding this group's work. Reviewers also noted that the ITM 6 denominator (members referred to the Siloam Program) remained quite low and asked if analysis has been conducted as to why the referrals are low, particularly given that this is a unique intervention that has potential to impact more members.

The results presented indicated that seven of the twelve performance indicators demonstrated improvement with six of those showing greater improvement than in the prior MY. Within the Discussion section, reviewers noted that updates included the new MY of data with successes noted but did not include an assessment of the barriers to Indicator 6b, which significantly decreased from 30.40% (MY 2020) to 9.7% (MY 2021) with a target of 45.45%.

Recommendations were provided to the plan in light of these Interim findings, as noted below. **Table A.1.1** of the MCO's interventions for the project can be found in the **Appendix** of this report.

The following recommendations were identified during the second Interim Report review process:

- It was recommended that the MCO provide an assessment of barriers to Indicator 6b, particularly given the decrease of more than 20 percentage points.
- It was recommended that the plan double check data and update all tables and footnotes/citations as applicable and indicated in the findings..

# Reducing Potentially Preventable Hospital Admissions, Readmissions and ED Visits

UHC's baseline proposal for this PIP topic included baseline rates with the potential for meaningful impact on member health, functional status, and satisfaction for the population at hand. The MCO provided data specific to their members' utilization of ED, utilization of acute inpatient care, and hospital readmissions. This support demonstrated that the maximum proportion of members in their population would be impacted by the interventions outlined.

The aim and objectives statements that the MCO provided specified performance indicators for improvement with corresponding goals, and objectives that align the aim and goals with the interventions that were developed. The objectives target UHC members that are at an increased risk, including members with SPMI, diabetes, respiratory conditions, and/or members with co-morbid conditions.

Similar to the Preventing Inappropriate Use or Overuse of Opioids PIP, for the Reducing Potentially Preventable Hospital Admissions, Readmissions, and ED visits PIP, DHS selected eight performance measures to be included in the PIPs across all MCOs. Three measures are to be collected via HEDIS. The remaining five, all ICP measures, are to be defined by the MCO with certain predetermined parameters. UHC addressed all recommended clarifications. The performance indicators are clearly defined, measurable, and they measure changes in health status, functional status, and satisfaction or processes of care with strong associations with improved outcomes. UHC noted plans to measure the indicators consistently over time, in order to provide a clear trend with potential actionable information. Additionally, the MCO's study design specifies data collection methodologies that are valid and reliable, along with robust data analysis procedures.

The barrier analysis and subsequent barriers were identified through data analysis and quality improvement processes. UHC provided twelve robust member and provider interventions with active outreach and education to address the identified barriers. Strengths of the PIP included the large number of interventions and variety of approaches that address the performance indicators by focusing on high-risk diagnosis and high-risk populations. Interventions were directed towards addressing barriers such as provider lack of information on patient compliance including ED utilization, inpatient admission, and readmission, member knowledge deficit regarding urgent vs emergent care and available resources, member knowledge deficit regarding self-management of chronic diagnosis, and fragmented care involving multiple providers without coordination of care.

In October 2021, UHC submitted an Interim report for this project. The plan included an updated target goal for Indicator 1 (Ambulatory Care: Emergency Department Visits) in light of exceptional performance that surpassed the original goal. In addition, during Proposal review it was noted that the MCO developed Aims that address the African American community. The MCO was encouraged at Interim review to include explanation and data to support the statement that this community is a high-risk population for the Indicators in this PIP. Regarding the interventions the plan developed and reported on, UHC provided clear and thorough interpretation of improvement and decreases for associated ITMs. Barriers informing interventions such as patient and provider education could not be added as measurable interventions. The MCO was encouraged to consider ways in which other interventions could be implemented when barriers are identified.

UHC showed improvement in five of the nine performance indicators and reasons for varying ITM performances were well-defined. One indicator exceeded the target goal, and UHC subsequently revised the goal. The MCO also included explanations for changes in baseline numbers/calculations for Indicators 5 and 6. In the Discussion section, UHC provided clear and thorough analysis of results, affecting factors, and several additional barriers found.

In October 2022, the MCO submitted a second Interim report for this project. The reviewers observed the steady decline in percentage of provider verification calls completed in MY 2021 (ITM 3) and that the plan noted the impact of COVID-19 and a shift to telehealth. Reviewers asked the plan to clarify how this shift is related to an ITM that was initially designed as a phone call. Re: ITM 4, the plan explained the decreased rate of this ITM also to be related to the COVID-19 impact. Reviewers asked the plan to clarify how the shift from a text program to a video modality is attributable to COVID-19. Reviewers also asked the plan to elaborate on its discussion that the change to video encouraged members beyond the targeted population to use the service and therefore led to the decline in rate. Reviewers stated that the numerator and denominator definitions are not limited to a subset of members and it is unclear how this shift impacted the rate, adding

that this is a unique intervention with potential to have a significant impact. Regarding Intervention 6 (partnership with LEMSA), the plan discussed it as successful but indicated that it was ending in September 2022. Reviewers asked the plan to provide more information on the updated end date.

Results were presented and improvement in five of nine performance indicators was noted when comparing MY 2021 to MY 2020. Improvement was also noted in five of nine performance indicators compared to the baseline period. Reviewers commented that the plan included thoughtful discussion that addressed successes and possible impact of COVID-19, including fewer available primary care provider (PCP) appointments and member hesitancy for in-person evaluations. Recommendations were provided to the plan in light of these Interim findings, as noted below. **Table A.1.1** of the MCO's interventions for the project can be found in the **Appendix** of this report.

The following recommendations were identified during the Interim Report review process:

- It was recommended that the plan provide more information regarding the discussions of the impact of COVID-19 for ITMs 3 and 4.
- It was recommended that the plan provide more information on the updated end date for Intervention 6.
- It was recommended that the plan update all tables and footnotes/citations as applicable and indicated in the findings.

UHC's Second Interim Report compliance assessment by review element is presented in Table 1.2.

Table 1.2: UHC PIP Compliance Assessments

Review Element	Preventing Inappropriate Use or Overuse of Opioids	Reducing Potentially Preventable Hospital Admissions, Readmissions and ED Visits
1. Project Topic	Met	Met
2. Methodology	Met	Partially Met
Barrier Analysis, Interventions and     Monitoring	Partially Met	Partially Met
4. Results	Met	Partially Met
5. Discussion	Partially Met	Met
6. Next Steps	N/A	N/A
7. Validity and Reliability of PIP Results	N/A	N/A

PIP: performance improvement project; ED: emergency department; N/A: not applicable.

# **II: Performance Measures and CAHPS Survey**

# **Objectives**

IPRO validated Adult and Child Core Set and PA-specific performance measures, as well as HEDIS data for each of the Medicaid PH MCOs.

The MCOs were provided with final specifications for the PA Performance Measures from February 2022 to July 2022. Source code, raw data, and rate sheets were submitted by the MCOs to IPRO for review in 2022. A staggered submission was implemented for the performance measures. IPRO conducted an initial validation of each measure including source code review and provided each MCO with formal written feedback. The MCOs were then given the opportunity for resubmission, if necessary, with a limit of four total submissions. Additional resubmissions required discussion with and approval from DHS. Pseudo code was reviewed by IPRO. Raw data were also reviewed for reasonability, and IPRO ran code against these data to validate that the final reported rates were accurate. Additionally, MCOs were provided with comparisons to the previous year's rates and were requested to provide explanations for highlighted differences. For measures reported as percentages, differences were highlighted for rates that were statistically significant and displayed at least a 3-percentage-point difference in observed rates. For measures not reported as percentages (e.g., adult admission measures), differences were highlighted based only on statistical significance, with no minimum threshold.

HEDIS MY 2021 measures were validated through a standard HEDIS compliance audit of each PH MCO. The audit protocol includes pre-onsite review of the HEDIS Roadmap, onsite interviews with staff and a review of systems, and post-onsite validation of the Interactive Data Submission System (IDSS). For HEDIS MY 2021, audit activities continued to be performed virtually due to the public health emergency. A Final Audit Report was submitted to NCQA for each MCO. Because the PA-specific performance measures rely on the same systems and staff, no separate review was necessary for validation of PA-specific measures. IPRO conducts a thorough review and validation of source code, data, and submitted rates for the PA-specific measures.

Evaluation of MCO performance is based on both PA-specific performance measures and selected HEDIS measures for the EQR. It is DHS's practice to report all first-year performance measures for informational purposes. Relevant context regarding reported rates or calculated averages is provided as applicable, including any observed issues regarding implementation, reliability, or variability among MCOs. Additional discussion regarding MCO rates that differ notably from other MCOs will be included in the MCO-specific findings as applicable. A list of the performance measures included in this year's EQR report is presented in **Table 2.1**.

Table 2.1: Performance Measure Groupings

Source	Measures							
Access to	/Availability of Care							
HEDIS	Adults' Access to Preventive/Ambulatory Health Services (Ages 20–44 years)							
HEDIS	Adults' Access to Preventive/Ambulatory Health Services (Ages 45–64 years)							
HEDIS	Adults' Access to Preventive/Ambulatory Health Services (Ages 65+ years)							
PA EQR	Use of First-Line Psychosocial Care for Children and Adolescents on Antipsychotics (Ages 1 to 11 years)							
PA EQR	Use of First-Line Psychosocial Care for Children and Adolescents on Antipsychotics (Ages 12 to 17 years)							
PA EQR	Use of First-Line Psychosocial Care for Children and Adolescents on Antipsychotics (Total Ages 1 to 17 years)							
Well-Car	e Visits and Immunizations							
HEDIS	Well-Child Visits in the First 30 Months of Life (Ages 15 months ≥ 6 Visits)							
HEDIS	Well-Child Visits in the First 30 Months of Life (Ages 15 to 30 months ≥ 2 visits)							
HEDIS	Child and Adolescent Well-Care Visits (Ages 3 to 11 years)							
HEDIS	Child and Adolescent Well-Care Visits (Ages 12 to 17 years)							
HEDIS	Child and Adolescent Well-Care Visits (Ages 18 to 21 years)							
HEDIS	Child and Adolescent Well-Care Visits (Total)							
HEDIS	Childhood Immunizations Status (Combination 3)							
HEDIS	Childhood Immunizations Status (Combination 7)							
HEDIS	Childhood Immunizations Status (Combination 10)							

Source	Measures
LIEDIC	Weight Assessment and Counseling for Nutrition and Physical Activity for Children/Adolescents—Body Mass
HEDIS	Index: Percentile (Ages 3–11 years)
LIEDIC	Weight Assessment and Counseling for Nutrition and Physical Activity for Children/Adolescents—Body Mass
HEDIS	Index: Percentile (Ages 12–17 years)
LIEDIC	Weight Assessment and Counseling for Nutrition and Physical Activity for Children/Adolescents—Body Mass
HEDIS	Index: Percentile (Total)
LIEDIC	Weight Assessment and Counseling for Nutrition and Physical Activity for Children/Adolescents—Counseling
HEDIS	for Nutrition (Ages 3–11 years)
LIEDIG	Weight Assessment and Counseling for Nutrition and Physical Activity for Children/Adolescents—Counseling
HEDIS	for Nutrition (Ages 12–17 years)
	Weight Assessment and Counseling for Nutrition and Physical Activity for Children/Adolescents—Counseling
HEDIS	for Nutrition (Total)
	Weight Assessment and Counseling for Nutrition and Physical Activity for Children/Adolescents—Counseling
HEDIS	for Physical Activity (Ages 3–11 years)
LIEDIC	Weight Assessment and Counseling for Nutrition and Physical Activity for Children/Adolescents—Counseling
HEDIS	for Physical Activity (Ages 12–17 years)
LIEDIC	Weight Assessment and Counseling for Nutrition and Physical Activity for Children/Adolescents—Counseling
HEDIS	for Physical Activity (Total)
HEDIS	Immunizations for Adolescents (Combination 1)
EPSDT: So	creenings and Follow-up
HEDIS	Lead Screening in Children (Age 2 years)
HEDIS	Follow-up Care for Children Prescribed Attention Deficit/Hyperactivity Disorder (ADHD) Medication—
ПЕДІЗ	Initiation Phase
HEDIS	Follow-up Care for Children Prescribed Attention Deficit/Hyperactivity Disorder (ADHD) Medication—
TILDIS	Continuation and Maintenance Phase
PA EQR	Follow-up Care for Children Prescribed Attention-Deficit/Hyperactivity Disorder (ADHD) Medication (BH
TALQI	Enhanced)—Initiation Phase
PA EQR	Follow-up Care for Children Prescribed Attention-Deficit/Hyperactivity Disorder (ADHD) Medication (BH
	Enhanced)—Continuation and Maintenance Phase
PA EQR	Developmental Screening in the First Three Years of Life—Total
PA EQR	Developmental Screening in the First Three Years of Life—1 year
PA EQR	Developmental Screening in the First Three Years of Life—2 years
PA EQR	Developmental Screening in the First Three Years of Life—3 years
Behavior	
PA EQR	Follow-up After Emergency Department Visit for Mental Illness (Ages 18 to 64 years—ED visits for mental
	illness, follow-up within 7 days)
PA EQR	Follow-up After Emergency Department Visit for Mental Illness (Ages 18 to 64 years—ED visits for mental
	illness, follow-up within 30 days)
PA EQR	Follow-up After Emergency Department Visit for Alcohol and Other Drug Abuse or Dependence (Ages 18 to
	64 years—ED visits for AOD abuse or dependence, follow-up within 7 days)
PA EQR	Follow-up After Emergency Department Visit for Alcohol and Other Drug Abuse or Dependence (Ages 18 to
	64 years—ED visits for AOD abuse or dependence, follow-up within 30 days)
PA EQR	Follow-up After Emergency Department Visit for Alcohol and Other Drug Abuse or Dependence (Ages 65
	years and older—ED visits for AOD abuse or dependence, follow-up within 7 days)
PA EQR	Follow-up After Emergency Department Visit for Alcohol and Other Drug Abuse or Dependence (Ages 65
	years and older—ED visits for AOD abuse or dependence, follow-up within 30 days)
PA EQR	Follow-up After Emergency Department Visit for Mental Illness (Ages 65 years and older—ED visits for
	mental illness, follow-up within 7 days)
PA EQR	Follow-up After Emergency Department Visit for Mental Illness (Ages 65 years and older—ED visits for
	mental illness, follow-up within 30 days)

Source	Measures
	are for Children and Adults
HEDIS	Annual Dental Visit (Ages 2–20 years)
PA EQR	Annual Dental Visits for Members with Developmental Disabilities (Ages 2–20 years)
PA EQR	Sealant Receipt on Permanent First Molars (≥ 1 molar)
PA EQR	Sealant Receipt on Permanent First Molars (All 4 molars)
PA EQR	Adult Annual Dental Visit ≥ 21 Years (Ages 21–35 years)
PA EQR	Adult Annual Dental Visit ≥ 21 Years (Ages 36–59 years)
PA EQR	Adult Annual Dental Visit ≥ 21 Years (Ages 60–64 years)
PA EQR	Adult Annual Dental Visit ≥ 21 Years (Ages 65 years and older)
PA EQR	Adult Annual Dental Visit ≥ 21 Years (Ages 35 years and older)  Adult Annual Dental Visit ≥ 21 Years (Ages 21 years and older)
PA EQR	Adult Annual Dental Visit: Women with a Live Birth (Ages 21–35 years)
PA EQR	Adult Annual Dental Visit: Women with a Live Birth (Ages 36–59 years)
PA EQR	Adult Annual Dental Visit: Women with a Live Birth (Ages 21–59 years)
PA EQR	Oral Evaluation, Dental Services (Ages < 1–20 years)
	Topical Fluoride for Children (Dental/Oral Health Services)
PA EQR	Topical Fluoride for Children (Dental Services)  Topical Fluoride for Children (Dental Services)
PA EQR	
PA EQR	Topical Fluoride for Children (Oral Health Services)
Women's	
HEDIS	Breast Cancer Screening (Ages 50–74 years)
HEDIS	Cervical Cancer Screening (Ages 21–64 years)
HEDIS	Chlamydia Screening in Women (Ages 16–20 years)
HEDIS	Chlamydia Screening in Women (Ages 21–24 years)
HEDIS	Chlamydia Screening in Women (Total)
HEDIS	Non-Recommended Cervical Cancer Screening in Adolescent Females
PA EQR	Contraceptive Care for All Women: Provision of most or moderately effective contraception (Ages 15 to 20 years)
PA EQR	Contraceptive Care for All Women: Provision of LARC (Ages 15 to 20 years)
PA EQR	Contraceptive Care for All Women: Provision of most or moderately effective contraception (Ages 21 to 44
	years)
PA EQR	Contraceptive Care for All Women: Provision of LARC (Ages 21 to 44 years)
PA EQR	Contraceptive Care for Postpartum Women: Most or moderately effective contraception—3 days (Ages 15
,	to 20 years)
PA EQR	Contraceptive Care for Postpartum Women: Most or moderately effective contraception—60 days (Ages 15
	to 20 years)
PA EQR	Contraceptive Care for Postpartum Women: LARC—3 days (Ages 15 to 20 years)
PA EQR	Contraceptive Care for Postpartum Women: LARC—60 days (Ages 15 to 20 years)
PA EQR	Contraceptive Care for Postpartum Women: Most or moderately effective contraception—3 days (Ages 21
	to 44 years)
PA EQR	Contraceptive Care for Postpartum Women: Most or moderately effective contraception—60 days (Ages 21
,	to 44 years)
PA EQR	Contraceptive Care for Postpartum Women: LARC—3 days (Ages 21 to 44 years)
PA EQR	Contraceptive Care for Postpartum Women: LARC—60 days (Ages 21 to 44 years)
	and Neonatal Care
HEDIS	Prenatal and Postpartum Care—Timeliness of Prenatal Care
HEDIS	Prenatal and Postpartum Care—Postpartum Care
	Prenatal Screening for Smoking and Treatment Discussion During a Prenatal Visit: Prenatal Screening for
PA EQR	Smoking
	Prenatal Screening for Smoking and Treatment Discussion During a Prenatal Visit: Prenatal Screening for
PA EQR	Smoking during one of the first two visits (CHIPRA indicator)
<u> </u>	J

Source	Measures
	Prenatal Screening for Smoking and Treatment Discussion During a Prenatal Visit: Prenatal Screening for
PA EQR	Environmental Tobacco Smoke Exposure (ETS)
DA FOR	Prenatal Screening for Smoking and Treatment Discussion During a Prenatal Visit: Prenatal Counseling for
PA EQR	Smoking
PA EQR	Prenatal Screening for Smoking and Treatment Discussion During a Prenatal Visit: Prenatal Counseling for
	Environmental Tobacco Smoke Exposure (ETS)
PA EQR	Prenatal Screening for Smoking and Treatment Discussion During a Prenatal Visit: Prenatal Smoking
PAEQR	Cessation
PA EQR	Perinatal Depression Screening: Prenatal Screening for Depression
PA EQR	Perinatal Depression Screening: Prenatal Screening for Depression during one of the first two visits (CHIPRA
TALQI	indicator)
PA EQR	Perinatal Depression Screening: Prenatal Screening Positive for Depression
PA EQR	Perinatal Depression Screening: Prenatal Counseling for Depression
PA EQR	Perinatal Depression Screening: Postpartum Screening for Depression
PA EQR	Perinatal Depression Screening: Postpartum Screening Positive for Depression
PA EQR	Perinatal Depression Screening: Postpartum Counseling for Depression
	pry Conditions
HEDIS	Appropriate Testing for Pharyngitis (Ages 3–17 years)
HEDIS	Appropriate Testing for Pharyngitis (Ages 18–64 years)
HEDIS	Appropriate Testing for Pharyngitis (Ages 65 years and older)
HEDIS	Appropriate Testing for Pharyngitis (Total)
HEDIS	Appropriate Treatment for Upper Respiratory Infection (Ages 3 months–17 years)
HEDIS	Appropriate Treatment for Upper Respiratory Infection (Ages 18–64 years)
HEDIS	Appropriate Treatment for Upper Respiratory Infection (Ages 65 years and older)
HEDIS	Appropriate Treatment for Upper Respiratory Infection (Total)
HEDIS	Avoidance of Antibiotic Treatment for Acute Bronchitis/Bronchiolitis (Ages 3 months–17 years)
HEDIS	Avoidance of Antibiotic Treatment for Acute Bronchitis/Bronchiolitis (Ages 18–64 years)
HEDIS	Avoidance of Antibiotic Treatment for Acute Bronchitis/Bronchiolitis (Ages 65 years and older)
HEDIS	Avoidance of Antibiotic Treatment for Acute Bronchitis/Bronchiolitis (Total)
HEDIS	Use of Spirometry Testing in the Assessment and Diagnosis of COPD
HEDIS	Pharmacotherapy Management of COPD Exacerbation—Systemic Corticosteroid
HEDIS	Pharmacotherapy Management of COPD Exacerbation—Bronchodilator
HEDIS	Asthma Medication Ratio (Ages 5–11 years)
HEDIS	Asthma Medication Ratio (Ages 12–18 years)
HEDIS	Asthma Medication Ratio (Ages 19–50 years)
HEDIS	Asthma Medication Ratio (Ages 51–64 years)
HEDIS	Asthma Medication Ratio (Total)
PA EQR	Asthma in Children and Younger Adults Admission Rate (Ages 2–17 years)—Admissions per 100,000 member
	months
PA EQR	Asthma in Children and Younger Adults Admission Rate (Ages 18–39 years)—Admissions per 100,000
	member months
PA EQR	Asthma in Children and Younger Adults Admission Rate (Total Ages 2–39 years)—Admissions per 100,000
	member months  Chronic Obstructive Rulmonary Disease or Asthma in Older Adults Admission Pate (Ages 40 to 64 years)
PA EQR	Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Ages 40 to 64 years)— Admissions per 100,000 member months
	Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Ages 65 years and
PA EQR	older)—Admissions per 100,000 member months
	Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Total 40+ years)—
PA EQR	Admissions per 100,000 member months
Compreh	nensive Diabetes Care
Compici	Chorto Diapotes our

Source	Measures
HEDIS	Comprehensive Diabetes Care: Hemoglobin A1c (HbA1c) Testing
HEDIS	Comprehensive Diabetes Care: HbA1c Poor Control (> 9.0%)
HEDIS	Comprehensive Diabetes Care: HbA1c Control (< 8.0%)
HEDIS	Comprehensive Diabetes Care: Retinal Eye Exam
HEDIS	Comprehensive Diabetes Care: Blood Pressure Controlled < 140/90 mm Hg
DA 500	Diabetes Short-Term Complications Admission Rate (Ages 18–64 years)—Admissions per 100,000 member
PA EQR	months
PA EQR	Diabetes Short-Term Complications Admission Rate (Ages 65+ years)—Admissions per 100,000 member
PALQN	months
PA EQR	Diabetes Short-Term Complications Admission Rate (Total Ages 18+ years)—Admissions per 100,000
TALQN	member months
HEDIS	Statin Therapy for Patients With Diabetes: Received Statin Therapy
HEDIS	Statin Therapy for Patients With Diabetes: Statin Adherence 80%
PA EQR	Diabetes Care for People with Serious Mental Illness: Hemoglobin A1c (HbA1c) Poor Control (> 9.0%) (Ages
TALQN	Cohort: 18–64 Years of Age)
PA EQR	Diabetes Care for People with Serious Mental Illness: Hemoglobin A1c (HbA1c) Poor Control (> 9.0%) (Ages
TALQI	Cohort: 65–75 Years of Age)
PA EQR	Diabetes Care for People with Serious Mental Illness: Hemoglobin A1c (HbA1c) Poor Control (> 9.0%) (Ages
	Cohort: 18–75 Years of Age)
HEDIS	Kidney Health Evaluation for Patients With Diabetes (Ages 18–64 years)
HEDIS	Kidney Health Evaluation for Patients With Diabetes (Ages 65–74 years)
HEDIS	Kidney Health Evaluation for Patients With Diabetes (Ages 75–85 years)
HEDIS	Kidney Health Evaluation for Patients With Diabetes (Total Ages 18–85 years)
	scular Care
HEDIS	Persistence of Beta-Blocker Treatment After Heart Attack
HEDIS	Controlling High Blood Pressure (Total Rate)
PA EQR	Heart Failure Admission Rate (Ages 18–64 years)—Admissions per 100,000 member months
PA EQR	Heart Failure Admission Rate (Ages 65+ years)—Admissions per 100,000 member months
PA EQR	Heart Failure Admission Rate (Total Ages 18+ years)—Admissions per 100,000 member months
HEDIS	Statin Therapy for Patients With Cardiovascular Disease: Received Statin Therapy Ages 21–75 years (Male)
HEDIS	Statin Therapy for Patients With Cardiovascular Disease: Received Statin Therapy Ages 40–75 years (Female)
HEDIS	Statin Therapy for Patients With Cardiovascular Disease: Received Statin Therapy Total Rate
HEDIS	Statin Therapy for Patients With Cardiovascular Disease: Statin Adherence 80% Ages 21–75 years (Male)
HEDIS	Statin Therapy for Patients With Cardiovascular Disease: Statin Adherence 80% Ages 40–75 years (Female)
HEDIS	Statin Therapy for Patients With Cardiovascular Disease: Statin Adherence 80% Total Rate
HEDIS	Cardiovascular Monitoring for People With Cardiovascular Disease and Schizophrenia
HEDIS	Cardiac Rehabilitation Initiation: > 2 visits in 30 days (Ages 18–64 years)
HEDIS	Cardiac Rehabilitation Initiation: > 2 visits in 30 days (Ages 65 years and older)
HEDIS	Cardiac Rehabilitation Initiation: > 2 visits in 30 days (Total ages 18 years and older)
HEDIS	Cardiac Rehabilitation Engagement 1: > 12 visits in 90 days (Ages 18–64 years)
HEDIS	Cardiac Rehabilitation Engagement 1: > 12 visits in 90 days (Ages 65 years and older)
HEDIS	Cardiac Rehabilitation Engagement 1: > 12 visits in 90 days (Total ages 18 years and older)
HEDIS	Cardiac Rehabilitation Engagement 2: > 24 visits in 180 days (Ages 18–64 years)
HEDIS	Cardiac Rehabilitation Engagement 2: > 24 visits in 180 days (Ages 65 years and older)
HEDIS	Cardiac Rehabilitation Engagement 2: > 24 visits in 180 days (Total ages 18 years and older)
HEDIS	Cardiac Rehabilitation Achievement: > 36 visits in 180 days (Ages 18–64 years)
HEDIS	Cardiac Rehabilitation Achievement: > 36 visits in 180 days (Ages 65 years and older)
HEDIS	Cardiac Rehabilitation Achievement: > 36 visits in 180 days (Total ages 18 years and older)
Utilizatio	n

Source	Measures
HEDIS	Adherence to Antipsychotic Medications for Individuals with Schizophrenia
PA EQR	Adherence to Antipsychotic Medications for Individuals with Schizophrenia (BH Enhanced)
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose Testing (Ages 1–11
	years)
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose Testing (Ages 12–17
	years) Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose Testing (Total Ages 1–
HEDIS	17 years)
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Cholesterol Testing (Ages 1–11 years)
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Cholesterol Testing (Ages 12–17
	years)
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Cholesterol Testing (Total Ages 1–17
	years)
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose & Cholesterol Testing
	(Ages 1–11 years)
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose & Cholesterol Testing (Ages 12–17 years)
	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose & Cholesterol Testing
HEDIS	(Total Ages 1–17 years)
HEDIS	Use of Opioids at High Dosage
HEDIS	Use of Opioids from Multiple Providers (4 or more prescribers)
HEDIS	Use of Opioids from Multiple Providers (4 or more pharmacies)
HEDIS	Use of Opioids from Multiple Providers (4 or more prescribers & pharmacies)
HEDIS	Risk of Continued Opioid Use—New Episode Lasts at Least 15 Days (Ages 18–64 years)
HEDIS	Risk of Continued Opioid Use—New Episode Lasts at Least 15 Days (Ages 65 years and older)
HEDIS HEDIS	Risk of Continued Opioid Use—New Episode Lasts at Least 15 Days (Total Ages 18 years and older)
HEDIS	Risk of Continued Opioid Use—New Episode Lasts at Least 31 Days (Ages 18–64 years)  Risk of Continued Opioid Use—New Episode Lasts at Least 31 Days (Ages 65 years and older)
HEDIS	Risk of Continued Opioid Use—New Episode Lasts at Least 31 Days (Ages 05 years and older)
PA EQR	Concurrent Use of Opioids and Benzodiazepines (Ages 18–64 years)
PA EQR	Concurrent Use of Opioids and Benzodiazepines (Ages 65 years and older)
PA EQR	Concurrent Use of Opioids and Benzodiazepines (Total Ages 18 years and older)
HEDIS	Pharmacotherapy for Opioid Use Disorder (Ages 16–64 years)
HEDIS	Pharmacotherapy for Opioid Use Disorder (Ages 65+ years)
HEDIS	Pharmacotherapy for Opioid Use Disorder (Total Ages 16+ years)
PA EQR	Use of Pharmacotherapy for Opioid Use Disorder (Total)
PA EQR	Use of Pharmacotherapy for Opioid Use Disorder (Buprenorphine)
PA EQR	Use of Pharmacotherapy for Opioid Use Disorder (Oral Naltrexone)
PA EQR PA EQR	Use of Pharmacotherapy for Opioid Use Disorder (Long-Acting, Injectable Naltrexone)  Use of Pharmacotherapy for Opioid Use Disorder (Methadone)
	n (Continued)
HEDIS	Plan All-Cause Readmissions: Count of Index Hospital Stays (IHS)—Total Stays (Ages Total)
HEDIS	Plan All-Cause Readmissions: Count of 30-Day Readmissions—Total Stays (Ages Total)
HEDIS	Plan All-Cause Readmissions: Observed Readmission Rate—Total Stays (Ages Total)
HEDIS	Plan All-Cause Readmissions: Expected Readmission Rate—Total Stays (Ages Total)
HEDIS	Plan All-Cause Readmissions: Observed to Expected Readmission Ratio—Total Stays (Ages Total)

PA: Pennsylvania; EQR: external quality review; HEDIS: Healthcare Effectiveness Data and Information Set.

# PA-Specific and CMS Core Set Performance Measure Selection and Descriptions

Several PA-specific performance measures were calculated by each MCO and validated by IPRO. Measures previously developed and added, as mandated by CMS for children in accordance with the Children's Health Insurance Program Reauthorization Act (CHIPRA) and for adults in accordance with the Affordable Care Act (ACA), were continued as applicable to revised CMS specifications. Additionally, new measures were developed and added in 2022 as mandated in accordance with the CMS specifications. The CMS measures are known as Core Set measures and are indicated below for children and adults. For each indicator, the eligible population is identified by product line, age, enrollment, anchor date, and event/diagnosis. Administrative numerator positives are identified by date of service, diagnosis/procedure code criteria, as well as other specifications, as needed. For 2022 (MY 2021), these performance measure rates were calculated through one of two methods: 1) administrative, which uses only the MCO's data systems to identify numerator positives and 2) hybrid, which uses a combination of administrative data and medical record review (MRR) to identify numerator "hits" for rate calculation.

A number of performance measures require the inclusion of PH and BH services. Due to the separation of PH and BH services for Medicaid, DHS requested that IPRO utilize encounters submitted by all PH and BH MCOs to DHS via the PROMISe encounter data system to ensure both types of services were included, as necessary. For some measures, IPRO enhanced PH data submitted by MCOs with BH PROMISe encounter data, while for other measures, IPRO collected and reported the measures using PROMISe encounter data for both the BH and PH data required.

# **PA-Specific and CMS Core Set Administrative Measures**

# Use of First-Line Psychosocial Care for Children and Adolescents on Antipsychotics—CHIPRA Core Set

This performance measure assesses the percentage of children and adolescents 1 to 17 years of age who had a new prescription for an antipsychotic medication and had documentation of psychosocial care as first-line treatment. This measure was collected and reported by IPRO using PROMISe encounter data for the required BH and PH data. Three age groups are reported: ages 1–11 years, ages 12–17 years, and total ages 1–17 years.

Follow-up Care for Children Prescribed Attention-Deficit/Hyperactivity Disorder (ADHD) Medication—CHIPRA Core Set DHS enhanced this measure using behavioral health (BH) encounter data contained in IPRO's encounter data warehouse. IPRO evaluated this measure using HEDIS MY 2021 Medicaid member-level data submitted by the PH MCO.

This performance measure assesses the percentage of children newly prescribed attention-deficit/hyperactivity disorder (ADHD) medication who had at least three follow-up care visits within a 10-month period, one of which was within 30 days from the time the first ADHD medication was dispensed. Two rates are reported:

- Initiation Phase—The percentage of children 6 to 12 years old as of the Index Prescription Start Date (IPSD) with an ambulatory prescription dispensed for ADHD medication that had one follow-up visit with a practitioner with prescribing authority during the 30-day Initiation Phase.
- Continuation and Maintenance (C&M) Phase—The percentage of children 6 to 12 years old as of the IPSD with an
  ambulatory prescription dispensed for ADHD medication, who remained on the medication for at least 210 days
  and, who in addition to the visit in the Initiation Phase, had at least two follow-up visits with a practitioner within
  270 days (9 months) after the Initiation Phase ended.

# Developmental Screening in the First Three Years of Life—CHIPRA Core Set

This performance measure assesses the percentage of children screened for risk of developmental, behavioral, and social delays using a standardized screening tool in the 12 months preceding or on their first, second, or third birthday. Four rates—one for each age group and a combined rate—are calculated and reported.

# Follow-up After Emergency Department Visit for Mental Illness—Adult Core Set

This performance measure assesses the percentage of emergency department (ED) visits for members 18 years of age and older with a principal diagnosis of mental illness or intentional self-harm and who had a follow-up visit with a corresponding principal diagnosis for mental illness. This measure was collected and reported by IPRO using PROMISe encounter data for the required BH and PH data. Two rates are reported:

- The percentage of ED visits for mental illness for which the member received follow-up within 7 days of the ED visit (8 total days); and
- The percentage of ED visits for mental illness for which the member received follow-up within 30 days of the ED visit (31 total days).

Per the CMS specifications, rates are reported for age cohorts 18 to 64 years and 65 years and older.

# Follow-up After Emergency Department Visit for Alcohol and Other Drug Abuse or Dependence—Adult Core Set

This performance measure assesses the percentage of emergency department (ED) visits for members 18 years of age and older with a principal diagnosis of alcohol or other drug (AOD) abuse or dependence and who had a follow-up visit with a corresponding principal diagnosis for AOD abuse or dependence. This measure was collected and reported by IPRO using PROMISe encounter data for the required BH and PH data. Two rates are reported:

- The percentage of ED visits for AOD abuse or dependence for which the member received follow-up within 7 days of the ED visit (8 total days); and
- The percentage of ED visits for AOD abuse or dependence for which the member received follow-up within 30 days of the ED visit (31 total days).

Per the CMS specifications, rates are reported for age cohorts 18 to 64 years and 65 years and older.

# Annual Dental Visits for Enrollees with Developmental Disabilities—PA-specific

This performance measure assesses the percentage of enrollees with a developmental disability ages 2 through 20 years of age who were continuously enrolled and had at least one dental visit during the measurement year.

# Sealant Receipt on Permanent First Molars—CHIPRA Core Set

This performance measure assesses the percentage of enrolled children who have ever received sealants on permanent first molar teeth and turned 10 years old during the measurement year. Two rates are reported:

- The percentage of enrolled children who received a sealant on at least one permanent first molar in the 48 months prior to their 10th birthday; and
- The percentage of unduplicated enrolled children who received sealants on all four permanent first molars in the 48 months prior to their 10th birthday.

# Adult Annual Dental Visit ≥ 21 Years—PA-specific

This performance measure assesses two indicators:

- The percentage of enrollees 21 years of age and above who were continuously enrolled during the calendar year 2020. Five rates will be reported: one for each of the four age cohorts (21–35, 36–59, 60–64, and 65+ years) and a total rate.
- The percentage of women 21 years of age and older with a live birth that had at least one dental visit during the measurement year. Three rates will be reported for Indicator 2: one for each of the two age cohorts for women with a live birth (21–39 and 40–59 years) and a total rate.

# Contraceptive Care for All Women Ages 15-44 Years—CMS Core Measure

This performance measure assesses the percentage of women ages 15 to 44 years at risk of unintended pregnancy who were provided a most effective/moderately effective contraception method or a long-acting reversible method of contraception (LARC). Four rates are reported—two rates for each of the age groups (15–20 years and 21–44 years): 1) provision of most or moderately effective contraception, and 2) provision of LARC.

# Contraceptive Care for Postpartum Women Ages 15-44 Years—CMS Core Measure

This performance measure assesses the percentage of women ages 15 to 44 years who had a live birth and were provided a most effective/moderately effective contraception method or a long-acting reversible method of contraception (LARC) within 3 days and within 60 days of delivery. Eight rates are reported—four rates for each of the age groups (15–20 years and 21–44 years): 1) Most or moderately effective contraception—3 days, 2) Most or moderately effective contraception—60 days, 3) LARC—3 days, and 4) LARC—60 days.

# Asthma in Children and Younger Adults Admission Rate—Adult Core Set and PA-specific

This performance measure assesses the number of discharges for asthma in enrollees ages 2 years to 39 years per 100,000 Medicaid member months. Three age groups are reported: ages 2–17 years, ages 18–39 years, and total ages 2–39 years.

# Chronic Obstructive Pulmonary Disease (COPD) or Asthma in Older Adults Admission Rate—Adult Core Set

This performance measure assesses the number of discharges for chronic obstructive pulmonary disease (COPD) or asthma for Medicaid members 40 years and older per 100,000 member months. Three age groups are reported: ages 40–64 years, ages 65 years and older, and ages 40+ years.

# Diabetes Short-Term Complications Admission Rate—Adult Core Set

This performance measure assesses the number of discharges for diabetes short-term complications (ketoacidosis, hyperosmolarity, or coma) in adults 18 years and older per 100,000 Medicaid member months. Three age groups are reported: ages 18–64 years, ages 65 years and older, and ages 18+ years.

Diabetes Care for People with Serious Mental Illness: Hemoglobin A1c (HbA1c) Poor Control (> 9.0%)—Adult Core Set This performance measure assesses the percentage of beneficiaries ages 18 to 75 with a serious mental illness and diabetes (type 1 and type 2) whose most recent Hemoglobin A1c (HbA1c) level during the measurement years was > 9.0%. This measure was collected and reported by IPRO using PROMISe encounter data for the required BH and PH data. Two age groups are reported: ages 18–64 years and ages 64–75 years, as well as a total rate.

#### Heart Failure Admission Rate—Adult Core Set

This performance measure assesses the number of discharges for heart failure in adults 18 years and older per 100,000 Medicaid member months. Three age groups are reported: ages 18–64 years, ages 65 years and older, and ages 18+ years.

# Adherence to Antipsychotic Medications for Individuals with Schizophrenia—Adult Core Set

This performance measure assesses the percentage of members 18 years of age and older with schizophrenia or schizoaffective disorder who were dispensed and remained on an antipsychotic medication for at least 80% of their treatment period during the measurement year. Members in hospice are excluded from the eligible population.

DHS enhanced this measure using behavioral health (BH) encounter data contained in IPRO's encounter data warehouse.

# Concurrent Use of Opioids and Benzodiazepines—Adult Core Set

This performance measure assesses the percentage of members 18 years of age and above with concurrent use of prescription opioids and benzodiazepines. Three age groups are reported: ages 18–64 years, ages 65 years and older, and ages 18+ years.

# Use of Pharmacotherapy for Opioid Use Disorder—Adult Core Set

This performance measure assesses the percentage of members ages 18 to 64 years with an opioid use disorder who filled a prescription for or were administered or dispensed an FDA-approved medication for the disorder during the measurement year. Five rates are reported: a total rate including any medications used in medication-assisted treatment of opioid dependence and addiction, and four separate rates representing the following FDA-approved drug products: 1) buprenorphine; 2) oral naltrexone; 3) long-acting, injectable naltrexone; and 4) methadone.

# Oral Evaluation, Dental Services—Child Core Set—New for 2022

This performance measure assesses the percentage of enrolled children under age 21 years who received a comprehensive or periodic oral evaluation within the measurement year. Nine age groups are collected: ages < 1 year, ages 1–2 years, ages 3–5 years, ages 6–7 years, ages 8–9 years, ages 10–11 years, ages 12–14 years, ages 15–18 years, and ages 19–20 years. Only the total, ages < 1–20 years old, is reported in this publication.

# Topical Fluoride for Children—Child Core Set—New for 2022

This performance measure assesses the percentage of enrolled children ages 1 through 20 years who received at least two topical fluoride applications as: 1) dental or oral health services, 2) dental services, and 3) oral health services within the measurement year. MCO rates will be reported as identified by the MCO. Eight age groups are collected: ages 1–2 years, ages 3–5 years, ages 6–7 years, ages 8–9 years, ages 10–11 years, ages 12–14 years, ages 15–18 years, and ages 19–20 years. Only the total, ages 1–20 years old, is reported in this publication.

# **PA-Specific Hybrid Measures**

# Prenatal Screening for Smoking and Treatment Discussion During a Prenatal Visit—PA-specific

This performance measure assesses the percentage of pregnant enrollees who were:

- 1. Screened for smoking during the time frame of one of their first two prenatal visits or during the time frame of their first two visits on or following initiation of eligibility with the MCO.
- 2. Screened for smoking during the time frame of one of their first two prenatal visits (CHIPRA indicator).
- 3. Screened for environmental tobacco smoke exposure during the time frame of one of their first two prenatal visits or during the time frame of their first two visits on or following initiation of eligibility with the MCO.
- 4. Screened for smoking in one of their first two prenatal visits for members who smoke (i.e., smoked six months prior to or anytime during the current pregnancy), that were given counseling/advice or a referral during the time frame of any prenatal visit during pregnancy.
- 5. Screened for environmental tobacco smoke exposure in one of their first two prenatal visits and found to be exposed, that were given counseling/advice or a referral during the time frame of any prenatal visit during pregnancy.
- 6. Screened for smoking in one of their first two prenatal visits and found to be current smokers (i.e., smoked at the time of one of their first two prenatal visits) that stopped smoking during their pregnancy.

This performance measure uses components of the HEDIS MY 2021 Prenatal and Postpartum Care Measure.

# Perinatal Depression Screening—PA-specific

This performance measure assesses the percentage of enrollees who were:

- 1. Screened for depression during a prenatal care visit.
- 2. Screened for depression during a prenatal care visit using a validated depression screening tool.
- 3. Screened for depression during the time frame of the first two prenatal care visits (CHIPRA indicator).
- 4. Screened positive for depression during a prenatal care visit.
- 5. Screened positive for depression during a prenatal care visit and had evidence of further evaluation, treatment, or referral for further treatment.
- 6. Screened for depression during a postpartum care visit.
- 7. Screened for depression during a postpartum care visit using a validated depression screening tool.
- 8. Screened positive for depression during a postpartum care visit.
- 9. Screened positive for depression during a postpartum care visit and had evidence of further evaluation, treatment, or referral for further treatment.

This performance measure uses components of the HEDIS MY 2021 Prenatal and Postpartum Care Measure.

# **HEDIS Performance Measure Selection and Descriptions**

Each MCO underwent a full HEDIS compliance audit in 2022. As indicated previously, performance on selected HEDIS measures is included in this year's EQR report. Development of HEDIS measures and the clinical rationale for their inclusion in the HEDIS measurement set can be found in *HEDIS MY 2021, Volume 2 Narrative*. The measurement year for the HEDIS measures is 2021, as well as prior years for selected measures. Each year, DHS updates its requirements for the MCOs to be consistent with NCQA's requirement for the reporting year. MCOs are required to report the complete set of Medicaid measures, excluding behavioral health and chemical dependency measures, as specified in the *HEDIS Technical Specifications, Volume 2*. In addition, DHS does not require the MCOs to produce the Chronic Conditions component of the CAHPS 5.1H—Child Survey.

# Adults' Access to Preventive/Ambulatory Health Services

This measure assesses the percentage of members 20 years and older who had an ambulatory or preventive care visit during the measurement year. The following age groups are reported: 20–44 years, 45–64 years, and 65+ years.

# Adult Body Mass Index (BMI) Assessment

This measure assesses the percentage of members 18–74 years of age who had an outpatient visit and whose body mass index (BMI) was documented during the measurement year or the year prior to the measurement year.

#### Well-Child Visits in the First 30 Months of Life

This measure assesses the percentage of members who turned 30 months old during the measurement year, who were continuously enrolled from 31 days of age through 30 months of age, and who:

- Received six or more well-child visits with a primary care provider (PCP) during their first 15 months of life; and
- Received two or more well-child visits for ages 15 months-30 months of life.

# Childhood Immunization Status (Combinations 3, 7, and 10)

This measure assesses the percentage of children who turned 2 years of age in the measurement year, who were continuously enrolled for the 12 months preceding their second birthday, and who received one or both of two immunization combinations on or before their second birthday. Separate rates were calculated for each Combination. Across Combination 3, Combination 7, and Combination 10, all ten vaccinations are represented at least once.

# **Child and Adolescent Well-Care Visits**

This measure assesses the percentage of enrolled members 3–21 years of age who had at least one comprehensive well-care visit with a PCP or an ob/gyn practitioner during the measurement year.

# Weight Assessment and Counseling for Nutrition and Physical Activity for Children/Adolescents

This measure assesses the percentage of members 3–17 years of age, who had an outpatient visit with a PCP or ob/gyn, and who had evidence of the following during the measurement year:

- BMI percentile documentation;
- Counseling for nutrition; and
- Counseling for physical activity.

Because BMI norms for youth vary with age and gender, this measure evaluates whether BMI percentile is assessed rather than an absolute BMI value.

# Immunization for Adolescents (Combination 1)

This measure assesses the percentage of adolescents 13 years of age who had one dose of meningococcal conjugate vaccine and one tetanus, diphtheria toxoids, and acellular pertussis (Tdap) vaccine by their 13th birthday.

#### **Lead Screening in Children**

This measure assesses the percentage of children 2 years of age who had one or more capillary or venous lead blood tests for lead poisoning by their second birthday.

#### Follow-up Care for Children Prescribed ADHD Medication

This measure assesses the percentage of children newly prescribed attention-deficit/hyperactivity disorder (ADHD) medication who had at least three follow-up care visits within a 10-month period, one of which was within 30 days of when the first ADHD medication was dispensed. Two rates are reported:

- Initiation Phase—The percentage of members 6–12 years of age as of the IPSD with an ambulatory prescription dispensed for ADHD medication who had one follow-up visit with practitioner with prescribing authority during the 30-day Initiation Phase.
- Continuation and Maintenance (C&M) Phase—The percentage of members 6–12 years of age as of the IPSD with an ambulatory prescription dispensed for ADHD medication who remained on the medication for at least 210 days

and who, in addition to the visit in the Initiation Phase, had at least two follow-up visits with a practitioner within 270 days (9 months) after the Initiation Phase ended.

#### **Annual Dental Visit**

This measure assesses the percentage of children and adolescents 2–20 years of age who were continuously enrolled in the MCO for the measurement year and who had at least one dental visit during the measurement year.

#### **Breast Cancer Screening**

This measure assesses the percentage of women ages 50–74 who had a mammogram to screen for breast cancer.

The eligible population for this measure is women 52–74 years of age as of December 31 of the measurement year. Members are included in the numerator if they had one or more mammograms any time on or between October 1 in the 2 years prior to the measurement year and December 31 of the measurement year. Eligible members who received mammograms beginning at age 50 are included in the numerator.

#### **Cervical Cancer Screening**

This measure assesses the percentage of women 21–64 years of age who were screened for cervical cancer using any of the following criteria:

- Women ages 21–64 years who had cervical cytology performed within the last 3 years;
- Women ages 30–64 years who had cervical high-risk human papillomavirus (hrHPV) testing performed within the last 5 years; or
- Women ages 30–64 years who had cervical cytology/high-risk human papillomavirus (hrHPV) co-testing within the last 5 years.

# **Chlamydia Screening in Women**

This measure assesses the percentage of women 16–24 years of age who were identified as sexually active and who had at least one test for chlamydia during the measurement year. Three age cohorts are reported: 16–20 years, 21–24 years, and total.

#### **Non-Recommended Cervical Cancer Screening in Adolescent Females**

This measure assesses the percentage of adolescent females 16–20 years of age who were screened unnecessarily for cervical cancer. For this measure, a lower rate indicates better performance.

# **Prenatal and Postpartum Care**

This measure assesses the percentage of deliveries of live births on or between October 8 of the year prior to the measurement year and October 7 of the measurement year. For these women, the measure assesses the following facets of prenatal and postpartum care:

- Timeliness of Prenatal Care—The percentage of deliveries that received a prenatal care visit in the first trimester, on or before the enrollment start date or within 42 days of enrollment in the organization; and
- Postpartum Care—The percentage of deliveries that had a postpartum visit on or between 7 and 84 days after delivery.

# **Appropriate Testing for Pharyngitis**

This measure assesses the percentage of episodes for members 3 years and older for which the member was diagnosed with pharyngitis, dispensed an antibiotic, and received a group A streptococcus (strep) test for the episode. A higher rate represents better performance (i.e., appropriate testing). Four age groups are reported: ages 3–17 years, ages 18–64 years, ages 65 years and older, and total.

#### **Appropriate Treatment for Upper Respiratory Infection**

This measure assesses the percentage of episodes for members 3 months of age and older with a diagnosis of upper respiratory infection (URI) that did not result in an antibiotic dispensing event. The measure is reported as an inverted rate (1 – [numerator/eligible population]). A higher rate indicates appropriate treatment of children with URI (i.e., the

proportion for whom antibiotics were not prescribed). Four age groups are reported: ages 3 months–17 years, ages 18–64 years, ages 65 years and older, and total.

# Avoidance of Antibiotic Treatment for Acute Bronchitis/Bronchiolitis

This measure assesses the percentage of episodes for members ages 3 months and older with a diagnosis of acute bronchitis/bronchiolitis that did not result in an antibiotic dispensing event. The measure is reported as an inverted rate (1 – [numerator/eligible population]). A higher rate indicates appropriate treatment of adults with acute bronchitis (i.e., the proportion for whom antibiotics were not prescribed). Four age groups are reported: ages 3 months—17 years, ages 18—64 years, ages 65 years and older, and total.

# Use of Spirometry Testing in the Assessment and Diagnosis of COPD

This measure assesses the percentage of members 40 years of age and older with a new diagnosis of COPD or newly active COPD who received appropriate spirometry testing to confirm the diagnosis.

# **Pharmacotherapy Management of COPD Exacerbation**

This measure assesses the percentage of COPD exacerbations for members 40 years of age and older who had an acute inpatient discharge or ED visit on or between January 1 and November 30 of the measurement year and who were dispensed appropriate medications. Two rates are reported:

- Dispensed a systemic corticosteroid (or there was evidence of an active prescription) within 14 days of the event; and
- Dispensed a bronchodilator (or there was evidence of an active prescription) within 30 days of the event.

#### **Asthma Medication Ratio**

This measure assesses the percentage of members 5–64 years of age who were identified as having persistent asthma and had a ratio of controller medications to total asthma medications of 0.50 or greater during the measurement year. The following age groups are reported: 5–11 years, 12–18 years, 19–50 years, 51–64 years, and total years.

# **Comprehensive Diabetes Care**

This measure assesses the percentage of members 18–75 years of age with diabetes (type 1 and type 2) who had each of the following:

- Hemoglobin A1c (HbA1c) testing;
- HbA1c poor control (> 9.0%);
- HbA1c control (< 8.0%);</li>

- Eye exam (retinal) performed; and
- Blood pressure (BP) control (< 140/90 mm Hg).

# **Statin Therapy for Patients with Diabetes**

This measure assesses the percentage of members 40–75 years of age during the measurement year with diabetes who do not have clinical atherosclerotic cardiovascular disease (ASCVD) who met the following criteria. Two rates are reported:

- Received Statin Therapy—Members who were dispensed at least one statin medication of any intensity during the measurement year; and
- Statin Adherence 80%—Members who remained on a statin medication of any intensity for at least 80% of the treatment period.

# **Kidney Health Evaluation for Patients with Diabetes**

This measure assesses the percentage of members 18–85 years of age with diabetes (type 1 and type 2) who received a kidney health evaluation, defined by an estimated glomerular filtration rate (eGFR) and a urine albumin-creatinine ratio (uACR), during the measurement year. The following age groups are reported: 18–64 years, 65–74 years, 75–85 years, and total years.

# Persistence of Beta-Blocker Treatment After a Heart Attack

This measure assesses the percentage of members 18 years of age and older during the measurement year who were hospitalized and discharged from July 1 of the year prior to the measurement year to June 30 of the measurement year

with a diagnosis of Acute Myocardial Infarction (AMI) and who received persistent beta-blocker treatment for 6 months after discharge.

# **Controlling High Blood Pressure**

This measure assesses the percentage of members 18–85 years of age who had a diagnosis of hypertension (HTN) and whose BP was adequately controlled during the measurement year.

# **Statin Therapy for Patients with Cardiovascular Disease**

This measure assesses the percentage of males 21–75 years of age and females 40–75 years of age during the measurement year who were identified as having clinical atherosclerotic cardiovascular disease (ASCVD) and met the following criteria. The following rates are reported:

- Received Statin Therapy—Members who were dispensed at least one high- or moderate-intensity statin medication during the measurement year; and
- Statin Adherence 80%—Members who remained on a high- or moderate-intensity statin medication for at least 80% of the treatment period.

Total rates for both sub measures are also reported.

# Cardiovascular Monitoring for People with Cardiovascular Disease and Schizophrenia

This measure assesses the percentage of members 18–64 years of age with schizophrenia or schizoaffective disorder and cardiovascular disease who had an LDL-C test during the measurement year.

#### **Cardiac Rehabilitation**

This measure assesses the percentage of members 18 years and older, who attended cardiac rehabilitation following a qualifying cardiac event, including myocardial infarction, percutaneous coronary intervention, coronary artery bypass grafting, heart and heart/lung transplantation or heart valve repair/replacement. Three age groups (18–64 years, 65 years and older, and total years) are reported for each of the following four rates:

- *Initiation*. The percentage of members who attended 2 or more sessions of cardiac rehabilitation within 30 days after a qualifying event.
- Engagement 1. The percentage of members who attended 12 or more sessions of cardiac rehabilitation within 90 days after a qualifying event.
- Engagement 2. The percentage of members who attended 24 or more sessions of cardiac rehabilitation within 180 days after a qualifying event.
- Achievement. The percentage of members who attended 36 or more sessions of cardiac rehabilitation within 180 days after a qualifying event.

# Adherence to Antipsychotic Medications for Individuals with Schizophrenia

This measure assesses the percentage of members 18 years of age and older during the measurement year with schizophrenia or schizoaffective disorder who were dispensed and remained on an antipsychotic medication for at least 80% of their treatment period.

# **Metabolic Monitoring for Children and Adolescents on Antipsychotics**

This measure assesses the percentage of children and adolescents 1–17 years of age who had two or more antipsychotic prescriptions and had metabolic testing. Three rates are reported for each age group (1–11 years, 12–17 years, and total):

- The percentage of children and adolescents on antipsychotics who received blood glucose testing;
- The percentage of children and adolescents on antipsychotics who received cholesterol testing; and
- The percentage of children and adolescents on antipsychotics who received blood glucose and cholesterol testing.

# Use of Opioids at High Dosage

This measure assesses the proportion of members 18 years and older who received prescription opioids at a high dosage (average morphine milligram equivalent dose [MME]  $\geq$  90) for  $\geq$  15 days during the measurement year.

For this measure, a lower rate indicates better performance.

# **Use of Opioids from Multiple Providers**

This measure assesses the proportion of members 18 years and older who received prescription opioids for  $\geq$  15 days during the measurement year and who received opioids from multiple providers. Three rates are reported:

- Multiple Prescribers—The proportion of members receiving prescriptions for opioids from four or more different prescribers during the measurement year;
- Multiple Pharmacies—The proportion of members receiving prescriptions for opioids from four or more different pharmacies during the measurement year; and
- Multiple Prescribers and Multiple Pharmacies—The proportion of members receiving prescriptions for opioids from four or more different prescribers and four or more different pharmacies during the measurement year (i.e., the proportion of members who are numerator compliant for both the Multiple Prescribers and Multiple Pharmacies rates).

# **Risk of Continued Opioid Use**

This measure assesses the percentage of members 18 years of age and older who have a new episode of opioid use that puts them at risk for continued opioid use. Two rates are reported for each age group (18–64 years, 65 years and older, and total):

- The percentage of members with at least 15 days of prescription opioids in a 30-day period; and
- The percentage of members with at least 31 days of prescription opioids in a 62-day period.

# **Pharmacotherapy for Opioid Use Disorder**

This measure assesses the percentage of new opioid use disorder (OUD) pharmacotherapy events with OUD pharmacotherapy for 180 or more days among members ages 16 and older with a diagnosis of OUD. Three age groups are reported: ages 18–64 years, 65 years and older, and total.

#### **Plan All-Cause Readmissions**

The measure assesses, for members ages 18 to 64, the number of acute inpatient and observation stays during the measurement year that were followed by an unplanned acute readmission for any diagnosis within 30 days and the predicted probability of an acute readmission. Data are reported for the total index hospital stays in the following categories:

- Count of Index Hospital Stays (IHS) (denominator);
- Count of 30-Day Readmissions (numerator);
- Observed Readmission Rate;
- Expected Readmissions Rate; and
- Observed to Expected Readmission Ratio.

# **CAHPS Survey**

The Consumer Assessment of Healthcare Providers and Systems (CAHPS) program is overseen by the Agency for Healthcare Research and Quality (AHRQ) and includes many survey products designed to capture consumer and patient perspectives on health care quality. NCQA uses the adult and child 5.1H versions of the CAHPS Health Plan surveys for HEDIS.

# **Implementation of PA-Specific Performance Measures and HEDIS Audit**

The MCO successfully implemented all of the PA-specific measures for 2022 that were reported with MCO-submitted data. The MCO submitted all required source code and data for review. IPRO reviewed the source code and validated raw data submitted by the MCO. All rates submitted by the MCO were reportable. Rate calculations were collected via rate sheets and reviewed for all of the PA-specific measures.

The MCO successfully completed the HEDIS audit. The MCO received an Audit Designation of Report for all applicable measures.

# **Conclusions and Comparative Findings**

MCO results are presented in **Table 2.2** through **Table 2.13**. For each measure, the denominator, numerator, and measurement year rates with 95% upper and lower confidence intervals (95% CI) are presented. Confidence intervals are ranges of values that can be used to illustrate the variability associated with a given calculation. For any rate, a 95% confidence interval indicates that there is a 95% probability that the calculated rate, if it were measured repeatedly, would fall within the range of values presented for that rate. All other things being equal, if any given rate were calculated 100 times, the calculated rate would fall within the confidence interval 95 times, or 95% of the time.

Rates for both the measurement year and the previous year are presented, as available (i.e., 2022 [MY 2021] and 2021 [MY 2020]). In addition, statistical comparisons are made between the MY 2021 and MY 2020 rates. For these year-to-year comparisons, the significance of the difference between two independent proportions was determined by calculating the Z ratio. A Z ratio is a statistical measure that quantifies the difference between two percentages when they come from two separate populations. For comparison of MY 2021 rates to MY 2020 rates, statistically significant increases are indicated by "+," statistically significant decreases by "-," and no statistically significant change by "n.s."

In addition to each individual MCO's rate, the Medicaid managed care (MMC) average for 2022 (MY 2021) is presented. The MMC average is a weighted average, which is an average that takes into account the proportional relevance of each MCO. Each table also presents the significance of difference between the plan's measurement year rate and the MMC average for the same year. For comparison of MY 2021 rates to MMC rates, "+" denotes that the plan rate exceeds the MMC rate, "-" denotes that the MMC rate exceeds the plan rate, and "n.s." denotes no statistically significant difference between the two rates. Rates for the HEDIS measures were compared to corresponding Medicaid percentiles; comparison results are provided in the tables. The 90th percentile is the benchmark for the HEDIS measures.

Note that the large denominator sizes for many of the analyses led to increased statistical power, and thus contributed to detecting statistical differences that are not clinically meaningful. For example, even a 1-percentage-point difference between two rates was statistically significant in many cases, although not meaningful. Hence, results corresponding to each table highlight only differences that are both statistically significant and display at least a 3-percentage-point difference in observed rates. <sup>1</sup> It should also be mentioned that when the denominator sizes are small, even relatively large differences in rates might not yield statistical significance due to reduced power; if statistical significance is not achieved, results are not highlighted in the report. Differences are also not discussed if the denominator was less than 30 for a particular rate, in which case, "N/A" (Not Applicable) appears in the corresponding cells. However, "NA" (Not Available) also appears in the cells under the HEDIS MY 2021 percentile column for PA-specific measures that do not have HEDIS percentiles to compare.

**Table 2.2** to **Table 2.13** show rates up to one decimal place. Calculations to determine differences between rates are based upon unrounded rates. Due to rounding, differences in rates that are reported in the narrative may differ slightly from the difference between rates presented in the table.

As part of IPRO's validation of UHC's Performance Measures and CAHPS Survey results, the following are recommended areas of focus for the plan moving into the next reporting year. Particular attention has been paid to measures that are not only identified as opportunities for the current 2022 review year but were also identified as opportunities in 2021.

- It is recommended the MCO improve access to services related to women's health. The following measures were opportunities for improvement in 2021 and again in 2022:
  - o Breast Cancer Screening; and

<sup>&</sup>lt;sup>1</sup> Please note that rates that are reported "per 100,000 members months" are not subject to the 3-percentage point limit. For these rates, if a rate has statistically significantly changed, it is reported as an opportunity.

- o Cervical Cancer Screening.
- It is recommended the MCO improve access to services for its members on antipsychotic medications.

  Adherence to Antipsychotic Medications for Individuals with Schizophrenia was an opportunity for improvement in 2021 and again in 2022.

# Access to/Availability of Care

No strengths are identified for the Access to/Availability of Care performance measures.

Opportunities for improvement are identified for the following Access to/Availability of Care performance measures:

- The following rates are statistically significantly below/worse than the 2022 (MY 2021) MMC weighted average:
  - o Adults' Access to Preventive/Ambulatory Health Services (Ages 20–44 years) 3.7 percentage points; and
  - o Adults' Access to Preventive/Ambulatory Health Services (Ages 45–64 years) 4.4 percentage points.

Table 2.2: Access to/Availability of Care

		2022 (MY 2021)					2022 (MY 2021) Rate Comparison <sup>1</sup>				
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval	2021 (MY 2020) Rate	2022 Rate Compared to 2021	ММС	2022 Rate Compared to MMC	
HEDIS	Adults' Access to Preventive/Ambulatory Health Services (Ages 20-44 years)	80,317	57,699	71.8%	71.5%	72.2%	70.2%	+	75.5%	-	>= 25th and < 50th percentile
HEDIS	Adults' Access to Preventive/Ambulatory Health Services (Ages 45-64 years)	33,399	26,181	78.4%	77.9%	78.8%	77.5%	+	82.8%	-	>= 25th and < 50th percentile
HEDIS	Adults' Access to Preventive/Ambulatory Health Services (Ages 65+ years)	1,253	910	72.6%	70.1%	75.1%	70.7%	n.s.	75.7%	n.s.	>= 10th and < 25th percentile
PA EQR	Use of First-Line Psychosocial Care for Children and Adolescents on Antipsychotics (Ages 1 to 11 years)	87	50	57.5%	46.5%	68.4%	70.4%	n.s.	61.4%	n.s.	NA
PA EQR	Use of First-Line Psychosocial Care for Children and Adolescents on Antipsychotics (Ages 12 to 17 years)	235	146	62.1%	55.7%	68.5%	60.5%	n.s.	63.7%	n.s.	NA
PA EQR	Use of First-Line Psychosocial Care for Children and Adolescents on Antipsychotics (Total ages 1 to 17 years)	322	196	60.9%	55.4%	66.4%	63.4%	n.s.	63.1%	n.s.	NA

<sup>&</sup>lt;sup>1</sup> For comparison of MY 2021 rates to MY 2020 rates, statistically significant increases are indicated by "+," statistically significant decreases by "–," and no statistically significant change by "n.s." For comparison of MY 2021 rates to MMC rates, the "+" denotes that the plan rate exceeds the MMC rate, the "–" denotes that the MMC rate exceeds the plan rate, and "n.s." denotes no statistically significant difference between the two rates.

Denom: denominator; Num: numerator; MY: measurement year; MMC: Medicaid Managed Care; HEDIS: Healthcare Effectiveness Data and Information Set; PA: Pennsylvania; EQR: external quality review; NA: not available, as no HEDIS percentile is available to compare.

#### **Well-Care Visits and Immunizations**

Strengths are identified for the following Well-Care Visits and Immunizations performance measures:

- The following rates are statistically significantly above/better than the 2022 (MY 2021) MMC weighted average:
  - o Body Mass Index: Percentile (Ages 3–11 years) 6.6 percentage points;
  - o Body Mass Index: Percentile (Ages 12–17 years) 5.3 percentage points;
  - Body Mass Index: Percentile (Total) 6.3 percentage points;
  - Counseling for Nutrition (Ages 3–11 years) 6.1 percentage points;
  - Counseling for Nutrition (Ages 12–17 years) 6.8 percentage points;
  - Counseling for Nutrition (Total) 6.6 percentage points;
  - Counseling for Physical Activity (Ages 3–11 years) 7.0 percentage points;
  - o Counseling for Physical Activity (Ages 12–17 years) 5.4 percentage points; and
  - Counseling for Physical Activity (Total) 6.4 percentage points.

Opportunities for improvement are identified for the following Well-Care Visits and Immunizations performance measures.

- The following rates are statistically significantly below/worse than the 2022 (MY 2021) MMC weighted average:
  - o Child and Adolescent Well-Care Visits (Ages 18–21 years) 3.0 percentage points; and
  - Childhood Immunizations Status (Combination 3) 3.9 percentage points.

Table 2.3: Well-Care Visits and Immunizations

			- 2	2022 (MY	<sup>'</sup> 2021)		2022 (MY 2021) Rate Comparison <sup>1</sup>				
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval	2021 (MY 2020) Rate	2022 Rate Compared to 2021	ММС	2022 Rate Compared to MMC	HEDIS 2022 Percentile
HEDIS	Well-Child Visits in the First 30 Months of Life (Ages 15 months ≥ 6 Visits)	4,818	3,149	65.4%	64.0%	66.7%	63.2%	+	65.3%	n.s.	>= 75th and < 90th percentile
HEDIS	Well-Child Visits in the First 30 Months of Life (Ages 15-30 months ≥ 2 Visits)	5,014	3,464	69.1%	67.8%	70.4%	72.3%	-	71.6%	-	>= 50th and < 75th percentile
HEDIS	Child and Adolescent Well-Care Visits (Ages 3- 11 years)	45,644	28,835	63.2%	62.7%	63.6%	59.0%	+	65.3%	-	>= 50th and < 75th percentile
HEDIS	Child and Adolescent Well-Care Visits (Ages 12- 17 years)	29,509	16,937	57.4%	56.8%	58.0%	52.8%	+	59.6%	-	>= 50th and < 75th percentile
HEDIS	Child and Adolescent Well-Care Visits (Ages 18- 21 years)	17,524	5,697	32.5%	31.8%	33.2%	32.8%	n.s.	35.6%	-	>= 75th and < 90th percentile

				2022 (MY	( 2021)		2022 (MY 2021) Rate Comparison <sup>1</sup>							
					Lower 95%	Upper 95%		2022 Rate		2022 Rate				
Indicator					Confidence	Confidence	2021 (MY	Compared		Compared	<b>HEDIS 2022</b>			
Source	Indicator	Denom	Num	Rate	Interval	Interval	2020) Rate	to 2021	MMC	to MMC	Percentile			
	Child and Adolescent										>= 50th and <			
HEDIS	Well-Care Visits (Total)	92,677	51,469	55.5%	55.2%	55.9%	52.4%	+	58.4%	-				
	vven care visits (rotal)										HEDIS 2022 Percentile			
	Childhood Immunizations													
HEDIS	Status (Combination 3)	411	269	65.5%	60.7%	70.2%	73.2%	-	69.3%	-				
	Childhood Immunizations		224	<b>-</b> 6 00/	54.00/	64.40/	64.00/		50.40/					
HEDIS	Status (Combination 7)	411	231	56.2%	51.3%	61.1%	64.0%	-	59.1%	-				
	,													
LIEDIC	Childhood Immunizations	411	163	20.70/	24.00/	44.50/	44.00/		20.20/					
HEDIS	Status (Combination 10)	411	163	39.7%	34.8%	44.5%	41.9%	n.s.	39.2%	n.s.				
HEDIS	Weight Assessment & Counseling for Nutrition & Physical Activity for Children/Adolescents – Body Mass Index: Percentile (Ages 3-11 years)	274	248	90.5%	86.9%	94.2%	88.4%	n.s.	83.9%	+				
HEDIS	Weight Assessment & Counseling for Nutrition & Physical Activity for Children/Adolescents – Body Mass Index: Percentile (Ages 12-17 years)	137	119	86.9%	80.8%	92.9%	84.8%	n.s.	81.5%	+	90th			
HEDIS	Weight Assessment & Counseling for Nutrition & Physical Activity for Children/Adolescents – Body Mass Index: Percentile (Total)	411	367	89.3%	86.2%	92.4%	87.1%	n.s.	83.0%	+	>= 90th percentile			

				2022 (MY	<sup>'</sup> 2021)		2022 (MY 2021) Rate Comparison <sup>1</sup>							
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval	2021 (MY 2020) Rate	2022 Rate Compared to 2021	ММС	2022 Rate Compared to MMC	HEDIS 2022 Percentile			
HEDIS	Weight Assessment & Counseling for Nutrition & Physical Activity for Children/Adolescents – Counseling for Nutrition (Ages 3-11 years)	274	231	84.3%	79.8%	88.8%	80.8%	n.s.	78.2%	+	>= 75th and < 90th percentile			
HEDIS	Weight Assessment & Counseling for Nutrition & Physical Activity for Children/Adolescents – Counseling for Nutrition (Ages 12-17 years)	137	111	81.0%	74.1%	88.0%	82.1%	n.s.	74.2%	+	>= 75th and < 90th percentile			
HEDIS	Weight Assessment & Counseling for Nutrition & Physical Activity for Children/Adolescents – Counseling for Nutrition (Total)	411	342	83.2%	79.5%	86.9%	81.3%	n.s.	76.6%	+	>= 75th and < 90th percentile			
HEDIS	Weight Assessment & Counseling for Nutrition & Physical Activity for Children/Adolescents – Counseling for Physical Activity (Ages 3-11 years)	274	219	79.9%	75.0%	84.9%	75.2%	n.s.	73.0%	+	>= 75th and < 90th percentile			
HEDIS	Weight Assessment & Counseling for Nutrition & Physical Activity for Children/Adolescents – Counseling for Physical Activity (Ages 12-17 years)	137	109	79.6%	72.4%	86.7%	84.1%	n.s.	74.2%	+	>= 75th and < 90th percentile			

				2022 (MY	( 2021)		2022 (MY 2021) Rate Comparison <sup>1</sup>						
Indicator Source	Indicator	Denom	Num	Rate		Upper 95% Confidence Interval	2021 (MY 2020) Rate	2022 Rate Compared to 2021	ММС	2022 Rate Compared to MMC	HEDIS 2022 Percentile		
HEDIS	Weight Assessment & Counseling for Nutrition & Physical Activity for Children/Adolescents – Counseling for Physical Activity (Total)	411	328	79.8%	75.8%	83.8%	78.4%	n.s.	73.4%	+	>= 75th and < 90th percentile		
HEDIS	Immunizations for Adolescents (Combination 1)	411	336	81.8%	77.9%	85.6%	86.4%	n.s.	84.8%	n.s.	>= 50th and < 75th percentile		

<sup>&</sup>lt;sup>1</sup> For comparison of MY 2021 rates to MY 2020 rates, statistically significant increases are indicated by "+," statistically significant decreases by "-," and no statistically significant change by "n.s." For comparison of MY 2021 rates to MMC rates, the "+" denotes that the plan rate exceeds the MMC rate, the "-" denotes that the MMC rate exceeds the plan rate, and "n.s." denotes no statistically significant difference between the two rates.

Denom: denominator; Num: numerator; MY: measurement year; MMC: Medicaid Managed Care; HEDIS: Healthcare Effectiveness Data and Information Set.

# **EPSDT: Screenings and Follow-up**

Strengths are identified for the following EPSDT: Screenings and Follow-up performance measures:

- The following rates are statistically significantly above/better than the 2022 (MY 2021) MMC weighted average:
  - Developmental Screening in the First Three Years of Life 1 year 4.6 percentage points.

Opportunities for improvement are identified for the following EPSDT: Screenings and Follow–up performance measures:

- The following rates are statistically significantly below/worse than the 2022 (MY 2021) MMC weighted average:
  - Lead Screening in Children (Age 2 years) 4.5 percentage points;
  - o Follow-up Care for Children Prescribed ADHD Medication Initiation Phase 6.5 percentage points;
  - o Follow-up Care for Children Prescribed ADHD Medication (BH Enhanced) Initiation Phase 6.7 percentage points; and
  - o Follow-up Care for Children Prescribed ADHD Medication (BH Enhanced) Continuation Phase 6.5 percentage points.

Table 2.4: EPSDT: Screenings and Follow-up

		2022 (MY 2021)						2022 (MY 2021) Rate Comparison <sup>1</sup>					
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval		2022 Rate Compared to 2021		2022 Rate Compared to MMC			
HEDIS	Lead Screening in Children (Age 2 years)	5,401	4,164	77.1%	76.0%	78.2%	80.8%	n.s.	81.6%	-	>= 75th and < 90th percentile		

		2022 (MY 2021)						2022 (MY 2021) Rate Comparison <sup>1</sup>					
Indicator Source	Indicator	Denom	Num	Rate		Upper 95% Confidence Interval		2022 Rate Compared to 2021	ммс	2022 Rate Compared to MMC	HEDIS 2022 Percentile		
HEDIS	Follow-up Care for Children Prescribed ADHD Medication—Initiation Phase	1,085	377	34.8%	31.9%	37.6%	43.1%	-	41.2%	-	>= 10th and < 25th percentile		
HEDIS	Follow-up Care for Children Prescribed ADHD Medication—Continuation Phase	280	118	42.1%	36.2%	48.1%	50.8%	-	48.9%	n.s.	>= 10th and < 25th percentile		
PA EQR	Follow-up Care for Children Prescribed ADHD Medication (BH Enhanced)— Initiation Phase	1,198	398	33.2%	30.5%	35.9%	43.2%	-	39.9%	-	NA		
PA EQR	Follow-up Care for Children Prescribed ADHD Medication (BH Enhanced)— Continuation Phase	303	126	41.6%	35.9%	47.3%	49.7%	-	48.1%	-	NA		
PA EQR	Developmental Screening in the First Three Years of Life—Total	14,951	9,425	63.0%	62.3%	63.8%	60.8%	+	60.8%	+	NA		
PA EQR	Developmental Screening in the First Three Years of Life—1 year	4,408	2,733	62.0%	60.6%	63.4%	60.2%	n.s.	57.4%	+	NA		
PA EQR	Developmental Screening in the First Three Years of Life—2 years	5,401	3,429	63.5%	62.2%	64.8%	60.2%	+	61.5%	+	NA		
PA EQR	Developmental Screening in the First Three Years of Life—3 years	5,142	3,263	63.5%	62.1%	64.8%	61.9%	n.s.	63.0%	n.s.	NA		

<sup>&</sup>lt;sup>1</sup> For comparison of MY 2021 rates to MY 2020 rates, statistically significant increases are indicated by "+," statistically significant decreases by "-," and no statistically significant change by "n.s." For comparison of MY 2021 rates to MMC rates, the "+" denotes that the plan rate exceeds the MMC rate, the "-" denotes that the MMC rate exceeds the plan rate, and "n.s." denotes no statistically significant difference between the two rates.

Denom: denominator; Num: numerator; MY: measurement year; MMC: Medicaid Managed Care; HEDIS: Healthcare Effectiveness Data and Information Set; PA: Pennsylvania; EQR: external quality review; NA: not available, as no HEDIS percentile is available to compare.

#### **Behavioral Health**

No strengths are identified for the Behavioral Health performance measures.

Opportunities for improvement are identified for the following Behavioral Health performance measures:

- The following rates are statistically significantly below/worse than the 2022 (MY 2021) MMC weighted average:
  - o Follow-up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence (Ages 18 to 64 years ED visits for mental illness, follow-up within 7 days) 5.1 percentage points; and
  - Follow-up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence (Ages 18 to 64 years ED visits for mental illness, follow-up within 30 days) 4.7 percentage points.

Table 2.5: Behavioral Health

			2	2022 (MY	( 2021)			2022 (MY	2021) R	late Compai	rison¹
Indicator Source	Indicator	Denom	Num	Rate		Upper 95% Confidence Interval	2021 (MY 2020) Rate	2022 Rate Compared to 2021	ММС	2022 Rate Compared to MMC	HEDIS 2022 Percentile
PA EQR	Follow-up After Emergency Department Visit for Mental Illness (Ages 18 to 64 years—ED visits for mental illness, follow-up within 7 days)	1,062	374	35.2%	32.3%	38.1%	36.4%	n.s.	40.4%	-	NA
PA EQR	Follow-up After Emergency Department Visit for Mental Illness (Ages 18 to 64 years—ED visits for mental illness, follow-up within 30 days)	1,062	516	48.6%	45.5%	51.6%	48.2%	n.s.	53.3%	-	NA
PA EQR	Follow-up After Emergency Department Visit for Alcohol and Other Drug Abuse or Dependence (Ages 18 to 64 years—ED visits for AOD abuse or dependence, follow-up within 7 days)	2,468	438	17.8%	16.2%	19.3%	20.8%	-	19.1%	n.s.	NA
PA EQR	Follow-up After Emergency Department Visit for Alcohol and Other Drug Abuse or Dependence (Ages 18 to 64 years—ED visits for AOD abuse or dependence, follow-up within 30 days)	2,468	670	27.2%	25.4%	28.9%	30.6%	-	29.0%	n.s.	NA
PA EQR	Follow-up After Emergency Department Visit for Alcohol and Other Drug Abuse or Dependence (Ages 65 years and older—ED visits for AOD abuse or dependence, follow-up within 30 days)	5	2	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA
PA EQR	Follow-up After Emergency Department Visit for Mental Illness (Ages 65 years and older—ED visits for mental illness, follow-up within 30 days)	2	1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA
PA EQR	Follow-up After Emergency Department Visit for Alcohol and Other Drug Abuse or Dependence (Ages 65 years and older—ED visits for AOD abuse or dependence, follow-up within 7 days)	5	2	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA

			2	2022 (M	Y 2021)			2022 (MY	2021) R	ate Compai	rison¹
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval	-			2022 Rate Compared to MMC	
PA EQR	Follow-up After Emergency Department Visit for Mental Illness (Ages 65 years and older—ED visits for mental illness, follow-up within 7 days)	2	1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA

<sup>&</sup>lt;sup>1</sup> For comparison of MY 2021 rates to MY 2020 rates, statistically significant increases are indicated by "+," statistically significant decreases by "-," and no statistically significant change by "n.s." For comparison of MY 2021 rates to MMC rates, the "+" denotes that the plan rate exceeds the MMC rate, the "-" denotes that the MMC rate exceeds the plan rate, and "n.s." denotes no statistically significant difference between the two rates.

Denom: denominator; Num: numerator; MY: measurement year; MMC: Medicaid Managed Care; HEDIS: Healthcare Effectiveness Data and Information Set; PA: Pennsylvania; EQR: external quality review; NA: not available, as no HEDIS percentile is available to compare; 2021 Rate N/A: not applicable, as denominator is less than 30.

#### **Dental Care for Children and Adults**

No strengths are identified for the Dental Care for Children and Adults performance measures.

Opportunities for improvement are identified for the following Dental Care for Children and Adults performance measures:

- The following rates are statistically significantly below/worse than the 2022 (MY 2021) MMC weighted average:
  - Oral Evaluation, Dental Services (Ages < 1–20 years) 29.4 percentage points; and
  - o Topical Fluoride for Children (Dental Services) 11.0 percentage points.

Table 2.6: EPSDT: Dental Care for Children and Adults

			2	.022 (MY	2021)			2022 (MY	2021) Rat	te Comparisor	1 <sup>1</sup>
Indicator Source	Indicator	Denom	Num	Rate		Upper 95% Confidence Interval	-	2022 Rate Compared to 2021	ммс	2022 Rate Compared to MMC	HEDIS 2022 Percentile
HEDIS	Annual Dental Visit (Ages 2–20 years)	94,127	58,382	62.0%	61.7%	62.3%	54.2%	+	60.5%	+	>= 90th percentile
PA EQR	Annual Dental Visits for Members with Developmental Disabilities (Ages 2–20 years)	6,003	3,562	59.3%	58.1%	60.6%	50.5%	+	61.5%	ı	NA
PA EQR	Sealant Receipt on Permanent First Molars (≥ 1 Molar)	4,741	1,505	31.7%	30.4%	33.1%	36.8%	-	34.0%	-	NA

			2	2022 (MY	2021)			2022 (MY	' <b>2021)</b> Ra	te Comparisor	$1^1$
					Lower 95%	Upper 95%	2021 (MY	2022 Rate		2022 Rate	
Indicator					Confidence	Confidence	2020)	Compared		Compared	<b>HEDIS 2022</b>
Source	Indicator	Denom	Num	Rate	Interval	Interval	Rate	to 2021	MMC	to MMC	Percentile
PA EQR	Sealant Receipt on Permanent First Molars (All 4 Molars)	4,741	908	19.2%	18.0%	20.3%	22.8%	-	21.4%	-	NA
PA EQR	Adult Annual Dental Visit ≥ 21 Years (Ages 21–35 years)	52,913	13,679	25.9%	25.5%	26.2%	25.1%	+	28.5%	-	NA
PA EQR	Adult Annual Dental Visit ≥ 21 Years (Ages 36–59 years)	48,815	11,823	24.2%	23.8%	24.6%	23.2%	+	26.6%	-	NA
PA EQR	Adult Annual Dental Visit ≥ 21 Years (Ages 60–64 years)	7,419	1,619	21.8%	20.9%	22.8%	19.5%	+	23.3%	-	NA
PA EQR	Adult Annual Dental Visit ≥ 21 Years (Ages 65 years and older)	1,253	213	17.0%	14.9%	19.1%	13.9%	+	17.7%	n.s.	NA
PA EQR	Adult Annual Dental Visit ≥ 21 Years (Ages 21 years and older)	110,400	27,334	24.8%	24.5%	25.0%	23.8%	+	27.1%	-	NA
PA EQR	Adult Annual Dental Visit Women with a Live Birth (Ages 21–35 years)	2,802	829	29.6%	27.9%	31.3%	26.9%	+	31.9%	-	NA
PA EQR	Adult Annual Dental Visit Women with a Live Birth (Ages 36–59 years)	383	100	26.1%	21.6%	30.6%	28.3%	n.s.	29.2%	n.s.	NA
PA EQR	Adult Annual Dental Visit Women with a Live Birth (Ages 21–59 years)	3,185	929	29.2%	27.6%	30.8%	27.1%	n.s.	31.5%	-	NA
PA EQR	Oral Evaluation, Dental Services (Ages < 1–20 years)	111,328	4,698	4.2%	4.1%	4.3%	NA	NA	33.6%	-	NA
PA EQR	Topical Fluoride for Children (Dental/Oral Health Services)	99,508	16,975	17.1%	16.8%	17.3%	NA	NA	17.4%	-	NA
PA EQR	Topical Fluoride for Children (Dental Services)	99,508	540	0.5%	0.5%	0.6%	NA	NA	11.5%	-	NA
PA EQR	Topical Fluoride for Children (Oral Health Services)	99,508	1,026	1.0%	1.0%	1.1%	NA	NA	0.6%	+	NA

Denom: denominator; Num: numerator; MY: measurement year; MMC: Medicaid Managed Care; HEDIS: Healthcare Effectiveness Data and Information Set; PA: Pennsylvania; EQR: external quality review; NA: not available, as no HEDIS percentile is available to compare; N/A: not applicable.

#### Women's Health

No strengths are identified for the Women's Health performance measures.

Opportunities for improvement are identified for the following Women's Health performance measures:

- The following rates are statistically significantly below/worse than the 2022 (MY 2021) MMC weighted average:
  - Breast Cancer Screening (Ages 50–74 years) 3.2 percentage points;
  - o Cervical Cancer Screening (Ages 21–64 years) 3.8 percentage points; and
  - o Contraceptive Care for Postpartum Women: Most or moderately effective contraception 60 days (Ages 15 to 20 years) 8.0 percentage points.

Table 2.7: Women's Health

			:	2022 (M	Y 2021)			2022 (MY	<b>2021)</b> Rat	te Compariso	on <sup>1</sup>
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval	2021 (MY 2020) Rate	2022 Rate Compared to 2021	ММС	2022 Rate Compared to MMC	HEDIS 2022 Percentile
HEDIS	Breast Cancer Screening (Ages 50–74 years)	7,310	3,488	47.7%	46.6%	48.9%	48.2%	n.s.	50.9%	-	>= 25th and < 50th percentile
HEDIS	Cervical Cancer Screening (Ages 21–64 years)	411	233	56.7%	51.8%	61.6%	56.9%	n.s.	60.5%	-	>= 25th and < 50th percentile
HEDIS	Chlamydia Screening in Women (Ages 16–20 years)	5,568	2,868	51.5%	50.2%	52.8%	51.2%	n.s.	53.2%	n.s.	>= 50th and < 75th percentile
HEDIS	Chlamydia Screening in Women (Ages 21–24 years)	5,043	3,093	61.3%	60.0%	62.7%	61.2%	n.s.	61.4%	n.s.	>= 25th and < 50th percentile
HEDIS	Chlamydia Screening in Women (Total)	10,611	5,961	56.2%	55.2%	57.1%	55.6%	n.s.	57.0%	n.s.	>= 50th and < 75th percentile
HEDIS	Non-Recommended Cervical Cancer Screening in Adolescent Females <sup>2</sup>	11,034	23	0.2%	0.1%	0.3%	0.2%	n.s.	0.3%	n.s.	>= 75th and < 90th percentile
PA EQR	Contraceptive Care for All Women: Provision of most or moderately effective contraception (Ages 15 to 20 years)	13,118	3,710	28.3%	27.5%	29.1%	30.0%	-	29.4%	-	NA

<sup>&</sup>lt;sup>1</sup> For comparison of MY 2021 rates to MY 2020 rates, statistically significant increases are indicated by "+," statistically significant decreases by "-," and no statistically significant change by "n.s." For comparison of MY 2021 rates to MMC rates, the "+" denotes that the plan rate exceeds the MMC rate, the "-" denotes that the MMC rate exceeds the plan rate, and "n.s." denotes no statistically significant difference between the two rates.

				2022 (M'	Y 2021)			2022 (MY	2021) Rat	te Compariso	on¹
					Lower 95%	Upper 95%		2022 Rate		2022 Rate	
Indicator					Confidence	Confidence	2020)	Compared		Compared	<b>HEDIS 2022</b>
Source	Indicator	Denom	Num	Rate	Interval	Interval	Rate	to 2021	MMC	to MMC	Percentile
	Contraceptive Care for All										
PA EQR	Women: Provision of LARC (Ages 15 to 20 years)	13,118	392	3.0%	2.7%	3.3%	3.5%	-	3.3%	n.s.	NA
PA EQR	Contraceptive Care for All Women: Provision of most or moderately effective contraception (Ages 21 to 44 years)	38,287	9,920	25.9%	25.5%	26.3%	26.2%	n.s.	26.6%	-	NA
PA EQR	Contraceptive Care for All Women: Provision of LARC (Ages 21 to 44 years)	38,287	1,569	4.1%	3.9%	4.3%	4.2%	n.s.	4.2%	n.s.	NA
PA EQR	Contraceptive Care for Postpartum Women: Most or moderately effective contraception—3 days (Ages 15 to 20 years)	340	42	12.4%	8.7%	16.0%	11.7%	n.s.	14.5%	n.s.	NA
PA EQR	Contraceptive Care for Postpartum Women: Most or moderately effective contraception—60 days (Ages 15 to 20 years)	340	123	36.2%	30.9%	41.4%	39.3%	n.s.	44.1%	-	NA
PA EQR	Contraceptive Care for Postpartum Women: LARC— 3 days (Ages 15 to 20 years)	340	24	7.1%	4.2%	9.9%	7.0%	n.s.	8.5%	n.s.	NA
PA EQR	Contraceptive Care for Postpartum Women: LARC— 60 days (Ages 15 to 20 years)	340	45	13.2%	9.5%	17.0%	14.6%	n.s.	16.0%	n.s.	NA
PA EQR	Contraceptive Care for Postpartum Women: Most or moderately effective contraception—3 days (Ages 21 to 44 years)	3,044	536	17.6%	16.2%	19.0%	18.7%	n.s.	18.0%	n.s.	NA

				2022 (M	Y 2021)			2022 (MY	2021) Rat	e Compariso	on¹
Indicator Source	Indicator	Denom	Num	Rate		Upper 95% Confidence Interval	2021 (MY 2020) Rate	2022 Rate Compared to 2021	ММС	2022 Rate Compared to MMC	HEDIS 2022 Percentile
PA EQR	Contraceptive Care for Postpartum Women: Most or moderately effective contraception—60 days (Ages 21 to 44 years)	3,044	1,243	40.8%	39.1%	42.6%	43.3%	n.s.	42.3%	n.s.	NA
PA EQR	Contraceptive Care for Postpartum Women: LARC— 3 days (Ages 21 to 44 years)	3,044	158	5.2%	4.4%	6.0%	5.5%	n.s.	5.6%	n.s.	NA
PA EQR	Contraceptive Care for Postpartum Women: LARC— 60 days (Ages 21 to 44 years)	3,044	343	11.3%	10.1%	12.4%	11.7%	n.s.	12.0%	n.s.	NA

<sup>&</sup>lt;sup>1</sup> For comparison of MY 2021 rates to MY 2020 rates, statistically significant increases are indicated by "+," statistically significant decreases by "-," and no statistically significant change by "n.s." For comparison of MY 2021 rates to MMC rates, the "+" denotes that the plan rate exceeds the MMC rate, the "-" denotes that the MMC rate exceeds the plan rate, and "n.s." denotes no statistically significant difference between the two rates.

Denom: denominator; Num: numerator; MY: measurement year; MMC: Medicaid Managed Care; HEDIS: Healthcare Effectiveness Data and Information Set; PA: Pennsylvania; EQR: external quality review; NA: not available, as no HEDIS percentile is available to compare.

#### **Obstetric and Neonatal Care**

Strengths are identified for the following Obstetric and Neonatal Care performance measures:

- The following rates are statistically significantly above/better than the 2022 (MY 2021) MMC weighted average:
  - o Prenatal Screening for Smoking 10.0 percentage points;
  - o Prenatal Screening for Smoking during one of the first two visits (CHIPRA indicator) 8.7 percentage points;
  - o Prenatal Screening for Environmental Tobacco Smoke Exposure 5.8 percentage points;
  - Prenatal Screening for Depression −13.1 percentage points;
  - o Prenatal Screening for Depression during one of the first two visits (CHIPRA indicator) 19.1 percentage points; and
  - o Postpartum Screening for Depression 12.8 percentage points.

No opportunities for improvement are identified for the Obstetric and Neonatal Care performance measures.

<sup>&</sup>lt;sup>2</sup> For the Non-Recommended Cervical Cancer Screening in Adolescent Females measure, lower rate indicates better performance.

Table 2.8: Obstetric and Neonatal Care

		2022 (MY 2021) 2022 (MY 2021) Rate Comparison <sup>1</sup> Lower 95% Upper 95% 2022 Rate 2022 Rate								n <sup>1</sup>	
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval		2022 Rate Compared to 2021	ммс	2022 Rate Compared to MMC	HEDIS 2022 Percentile
HEDIS	Prenatal and Postpartum Care—Timeliness of Prenatal Care	411	365	88.8%	85.6%	92.0%	89.3%	n.s.	89.0%	n.s.	>= 50th and < 75th percentile
HEDIS	Prenatal and Postpartum Care—Postpartum Care	411	328	79.8%	75.8%	83.8%	79.1%	n.s.	79.6%	n.s.	>= 50th and < 75th percentile
PA EQR	Prenatal Screening for Smoking and Treatment Discussion During a Prenatal Visit: Prenatal Screening for Smoking	405	348	85.9%	82.4%	89.4%	89.1%	n.s.	75.9%	+	NA
PA EQR	Prenatal Screening for Smoking and Treatment Discussion During a Prenatal Visit: Prenatal Screening for Smoking during one of the first two visits (CHIPRA indicator)	405	340	84.0%	80.3%	87.6%	85.9%	n.s.	75.2%	+	NA
PA EQR	Prenatal Screening for Smoking and Treatment Discussion During a Prenatal Visit: Prenatal Screening for Environmental Tobacco Smoke Exposure	405	215	53.1%	48.1%	58.1%	60.7%	-	47.3%	+	NA
PA EQR	Prenatal Screening for Smoking and Treatment Discussion During a Prenatal Visit: Prenatal Counseling for Smoking	122	92	75.4%	67.4%	83.5%	88.3%	-	71.8%	n.s.	NA

				2022 (M	Y 2021)			2022 (MY	2021) Rat	e Compariso	n¹
					Lower 95%	Upper 95%		2022 Rate		2022 Rate	
Indicator					Confidence	Confidence	2021 (MY	Compared		Compared	<b>HEDIS 2022</b>
Source	Indicator	Denom	Num	Rate	Interval	Interval	2020) Rate	to 2021	MMC	to MMC	Percentile
PA EQR	Prenatal Screening for Smoking and Treatment Discussion During a Prenatal Visit: Prenatal Counseling for Environmental Tobacco Smoke Exposure	43	34	79.1%	65.7%	92.4%	82.4%	n.s.	73.1%	n.s.	NA
PA EQR	Prenatal Screening for Smoking and Treatment Discussion During a Prenatal Visit: Prenatal Smoking Cessation	91	26	28.6%	18.7%	38.4%	32.0%	n.s.	29.1%	n.s.	NA
PA EQR	Perinatal Depression Screening: Prenatal Screening for Depression	405	348	85.9%	82.4%	89.4%	85.7%	n.s.	72.8%	+	NA
PA EQR	Perinatal Depression Screening: Prenatal Screening for Depression during one of the first two visits (CHIPRA indicator)	405	330	81.5%	77.6%	85.4%	76.8%	n.s.	62.4%	+	NA
PA EQR	Perinatal Depression Screening: Prenatal Screening Positive for Depression	348	88	25.3%	20.6%	30.0%	28.5%	n.s.	20.9%	n.s.	NA
PA EQR	Perinatal Depression Screening: Prenatal Counseling for Depression	88	72	81.8%	73.2%	90.4%	81.8%	n.s.	77.3%	n.s.	NA
PA EQR	Perinatal Depression Screening: Postpartum Screening for Depression	330	297	90.0%	86.6%	93.4%	92.3%	n.s.	77.2%	+	NA
PA EQR	Perinatal Depression Screening: Postpartum Screening Positive for Depression	297	62	20.9%	16.1%	25.7%	18.7%	n.s.	17.2%	n.s.	NA

				2022 (M'	Y 2021)		2022 (MY 2021) Rate Comparison <sup>1</sup>					
					Lower 95%	Upper 95%		2022 Rate		2022 Rate		
Indicator					Confidence	Confidence	2021 (MY	Compared		Compared	<b>HEDIS 2022</b>	
Source	Indicator	Denom	Num	Rate	Interval	Interval	2020) Rate	to 2021	MMC	to MMC	Percentile	
	Perinatal Depression											
PA EQR	Screening: Postpartum	62	55	88.7%	80.0%	97.4%	92.9%	n.s.	86.9%	n.s.	NA	
	Counseling for Depression											

<sup>&</sup>lt;sup>1</sup> For comparison of MY 2021 rates to MY 2020 rates, statistically significant increases are indicated by "+," statistically significant decreases by "-," and no statistically significant change by "n.s." For comparison of MY 2021 rates to MMC rates, the "+" denotes that the plan rate exceeds the MMC rate, the "-" denotes that the MMC rate exceeds the plan rate, and "n.s." denotes no statistically significant difference between the two rates.

Denom: denominator; Num: numerator; MY: measurement year; MMC: Medicaid Managed Care; HEDIS: Healthcare Effectiveness Data and Information Set; PA: Pennsylvania; EQR: external quality review; NA: not available, as no HEDIS percentile is available to compare; N/A: not applicable.

### **Respiratory Conditions**

Strengths are identified for the following Respiratory Conditions performance measures:

- The following rates are statistically significantly above/better than the 2022 (MY 2021) MMC weighted average:
  - Appropriate Testing for Pharyngitis (Ages 3–17 years) 3.6 percentage points;
  - Appropriate Testing for Pharyngitis (Ages 18–64 years) 5.5 percentage points;
  - Appropriate Testing for Pharyngitis (Total) 4.4 percentage points;
  - o Avoidance of Antibiotic Treatment for Acute Bronchitis/Bronchiolitis (Ages 3 months-17 years) 7.9 percentage points; and
  - Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Ages 65 years and older) Admissions per 100,000 member months
     26.2 percentage points.

Opportunities for improvement are identified for the following Respiratory Conditions performance measures:

- The following rates are statistically significantly below/worse than the 2022 (MY 2021) MMC weighted average:
  - o Pharmacotherapy Management of COPD Exacerbation: Systemic Corticosteroid 5.6 percentage points;
  - o Asthma Medication Ratio (Ages 5–11 years) 4.4 percentage points;
  - Asthma Medication Ratio (Ages 12–18 years) 5.4 percentage points;
  - o Asthma Medication Ratio (Ages 19–50 years) 4.4 percentage points;
  - Asthma Medication Ratio (Total) 4.0 percentage points;
  - Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Ages 40–64 years) Admissions per 100,000 member months 6.4 percentage points; and
  - Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Total Ages 40+ years) Admissions per 100,000 member months –
     5.6 percentage points.

Table 2.9: Respiratory Conditions

Table 2.7.	Respiratory Conditions		2	.022 (MY	2021)			2022 (M	Y 2021) Ra	te Compariso	n <sup>1</sup>
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval	2021 (MY 2020) Rate	2022 Rate Compared to 2021	ммс	2022 Rate Compared to MMC	HEDIS 2022 Percentile
HEDIS	Appropriate Testing for Pharyngitis (Ages 3–17 years)	1,479	1,144	77.4%	75.2%	79.5%	86.0%	-	73.7%	+	>= 50th and < 75th percentile
HEDIS	Appropriate Testing for Pharyngitis (Ages 18–64 years)	1,743	1,001	57.4%	55.1%	59.8%	65.9%	-	51.9%	+	>= 25th and < 50th percentile
HEDIS	Appropriate Testing for Pharyngitis (Ages 65+ years)	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA
HEDIS	Appropriate Testing for Pharyngitis (Total)	3,222	2,145	66.6%	64.9%	68.2%	78.7%	-	62.1%	+	>= 25th and < 50th percentile
HEDIS	Appropriate Treatment for Upper Respiratory Infection (Ages 3 months—17 years) <sup>2</sup>	7,448	281	96.2%	95.8%	96.7%	95.1%	+	95.7%	n.s.	>= 50th and < 75th percentile
HEDIS	Appropriate Treatment for Upper Respiratory Infection (Ages 18–64 years) <sup>2</sup>	3,798	485	87.2%	86.2%	88.3%	83.2%	+	85.5%	+	>= 50th and < 75th percentile
HEDIS	Appropriate Treatment for Upper Respiratory Infection (Ages 65+ years) <sup>2</sup>	13	2	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA
HEDIS	Appropriate Treatment for Upper Respiratory Infection (Total) <sup>2</sup>	11,259	768	93.2%	92.7%	93.7%	91.8%	+	92.4%	+	>= 50th and < 75th percentile
HEDIS	Avoidance of Antibiotic Treatment for Acute Bronchitis/Bronchiolitis (Ages 3 months–17 years) <sup>3</sup>	388	62	84.0%	80.2%	87.8%	76.6%	+	76.1%	+	>= 90th percentile

		2022 (MY 2021) 2022 (MY 2021) Rate Comparison <sup>1</sup>							n <sup>1</sup>		
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval	2021 (MY 2020) Rate	2022 Rate Compared to 2021	ммс	2022 Rate Compared to MMC	HEDIS 2022 Percentile
HEDIS	Avoidance of Antibiotic Treatment for Acute Bronchitis/Bronchiolitis (Ages 18–64 years) <sup>3</sup>	596	318	46.6%	42.6%	50.7%	43.1%	n.s.	49.5%	n.s.	>= 50th and < 75th percentile
HEDIS	Avoidance of Antibiotic Treatment for Acute Bronchitis/Bronchiolitis (Ages 65+ years) <sup>3</sup>	3	1	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA
HEDIS	Avoidance of Antibiotic Treatment for Acute Bronchitis/Bronchiolitis (Total) <sup>3</sup>	987	381	61.4%	58.3%	64.5%	60.2%	n.s.	60.0%	n.s.	>= 50th and < 75th percentile
HEDIS	Use of Spirometry Testing in the Assessment and Diagnosis of COPD	524	113	21.6%	17.9%	25.2%	25.4%	n.s.	24.2%	n.s.	>= 25th and < 50th percentile
HEDIS	Pharmacotherapy Management of COPD Exacerbation: Systemic Corticosteroid	557	403	72.4%	68.5%	76.2%	76.7%	n.s.	78.0%	-	>= 50th and < 75th percentile
HEDIS	Pharmacotherapy Management of COPD Exacerbation: Bronchodilator	557	466	83.7%	80.5%	86.8%	83.9%	n.s.	87.2%	n.s.	>= 25th and < 50th percentile
HEDIS	Asthma Medication Ratio (Ages 5–11 years)	670	490	73.1%	69.7%	76.6%	75.1%	n.s.	77.6%	-	>= 25th and < 50th percentile
HEDIS	Asthma Medication Ratio (Ages 12–18 years)	729	488	66.9%	63.5%	70.4%	67.1%	n.s.	72.4%	-	>= 25th and < 50th percentile
HEDIS	Asthma Medication Ratio (Ages 19–50 years)	1,515	831	54.9%	52.3%	57.4%	53.6%	n.s.	59.2%	-	>= 25th and < 50th percentile

			2	.022 (MY	2021)			2022 (M	Y 2021) Ra	te Compariso	on¹
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval	2021 (MY 2020) Rate	2022 Rate Compared to 2021	ммс	2022 Rate Compared to MMC	HEDIS 2022 Percentile
HEDIS	Asthma Medication Ratio (Ages 51–64 years)	470	271	57.7%	53.1%	62.2%	56.8%	n.s.	60.0%	n.s.	>= 25th and < 50th percentile
HEDIS	Asthma Medication Ratio (Total)	3,384	2,080	61.5%	59.8%	63.1%	62.4%	n.s.	65.4%	-	>= 25th and < 50th percentile
PA EQR	Asthma in Children and Younger Adults Admission Rate (Ages 2–17 years) – Admissions per 100,000 member months <sup>4</sup>	1,039,822	78	7.5	N/A	N/A	6.1	+	10.1	-	NA
PA EQR	Asthma in Children and Younger Adults Admission Rate (Ages 18–39 years) – Admissions per 100,000 member months <sup>4</sup>	1,088,914	72	6.6	N/A	N/A	7.7	-	5.5	+	NA
PA EQR	Asthma in Children and Younger Adults Admission Rate (Total Ages 2–39 years) – Admissions per 100,000 member months <sup>4</sup>	2,128,736	150	7.0	N/A	N/A	6.9	+	7.8	-	NA
PA EQR	Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Ages 40 to 64 years) – Admissions per 100,000 member months <sup>4</sup>	618,778	255	41.2	N/A	N/A	48.8	-	34.8	+	NA
PA EQR	Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Ages 65 years and older) – Admissions per 100,000 member months <sup>4</sup>	16,528	3	18.2	N/A	N/A	53.2	-	44.4	-	NA

			2	022 (MY	2021)			2022 (M	Y <b>2021)</b> Ra	te Compariso	on <sup>1</sup>
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval	2021 (MY 2020) Rate	2022 Rate Compared to 2021	ммс	2022 Rate Compared to MMC	HEDIS 2022 Percentile
PA EQR	Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Total Ages 40+ years) – Admissions per 100,000 member months <sup>4</sup>	635,306	258	40.6	N/A	N/A	48.9	-	35.1	+	NA

<sup>&</sup>lt;sup>1</sup> For comparison of MY 2021 rates to MY 2020 rates, statistically significant increases are indicated by "+," statistically significant decreases by "-," and no statistically significant change by "n.s." For comparison of MY 2021 rates to MMC rates, the "+" denotes that the plan rate exceeds the MMC rate, the "-" denotes that the MMC rate exceeds the plan rate, and "n.s." denotes no statistically significant difference between the two rates.

Denom: denominator; Num: numerator; MY: measurement year; MMC: Medicaid Managed Care; HEDIS: Healthcare Effectiveness Data and Information Set; PA: Pennsylvania; EQR: external quality review; NA: not available, as no HEDIS percentile is available to compare; 2022 Rate N/A: not applicable, as denominator is less than 30

### **Comprehensive Diabetes Care**

Strengths are identified for the following Comprehensive Diabetes Care performance measures:

- The following rates are statistically significantly above/better than the 2022 (MY 2021) MMC weighted average:
  - O Diabetes Care for People with Serious Mental Illness: Hemoglobin A1c (HbA1c) Poor Control (> 9.0%) (Age Cohort: 18–64 Years of Age) 10.1 percentage points.

Opportunities for improvement are identified for the following Comprehensive Diabetes Care performance measures:

- The following rates are statistically significantly below/worse than the 2022 (MY 2021) MMC weighted average:
  - Retinal Eye Exam 3.9 percentage points.

Table 2.10: Comprehensive Diabetes Care

				2022 (MY 20	)21)		2022 (MY 2021) Rate Comparison <sup>1</sup>				
Indicator						Upper 95% Confidence	_	2022 Rate Compared		2022 Rate Compared	HEDIS 2022
Source	Indicator	Denom	Num	Rate	Interval	Interval	Rate	to 2021	ммс	to MMC	Percentile
HEDIS	Comprehensive Diabetes Care – Hemoglobin A1c (HbA1c) Testing	411	357	86.9%	83.5%	90.2%	82.2%	n.s.	85.2%	n.s.	>= 50th and < 75th percentile

<sup>&</sup>lt;sup>2</sup> Per NCQA, a higher rate indicates appropriate treatment of members with URI (i.e., the proportion for whom antibiotics were not prescribed).

<sup>&</sup>lt;sup>3</sup> Per NCQA, a higher rate indicates appropriate treatment of members with acute bronchitis (i.e., the proportion for whom antibiotics were not prescribed).

<sup>&</sup>lt;sup>4</sup> For the Admission Rate measures, lower rates indicate better performance.

				2022 (MY 20	021)			2022 (M <u>Y</u>	2021) Rat	e Comparisc	on¹
				,	Lower 95%	Upper 95%	2021 (MY	2022 Rate	,	2022 Rate	
Indicator					Confidence	Confidence	2020)	Compared		Compared	<b>HEDIS 2022</b>
Source	Indicator	Denom	Num	Rate	Interval	Interval	Rate	to 2021	MMC	to MMC	Percentile
HEDIS	Comprehensive Diabetes Care – HbA1c Poor Control (> 9.0%) <sup>2</sup>	411	143	34.8%	30.1%	39.5%	37.5%	n.s.	36.1%	n.s.	>= 75th and < 90th percentile
HEDIS	Comprehensive Diabetes Care – HbA1c Control (< 8.0%)	411	227	55.2%	50.3%	60.2%	51.3%	n.s.	54.1%	n.s.	>= 75th and < 90th percentile
HEDIS	Comprehensive Diabetes Care – Retinal Eye Exam	411	211	51.3%	46.4%	56.3%	53.0%	n.s.	55.2%	-	>= 50th and < 75th percentile
HEDIS	Comprehensive Diabetes Care – Blood Pressure Controlled < 140/90 mm Hg	411	272	66.2%	61.5%	70.9%	68.6%	n.s.	67.0%	n.s.	>= 50th and < 75th percentile
PA EQR	Diabetes Short-Term Complications Admission Rate (Ages 18 to 64 years) – Admissions per 100,000 member months <sup>3</sup>	1,707,692	294	17.2	15.2	19.2	18.9	n.s.	18.2	n.s.	NA
PA EQR	Diabetes Short-Term Complications Admission Rate (Ages 65+ years) – Admissions per 100,000 member months <sup>3</sup>	16,528	0	0.0	0.0	0.0	7.6	n.s.	9.0	n.s.	NA
PA EQR	Diabetes Short-Term Complications Admission Rate (Total Ages 18+ years) — Admissions per 100,000 member months <sup>3</sup>	1,724,220	294	17.1	15.1	19.0	18.8	n.s.	18.1	n.s.	NA
HEDIS	Statin Therapy for Patients With Diabetes: Received Statin Therapy	4,242	2,925	69.0%	67.5%	70.4%	68.3%	n.s.	70.0%	n.s.	>= 50th and < 75th percentile

				2022 (MY 20	021)			2022 (MY	2021) Rat	e Comparisc	on <sup>1</sup>
					Lower 95%	Upper 95%	_	2022 Rate		2022 Rate	
Indicator					Confidence	Confidence	2020)	Compared		Compared	HEDIS 2022
Source	Indicator	Denom	Num	Rate	Interval	Interval	Rate	to 2021	MMC	to MMC	Percentile
HEDIS	Statin Therapy for Patients With Diabetes: Statin Adherence 80%	2,925	2,077	71.0%	69.3%	72.7%	69.5%	n.s.	73.2%	-	>= 50th and < 75th percentile
PA EQR	Diabetes Care for People with Serious Mental Illness: Hemoglobin A1c (HbA1c) Poor Control (> 9.0%) (Ages 18–64 years)	830	757	91.2%	89.2%	93.2%	93.0%	n.s.	81.1%	+	NA
PA EQR	Diabetes Care for People with Serious Mental Illness: Hemoglobin A1c (HbA1c) Poor Control (> 9.0%) (Ages 65–75 years)	4	4	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA
PA EQR	Diabetes Care for People with Serious Mental Illness: Hemoglobin A1c (HbA1c) Poor Control (> 9.0%) (Total)	834	761	91.2%	89.3%	93.2%	83.3%	-	81.1%	-	NA
HEDIS	Kidney Health Evaluation for Patients with Diabetes (Ages 18– 64 years)	8,344	3,513	42.1%	41.0%	43.2%	36.2%	+	41.2%	n.s.	>= 75th and < 90th percentile
HEDIS	Kidney Health Evaluation for Patients with Diabetes (Ages 65– 74 years)	215	107	49.8%	42.9%	56.7%	46.3%	n.s.	50.5%	n.s.	>= 75th and < 90th percentile
HEDIS	Kidney Health Evaluation for Patients with Diabetes (Ages 75– 85 years)	53	23	43.4%	29.1%	57.7%	28.3%	n.s.	49.7%	n.s.	>= 50th and < 75th percentile

				2022 (MY 20	021)			2022 (MY	<b>2021)</b> Rat	e Comparisc	n <sup>1</sup>
					Lower 95%	Upper 95%	2021 (MY	2022 Rate		2022 Rate	
Indicator					Confidence	Confidence	2020)	Compared		Compared	<b>HEDIS 2022</b>
Source	Indicator	Denom	Num	Rate	Interval	Interval	Rate	to 2021	MMC	to MMC	Percentile
	Kidney Health										>= 75th and
HEDIS	Evaluation for Patients	8,612	3,643	42.3%	41.3%	43.3%	36.4%	+	41.5%	n.s.	< 90th
	with Diabetes (Total)										percentile

<sup>&</sup>lt;sup>1</sup> For comparison of MY 2021 rates to MY 2020 rates, statistically significant increases are indicated by "+," statistically significant decreases by "-," and no statistically significant change by "n.s." For comparison of MY 2021 rates to MMC rates, the "+" denotes that the plan rate exceeds the MMC rate, the "-" denotes that the MMC rate exceeds the plan rate, and "n.s." denotes no statistically significant difference between the two rates.

Denom: denominator; Num: numerator; MY: measurement year; MMC: Medicaid Managed Care; HEDIS: Healthcare Effectiveness Data and Information Set; PA: Pennsylvania; EQR: external quality review; NA: not available, as no HEDIS percentile is available to compare; 2022 Rate N/A: not applicable, as denominator is less than 30

#### Cardiovascular Care

Strengths are identified for the following Cardiovascular Care performance measures:

- The following rates are statistically significantly above/better than the 2022 (MY 2021) MMC weighted average:
  - o Heart Failure Admission Rate (Ages 65+ years) Admissions per 100,000 member months 46.9 admissions per 100,000 member months.

Opportunities for improvement are identified for the following Cardiovascular Care performance measures:

- The following rates are statistically significantly below/worse than the 2022 (MY 2021) MMC weighted average:
  - o Statin Therapy for Patients With Cardiovascular Disease: Received Statin Therapy Ages 21–75 years (Male) 5.2 percentage points; and
  - o Statin Therapy for Patients With Cardiovascular Disease: Received Statin Therapy Total Rate 3.5 percentage points.

Table 2.11: Cardiovascular Care

				2022 (MY	( 2021)			2022 (MY	2021) Rat	te Compariso	on <sup>1</sup>
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval		2022 Rate Compared to 2021	ммс	2022 Rate Compared to MMC	HEDIS 2022 Percentile
HEDIS	Persistence of Beta Blocker Treatment After Heart Attack	123	111	90.2%	84.6%	95.9%	84.7%	n.s.	86.5%	n.s.	>= 90th percentile
HEDIS	Controlling High Blood Pressure (Total Rate)	411	258	62.8%	58.0%	67.6%	62.8%	n.s.	65.2%	-	>= 50th and < 75th percentile
PA EQR	Heart Failure Admission Rate (Ages 18–64 years) Admissions per 100,000 member months <sup>2</sup>	1,707,692	397	23.3	21.0	25.5	25.0	n.s.	21.0	n.s.	NA

<sup>&</sup>lt;sup>2</sup> For HbA1c Poor Control, lower rates indicate better performance.

<sup>&</sup>lt;sup>3</sup> For the Adult Admission Rate measures, lower rates indicate better performance.

				2022 (MY	( 2021)			2022 (MY	2021) Rat	te Compariso	on¹
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval		2022 Rate Compared to 2021	ммс	2022 Rate Compared to MMC	HEDIS 2022 Percentile
PA EQR	Heart Failure Admission Rate (Ages 65+ years) Admissions per 100,000 member months <sup>2</sup>	16,528	6	36.3	7.3	65.3	144.5	-	83.2	-	NA
PA EQR	Heart Failure Admission Rate (Total Ages 18+ years) Admissions per 100,000 member months <sup>2</sup>	1,724,220	403	23.4	21.1	25.7	26.0	n.s.	21.5	n.s.	NA
HEDIS	Statin Therapy for Patients With Cardiovascular Disease: Received Statin Therapy Ages 21–75 years (Male)	646	514	79.6%	76.4%	82.8%	83.8%	n.s.	84.7%	-	>= 25th and < 50th percentile
HEDIS	Statin Therapy for Patients With Cardiovascular Disease: Received Statin Therapy Ages 40–75 years (Female)	418	345	82.5%	78.8%	86.3%	82.7%	n.s.	83.5%	n.s.	>= 75th and < 90th percentile
HEDIS	Statin Therapy for Patients With Cardiovascular Disease: Received Statin Therapy Total Rate	1,064	859	80.7%	78.3%	83.1%	83.4%	n.s.	84.2%	-	>= 25th and < 50th percentile
HEDIS	Statin Therapy for Patients With Cardiovascular Disease: Statin Adherence 80% Ages 21–75 years (Male)	514	391	76.1%	72.3%	79.9%	73.6%	n.s.	75.3%	n.s.	>= 75th and < 90th percentile
HEDIS	Statin Therapy for Patients With Cardiovascular Disease: Statin Adherence 80% Ages 40–75 years (Female)	345	258	74.8%	70.1%	79.5%	70.9%	n.s.	75.9%	n.s.	>= 50th and < 75th percentile

				2022 (MY	′ 2021)		2022 (MY 2021) Rate Comparison <sup>1</sup>					
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval	2021 (MY 2020) Rate	2022 Rate Compared to 2021	ММС	2022 Rate Compared to MMC	HEDIS 2022 Percentile	
HEDIS	Statin Therapy for Patients With Cardiovascular Disease: Statin Adherence 80% Total Rate	859	649	75.6%	72.6%	78.5%	72.5%	n.s.	75.6%	n.s.	>= 50th and < 75th percentile	
HEDIS	Cardiovascular Monitoring for People With Cardiovascular Disease and Schizophrenia (Ages 18–64 years)	20	15	N/A	N/A	N/A	47.6%	N/A	76.4%	N/A	NA	
HEDIS	Cardiac Rehabilitation Initiation: ≥ 2 Visits in 30 days (Ages 18–64 years)	508	11	2.2%	0.8%	3.5%	1.5%	n.s.	2.1%	n.s.	>= 50th and < 75th percentile	
HEDIS	Cardiac Rehabilitation Initiation: ≥ 2 Visits in 30 days (Ages 65+ years)	6	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA	
HEDIS	Cardiac Rehabilitation Initiation: ≥ 2 Visits in 30 days (Total)	514	11	2.1%	0.8%	3.5%	1.4%	n.s.	2.2%	n.s.	>= 25th and < 50th percentile	
HEDIS	Cardiac Rehabilitation Engagement 1: ≥ 12 Visits in 90 days (Ages 18–64 years)	508	13	2.6%	1.1%	4.0%	2.9%	n.s.	2.7%	n.s.	>= 25th and < 50th percentile	
HEDIS	Cardiac Rehabilitation Engagement 1: ≥ 12 Visits in 90 days (Ages 65+ years)	6	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA	
HEDIS	Cardiac Rehabilitation Engagement 1: ≥ 12 Visits in 90 days (Total)	514	13	2.5%	1.1%	4.0%	2.9%	n.s.	2.7%	n.s.	>= 25th and < 50th percentile	
HEDIS	Cardiac Rehabilitation Engagement 2: ≥ 24 Visits in 180 days (Ages 18–64 years)	508	13	2.6%	1.1%	4.0%	2.2%	n.s.	2.1%	n.s.	>= 50th and < 75th percentile	

			7	2022 (M)	( 2021)			2022 (MY	2021) Rat	te Comparisc	on <sup>1</sup>
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval	2021 (MY 2020) Rate	2022 Rate Compared to 2021	ммс	2022 Rate Compared to MMC	HEDIS 2022 Percentile
HEDIS	Cardiac Rehabilitation Engagement 2: ≥ 24 Visits in 180 days (Ages 65+ years)	6	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA
HEDIS	Cardiac Rehabilitation Engagement 2: ≥ 24 Visits in 180 days (Total)	514	13	2.5%	1.1%	4.0%	2.2%	n.s.	2.2%	n.s.	>= 25th and < 50th percentile
HEDIS	Cardiac Rehabilitation Achievement: ≥ 36 Visits in 180 days (Ages 18–64 years)	508	2	0.4%	0.0%	1.0%	0.2%	n.s.	0.4%	n.s.	>= 25th and < 50th percentile
HEDIS	Cardiac Rehabilitation Achievement: ≥ 36 Visits in 180 days (Ages 65+ years)	6	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA
HEDIS	Cardiac Rehabilitation Achievement: ≥ 36 Visits in 180 days (Total)	514	2	0.4%	0.0%	1.0%	0.2%	n.s.	0.4%	n.s.	>= 25th and < 50th percentile

<sup>&</sup>lt;sup>1</sup> For comparison of MY 2021 rates to MY 2020 rates, statistically significant increases are indicated by "+," statistically significant decreases by "-," and no statistically significant change by "n.s." For comparison of MY 2021 rates to MMC rates, the "+" denotes that the plan rate exceeds the MMC rate, the "-" denotes that the MMC rate exceeds the plan rate, and "n.s." denotes no statistically significant difference between the two rates.

Denom: denominator; Num: numerator; MY: measurement year; MMC: Medicaid Managed Care; HEDIS: Healthcare Effectiveness Data and Information Set; PA: Pennsylvania; EQR: external quality review; NA: not available, as no HEDIS percentile is available to compare; 2022 Rate N/A: not applicable, as denominator is less than 30.

#### Utilization

Strengths are identified for the following Utilization performance measures.

- The following rates are statistically significantly above/better than the 2022 (MY 2021) MMC weighted average:
  - Concurrent Use of Opioids and Benzodiazepines (Total Ages 18 years and older) 4.4 percentage points.

Opportunities for improvement are identified for the following Utilization performance measures:

- The following rates are statistically significantly below/worse than the 2022 (MY 2021) MMC weighted average:
  - o Adherence to Antipsychotic Medications for Individuals with Schizophrenia 7.6 percentage points.

<sup>&</sup>lt;sup>2</sup> For the Adult Admission Rate measures, lower rates indicate better performance.

Table 2.12: Utilization

				2022 (M	/ 2021) <u> </u>			2022 (MY	2021) Rat	e Comparis	on¹
					Lower 95%	Upper 95%		2022 Rate		2022 Rate	
Indicator					Confidence	Confidence	2021 (MY	Compared		Compared	<b>HEDIS 2022</b>
Source	Indicator	Denom	Num	Rate	Interval	Interval	2020) Rate	to 2021	MMC	to MMC	Percentile
HEDIS	Adherence to Antipsychotic Medications for Individuals with Schizophrenia	700	376	53.7%	49.9%	57.5%	56.0%	n.s.	61.3%	-	>= 10th and < 25th percentile
PA EQR	Adherence to Antipsychotic Medications for Individuals with Schizophrenia (BH Enhanced)	1,339	855	63.9%	61.2%	66.5%	67.0%	n.s.	66.3%	n.s.	NA
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose Testing (Ages 1-11 years)	219	152	69.4%	63.1%	75.7%	64.7%	n.s.	72.9%	n.s.	>= 90th percentile
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose Testing (Ages 12- 17 years)	638	480	75.2%	71.8%	78.7%	63.9%	+	77.4%	n.s.	>= 90th percentile
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose Testing (Total Ages 1-17 years)	857	632	73.8%	70.7%	76.8%	64.1%	+	76.1%	n.s.	>= 90th percentile
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Cholesterol Testing (Ages 1-11 years)	219	143	65.3%	58.8%	71.8%	62.8%	n.s.	69.0%	n.s.	>= 90th percentile
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Cholesterol Testing (Ages 12-17 years)	638	397	62.2%	58.4%	66.1%	51.9%	+	65.3%	n.s.	>= 90th percentile

				2022 (M	Y 2021)			2022 (MY	2021) Rat	e Comparis	on¹
					Lower 95%	Upper 95%		2022 Rate		2022 Rate	
Indicator					Confidence	Confidence	2021 (MY	Compared		Compared	<b>HEDIS 2022</b>
Source	Indicator	Denom	Num	Rate	Interval	Interval	2020) Rate	to 2021	MMC	to MMC	Percentile
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Cholesterol Testing (Total Ages 1-17 years)	857	540	63.0%	59.7%	66.3%	55.0%	+	66.4%	n.s.	>= 90th percentile
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose & Cholesterol Testing (Ages 1-11 years)	219	138	63.0%	56.4%	69.6%	58.4%	n.s.	65.6%	n.s.	>= 90th percentile
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose & Cholesterol Testing (Ages 12-17 years)	638	388	60.8%	57.0%	64.7%	50.3%	+	63.4%	n.s.	>= 90th percentile
HEDIS	Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose & Cholesterol Testing (Total Ages 1-17 years)	857	526	61.4%	58.1%	64.7%	52.6%	+	64.1%	n.s.	>= 90th percentile
HEDIS	Use of Opioids at High Dosage <sup>2</sup>	1,141	104	9.1%	7.4%	10.8%	9.3%	n.s.	7.9%	n.s.	>= 10th and < 25th percentile
HEDIS	Use of Opioids From Multiple Providers (4 or more prescribers) <sup>3</sup>	1,522	259	17.0%	15.1%	18.9%	16.3%	n.s.	14.0%	+	>= 50th and < 75th percentile
HEDIS	Use of Opioids From Multiple Providers (4 or more pharmacies) <sup>3</sup>	1,522	31	2.0%	1.3%	2.8%	1.4%	n.s.	1.2%	+	>= 50th and < 75th percentile
HEDIS	Use of Opioids From Multiple Providers (4 or more prescribers & pharmacies) <sup>3</sup>	1,522	23	1.5%	0.9%	2.2%	0.7%	+	0.7%	+	>= 25th and < 50th percentile

			2022 (MY 2021)				2022 (MY 2021) Rate Comparison <sup>1</sup>				
				<u> </u>	Lower 95%	Upper 95%		2022 Rate		2022 Rate	
Indicator					Confidence	Confidence	2021 (MY	Compared		Compared	<b>HEDIS 2022</b>
Source	Indicator	Denom	Num	Rate	Interval	Interval	2020) Rate	to 2021	MMC	to MMC	Percentile
	Risk of Continued Opioid										>= 90th
HEDIS	Use - At Least 15 Days	10,541	230	2.2%	1.9%	2.5%	3.2%	-	3.3%	-	percentile
	(Ages 18 - 64 years) <sup>4</sup>										percentile
	Risk of Continued Opioid										>= 90th
HEDIS	Use - At Least 15 Days	52	1	1.9%	0.0%	6.6%	0.0%	n.s.	6.6%	n.s.	percentile
	(Ages 65+ years) <sup>4</sup>										percentile
	Risk of Continued Opioid										>= 90th
HEDIS	Use - At Least 15 Days	10,593	231	2.2%	1.9%	2.5%	3.2%	-	3.3%	-	percentile
	(Ages 18 years and older) <sup>4</sup>										p a commo
	Risk of Continued Opioid										>= 75th and <
HEDIS	Use - At Least 31 Days	10,541	179	1.7%	1.4%	2.0%	2.3%	-	2.0%	n.s.	90th percentile
	(Ages 18-64 years) <sup>4</sup>										
LIEDIC	Risk of Continued Opioid	F.2	0	0.00/	0.00/	4.00/	0.00/		2.70/		
HEDIS	Use - At Least 31 Days	52	0	0.0%	0.0%	1.0%	0.0%	NA	2.7%	n.s.	NA
	(Ages 65+ years) <sup>4</sup>										
LIEDIC	Risk of Continued Opioid	10 502	170	4 70/	1 40/	1.00/	2.20/		2.00/		>= 75th and <
HEDIS	Use - At Least 31 Days	10,593	179	1.7%	1.4%	1.9%	2.3%	-	2.0%	-	90th percentile
	(Ages 18 years and older) <sup>4</sup>										
PA EQR	Concurrent Use of Opioids and Benzodiazepines	1,184	144	12.2%	10.3%	14.1%	12.9%	2.0	16.5%		NA
PAEQK	(Ages 18-64 years) <sup>5</sup>	1,104	144	12.270	10.5%	14.170	12.9%	n.s.	10.5%	-	IVA
	Concurrent Use of Opioids										
PA EQR	and Benzodiazepines	2	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA
IALQI	(Ages 65 years and older) <sup>5</sup>	2	U	14/7	IN/A	N/A	IN/A	IN/A	11/7	IN/A	IVA
	Concurrent Use of Opioids										
	and Benzodiazepines										
PA EQR	(Total Ages 18 years and	1,186	144	12.1%	10.2%	14.0%	12.9%	n.s.	16.5%	-	NA
	older) <sup>5</sup>										
	Pharmacotherapy for										27.1
HEDIS	Opioid Use Disorder (Ages	2,396	479	20.0%	18.4%	21.6%	25.0%	_	22.1%	n.s.	>= 25th and <
	16-64 years)	,									50th percentile
	Pharmacotherapy for										
HEDIS	Opioid Use Disorder (Ages	2	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A	NA
	65+ years)										

			2022 (MY 2021)			2022 (MY 2021) Rate Comparison <sup>1</sup>					
Indicator Source	Indicator	Denom	Num	Rate	Lower 95% Confidence Interval	Upper 95% Confidence Interval	2021 (MY 2020) Rate	2022 Rate Compared to 2021	ММС	2022 Rate Compared to MMC	
HEDIS	Pharmacotherapy for Opioid Use Disorder (Total Ages 16+ years)	2,398	479	20.0%	18.3%	21.6%	24.9%	-	22.1%	n.s.	>= 25th and < 50th percentile
PA EQR	Use of Pharmacotherapy for Opioid Use Disorder (Total)	643	493	76.7%	73.3%	80.0%	78.3%	n.s.	76.2%	n.s.	NA
PA EQR	Use of Pharmacotherapy for Opioid Use Disorder (Buprenorphine)	643	469	72.9%	69.4%	76.5%	73.4%	n.s.	71.9%	n.s.	NA
PA EQR	Use of Pharmacotherapy for Opioid Use Disorder (Oral Naltrexone)	643	25	3.9%	2.3%	5.5%	4.7%	n.s.	2.8%	n.s.	NA
PA EQR	Use of Pharmacotherapy for Opioid Use Disorder (Long-Acting, Injectable Naltrexone)	643	34	5.3%	3.5%	7.1%	8.0%	n.s.	4.8%	n.s.	NA
PA EQR	Use of Pharmacotherapy for Opioid Use Disorder (Methadone)	643	2	0.3%	0.0%	0.8%	0.7%	n.s.	1.8%	-	NA

<sup>&</sup>lt;sup>1</sup> For comparison of MY 2021 rates to MY 2020 rates, statistically significant increases are indicated by "+," statistically significant decreases by "-," and no statistically significant change by "n.s." For comparison of MY 2021 rates to MMC rates, the "+" denotes that the plan rate exceeds the MMC rate, the "-" denotes that the MMC rate exceeds the plan rate, and "n.s." denotes no statistically significant difference between the two rates.

Denom: denominator; Num: numerator; MY: measurement year; MMC: Medicaid Managed Care; HEDIS: Healthcare Effectiveness Data and Information Set; PA: Pennsylvania; EQR: external quality review; NA: not available, as no HEDIS percentile is available to compare; 2022 Rate N/A: not applicable, as denominator is less than 30

Table 2.13: Utilization (Continued)

				2022 (MY 2021) Rate Comparison <sup>1</sup>		
					2022 Rate	HEDIS
Indicator				2021 (MY	Compared	2022
Source	Indicator <sup>2</sup>	Count	Rate	2020) Rate	to 2021	Percentile
HEDIS	Plan All-Cause Readmissions: Count of Index Hospital Stays (IHS)—Total Stays (Ages Total)	6,932		5,499		

<sup>&</sup>lt;sup>2</sup> For the Use of Opioids at High Dosage measure, lower rates indicate better performance.

<sup>&</sup>lt;sup>3</sup> For the Use of Opioids From Multiple Providers measure, lower rates indicate better performance.

<sup>&</sup>lt;sup>4</sup> For the Risk of Continued Opioid Use measure, lower rates indicate better performance.

<sup>&</sup>lt;sup>5</sup> For the Concurrent Use of Opioids and Benzodiazepines measure, lower rates indicate better performance.

HEDIS	Plan All-Cause Readmissions: Count of 30-Day Readmissions— Total Stays (Ages Total)	710		599		
HEDIS	Plan All-Cause Readmissions: Observed Readmission Rate— Total Stays (Ages Total)		10.2%	10.9%	NA	
HEDIS	Plan All-Cause Readmissions: Expected Readmission Rate— Total Stays (Ages Total)		9.8%	9.7%	NA	
HEDIS	Plan All-Cause Readmissions: Observed to Expected Readmission Ratio—Total Stays (Ages Total)		1.0%	1.1%	NA	

<sup>&</sup>lt;sup>1</sup> For comparison of MY 2021 rates to MY 2020 rates, statistically significant increases are indicated by "+," statistically significant decreases by "-," and no statistically significant change by "n.s." For comparison of MY 2021 rates to MMC rates, the "+" denotes that the plan rate exceeds the MMC rate, the "-" denotes that the MMC rate exceeds the plan rate, and "n.s." denotes no statistically significant difference between the two rates.

<sup>2</sup> For the Plan All-Cause Readmissions (PCR) measure, cells that are grey shaded are data elements that are not relevant to the measure.

MY: measurement year; HEDIS: Healthcare Effectiveness Data and Information Set; NA: not available, as no HEDIS percentile is available to compare.

# Consumer Assessment of Healthcare Providers and Systems (CAHPS) Survey

#### Satisfaction with the Experience of Care

**Table 2.14** and **Table 2.15** provide the survey results of four composite questions by two specific categories for UHC across the last 3 measurement years, as available. The composite questions target the MCO's performance strengths as well as opportunities for improvement.

### **MY 2021 Adult CAHPS 5.1H Survey Results**

Table 2.14: CAHPS MY 2021 Adult Survey Results

Survey Section/Measure	2022 (MY 2021)	2022 Rate Compared to 2021	2021 (MY 2020)	2021 Rate Compared to 2020	2020 (MY 2019)	2022 MMC Weighted Average
Your Health Plan						
Satisfaction with Adult's Health Plan (Rating of 8–10)	80.29%	<b>A</b>	78.24%	▼	81.98%	78.90%
Getting Needed Information (Usually or Always)	82.54%	▼	85.57%	<b>A</b>	85.11%	83.15%
Your Health Care in the Last 6 Months						
Satisfaction with Health Care (Rating of 8–10)	80.83%	▼	81.03%	<b>A</b>	73.53%	77.33%
Appointment for Routine Care When Needed (Usually or Always)	81.90%	<b>A</b>	78.92%	•	81.37%	81.79%

<sup>▲</sup> **V** = Performance increased (▲) or decreased ( $\blacktriangledown$ ) compared to prior year's rate.

Gray shaded boxes reflect rates above the MY 2021 MMC Weighted Average.

CAHPS: Consumer Assessment of Healthcare Providers and Systems; MY: measurement year; MMC: Medicaid managed care.

## **MY 2021 Child CAHPS 5.1H Survey Results**

Table 2.15: CAHPS MY 2021 Child Survey Results

Survey Section/Measure	2022 (MY 2021)	2022 Rate Compared to 2021	2021 (MY 2020)	2021 Rate Compared to 2020	2020 (MY 2019)	2022 MMC Weighted Average
Your Child's Health Plan						
Satisfaction with Child's Health Plan (Rating of 8–10)	90.20%	<b>A</b>	85.99%	▼	86.50%	86.94%
Information or Help from Customer Service (Usually or Always)	84.62%	<b>A</b>	79.55%	•	81.43%	83.40%
Your Healthcare in the Last 6 Months						
Satisfaction with Health Care (Rating of 8–10)	86.29%	▼	88.83%	▼	89.74%	86.28%
Appointment for Routine Care When Needed (Usually or Always)	87.90%	<b>A</b>	83.42%	▼	88.78%	82.96%

**<sup>▲</sup> ▼** = Performance compared to prior year's rate.

Gray shaded boxes reflect rates above the MY 2021 MMC Weighted Average

CAHPS: Consumer Assessment of Healthcare Providers and Systems; MY: measurement year; MMC: Medicaid managed care.

# III: Review of Compliance with Medicaid and CHIP Managed Care Regulations

This section of the EQR report presents a review by IPRO of United Healthcare's (UHC's) compliance with its contract and with state and federal regulations. The review is based on information derived from reviews of the MCO that were conducted by PA DHS within the past three years, most typically within the immediately preceding year.

The SMART items are a comprehensive set of monitoring items that have been developed by PA DHS from the managed care regulations. PA DHS staff reviews SMART items on an ongoing basis for each Medicaid MCO. These items vary in review periodicity as determined by DHS and reviews typically occur annually or as needed. Additionally, reviewers have the option to review individual zones covered by an MCO separately, and to provide multiple findings within a year (e.g., quarterly). Within the SMART system there is a mechanism to include review details, where comments can be added to explain the MCO's compliance, partial compliance, or non-compliance. There is a year allotted to complete all of the SMART standards; if an MCO is non-compliant or partially compliant, this time is built into the system to prevent a Standard from being "finalized." If an MCO does not address a compliance issue, DHS would discuss as a next step the option to issue a Work Plan, a Performance Improvement Plan, or a Corrective Action Plan (CAP). Any of these next steps would be communicated via formal email communications with the MCO. Per DHS, MCOs usually address the issues in SMART without the necessity for any of these actions, based on the SMART timeline.

# **Description of Data Obtained**

The documents used by IPRO for the current review include the HealthChoices Agreement, the SMART database completed by PA DHS staff as of December 31, 2021, additional monitoring activities outlined by DHS staff, and the most recent NCQA Accreditation Survey for UHC effective in the review year.

The SMART items provided much of the information necessary for this review. The SMART items and their associated review findings for each year are maintained in a database. The SMART database has been maintained internally at DHS since review year (RY) 2013. Beginning in 2018 (RY 2017), there were changes implemented to the review process that impacted the data that are received annually. First, the only available review conclusions are Compliant and non-Compliant. All other options previously available were re-designated from review conclusion elements to review status elements and are therefore not included in the findings. Additionally, as noted, reviewers were given the option to review zones covered by an MCO separately, and to provide multiple findings within a year (e.g., quarterly). As a result, there was an increase in the number of partially compliant items for the initial year. For use in the current review, IPRO reviewed the data elements from each version of the database and then merged the RY 2021, 2020, and 2019 findings. IPRO reviewed the elements in the SMART item list and created a crosswalk to pertinent BBA regulations. A total of 135 items were identified that were relevant to evaluation of MCO compliance with the BBA regulations.

The crosswalk linked SMART Items to specific provisions of the regulations, where possible. Some items were relevant to more than one provision. The most recently revised CMS protocols included updates to the structure and compliance standards, including which standards are required for compliance review. Under these protocols, there are 11 standards that CMS has designated as required to be subject to compliance review. Several previously required standards have been deemed by CMS as incorporated into the compliance review through interaction with the new required standards and appear to assess items that are related to the required standards. The compliance evaluation was conducted on the crosswalked regulations for all 11 required standards and remaining related standards that were previously required and continue to be reviewed.

**Table 3.1** provides a count of items linked to each category. Additionally, **Table 3.1** includes all regulations and standards from the three-year review period (RY 2021, 2020, and 2019), which incorporates both the prior and the most recent set of EQR protocols. The CMS regulations are reflected in **Table 3.1** as follows: 1) a *Required* column has been included to indicate the 11 standards that CMS has designated as subject to compliance review, and 2) a *Related* column has been included to indicate standards that CMS has deemed as incorporated into the compliance review through interaction with the required standards.

Table 3.1: SMART Items Count Per Regulation

Pable 3.1: SMART Items Count Per Regulation           BBA Regulation	SMART Items	Required	Related
Subpart C: Enrollee Rights and Protections			
Enrollee Rights	7		✓
Provider-Enrollee Communication	1		✓
Marketing Activities	2		✓
Cost Sharing	0		
Emergency and Post-Stabilization Services – Definition	4		✓
Emergency Services: Coverage and Payment	1		✓
Subpart D: MCO, PIHP and PAHP Standards			
Availability of Services	14	✓	
Assurances of Adequate Capacity and Services	3	✓	
Coordination and Continuity of Care	13	✓	
Coverage and Authorization of Services	9	✓	
Provider Selection	4	✓	
Provider Discrimination Prohibited	1		✓
Confidentiality	1	✓	
Enrollment and Disenrollment	2		✓
Grievance and Appeal System	1	✓	
Subcontractual Relationships and Delegations	3	✓	
Practice Guidelines	2	✓	
Health Information Systems	18	✓	
Subpart E: Quality Measurement and Improvement; Exte	rnal Quality Review		
Quality Assessment and Performance Improvement Program (QAPI)	9	✓	
Subpart F: Grievance and Appeal System			
General Requirements	8		✓
Notice of Action	3		✓
Handling of Grievances and Appeals	9		✓
Resolution and Notification	7		✓
Expedited Resolution	4		✓
Information to Providers and Subcontractors	1		✓
Recordkeeping and Recording	6		✓
Continuation of Benefits Pending Appeal and State Fair Hearings	2		✓
Effectuation of Reversed Resolutions	0		✓

Two previous categories, Cost Sharing and Effectuation of Reversed Resolutions, were not directly addressed by any of the SMART Items reviewed by DHS. Cost Sharing is addressed in the HealthChoices Agreement. Effectuation of Reversed Resolutions is evaluated as part of the most recent NCQA Accreditation review under Utilization Management (UM) Standard 8: Policies for Appeals and UM 9: Appropriate Handling of Appeals.

Review of Assurances of adequate capacity and services included three additional SMART Items that reference requirements related to provider agreements and reporting of appropriate services. Additionally, monitoring team review activities addressed other elements as applicable, including: readiness reviews of a new MCO's network against the requirements in the HealthChoices Agreement to ensure the ability to adequately serve the potential membership population; review of provider networks on several levels, such as annual MCO submissions of provider network, weekly submissions of provider additions/deletions together with executive summaries of gaps and plans of action to fill gaps as

required, and regular monitoring of adequacy through review and approval of provider directories, access to care campaigns and as needed; periodic review of provider terminations with potential to cause gaps in the MCO provider network, as well as review with the MCO of the provider termination process outlined in the HealthChoices Agreement.

## **Determination of Compliance**

To evaluate MCO compliance on individual provisions, IPRO grouped the monitoring standards by provision and evaluated the MCO's compliance status with regard to the SMART Items. For example, all provisions relating to availability of services are summarized under Availability of Services § 438.206. This grouping process was done by referring to CMS's "Regulations Subject to Compliance Review," where specific Medicaid regulations are noted as required for review and corresponding sections are identified and described for each Subpart, particularly D and E. Each item was assigned a value of Compliant or non-Compliant in the Item Log submitted by DHS. If an item was not evaluated for a particular MCO, it was assigned a value of Not Determined. Compliance with the BBA requirements was then determined based on the aggregate results of the SMART Items linked to each provision within a requirement or category. If all items were Compliant, the MCO was evaluated as Compliant. If some were Compliant and some were non-Compliant, the MCO was evaluated as partially Compliant. If all items were non-Compliant, the MCO was evaluated for a given category and no other source of information was available to determine compliance, a value of Not Determined was assigned for that category.

Categories determined to be partially or non-Compliant are indicated where applicable in the tables below, and the SMART Items that were assigned a value of non-Compliant by DHS within those categories are noted. For UHC, there were no categories determined to be partially or non-Compliant, signifying that no SMART Items were assigned a value of non-Compliant by DHS. There are therefore no recommendations related to compliance with structure and operations standards for UHC for the current review year.

In addition to this analysis of DHS's monitoring of MCO compliance with managed care regulations, IPRO reviewed and evaluated the most recent NCQA accreditation report for each MCO. IPRO accessed the NCQA *Health Plan Reports* website<sup>2</sup> to review the *Health Plan Report Cards 2021* for UHC. For each MCO, star ratings, accreditation status, plan type, and distinctions were displayed. At the MCO-specific pages, information displayed was related to membership size, accreditation status, survey type and schedule, and star ratings for each measure and overall.

#### **Format**

The format for this section of the report was developed to be consistent with the subparts prescribed by BBA regulations. This document groups the regulatory requirements under subject headings that are consistent with the subparts set out in the BBA regulations and described in the CMS EQR Protocol: *Review of Compliance with Medicaid and CHIP Managed Care Regulations*. Under each subpart heading falls the individual regulatory categories appropriate to those headings. Findings will be further discussed relative to applicable subparts as indicated in the updated Protocol, i.e., Subpart D – MCO, PIHP and PAHP Standards and Subpart E – Quality Measurement and Improvement.

This format reflects the goal of the review, which is to gather sufficient foundation for IPRO's required assessment of the MCO's compliance with BBA regulations as an element of the analysis of the MCO's strengths and weaknesses.

## **Findings**

Of the 135 SMART Items, 88 items were evaluated and 47 were not evaluated for the MCO in RY 2021, RY 2020, or RY 2019. For categories where items were not evaluated for compliance for RY 2021, results from reviews conducted within the two prior years (RY 2020 and RY 2019) were evaluated to determine compliance, if available. Given that the MCO was found to be non-compliant in the Enrollment and Disenrollment category, IPRO recommends that particular focus is placed on improving infrastructure and accessibility related to this area going forward.

### **Subpart C: Enrollee Rights and Protections**

The general purpose of the regulations included in this category is to ensure that each MCO had written policies regarding enrollee rights and complies with applicable Federal and State laws that pertain to enrollee rights, and that the MCO

<sup>&</sup>lt;sup>2</sup> NCQA Health Plan Report Cards Website: https://reportcards.ncqa.org/health-plans. Accessed December 19, 2022.

ensures that its staff and affiliated providers take into account those rights when furnishing services to enrollees. [Title 42 CFR § 438.100 (a), (b)].

The SMART database and DHS's audit document information include assessment of the MCO's compliance with regulations found in Subpart C. **Table 3.2** presents the findings by categories consistent with the regulations. As indicated in **Table 3.1**, no regulation in this subpart is included in the updated required standards, although several are related standards.

Table 3.2: UHC Compliance with Enrollee Rights and Protections Regulations

EN	ENROLLEE RIGHTS AND PROTECTIONS REGULATIONS						
Subpart C: Categories	Compliance	Comments					
Enrollee Rights	Compliant	7 items were crosswalked to this category.  The MCO was evaluated against 6 items and was compliant on 6 items based on RY 2021.					
Provider-Enrollee Communication	Compliant	1 item was crosswalked to this category.  The MCO was evaluated against 1 item and was compliant on this item based on RY 2021.					
Marketing Activities	Compliant	2 items were crosswalked to this category.  The MCO was evaluated against 2 items and was compliant on 2 items based on RY 2021.					
Cost Sharing	Compliant	Per HealthChoices Agreement					
Emergency Services: Coverage and Payment	Compliant	1 item was crosswalked to this category.  The MCO was evaluated against 1 item and was compliant on this item based on RY 2021.					
Emergency and Post Stabilization Services	Compliant	4 items were crosswalked to this category.  The MCO was evaluated against 3 items and was compliant on 3 items based on RY 2021.					

UHC was evaluated against 13 of the 15 SMART Items crosswalked to Enrollee Rights and Protections Regulations and was compliant on all 13 items. UHC was found to be compliant on all six of the categories of Enrollee Rights and Protections Regulations. UHC was found to be compliant on the Cost Sharing provision, based on the HealthChoices agreement.

#### **Subpart D: MCO, PIHP and PAHP Standards**

The general purpose of the regulations included under this heading is to ensure that all services available under the commonwealth's Medicaid managed care program are available and accessible to UHC enrollees. [Title 42 CFR § 438.206 (a)].

The SMART database includes an assessment of the MCO's compliance with regulations found in Subpart D. For the category of Assurances of Adequate Capacity and Services, the MCO was evaluated as noted above against additional SMART Items and DHS monitoring activities. **Table 3.3** presents the findings by categories consistent with the regulations. Regulations that have been designated in **Table 3.1** as required under the updated protocols are in **bold** type. The remaining are related standards.

Table 3.3: UHC Compliance with MCO, PIHP and PAHP Standards Regulations

MCO, PIHP AND PAHP STANDARDS REGULATIONS					
Subpart D: Categories <sup>1</sup>	Compliance	Comments			
		14 items were crosswalked to this category.			
Availability of Services	Compliant	The MCO was evaluated against 11 items and was			
		compliant on 11 items based on RY 2021.			

MCC	D, PIHP AND PAHP S	TANDARDS REGULATIONS
Subpart D: Categories <sup>1</sup>	Compliance	Comments
Assumences of Adamsets Consitu		3 items were crosswalked to this category.
Assurances of Adequate Capacity and Services	Compliant	The MCO was evaluated against 2 items and was
and Services		compliant on 2 items based on RY 2021.
Coordination and Continuity of		13 items were crosswalked to this category.
Coordination and Continuity of Care	Compliant	The MCO was evaluated against 12 items and was
Gui S		compliant on 12 items based on RY 2021.
Coverage and Authorization of		9 items were crosswalked to this category.
Services	Compliant	The MCO was evaluated against 7 items and was
00.11000		compliant on 7 items based on RY 2021.
		4 items were crosswalked to this category.
Provider Selection	Compliant	The MCO was evaluated against 1 item and was
		compliant on this item based on RY 2021.
Provider Discrimination		1 item was crosswalked to this category.
Prohibited	Compliant	The MCO was evaluated against 1 item and was
		compliant on this item based on RY 2021.
		1 item was crosswalked to this category.
Confidentiality	Compliant	The MCO was evaluated against 1 item and was
		compliant on this item based on RY 2021.
		2 items were crosswalked to this category.
Enrollment and Disenrollment	Compliant	The MCO was evaluated against 1 item and was
		compliant on this item based on RY 2021.
		1 item was crosswalked to this category.
Grievance and Appeal System	Compliant	The MCO was evaluated against 1 item and was
		compliant on this item based on RY 2021.
Subcontractual Relationships and		3 items were crosswalked to this category.
Delegations	Compliant	The MCO was evaluated against 3 items and was
3		compliant on 3 items based on RY 2021.
		2 items were crosswalked to this category.
Practice Guidelines	Compliant	The MCO was evaluated against 2 items and was
		compliant on 2 items based on RY 2021.
		18 items were crosswalked to this category.
Health Information Systems	Compliant	The MCO was evaluated against 11 items and was
		compliant on 11 items based on RY 2021.

<sup>&</sup>lt;sup>1</sup>Regulations that have been designated as required under the updated protocols are in bold type. The remaining two are related standards.

UHC was evaluated against 53 of 71 SMART Items that were crosswalked to MCO, PIHP and PAHP Standards Regulations and was compliant on all 53 items. Of the 12 categories in MCO, PIHP and PAHP Standards, UHC was found to be compliant on all 12 categories.

## Subpart E: Quality Measurement and Improvement; External Quality Review

The general purpose of the regulations included under this heading is to ensure that managed care entities establish and implement an ongoing comprehensive QAPI program for the services it furnishes to its Medicaid enrollees. [Title 42 CFR § 438.330].

The MCO's compliance with the regulation found in Subpart E was evaluated as noted above against additional SMART Items and DHS monitoring activities. **Table 3.4** presents the findings by categories consistent with the regulation. This regulation has been designated in **Table 3.1** as required under the updated protocols and is in **bold** type.

Table 3.4: UHC Compliance with Quality Measurement and Improvement; External Quality Review Regulations

QUALITY MEASUREMENT AND IMPROVEMENT; EXTERNAL QUALITY REVIEW REGULATIONS						
Subpart E: Categories <sup>1</sup>	Compliance	Comments				
Quality Assessment and Performance Improvement	Compliant	9 items were crosswalked to this category.  The MCO was evaluated against 9 items and was				
Program (QAPI)	,	compliant on 9 items based on RY 2021.				

<sup>&</sup>lt;sup>1</sup>The regulation, which has been designated as required under the updated protocols, is in bold type.

UHC was evaluated against nine of the nine SMART Items crosswalked to Quality Assessment and Performance Improvement Program (QAPI) and was compliant on nine items.

### **Subpart F: Grievance and Appeal System**

The general purpose of the regulations included under this heading is to ensure that enrollees have the ability to pursue grievances.

The SMART database and DHS's audit document information include assessment of the MCO's compliance with regulations found in Subpart F. **Table 3.5** presents the findings by categories consistent with the regulations. As indicated in **Table 3.1**, no regulation in this subpart is included in the updated required standards, although all are related standards.

Table 3.5: UHC Compliance with Grievance and Appeal Systems Regulations

GRIEVANCE AND APPEAL SYSTEM REGULATIONS					
Subpart F: Categories	Compliance	Comments			
		8 items were crosswalked to this category.			
General Requirements	Compliant	The MCO was evaluated against 1 item and was			
		compliant on this item based on RY 2021.			
		3 items were crosswalked to this category.			
Notice of Action	Compliant	The MCO was evaluated against 2 items and was			
		compliant on 2 items based on RY 2021.			
		9 items were crosswalked to this category.			
Handling of Grievances & Appeals	Compliant	The MCO was evaluated against 2 items and was			
		compliant on 2 items based on RY 2021.			
		7 items were crosswalked to this category.			
Resolution and Notification	Compliant	The MCO was evaluated against 2 items and was			
		compliant on 2 items based on RY 2021.			
		4 items were crosswalked to this category.			
Expedited Resolution	Compliant	The MCO was evaluated against 2 items and was			
		compliant on 2 items based on RY 2021.			
		1 item was crosswalked to this category.			
Information to Providers and	Compliant	The MCO was evaluated against 1 item and was			
Subcontractors		compliant on this item based on RY 2021.			
		6 items were crosswalked to this category.			
Recordkeeping and Recording	Compliant	The MCO was evaluated against 2 items and was			
		compliant on 2 items based on RY 2021.			

GRIEVANCE AND APPEAL SYSTEM REGULATIONS			
Subpart F: Categories	Compliance	Comments	
Continuation of Benefits Pending Appeal and State Fair Hearings	Compliant	2 items were crosswalked to this category.	
		The MCO was evaluated against 1 item and was	
		compliant on this item based on RY 2021.	
Effectuation of Reversed	Compliant	Per NCQA Accreditation, 2022. (See "Accreditation	
Resolutions	Compliant	Status" below)	

UHC was evaluated against 13 of the 40 SMART Items crosswalked to the Grievance and Appeal System and was compliant on all 13 items. UHC was found to be compliant for all nine categories of the Grievance and Appeal System. For the category of Effectuation of Reversed Resolutions, per the NCQA website, the plan remains Accredited.

### **Accreditation Status**

UHC underwent an NCQA Accreditation Survey evaluation June 30, 2022, due to the ongoing 2019 novel coronavirus (COVID-19) pandemic, which is effective through September 26, 2023. They were granted an Accreditation Status of Accredited.

# IV: MCO Responses to the Previous EQR Recommendations

Title 42 CFR § 438.364 External quality review results (a)(6) require each annual technical report include "an assessment of the degree to which each MCO, PIHP, PAHP, or [primary care case management] PCCM entity has effectively addressed the recommendations for quality improvement (QI) made by the EQRO during the previous year's EQR." **Table 4.1** displays the MCO's opportunities as well as IPRO's assessment of their responses. The detailed responses are included in the embedded Word document. In addition to the opportunities identified from the EQR, DHS also required MCOs to develop a root cause analysis around select P4P indicators.

## **Current and Proposed Interventions**

The general purpose of this section is to assess the degree to which each PH MCO has addressed the opportunities for improvement made by IPRO in the 2021 EQR Technical Reports, which were distributed May 2022. The 2022 EQR is the fourteenth to include descriptions of current and proposed interventions from each PH MCO that address the recommendations from the prior year's reports.

DHS requested that MCOs submit descriptions of current and proposed interventions using the Opportunities for Improvement form developed by IPRO to ensure that responses are reported consistently across the MCOs. These activities follow a longitudinal format, and are designed to capture information relating to:

- Follow-up actions that the MCO has taken through June 30, 2022, to address each recommendation;
- Future actions that are planned to address each recommendation;
- When and how future actions will be accomplished;
- The expected outcome or goals of the actions that were taken or will be taken; and
- The MCO's process(es) for monitoring the action to determine the effectiveness of the actions taken.

The documents informing the current report include the response submitted to IPRO as of September 2022, as well as any additional relevant documentation provided by UnitedHealthcare.

The embedded Word document presents UnitedHealthcare's responses to opportunities for improvement cited by IPRO in the 2021 EQR Technical Report, detailing current and proposed interventions.



# **Root Cause Analysis and Action Plan**

The 2022 EQR is the thirteenth year MCOs were required to prepare a Root Cause Analysis and Action Plan for measures on the HEDIS MY 2021 P4P Measure Matrix receiving either "D" or "F" ratings. Each P4P measure in categories "D" and "F" required that the MCO submit:

- A goal statement;
- A root cause analysis and analysis findings;
- An action plan to address findings;
- Implementation dates; and
- A monitoring plan to assure action is effective and to address what will be measured and how often that measurement will occur.

UnitedHealthcare submitted an initial Root Cause Analysis and Action Plan in September 2022. For each measure in grade categories D and F, United Healthcare completed the embedded form, identifying factors contributing to poor performance.



For the 2021 EQR, UnitedHealthcare was required to prepare a Root Cause Analysis and Action Plan for the following performance measures, which are detailed in **Table 4.1**.

# **UnitedHealthcare Response to Previous EQR Recommendations**

**Table 4.1** displays UnitedHealthcare's progress related to the *2021 External Quality Review Report,* as well as IPRO's assessment of United Healthcare's response.

Table 1: UnitedHealthcare Response to Previous EQR Recommendations

Table 1: UnitedHealthcare Response to Previous EQR Recommendations	IPRO Assessment
Recommendation for UnitedHealthcare	of MCO
Recommendation for officearicate	Response <sup>1</sup>
Improve Adults' Access to Preventive/Ambulatory Health Services (Ages 20-44 years)	Partially
	addressed
Improve Adults' Access to Preventive/Ambulatory Health Services (Ages 45-64 years)	Partially
	addressed
Improve Follow-up Care for Children Prescribed ADHD Medication - Initiation Phase	Not addressed
Improve Follow-up Care for Children Prescribed ADHD Medication (BH Enhanced) - Initiation Phase	Not addressed
Improve Follow-up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug	Not addressed
Abuse or Dependence (Ages 18 to 64 years - ED visits for mental illness, follow-up within 7 days)	
Improve Follow-up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug	Partially
Abuse or Dependence (Ages 18 to 64 years - ED visits for mental illness, follow-up within 30 days)	addressed
Improve Annual Dental Visits for Members with Developmental Disabilities (Ages 2-20 years)	Addressed
Improve Breast Cancer Screening (Ages 50-74 years)	Not addressed
Improve Cervical Cancer Screening (Ages 21-64 years)	Not addressed
Improve Contraceptive Care for Postpartum Women: Most or moderately effective contraception	Addressed
- 3 days (Ages 15 to 20 years)	
Improve Contraceptive Care for Postpartum Women: Most or moderately effective contraception	Not addressed
- 60 days (Ages 15 to 20 years)	
Improve Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Ages	Not addressed
40 to 64 years) Admissions per 100,000 member months	
Improve Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Ages	Partially
65 years and older) Admissions per 100,000 member months	addressed
Improve Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Total	Not addressed
Ages 40+ years) Admissions per 100,000 member months	
Improve Statin Therapy for Patients With Diabetes: Statin Adherence 80%	Addressed
Improve Heart Failure Admission Rate (Ages 18-64 years) Admissions per 100,000 member months	Partially
Language of the state of the st	addressed
Improve Heart Failure Admission Rate (Ages 65+ years) Admissions per 100,000 member months	Partially
Improved Heart Failure Admission Rate (Tatal Ages 18), years) Admissions now 100,000 members	addressed
Improve Heart Failure Admission Rate (Total Ages 18+ years) Admissions per 100,000 member months	Partially addressed
Improve Adherence to Antipsychotic Medications for Individuals with Schizophrenia Improve Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose	Not addressed Addressed
Testing (Ages 12-17 years)	Auuresseu
Improve Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose	Addressed
Testing (Total Ages 1-17 years)	Addressed
Improve Metabolic Monitoring for Children and Adolescents on Antipsychotics: Cholesterol Testing	Addressed
(Ages 12-17 years)	71441 63364
Improve Metabolic Monitoring for Children and Adolescents on Antipsychotics: Cholesterol Testing	Addressed
(Total Ages 1-17 years)	

Recommendation for UnitedHealthcare	IPRO Assessment of MCO Response <sup>1</sup>
Improve Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose &	Addressed
Cholesterol Testing (Ages 12-17 years)	
Improve Metabolic Monitoring for Children and Adolescents on Antipsychotics: Blood Glucose &	Addressed
Cholesterol Testing (Total Ages 1-17 years)	

<sup>&</sup>lt;sup>1</sup> IPRO assessments are as follows: **addressed**: MCO's quality improvement (QI) response resulted in demonstrated improvement; **partially addressed**: either of the following 1) improvement was observed, but identified as an opportunity for current year; or 2) improvement not observed, but not identified as an opportunity for current year; **remains an opportunity for improvement**: MCO's QI response did not address the recommendation; improvement was not observed or performance declined. EQR: external quality review; MCO: managed care organization.

# V: Strengths, Opportunities for Improvement, and EQR Recommendations

The review of the MCO's MY 2021 performance against Medicaid and CHIP managed care regulations, performance improvement projects and performance measures identified strengths and opportunities for improvement in the quality outcomes, timeliness of, and access to services for Medicaid members served by this MCO.

## **Strengths**

- UHC was found to be compliant on three elements reviewed for the Preventing Inappropriate Use or Overuse of Opioids PIP.
- UHC was found to be compliant on two elements for the Reducing Potentially Preventable Hospital Admissions, Readmissions and ED visits PIP.
- The MCO's performance was statistically significantly above/better than the MMC weighted average in 2022 (MY 2021) on the following measures:
  - Body Mass Index: Percentile (Ages 3–11 years);
  - Body Mass Index: Percentile (Ages 12–17 years);
  - Body Mass Index: Percentile (Total);
  - Counseling for Nutrition (Ages 3–11 years);
  - Counseling for Nutrition (Ages 12–17 years);
  - Counseling for Nutrition (Total);
  - Counseling for Physical Activity (Ages 3–11 years);
  - Counseling for Physical Activity (Ages 12–17 years);
  - Counseling for Physical Activity (Total);
  - Developmental Screening in the First Three Years of Life 1 year;
  - Prenatal Screening for Smoking;
  - o Prenatal Screening for Smoking during one of the first two visits (CHIPRA indicator);
  - Prenatal Screening for Environmental Tobacco Smoke Exposure;
  - Prenatal Screening for Depression;
  - Prenatal Screening for Depression during one of the first two visits (CHIPRA indicator);
  - Postpartum Screening for Depression;
  - Appropriate Testing for Pharyngitis (Ages 3–17 years);
  - Appropriate Testing for Pharyngitis (Ages 18–64 years);
  - Appropriate Testing for Pharyngitis (Total);
  - Avoidance of Antibiotic Treatment for Acute Bronchitis/Bronchiolitis (Ages 3 months-17 years);
  - Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Ages 65 years and older) Admissions per 100,000 member months;
  - Diabetes Care for People with Serious Mental Illness: Hemoglobin A1c (HbA1c) Poor Control (> 9.0%) (Age Cohort: 18–64 Years of Age);
  - Heart Failure Admission Rate (Ages 65+ years) Admissions per 100,000 member months; and
  - o Concurrent Use of Opioids and Benzodiazepines (Total Ages 18 years and older).
- UHC was found to be fully compliant on all categories in the state and federal managed care regulations.

## **Opportunities for Improvement**

- UHC was found to be partially compliant on two elements reviewed for the Preventing Inappropriate Use or Overuse of Opioids PIP.
- UHC was found to be partially compliant on three elements for the Reducing Potentially Preventable Hospital Admissions, Readmissions and ED visits PIP.
- The MCO's performance was statistically significantly below/worse than the MMC rate in 2022 (MY 2021) as indicated by the following measures:
  - Adults' Access to Preventive/Ambulatory Health Services (Ages 20–44 years);
  - Adults' Access to Preventive/Ambulatory Health Services (Ages 45–64 years);
  - Child and Adolescent Well-Care Visits (Ages 18–21 years);

- Childhood Immunizations Status (Combination 3);
- Lead Screening in Children (Age 2 years);
- Follow-up Care for Children Prescribed ADHD Medication Initiation Phase;
- Follow-up Care for Children Prescribed ADHD Medication (BH Enhanced) Initiation Phase;
- o Follow-up Care for Children Prescribed ADHD Medication (BH Enhanced) Continuation Phase;
- Follow-up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence (Ages 18 to 64 years – ED visits for mental illness, follow-up within 7 days);
- Follow-up After Emergency Department Visit for Mental Illness or Alcohol and Other Drug Abuse or Dependence (Ages 18 to 64 years – ED visits for mental illness, follow-up within 30 days);
- Oral Evaluation, Dental Services (Ages < 1–20 years);</li>
- Topical Fluoride for Children (Dental Services);
- Breast Cancer Screening (Ages 50–74 years);
- Cervical Cancer Screening (Ages 21–64 years);
- Contraceptive Care for Postpartum Women: Most or moderately effective contraception 60 days (Ages 15– 20 years);
- Pharmacotherapy Management of COPD Exacerbation: Systemic Corticosteroid;
- Asthma Medication Ratio (Ages 5–11 years);
- Asthma Medication Ratio (Ages 12–18 years);
- Asthma Medication Ratio (Ages 19–50 years);
- Asthma Medication Ratio (Total);
- Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Ages 40–64 years)
   Admissions per 100,000 member months;
- Chronic Obstructive Pulmonary Disease or Asthma in Older Adults Admission Rate (Total Ages 40+ years)
   Admissions per 100,000 member months;
- Retinal Eye Exam;
- o Statin Therapy for Patients With Cardiovascular Disease: Received Statin Therapy Ages 21–75 years (Male);
- Statin Therapy for Patients With Cardiovascular Disease: Received Statin Therapy Total Rate; and
- Adherence to Antipsychotic Medications for Individuals with Schizophrenia.

Additional targeted opportunities for improvement are found in the MCO-specific HEDIS MY 2021 P4P Measure Matrix that follows.

## P4P Measure Matrix Report Card 2022 (MY 2021)

The Pay-for-Performance (P4P) Matrix Report Card provides a comparative look at all measures in the Quality Performance Measures component of the "HealthChoices MCO Pay for Performance Program." There are ten measures: seven are classified as both Healthcare Effectiveness Data Information Set (HEDIS®) and CMS Core Set measures, two are solely HEDIS and one is solely a CMS Child Core Set measure. The matrix:

- 1. Compares the Managed Care Organization's (MCO's) own P4P measure performance over the two most recent reporting years, 2022 (MY 2021) and 2021 (MY 2020); and
- 2. Compares the MCO's MY 2021 P4P measure rates to the MY 2021 Medicaid Managed Care (MMC) Weighted Average, or the MCO Average as applicable.

A matrix represents the comparisons in each of **Figures 5.1** and **5.2.** In **Figure 5.1**, the horizontal comparison represents the MCO's current performance as compared to the most recent MMC weighted average. When comparing an MCO's rate to the MMC weighted average for each respective measure, the MCO rate can be either above average, average, or below average. For each rate, the MCO's performance is determined using a 95% confidence interval for that rate. The difference between the MCO rate and MMC Weighted Average is statistically significant if the MMC Weighted Average is not included in the range, given by the 95% confidence interval. When noted, the MCO comparative differences represent statistically significant differences from the MMC weighted average.

The vertical comparison represents the MCO's performance for each measure in relation to its prior year's rates for the same measure. The MCO's rate can trend up ( $\hat{\Omega}$ ), have no change, or trend down ( $\mathbb{Q}$ ). For these year-to-year comparisons, the statistical significance of the difference between two independent proportions was determined by calculating the z-ratio. A z-ratio is a statistical measure that quantifies the difference between two percentages when they come from two separate study populations. Noted comparative differences denote statistically significant differences between the years.

**Figure 5.2** represents a matrix for the Plan All-Cause Readmissions measure. Instead of a percentage, performance on this measure is assessed via a ratio of observed readmissions to expected readmissions. Additionally, a MMC Weighted Average is not calculated. Given the different parameters for this measure, comparisons are made based on absolute differences in the O/E ratio between years and against the current year's MCO Average.

For some measures, lower rates indicate better performance; these measures are specified in each matrix. Therefore, the matrix labels denote changes as above/better and below/worse. Each matrix is color-coded to indicate when an MCO's performance for these P4P measures are notable or whether there is cause for action. Using the comparisons described above as applicable for each measure, the color codes are:

2021 average and above/better than the MCO's MY 2020 rate.
The light green boxes (B) indicate either that the MCO's MY 2021 rate does not differ from the MY 2021 average and is above/better than MY 2020, or that the MCO's MY 2021 rate is above/better than the MY 2021 average but there is no change from the MCO's MY 2020 rate.

The green box (A) indicates that performance is notable. The MCO's MY 2021 rate is above/better than the MY

The yellow boxes (C) indicate that the MCO's MY 2021 rate is below/worse than the MY 2021 average and is above/better than the MY 2020 rate, or the MCO's MY 2021 rate does not differ from the MY 2021 average and there is no change from MY 2020, or the MCO's MY 2021 rate is above/better than the MY 2021 average but is lower/worse than the MCO's MY 2020 rate. No action is required although MCOs should identify continued opportunities for improvement.

The orange boxes (D) indicate either that the MCO's MY 2021 rate is lower/worse than the MY 2021 average and there is no change from MY 2020, or that the MCO's MY 2021 rate is not different than the MY 2021 average and is lower/worse than the MCO's MY 2020 rate. *A root cause analysis and plan of action is therefore required.* 

The red box (F) indicates that the MCO's MY 2021 rate is below/worse than the MY 2021 average and is below/worse than the MCO's MY 2020 rate. *A root cause analysis and plan of action is therefore required.* 



#### **UHC Key Points**

#### A – Performance is notable. No action required. MCOs may have internal goals to improve.

Measure(s) that in MY 2021 are statistically significantly above/better than MY 2020 and are statistically significantly above/better than the MY 2021 MMC weighted average:

- Annual Dental Visit (Ages 2—20 years)
- Developmental Screening in the First Three Years of Life

### ■ B – No action required. MCOs may identify continued opportunities for improvement.

Measure(s) that in MY 2021 are statistically significantly above/better than MY 2020, but did not statistically significantly change from the MY 2021 MMC weighted average:

• Well-Child Visits in the First 30 Months: First 15 Months of Life (6 or more visits)<sup>3</sup>

#### C – No action required although MCOs should identify continued opportunities for improvement.

Measure(s) that in MY 2021 did not statistically significantly change from MY 2020, and are not statistically significantly different from the MY 2021 MMC weighted average:

- Comprehensive Diabetes Care: HbA1c Poor Control<sup>4</sup>
- Controlling High Blood Pressure
- Prenatal Care in the First Trimester
- Postpartum Care

Measure(s) that in MY 2021 are statistically significantly above/better than MY 2020, and are statistically significantly below/worse than the MY 2021 MMC weighted average:

Child and Adolescent Well-Care Visits (Ages 3—21 years)<sup>5</sup>

Measure(s) that in MY 2021 are statistically significantly below/worse than MY 2020, and are statistically significantly above/better than the MY 2021 MMC weighted average:

Plan All Cause Readmissions<sup>6</sup>

#### D – Root cause analysis and plan of action required.

Measure(s) that in MY 2021 did not statistically significantly change from MY 2020, and are statistically significantly below/worse than the MY 2021 MMC weighted average:

- Asthma Medication Ratio
- Lead Screening in Children

#### ■ F – Root cause analysis and plan of action required.

No P4P measures fell into this comparison category.

<sup>&</sup>lt;sup>3</sup> Effective MY 2020, Well-Child Visits in the First 30 Months: First 15 Months of Life (6 or more visits) replaced Well-Child Visits in the First 15 Months of Life, 6 or more

<sup>&</sup>lt;sup>4</sup> Lower rates for Comprehensive Diabetes Care: HbA1c Poor Control indicate better performance.

<sup>&</sup>lt;sup>5</sup> Child and Adolescent Well-Care Visits (Ages 3—21 years) was added as a P4P measure in 2022 (MY 2021).

<sup>&</sup>lt;sup>6</sup> Lower rates for Plan All Cause Readmissions indicate better performance.

Figure 5.1: P4P Measure Matrix - Rate Measures

		Medicaid Managed Care Weighted Average Statistical Significance Comparison				
	Trend	Below/Worse than Average	Average	Above/Better than Average		
Year to Year Statistical Significance Comparison	1	C Child and Adolescent Well-Care Visits (Ages 3— 21 years) 7	B  Well–Child Visits in the First 30 Months: First 15 Months of Life (6 or more visits) <sup>8</sup>	A  Annual Dental Visit (Ages 2 20 years)  Developmental Screening in the First Three Years of Life		
	No Change	Asthma Medication Ratio  Lead Screening in Children	C Comprehensive Diabetes Care: HbA1c Poor Control <sup>9</sup> Controlling High Blood Pressure Prenatal Care in the First Trimester Postpartum Care	В		
	•	F	D	С		

 $<sup>^{7}</sup>$  Child and Adolescent Well-Care Visits (Ages 3-21 years) was added as a P4P measure in 2022 (MY 2021).

<sup>&</sup>lt;sup>8</sup> Effective MY 2020, Well-Child Visits in the First 30 Months: First 15 Months of Life (6 or more visits) replaced Well-Child Visits in the First 15 Months of Life, 6 or more.

 $<sup>^{9}</sup>$  Lower rates for Comprehensive Diabetes Care: HbA1c Poor Control indicate better performance.

Figure 5.2: P4P Measure Matrix – PCR Ratio Measure

	Medicaid Managed Care Weighted Average Statistical Significance Comparison				
	Trend	Below/Worse than Average	Average	Above/Better than Average	
parison	1	С	В	А	
Year to Year Statistical Significance Comparison	No Change	D	С	В	
Year to	•	F	D	<b>C</b> Plan All Cause  Readmissions 10	

Lower rates for Plan All Cause Readmissions indicate better performance.
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P4P performance measure rates for 2019 (MY 2018), 2020 (MY 2019), 2021 (MY 2020), and 2022 (MY 2021) as applicable are displayed in **Table 5.1**. The following symbols indicate the differences between the reporting years.

- ▲ Statistically significantly higher than the prior year,
- ▼ Statistically significantly lower than the prior year or
- = No change from the prior year.

Table 5.1: P4P Measure Rates

Quality Performance Measure – HEDIS Percentage Rate Metric <sup>*</sup>	HEDIS 2019 (MY 2018) Rate	HEDIS 2020 (MY 2019) Rate	HEDIS 2021 (MY 2020) Rate	HEDIS 2022 (MY 2021) Rate	HEDIS 2022 (MY 2021) MMC WA
Comprehensive Diabetes Care – HbA1c Poor Control <sup>11</sup>	35.1% =	30.6% =	37.5% ▲	34.8% =	36.1%
Controlling High Blood Pressure	65.2% =	69.1% =	62.8% =	62.8% =	65.2%
Prenatal Care in the First Trimester	84.9% =	93.2% ▲	89.3% ▼	88.8% =	89.0%
Postpartum Care	65.1% =	78.1% ▲	79.1% =	79.8% =	79.6%
Annual Dental Visits (Ages 2 – 20 years)	58.1% ▼	61.5% ▲	54.2% ▼	62.0% ▲	60.5%
Well–Child Visits in the First 30 Months: First 15 Months of Life (6 or more visits)	70.7% =	74.2% =	63.2% ▼	65.4% ▲	65.3%
Child and Adolescent Well-Care Visits (Ages 3—21 years) <sup>13</sup>				55.5% ▲	58.4%
Asthma Medication Ratio			62.4% ▲	61.5% =	65.4%
Lead Screening in Children		79.8% =	80.8% =	77.1% =	81.6%
Quality Performance Measure – Other Percentage Rate Metric	2019 (MY 2018) Rate	2020 (MY 2019) Rate	2021 (MY 2020) Rate	2022 (MY 2021) Rate	2022 (MY 2021) MMC WA
Developmental Screening in the First Three Years of Life (CMS Child Core)		59.5% ▲	60.8% ▲	63.0% ▲	60.8%
Quality Performance Measure – HEDIS Ratio Metric	HEDIS 2019 (MY 2018) Ratio	HEDIS 2020 (MY 2019) Ratio	HEDIS 2021 (MY 2020) Ratio	HEDIS 2022 (MY 2021) Rate	HEDIS 2022 (MY 2021) MCO Average
Plan All–Cause Readmissions <sup>14</sup>			1.13	1.04 =	0.97

<sup>\*</sup>Statistically significant difference is indicated for all measures except Plan All–Cause Readmissions. For this measure, differences are P4P: Pay–for–Performance; HEDIS: Healthcare Effectiveness Data and Information Set; MY: measurement year; MMC: Medicaid Managed Care; WA: weighted average.

 $<sup>^{\</sup>rm 11}$  Lower rates for Comprehensive Diabetes Care: HbA1c Poor Control indicate better performance.

<sup>&</sup>lt;sup>12</sup> Effective MY 2020, Well-Child Visits in the First 30 Months: First 15 Months of Life (6 or more visits) replaced Well-Child Visits in the First 15 Months of Life, 6 or more.

<sup>&</sup>lt;sup>13</sup> Child and Adolescent Well-Care Visits (Ages 3—21 years) was added as a P4P measure in 2022 (MY 2021).

<sup>&</sup>lt;sup>14</sup> Lower rates for Plan All Cause Readmissions indicate better performance.

Table 5.2: EOR Recommendations

Measure/Project	IPRO's Recommendation	Standards
Performance Improvement Projects (PIPs)		
Preventing Inappropriate Use or Overuse of Opioids	It was recommended that the MCO provide an assessment of barriers to Indicator 6b, particularly given the decrease of more than 20 percentage points.	Quality
	It was recommended that the plan double check data and update all tables and footnotes/citations as applicable and indicated in the findings	Quality
Reducing Potentially Preventable Hospital Admissions, Readmissions and ED Visits	It was recommended that the plan provide more information regarding the discussions of the impact of COVID-19 for ITMs 3 and 4.	Quality
	It was recommended that the plan provide more information on the updated end date for Intervention 6.	Quality
	It was recommended that the plan update all tables and footnotes/citations as applicable and indicated in the findings	Quality
<b>Performance Measures and CAHPS Survey</b>		
Women's Health	It is recommended the MCO improve access to services related to women's health. The following measures were opportunities for improvement in 2021 and again in 2022:  o Breast Cancer Screening; and o Cervical Cancer Screening.	Access
Services for Members on Antipsychotic Medication	It is recommended the MCO improve access to services for its members on antipsychotic medications. Adherence to Antipsychotic Medications for Individuals with Schizophrenia was an opportunity for improvement in 2021 and again in 2022.	Access
Compliance with Medicaid and CHIP Mana	ged Care Regulations	
There are no recommendations related to on Regulations for the MCO for the current rev	compliance with Medicaid and CHIP Managed Care view year.	N/A

EQR: external quality review; MCO: managed care organization; ED: emergency department; COVID-19: 2019 novel coronavirus; ITMs: intervention tracking measures; CAHPS: Consumer Assessment of Healthcare Providers and Systems; CHIP: Children's Health Insurance Program; N/A: not applicable.

# VI: Summary of Activities

# **Performance Improvement Projects**

• As previously noted, UHC's Opioid and Readmission PIP interim submissions were validated. The MCO received feedback and subsequent information related to these activities from IPRO.

#### **Performance Measures**

 UHC reported all HEDIS, PA-specific, and CAHPS Survey performance measures in 2022 for which the MCO had a sufficient denominator.

# **Structure and Operations Standards**

• With state and federal managed care regulations reviewed, UHC was found to be fully compliant on all contracts. Compliance review findings for UHC from RY 2022, RY 2021, and RY 2020 were used to make the determinations.

## **2021 Opportunities for Improvement MCO Response**

UHC provided a response to the opportunities for improvement issued in the 2021 annual technical report and a root
cause analysis and action plan for those measures on the HEDIS 2021 P4P Measure Matrix receiving either "D" or "F"
ratings.

# 2022 Strengths and Opportunities for Improvement

• Both strengths and opportunities for improvement have been noted for UHC in 2022. A response will be required by the MCO for the noted opportunities for improvement in 2023.

# **Appendix**

# **Performance Improvement Project Interventions**

As referenced in **Section I: Validation of Performance Improvement Projects**, **Table A.1.1** lists all of the interventions outlined in the MCO's most recent PIP submission for the review year.

#### Table A.1.1: PIP Interventions

## **Summary of Interventions**

#### UnitedHealthcare of Pennsylvania (UHC) - Opioid

1. Behavioral Health Advocates

Behavioral Health Advocates (BHA) outreach to members with an OUD diagnosis in acute care to connect them to MAT providers

2. Warm Handoff to Center of Excellence (COE)

BHAs coordinate warm handoffs to Centers of Excellence for members with an OUD related ED visit. A warm handoff is considered a transfer of care between two members of the health care team with the member present. The warm handoff is usually completed face to face but may be completed as a conference call between all the parties if barriers prevent a face-to-face transfer of care (i.e., Covid-19).

3. Optum Pharmacy Retrospective Abused Medication Program (RAMP)

This is a provider-targeted program designed to minimize the occurrence of drug abuse, diversion, and inappropriate use in members utilizing high-risk medications. Medication classes include Opioids. Benzodiazepines; Buprenorphine. Provider outreach and education is completed if member is identified to be on a high cumulative daily dose of opioid analgesic and/or overlap of an opioid analgesic and benzodiazepine.

4. ACO/PCMH Pilot on Opioid Performance Indicators

Key Performance indicators for opioid prescribing practices will be shared with each ACO/PCMH during JOC committee meetings.

5. Value Based Purchasing Program SUD specific

New VBP program was established with Temple University focusing on medication adherence to MAT. This program may expand to additional providers over the course of the PIP.

6. Siloam Program - provides alternative therapy and wellness services to members with HIV, SUD, diabetes, and chronic pain in select Philadelphia zip codes. Program offering yoga, reiki, and wellness counseling, among other alternative therapies.

7. SUD Pregnancy Programs Expansion

SUD Substance Use Disorder Maternal Health Homes – SUD Health homes are OB providers that work with women with a SUD diagnoses throughout prenatal and into postpartum care to ensure consistent care is available, support is in place, and medications are managed. These supports continue into postpartum timeframe to ensure a more stable and healthy development of family. Pregnant women enrolled in SUD Maternal Health Homes are more likely to be engaged in MAT and less likely to discontinue treatment early. In 2019, 89% of members enrolled in a Substance Use Disorder Maternal Health Home received MAT, and 50% of those members had 365 days of

## **Summary of Interventions**

continuous treatment in 2019. All members enrolled in 2019 had continuous MAT from the date of program enrollment until the end of the calendar year. As a result, this program was expanded in January 2020 and two additional SUD maternal health homes were added.

## UnitedHealthcare of Pennsylvania (UHC) – Readmission

#### 1. Accountable Care Organization Program Expansion

Expansion of ACO Program in 2020 to include a larger percentage of the overall member population. Goal of the ACO program is to reduce avoidable ED visits and admits by near real-time data sharing, population management tools, and same day appointments. This is a strategic program to partner with the Practitioner sites whose staff will review discharges daily in UHC's Accountable Care Population Registry and outreach to their recently discharged members to schedule PCP visits within 7 days. This can assist with reducing avoidable emergency department visits, admissions & readmissions.

## 2. PCMH Program Expansion

Expansion of the PCMH Program in 2020 to include a larger percentage of the overall member population. The PCMH program focuses on coordination of care in a community-based model. Goals of the PCMH program are a reduction in preventable ED visits and admits by Providing comprehensive primary care for children, youth, and adult, facilitating partnerships between patients and their personal physician, the patient's family and caregivers, and the community, Promote increased access to care and improved care quality, Incorporate surveys in practices related to gaining information on social determinants of health (SDoH).

#### 3. Verification of Provider Visits (VOPV)

Outreach call is completed to Primary Care Provider by WPC team within 7 days of hospital discharge to assure PCP is aware of hospitalization and member has a follow up appointment.

#### 4. DocChat

DocChat is an application-based intervention that allows members to text with an Emergency Physician to assist in determining a correct level of care. The target population for the resource is members who have two or more Emergency room visits specifically for low acuity non-emergent diagnoses receive mailer, email, or text message to introduce the program, but it is accessible to all members.

### 5. Urgent Care Mailer Expansion

Members who utilize the ED three or more times in 6 months in Med Express counties currently receive a mailer with information on Med Express locations available in their area. This program will be expanded and members outside of those counties will receive a mailer with education appropriate for ER utilization and a list of in-network urgent care centers in their area.

Process for mailer creation and approval took longer than expected. Mailings began Q2 2021. No mailings completed in 2020.

#### 6. Lancaster EMS (LEMSA)

## **Summary of Interventions**

Partnership with LEMSA to provide Paramedicine services in Lancaster County. In home paramedicine will be provided to members coming out of an inpatient stay who are high risk for readmission. These members may have diagnoses including but not limited to CHF, COPD, Sepsis, DM, other chronic dx. Visits include medication reconciliation, follow up care coordination, medical services as needed, and general safety and wellness education.

## 7. AdhereHealth™ SPC/SPD Project

AdhereHealth™ vendor is being contracted to complete outreach to members with cardiovascular disease and/or diabetes who are noncompliant with their Statin medication. AdhereHeatlh™ performs telephonic outreach to the member to identify and address barriers related to medication adherence and provide member education when feasible.

Program launched in September 2020 for SPC. SPD outreach began in October 2020.

#### 8. Pennsylvania Pharmacist Care Network (PPCN) Program

Members with COPD and/or Diabetes receive education on their disease state and medication from a PPCN pharmacist. In person education is provided to the member where they currently fill their prescriptions.

Program was planned to launch in Q4 2020 but did not launch until Q2 2021 due to delays in contracting process.

#### 9. ICP Joint Operating Committee (JOC) Meetings

JOC Meetings were started with the BHMCOs in Q4 2020. The JOC meetings are in addition to the clinical rounds that currently take place with the BHMCOs. These JOC meetings will include BHMCO medical directors and focus on ways to improve integration of care and coordination of care with BHMCOs.

In Q4 2020 the WPC team began to share gap in care lists for the SAA measure with the BHMCOs to allow for better collaboration on these members and referral to the ICP program if needed. This modification was made due to ITM 10 not being implemented as planned.

#### 10. AdhereHealth™ SAA Project

AdhereHealth™ vendor is being contracted to complete outreach to the SAA measure population who are noncompliant with their antipsychotic medication. AdhereHeatlh™ performs telephonic outreach to the member and the prescriber to identify and address barriers related to medication adherence and provide member education when feasible.

This intervention did not launch in 2020 as planned due to barriers encountered in the contracting process. The vendor declined to move forward with outreach. As a result, sharing of gap in care lists for the SAA measure with the BHMCOs was implemented under ITM 9 BHMCO JOC meetings to further address this barrier.

#### 11. Disparities Score Card

UHC has developed a disparity score card to assure that providers are aware of the disparities that currently exist in the African American member population. The Clinical Practice Consultants (CPCs) review this score card with individual. The score card includes the individual practice rates and benchmarking comparison to peers. This not only educates practices on their individual rates for the targeted measures, but also provides a platform for discussion and education on how to improve healthcare disparities at the practice level.

## **Summary of Interventions**

#### 12. African American Blood Pressure and Diabetes Pilot Project

African American members with gaps in care for poorly controlled diabetes and high blood pressure, which puts them at higher risk for inpatient admission, readmission, and ED utilization. Members receive a culturally appropriate mailer followed by a live telephonic outreach call by a QM team member to support screening education, appointment scheduling, Bio-IQ in-home test kit, and a home visit (where applicable). When calling members, we review all risk factors and program incentives, and members may qualify for 2020 reward program for completing a HbA1c test. A resource listing of program and services was created and shared during live calls with members. Members engaged will be monitored over 90 days and claims reviewed to determine if additional outreach is needed.