INSTRUCTIONS FOR COMPLETION OF PENNSYLVANIA PROMISE™ PROVIDER ENROLLMENT BASE APPLICATION

Applications must be typed or completed in black ink, or they will not be accepted. All sections must be completed in full; if left blank, application will be rejected. Applications will be scanned - please do NOT staple.

Note: Out-of-State providers must submit proof of participation in your State’s Medicaid Program.

1. Enter the complete name of the individual or facility.
2a. Check the appropriate boxes for the action(s) you request.
2b. If this is a revalidation, please complete the entire application. If you have additional service locations for revalidation, please complete Page 13.
2c. If you are reactivating a provider number, indicate the PROMISE™ 13 digit provider number you wish to have reactivated and complete the application as an initial enrollment.
2d. If you are adding a provider to an existing group, enter the PROMISE™ 13 digit group provider number. The 4-digit service location code must correspond with a valid active street address. We will not assign fees to a service location listed as a P.O. Box.
   • Fee assignments may only be made between “like provider types”. Call the Enrollment Hotline for verification at 1-800-537-8862.
3. Enter your National Provider Identifier (NPI) Number and taxonomy(s). If you have more than 4 taxonomy codes, please attach an additional sheet noting the additional codes. Include a legible copy of the NPPES Confirmation letter that shows the NPI Number and Taxonomy(s) assigned to the healthcare provider applying for enrollment. Refer to:
   http://www.dhs.state.pa.us/provider/doingbusinesswithdhs/nationalprovideridentifiernpiinformation
4. Enter the requested effective date for your action request.
5. Enter your provider type number and description (e.g., provider type 31, Physician).
6. Enter your primary specialty name and code number. See the requirements for your provider type.
7. Enter your specialty name(s) and code number(s), if applicable. See the requirements for your provider type.
8. Enter your sub-specialty name(s) and code number(s), if applicable. See the requirements for your provider type.
9. Enter your Social Security Number. A copy of your Social Security card, W-2, or document generated by the Federal IRS containing your Social Security Number must accompany your application. If completing #9, do not complete #10. Refer to the checklist for additional requirements.
10. Enter your Tax Identification Number (TIN). A copy of the TIN label or document generated by the Federal IRS containing the name and IRS number of the entity applying for enrollment must accompany this application. A W-9 form will not be accepted. If completing #10, do not complete #9.
11. Enter your legal name as it is filed with the IRS and as it appears on IRS generated documents.

08/12/2015
12a. Indicate whether or not you participate with any Pennsylvania Medicaid Managed Care Organizations (MCOs).

12b. Enter the names of any Pennsylvania Medicaid Managed Care Organizations with which you participate.

13a. Indicate whether the provider operates under a fictitious business/doing-business as (d/b/a) name.

13b. If applicable, enter the statement/permit number and the name. Attach a legible copy of the recorded/stamped fictitious business name statement/permit.

14. Enter your date of birth.

15. Enter your gender.

16. Enter the title/degree you currently hold.

17a. Enter your IRS address. This address is where your 1099 tax documents will be sent.

17b-f. Enter the contact information for the IRS address.

18. Check the appropriate box for the business type of the individual or facility applying for enrollment. Check 1 box only. Include corporation papers from the Department of State Corporation Bureau or a copy of your business partnership agreement, if applicable.

19a-d. Enter your license number (if applicable), issuing state, issue date, and expiration date. *A copy of your license must be included with the application.

20. Enter your Drug Enforcement Agency (DEA) Number (if applicable). * A copy of your DEA certificate must be included with the application.

21. If you have a CLIA certificate and a Dept. of Health Laboratory Permit associated with this service location. *A copy of both documents must be included with the application.

22. Enter your CMS number.

23a. Enter a valid service location address. The address must be a physical location, not a post office box. The zip code must contain 9 digits and the phone number must be for the service location. Refer to block #27 of the application to list an additional address (es) for Pay-to, Mail-to, and/or Home Office locations if different from the Service Location address entered in Block 23a.
Please indicate if the physical address is handicap accessible
Please indicate if the physical address is an FQHC or RHC location
Please indicate if the physical address has been screened by one of the listed entities
NOTE* you can sign up for the Electronic Funds Transfer Direct Deposit Option by following the link below:
http://www.dhs.state.pa.us/provider/doingbusinesswithdhs/electronicfundstransferdirectdepositinformation

23b. Answer question, if yes, enter your E-mail Address. If no, follow directions to access the bulletin information yourself. If you require paper bulletins or RA’s please call the phone number listed.

23c. If you wish Medicare claims to crossover to this service location check this box. Note: This crossover can be added to only one service location.
23d. Enter contact information.

23h. Indicate whether you or your staff is able to communicate with patients in any language other than English.

23i. If applicable, list the additional languages in which you or your staff can communicate.

23j. Enter the appropriate Provider Eligibility Program(s) (PEP(s)). Refer to the PEP Descriptions and the requirements for your provider type.

24a-e. The individual applying for enrollment OR the representative of the facility applying for enrollment must complete ALL confidential information questions, A through E.

   If you answer “Yes” to any of the questions, you must provide a detailed explanation (on a separate piece of paper) and attach it to your application. (Refer to the Confidential Information sheet).

25. Sign the application and print your name, title, and date (The signature should be that of the individual applying for enrollment or someone able to represent the facility applying for enrollment). Use black ink.

26. This page, beginning with block #26, may be used to add a mail-to, pay-to, and/or home office address to the previously defined service location address listed in 23a. This sheet cannot be used to add a service location.

26a. Enter the corresponding mail-to, pay-to, and/or home office address for the service location.

26b. Indicate whether you are adding a mail-to, pay-to, and/or home office address.

26c. Enter the e-mail address of the contact person for this address.

26d-g. Enter the contact information for this address.

   • Use page 13 to add additional service locations upon the INITIAL ENROLLMENT OF AN INDIVIDUAL.

   • Facilities must complete a new base application to add additional service locations to their file.

   • The individual applying for enrollment or a representative of the facility applying for enrollment must complete the Provider Agreement included with the application.

When completed, review the “Did You Remember...” Checklist included with the application.

Return your application and other documentation to the address listed on the requirements for your specific provider type.

If no address is listed on the requirements for your specific provider type/specialty, please submit to:

DHS Provider Enrollment
PO Box 8045
Harrisburg, PA 17105-8045
- or -
Fax: (717) 265-8284
- or -
Email: RA-ProvApp@pa.gov
ATTENTION ODP-ID PROVIDERS:
Fax completed application to ODP- ID @ 717-783-5141 or mail to:
Office of Developmental Programs - ID
Room 413 Health and Welfare Building
Harrisburg, PA 17101
Attn: Provider Enrollment

ATTENTION OLTL PROVIDERS:
Mail completed applications to:
Office of Long Term Living
Bureau of Quality and Provider Management
Division of Provider and Operations Management
555 Walnut Street
P.O. Box 8025
Harrisburg, PA 17105-8025

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Provider Eligibility Program (PEP) Descriptions

A Provider Eligibility Program code identifies a program for which a provider may apply. A provider must be approved in that program to be reimbursed for services to beneficiaries of that program. Providers should use the following PEP codes when enrolling in Medical Assistance (MA). Providers should use the descriptions in this document to determine which PEP code to use when enrolling in MA.

ACT 150 Program
Office of Long Term Living - (800) 932-0939

This program provides services to eligible persons with physical disabilities in order to prevent institutionalization and allows them to remain as independent as possible. The ACT 150 Program is operated only with State funds.

Eligibility:
Recipients either do not meet the level of care for a federally supported waiver or do not meet the financial limitations for the Attendant Care Waiver.

Services:
- Personal Assistance Services
- Personal Emergency Response System
- Service Coordination

Adult Autism Waiver (AAW)
Bureau of Autism Services - (866) 539-7689

The AAW is designed to provide long-term services and supports for community living, tailored to the specific needs of adults age 21 or older with Autism Spectrum Disorder (ASD). The program is designed to help adults with ASD participate in their communities in the way they want to, based upon their identified needs.

Eligibility:
Recipients must be 21 or older and have a diagnosis of ASD and meet certain diagnostic, functional and financial eligibility criteria.

Services:
- Assistive Technology
- Behavioral Specialist
- Community Inclusion and Community Transition
- Counseling
- Day Habilitation
- Environmental Modifications
- Family Counseling and Family Training
- Job Assessment and Job Finding
- Nutritional Consultation
- Occupational Therapy
- Residential Habilitation
- Respite
- Speech Therapy
- Supported Employment
- Supports Coordination
- Temporary Crisis Services
- Transitional Work Services
Aging Waiver (formerly PDA Waiver/Bridge Program)
Office of Long Term Living - (800) 932-0939

This program provides services to eligible persons over the age of 60 in order to prevent institutionalization and allows them to remain as independent as possible.

Eligibility:
Recipients must be 60 years of age or older, meet the level of care needs for a Skilled Nursing Facility, and meet the financial requirements as determined by the County Assistance Office (CAO).

Services:
- Accessibility Adaptation
- Adult Daily Living
- Community Transition Services
- Home Delivered Meals
- Home Health
- Non-Medical Transportation
- Personal Assistance Services
- Personal Emergency Response System
- Respite
- Service Coordination
- Specialized Medical Equipment and Supplies
- Telecare Services
- Therapeutic and Counseling Services
- Transition Service Coordination

AIDS Waiver
Office of Long Term Living - (800) 932-0939

This is a federally approved special program which allows the Commonwealth of Pennsylvania to provide certain home and community-based services not provided under the regular fee-for-service program to persons with symptomatic HIV disease or AIDS.

Eligibility:
Categorically and medically needy recipients may be eligible if they are diagnosed as having AIDS or symptomatic HIV disease, are certified by a physician and recipient as needing an intermediate or higher level of care and the cost of services under the waiver does not exceed alternative care under the regular MA Program.

MA recipients who are enrolled in a managed care organization (MCO) or an MA Hospice Program are not eligible to participate in this home and community-based waiver program. Contact your MCO for comparable services.

Services:
- Homemaker services
- Nutritional consultations by registered dietitians
- Supplemental skilled nursing visits
- Supplemental home health aide visits
- Supplies not covered by the State Plan

Attendant Care Waiver
Office of Long Term Living - (800) 932-0939

This program provides services to eligible persons with physical disabilities in order to prevent institutionalization and allows them to remain as independent as possible.

Eligibility:
Recipients must be between the ages 18–59, physically disabled, mentally alert, and eligible for nursing facility services.

08/12/2015
Services:

- Community Transition Services
- Personal Assistance Services
- Personal Emergency Response System
- Service Coordination
- Transition Service Coordination

**Behavioral Health HealthChoices (Beh Hlth HC)**
**Office of Mental Health and Substance Abuse Services - (800) 433-4459**

This PEP is used to identify providers who are approved to serve recipients enrolled exclusively in HealthChoices.

Eligibility:

- Recipients are HealthChoices only eligible;
- Provider must contract with the contracted County or Contracted Behavioral Health Managed Care Organization (BH-MCO);
- Licensed/certified/approved service description and credentialed by the contracted County or BH-MCO;
- Requires written pre-requisite documentation from the contracted County or BH-MCO;
- Used exclusively by OMHSAS

Behaviors:

- Alternative treatment services which are discretionary, cost-effective alternatives to acute levels of care
- Contact contracted County or BH-MCO for definition of services

**Community Care Waiver (COMMERCARE)**
**Office of Long Term Living - (800) 932-0939**

This program was designed to prevent institutionalization of individuals with traumatic brain injury (TBI) and to allow them to remain as independent as possible.

Eligibility:

Pennsylvania residents age 21 and older who experience a medically determinable diagnosis of traumatic brain injury and require a Special Rehabilitative Facility (SRF) level of care. Traumatic brain injury is defined as a sudden insult to the brain or its coverings, not of a degenerative, congenital or post-operative nature, which is expected to last indefinitely.

Services:

- Accessibility Adaptations
- Adult Daily Living
- Community Integration
- Community Transition Services
- Home Health
- Non-Medical Transportation
- Personal Assistance Services
- Personal Emergency Response System
- Prevocational Services
- Residential Habilitation
- Respite
- Service Coordination
- Specialized Medical Equipment and Supplies
- Structured Day
- Supported Employment
- Therapeutic and Counseling Services
- Transition Service Coordination

08/12/2015
Consolidated Community Reporting Initiative Performance Outcome Management System (EPOMS)
Office of Mental Health and Substance Abuse Services - (800) 433-4459

This PEP is used to identify providers who are approved to serve county based-funded mental health recipients.

Eligibility:
- Recipients are non-Medicaid - county funded only;
- Providers do not receive payment through the MMIS (encounter data reporting only);
- The PEP can be added to an independent service location; in conjunction with a Beh Hlth HC or FFS PEP;
- Provider must contract with the County Mental Health Office;
- Licensed/certified/service description and approved by the County Mental Health Office;
- Requires written pre-requisite documentation from the County Mental Health Office;
- Used exclusively by OMHSAS

Services:
- All county funded providers must enroll at the appropriate service location for the county rendered service;
- Contact contracted County Mental Health Office for definition of services

Consolidated Waiver
Office of Developmental Programs - (866) 539-7689

The Consolidated Waiver is a Home and Community-Based program that is designed for Pennsylvania residents ages 3 and older with a diagnosis of an intellectual disability.

The Pennsylvania Consolidated Waiver is designed to help individuals with an intellectual disability to live more independently in their homes and communities and to provide a variety of services that promote community living, including self-directed service models and traditional, agency-based service models.

Services:
- Assistive technology
- Behavioral support
- Companion
- Education support
- Home accessibility adaptations
- Home and community habilitation (unlicensed)
- Homemaker/chore
- Licensed day habilitation
- Nursing
- Prevocational
- (Licensed) residential habilitation
- (Unlicensed) residential habilitation
- Respite
- Specialized supplies
- Supported employment
- Supports broker
- Supports coordination
- Therapy (physical, occupational, visual/mobility, behavioral and speech and language)
- Transitional work
- Transportation
- Vehicle accessibility adaptations
Early Intervention (WAV15)
Office of Child Development and Early Learning - (717) 772-2376

Eligibility:
Infants and toddlers age birth to age 3 who have a 25% delay in one or more areas of development when compared to other children of the same age, or a physical disability such as hearing or vision loss, or informed clinical opinion that the child has a delay or the child has known physical or mental conditions which have high probability for development delays. Infants and toddlers also meet the Medical Assistance requirements.

Services:
Early Intervention supports and services are designed to meet the developmental needs of children with a disability as well as the needs of the family related to enhancing the child’s development in one or more of the following areas:

- Physical development, including vision and hearing
- Cognitive development
- Communication development
- Social or emotional development
- Adaptive development

El Base Funds (WAV16)
Office of Child Development and Early Learning - (717) 772-2376

Eligibility:
Infants and toddlers age birth to age 3 who have a 25% delay in one or more areas of development when compared to other children of the same age, or a physical disability such as hearing or vision loss, or informed clinical opinion that the child has a delay or the child has known physical or mental conditions which have high probability for development delays.

Services:
Early Intervention supports and services are designed to meet the developmental needs of children with a disability as well as the needs of the family related to enhancing the child’s development in one or more of the following areas:

- Physical development, including vision and hearing
- Cognitive development
- Communication development
- Social or emotional development
- Adaptive development

Fee-for-Service
Office of Medical Assistance Programs - (800) 537-8862

The traditional delivery system of the Medical Assistance (MA) program which provides payment on a per-service basis for health care providers who render services to eligible MA recipients.

Eligibility:
All MA Recipients.

Services:
- Behavioral health services
- Inpatient services
- Outpatient services
- Physical health services
Healthy Beginnings Plus
Office of Medical Assistance Programs - (800) 537-8862

Healthy Beginnings Plus is Pennsylvania’s effort to assist low-income pregnant women, who are eligible for Medical Assistance (MA). Healthy Beginnings Plus expands the scope of maternity services that can be reimbursed by the MA Program. Care coordination, early intervention, and continuity of care as well as medical/obstetric care are important features of the Healthy Beginnings Plus program.

Eligibility:
Pregnant women who elect to participate in Healthy Beginnings Plus.

Services:
- Childbirth and parenting classes
- Home health services
- Nutritional and psychosocial counseling
- Other individualized client services
- Smoking cessation counseling

Independence Waiver
Office of Long Term Living - (800) 932-0939

This program provides services to eligible persons with physical disabilities in order to prevent institutionalization and allows them to remain as independent as possible.

Eligibility:
Recipient must be 18 years of age and older, suffer from severe physical disability which is likely to continue indefinitely and results in substantial functional limitations in three or more major life activities. Recipients must be eligible for nursing facility services, the primary diagnosis cannot be a mental health diagnosis or mental retardation, and the recipients cannot be ventilator dependent.

Services:
- Accessibility Adaptation
- Adult Daily Living
- Community Integration
- Community Transition Services
- Home Health
- Non-Medical Transportation
- Personal Assistance Services
- Personal Emergency Response System
- Respite
- Service Coordination
- Specialized Medical Equipment and Supplies
- Supported Employment
- Therapeutic and Counseling Services
- Transition Service Coordination
Infants, Toddlers and Families Waiver (WAV11)  
Office of Child Development and Early Learning - (717) 772-2376

Eligibility:
Infants and toddlers, birth to age 3 who have a 50% delay in one area of development or two 25% delays in two areas of development when compared to other children of the same age and meets the Medical Assistance requirements.

Services:
Early Intervention supports and services are designed to meet the developmental needs of children with a disability as well as the needs of the family related to enhancing the child’s development in one or more of the following areas:
- Physical development, including vision and hearing
- Cognitive development
- Communication development
- Social or emotional development
- Adaptive development

Intellectual Disability Base Program (formerly MR Base Program)  
Office of Developmental Programs - (866) 539-7689

The ID Base Program is program that is designed for Pennsylvania residents of any age who have a diagnosis of an intellectual disability. These services are offered through the Office of Developmental Programs.

Services available under the Medicaid waivers may also be provided and funded as base services. Base services are generally funded 90% state and 10% county, except for residential services that are 100% state funded.

Services:
- Base Service not Otherwise Specified
- Family aide
- Family education training
- Family Support Services/Individual Payment
- Home Rehabilitation
- Licensed residential services in homes where 9 or more individuals reside
- Recreation/leisure time activities
- Service coordination
- Special Diet Preparation
- Support (Medical Environment)

Omnibus Budget Reconciliation Act Waiver (OBRA Waiver)  
Office of Long Term Living - (800) 932-0939

Also known as the Community Services Program for Persons with Disabilities, provides services to persons with developmental disabilities so that they can live in the community and remain as independent as possible (this includes relocating or diverting individuals from a nursing home to a community setting).

Eligibility:
Recipients must be developmentally disabled, the disability manifests itself before age 22, and the disability is likely to continue indefinitely which results in substantial functional limitations in three or more major life activities. The recipient can be a nursing facility resident determined to be inappropriately placed. The primary diagnosis cannot be a mental health diagnosis or mental retardation and community residents who meet ICF/ORC level of care (high need for habilitation services) may be eligible.
Services:
- Accessibility Adaptation
- Adult Daily Living
- Community Integration
- Community Transition Services
- Home Health
- Non-Medical Transportation
- Personal Assistance Services
- Personal Emergency Response System
- Prevocational Services
- Residential Habilitation
- Respite
- Service Coordination
- Specialized Medical Equipment and Supplies
- Structured Day
- Supported Employment
- Therapeutic and Counseling Services
- Transition Service Coordination

Person/Family Directed Support Waiver (P/FDS)
Office of Developmental Programs - (866) 539-7689

The Person/Family Directed Support Waiver is a Home and Community-Based program that is designed for Pennsylvania residents age 3 and older with a diagnosis of an intellectual disability.

The Pennsylvania P/FDS Waiver is designed to help individuals with an intellectual disability to live more independently in their homes and communities and to provide a variety of services that promote community living, including self-directed service models and traditional, agency-based service models.

Services:
- Assistive technology
- Behavioral support
- Companion
- Education support
- Home accessibility adaptations
- Home and community habilitation (unlicensed)
- Homemaker/chore
- Licensed day habilitation
- Nursing
- Prevocational
- Respite
- Specialized supplies
- Supported employment
- Supports broker
- Supports coordination
- Therapy (physical, occupational, visual/mobility, behavioral and speech and language)
- Transitional work
- Transportation
- Vehicle accessibility adaptations
**PROMISe™ PROVIDER ENROLLMENT BASE APPLICATION**

1. Enter Name of Facility:

   ________________________________________________________________

   or

   Last Name: _____________________________ First: ___________ MI: ___________

2. Action Request: Check Boxes that Apply:
   
   a. [ ] Initial Enrollment: [ ] Individual  [ ] Facility
   b. [ ] Revalidation: [ ] Individual  [ ] Facility
   c. [ ] Check here if previously enrolled in Medical Assistance (MA).
   
   Enter Provider Number (if known): ___  ___  ___  ___  ___  ___  ___  ___  ___ ___  ___  ___  ___  (13 digits)
   
   (Complete the application as an initial enrollment.)
   
   d. [ ] Fee Assignment — Add this provider to existing provider group. Specify group provider number:
      
      _____ _____ _____ _____ _____ _____ _____ _____ _____  (Must be a 13 digit number to be processed).

3. National Provider Identifier Number:  _ _ _ _ _ _ _ _ _ _  (10 digits)
   
   Taxonomy(s):  _ _ _ _ _ _ _ _ _ _  (10 digits)  _ _ _ _ _ _ _ _ _ _  (10 digits)
   
   Taxonomy(s):  _ _ _ _ _ _ _ _ _ _  (10 digits)  _ _ _ _ _ _ _ _ _ _  (10 digits)

4. Requested Effective Date:
   
   yyyy / mm / dd – (2004/07/31)
   
   _ _ / _ / _ _

5. Provider Type Number and Description:
   
   Number: ___ ___  (2 digits)
   
   Description: __________________________________

6. Primary Specialty and Code
   
   Primary Specialty: __________________________
   
   Code Number: ___ ___ ___  (3 digits)

7. Specialty(s) and Code(s)
   
   Specialty(s): __________________________
   
   Code Number(s): ___ ___ ___  /  ___ ___ ___ ___  (3 digits)

8. Sub-specialty(s) and Codes(s)
   
   Sub-Specialty(s): __________________________
   
   Code Number(s): __________________________

9. Social Security Number:
   
   (If #9 is completed, DO NOT complete this item)

   ___ ___ - ___ ___ - ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ (9 digits)

   *A copy of your social security card OR a document generated by the IRS with your name and SSN must accompany this application.

10. Federal Tax ID Number:
    
    ___ ___ - ___ ___ - ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ (9 digits)

    *A copy of a document generated by the Federal IRS with your name and IRS number must accompany this application.

11. Legal Name Shown on Attached Document:

   ________________________________________________________________

   08/12/2015
12a. Do you intend to participate with any Pennsylvania Medicaid Managed Care Organizations (MCOs)?

- [ ] Yes  - [ ] No

12b. If so, list the MCO(s):

______________________________________________

______________________________________________

13a. Does the provider operate under a fictitious business/doing business as (d/b/a) name?

- [ ] Yes  - [ ] No

13b. If yes, list the Statement/Permit number and the name:

Number: ______________________________________

Name: ______________________________________

*An legible copy of the recorded/stamped fictitious business name statement/permit is required for your application to be processed.

14. Date of Birth: yyyy / mm / dd (2004/07/31)

- [ ] Male  - [ ] Female

15. Gender:

16. Title/Degree as it appears on license:

17a. IRS Address: **Note**: This is the address where your 1099 tax document will be sent.

Street: ____________________________________________ Room/Suite: __________

City: __________________________ State: ______ Zip: _______ _______ _______ _______ (9 digits)

17b. Contact Name/Title:

  Name: __________________________________________
  Title: __________________________________________

17c. Contact E-Mail Address:

17d. Contact Phone: ( _______ ) 17e. Contact Toll-Free Phone: ( _______ ) 17f. Contact Fax Number: ( _______ )

18. Business Type: (Check 1 Box Only)

- [ ] Business Corporation, For Profit  - [ ] Not For Profit
- [ ] Estate/Trust  - [ ] Partnership
- [ ] Government Owned  - [ ] Public Service Corporation

19.  a. License Number: ________________  b. Issuing State: ________________
    c. Issue Date: ________________  d. Expiration Date: ________________

* A copy of your license is required for your application to be processed.

20. Drug Enforcement Agency (DEA) Number:

_____________________________________________________

*If you have a DEA number, a copy of your DEA certificate is required for your application to be processed.

21. Is a CLIA certificate and a Dept of Health Lab Permit associated with this Service Location?  [ ] Yes  [ ] No

*if YES please provide a copy of both with this application

22. CMS Certification number: __________________________________________

08/12/2015
23a. Service Location Address: (A POST OFFICE BOX IS NOT A VALID SERVICE LOCATION. THE ADDRESS MUST BE A PHYSICAL LOCATION.)

Street: ______________________________________________________ Room/Suite: ___________________

City: ____________________________________ State: _____ Zip: ________ - _____ (9 digits) County: _____________

Business Phone: ( ) _______ - __________ Fax Number: ( ) _______ - __________

(1) Does the office have exterior or interior steps leading to the main entrance doorway?
   - Yes ☐ No ☐ Exterior ☐ Interior ☐
(2) If the answer to (1) is yes, does the office have a permanent or portable wheelchair ramp?
   - Yes ☐ No ☐ Permanent ☐ Portable ☐
(3) If the answer to (1) is yes, is there an alternate entrance that has no exterior or interior steps or has a wheelchair ramp?
   - Yes ☐ No ☐ No exterior steps ☐ No interior steps ☐ Permanent ramp ☐ Portable ramp ☐

Is this address an active Rural Health Clinic or FQHC? ☐ Yes ☐ No

Do you bill for a mobile unit from this location? ☐ Yes ☐ No

Mobile Medical Unit? ☐ Yes ☐ No
Mobile Dental Unit? ☐ Yes ☐ No

Has the provider named in Block 1 been screened for this location within the last 12 months by:
   - Medicare? ☐ Yes ☐ No
   - Children’s Health Insurance Program (CHIP)? ☐ Yes (Complete below) ☐ No
   - Another state’s Medicaid program? ☐ Yes (Complete below) ☐ No

__________________________________________________________________________

© Screening State © Screening Contact Phone Number © Screening contact email address

Check all applicable boxes. This service location is also a: ☐ Pay-to ☐ Mail-to ☐ Home Office
If Pay-to, Mail-to, and/or Home Office are different from above address, refer to block #21.

IF you wish to utilize the Electronic Funds Transfer Direct Deposit Option please follow link for further information:
http://www.dhs.state.pa.us/provider/doingbusinesswithdhs/electronicfundstransferdirectdepositinformation

23b. Would you like to receive E-Mail notification of new bulletins? Yes ☐ *No ☐

E-Mail address is required if answered YES to receive notification of MA bulletins: ____________________________

*By answering NO you are agreeing to be responsible to check for new MABs on your own by visiting the following website: http://www.dhs.state.pa.us/publications/bulletinsearch OR by signing up to receive notifications of new MABs through the MA Electronic Bulletins Listserv

IF you wish to continue receiving paper bulletins call 1.800.537.8862 option 1 to see if you meet the requirements.
Once enrolled, you can retrieve RAs from PROMISc™ online. If you require paper RAs, please call 1.800.537.8862 option 1 to see if you meet the requirements.

23c. Check this block only if you wish your Medicare claims to crossover to this service location. ☐

23d. Contact Name: ___________________________________________ Contact Phone: ___________________

Title: _______________________________________________

23e. Contact Toll-Free Phone: ___________________ 23f. Contact Fax Number: ___________________
( ) [ ]

23h. In addition to English do you or your staff communicate with patients in another language?
   - Yes ☐ No ☐

23i. If “Yes”, list language(s): ________________________________ ________________________________

23j. Provider Eligibility Program (PEP). Refer to PEP descriptions included in the instructions. You must choose at least 1 PEP:
   a. ___________________________ b. ___________________________ c. ___________________________
24. CONFIDENTIAL INFORMATION
Have you, any agent, or managing employee ever:

24a. Been terminated, excluded, precluded, suspended, debarred from or had their participation in any federal or state health care program limited in any way, including voluntary withdrawal from a program for an agreed to definite or indefinite period of time?

☐ Yes  ☐ No

24b. Been the subject of a disciplinary proceeding by any licensing or certifying agency, had his/her license limited in any way, or surrendered a license in anticipation of or after the commencement of a formal disciplinary proceeding before a licensing or certifying authority (e.g., license revocations, suspensions, or other loss of license or any limitation on the right to apply for or renew license or surrender of a license related to a formal disciplinary proceeding)?

☐ Yes  ☐ No

24c. Had a controlled drug license withdrawn?

☐ Yes  ☐ No

24d. Been convicted of a criminal offense related to Medicare or Medicaid; practice of the provider’s profession; unlawful manufacture, distribution, prescription or dispensing of a controlled substance; or interference with or obstruction of any investigation?

☐ Yes  ☐ No

24e. In connection with the delivery of a health care item or service, been convicted of a criminal offense relating to neglect or abuse of patients or fraud, theft, embezzlement, breach of fiduciary responsibility, or other financial misconduct?

☐ Yes  ☐ No

If you answered “Yes” to any of the questions listed above, you MUST provide a detailed explanation (on a separate piece of paper) and submit three (3) statements from professional associates or peer review bodies giving factual evidence of why they believe the violation(s) will not be repeated and attach it to your application. Include the following information as applicable to the situation:

1. Name and title of individual
2. Name of federal or state health care program
3. Name of licensing/certifying agency taking the action
4. Date of action
5. Type of action taken
6. Length of action
7. Basis for action
8. Disposition/State
9. Date license was surrendered
10. Name of court
11. Date of conviction
12. Offense(s) convicted of
13. Sentence(s)
14. Categorization of offense (e.g. felony, misdemeanor)

25. This form requires the original signature of the individual applying for enrollment.

_______________________________________                         _______________________________________
Title                                      Printed Name

_______________________________________                         ______________________
Original Signature                        Date

08/12/2015  16
### Mail-To/Pay-To/Home Office Information For The Service Location Entered In 23a

NOTE: Do not use this sheet to add service locations.

<table>
<thead>
<tr>
<th>a. Address: Street</th>
<th>Suite/Box</th>
<th>City</th>
<th>State</th>
<th>Zip (9-digits)</th>
<th>County</th>
</tr>
</thead>
<tbody>
<tr>
<td>b. This address is a:</td>
<td>c. E-Mail address:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>[ ] Mail-to</td>
<td>[ ] Pay-to</td>
<td>[ ] Home Office</td>
<td></td>
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<tr>
<td>d. Contact Name/Title:</td>
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<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Name: _____________________________________________</td>
<td>Title: _______________________________________</td>
<td></td>
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<td></td>
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<tr>
<td>e. Business Phone:</td>
<td>f. Toll-Free Phone</td>
<td>g. Fax Number:</td>
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</tr>
</tbody>
</table>
Note: NEW individual providers only. To add additional service locations upon INITIAL enrollment copy this page as needed and fill out for each service location you wish to add.

<table>
<thead>
<tr>
<th>1. Service Location Address: (A POST OFFICE BOX IS NOT A VALID SERVICE LOCATION. THE ADDRESS MUST BE A PHYSICAL LOCATION.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Street: ________________________________________________________ Room/Suite:____________________</td>
</tr>
<tr>
<td>City: ____________________________________ State: _____ Zip:_ _ _ _ _ (9 digits) County: _____________</td>
</tr>
<tr>
<td>Business Phone: (      ) _______ Fax Number: (      ) ________</td>
</tr>
<tr>
<td>a. Does the office have exterior or interior steps leading to the main entrance doorway? Yes ☐ No ☐ Exterior ☐ Interior ☐</td>
</tr>
<tr>
<td>b. If the answer to (a) is yes, does the office have a permanent or portable wheelchair ramp? Yes ☐ No ☐ Permanent ☐ Portable ☐</td>
</tr>
<tr>
<td>c. If the answer to (a) is yes, is there an alternate entrance that has no exterior or interior steps or has a wheelchair ramp? Yes ☐ No ☐ No exterior steps ☐ No interior steps ☐ No wheelchair ramp ☐ Portable ramp ☐</td>
</tr>
</tbody>
</table>

| Is this address an active Rural Health Clinic or FQHC? | ☐ Yes ☐ No |
| Has the provider named in Block 1 been screened for this location within the last 12 months by: |
| Medicare? | ☐ Yes ☐ No |
| Children’s Health Insurance Program (CHIP)? | ☐ Yes (Complete below) ☐ No |
| Another state’s Medicaid program? | ☐ Yes (Complete below) ☐ No |

| Screening State | Screening Contact Phone Number | Screening contact email address |

Check all applicable boxes. This service location is also a: ☐ Pay-to ☐ Mail-to ☐ Home Office
If Pay-to, Mail-to, and/or Home Office are different from above address, refer to block #21.

IF you wish to utilize the **Electronic Funds Transfer Direct Deposit Option** please follow link for further information: [http://www.dhs.state.pa.us/provider/doingbusinesswithdhs/electronicfundstransferdirectdepositinformation](http://www.dhs.state.pa.us/provider/doingbusinesswithdhs/electronicfundstransferdirectdepositinformation)

| 2. Add rendering provider to: ☐ Existing provider group number : ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ (13 digits) |
| Add rendering provider to: ☐ new provider group applicant group name: _____________________________________ |

| 3. Specialty(s) and Code(s), if applicable: |
| 4. Sub-Specialty(s) and Code(s), if applicable: |
| Specialty: ______________________________ Sub-Specialty(s): ______________________________ |
| Code Number: ___ ___ ___ (3 digits) Code Number(s): ___ ___ / ___ ___ ___ (3 digits) |

| 5. If the taxonomy(s) for this service location differ(s) from the service location on page 1, block 3 please provide the taxonomy(s) for this particular service location: |
| Taxonomy(s): ___ ___ ___ ___ ___ ___ ___ ___ ___ ___ (10 digits) |

| 6. Once enrolled, you can retrieve RAs from PROMISe™ online. If you require paper RAs, please call 1.800.537.8862 option 1 to see if you meet the requirements. |

| 7. Check this block only if you wish your Medicare claims to crossover to this service location. |

<table>
<thead>
<tr>
<th>8. Contact Name: ______________________________ Contact Phone: __________________</th>
</tr>
</thead>
<tbody>
<tr>
<td>Title: ______________________________</td>
</tr>
<tr>
<td>9. Contact Toll-Free Phone: ( )</td>
</tr>
</tbody>
</table>

| 13. Provider Eligibility Program (PEP). Refer to PEP descriptions included in the instructions. **You must choose at least 1 PEP:** |
| a. ___________________| b. ___________________ | c. ___________________ |

08/12/2015
COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF HUMAN SERVICES
OFFICE OF MEDICAL ASSISTANCE PROGRAMS

Provider Agreement for Outpatient Providers

This Agreement, made by and between the Department of Human Services (hereinafter the “Department”) and

(hereinafter the “Provider”) sets forth the terms and conditions governing participation in the Medical Assistance Program. The parties to this Agreement, intending to be legally bound, agree as follows:

1. The provider agrees to comply with all applicable State and Federal statutes and regulations, and policies which pertain to participation in the Pennsylvania Medical Assistance Program.

2. The provider agrees to keep any records necessary to disclose the extent of services the provider furnishes to recipients.

3. The provider agrees upon request, furnish to the Department, the United States Department of Health and Human Services, the Medicaid Fraud Control Unit, any other authorized governmental agencies and the designee of any of the foregoing, any information maintained under paragraph (A) above and any information regarding payments claimed by the provider for furnishing services under the Pennsylvania Medical Assistance Program.

4. The provider agrees to comply with the disclosure requirements specified in 42 CFR, Part 455, Subpart B (relating to Disclosure of Information by Providers and Fiscal Agents), or any amendments thereto.

5. The provider agrees that it will submit within 35 days of the date of request by the Department or the United States Department of Health and Human Services Secretary full and complete information about the following:

   A. the ownership of any subcontractor with whom the provider has had business transactions totaling more than $25,000 during the 12-month period ending on the date of the request; and

   B. any significant business transactions between the provider and any wholly owned supplier, or between the provider and any subcontractor, during the 5-year period ending on the date of the request.

6. The provider agrees that it will allow the Centers for Medicare and Medicaid Services, its agents and its contractor and the Department to conduct unannounced on-site inspections of any and all of its locations, including locations where services are provided.

7. The provider agrees that it will consent to criminal background checks, including fingerprinting, of individuals with an ownership interest in the provider, and will provide to the Department any information needed for the Department to conduct a background check of the provider and its owners.

8. The provider agrees that upon written request from the Department it will disclose the identity of any person who has an ownership or control interest in the provider or is an agent or managing employee of the provider that has been convicted of a criminal offense related to that person’s involvement in any program under Medicare, Medicaid, Title XX, or Title XXI (CHIP).
9. The provider agrees that if there is any change in the ownership or control of the provider, it will submit updated disclosure information to the Department within 35 days of the change in ownership or control of the provider.

10. This agreement shall continue in effect unless and until it is terminated by either the provider or the Department. Either the provider or the Department may terminate this agreement, without cause, upon thirty days prior written notice to the other. The provider’s participation in the Pennsylvania Medical Assistance Program may also be terminated by the Department, with cause, as set forth in applicable Federal and State law and regulations.

PROVIDER ELIGIBILITY AGREEMENT

I have reviewed the information in this enrollment application and affirm on behalf of the provider seeking to enroll in the Pennsylvania Medical Assistance Program that the information submitted in or with this application is true, accurate and complete.

I understand that the provider is responsible for notifying the Department of Human Services if any information included in this enrollment application changes or if the provider becomes aware that any of the information is not true, accurate or complete.

I understand that any false statements or omissions may be subject to prosecution under applicable state or federal law, including 18 Pa. C.S. § 4904, relating to any unsworn falsifications to authorities.

I understand that knowingly and willfully providing incomplete or false information in this application may result in the denial of enrollment or termination of the provider from the Pennsylvania Medical Assistance Program.

______________________________________________         ___________________________________
(Provider – Original Signature)                                                           (Date)
(Owner or Authorized Agent)

______________________________________________
(Name – Please Type or Print)
Pennsylvania Provider Reimbursement and Operations Management Information System electronic (PROMIS™)
Medicaid Management Information System (MMIS) is a HIPAA compliant database.

Provider Disclosure Statement Definitions

The definitions below are designed to clarify certain questions on the following Ownership and Control Disclosure Forms. The full text of the regulations governing the disclosure of information by providers and fiscal agents can be found in 42 CFR Part 455 Subpart B.

Agent means any person who has been delegated the authority to obligate or act on behalf of a provider.

Disclosing entity means a Medicaid provider (other than an individual practitioner or a group of practitioners), or a fiscal agent.

Other Disclosing entity means any entity that does not participate in Medicaid, but is required to disclose certain ownership and control information because of participation in any of the programs established under title V, XVIII, or XX of the Act. This includes:

a. Any hospital, skilled nursing facility, home health agency, independent clinical laboratory, renal disease facility, rural health clinic, or health maintenance organization that participates in Medicare (title XVIII);

b. Any Medicare intermediary or carrier; and

c. Any entity (other than an individual practitioner or group of practitioners) that furnishes, or arranges for the furnishing of, health-related services for which it claims payment under any plan or program established under title V or title XX of the Act.

Fiscal agent means a contractor that processes or pays vendor claims on behalf of the Medicaid agency.

Group of practitioners means two or more health care practitioners who practice their profession at a common location (whether or not the share common facilities, common supporting staff, or common equipment).

Indirect ownership interest means an ownership interest in an entity that has an ownership interest in the disclosing entity.

Note: The amount of indirect ownership interest is determined by multiplying the percentages of ownership in each entity. For example:

If you own 10 percent of the stock in Corporation A, which owns 80 percent of the stock of the disclosing entity, you would have an 8 percent indirect ownership interest in the disclosing entity.

If you own 20 percent of the stock in Corporation A, which owns 50 percent of the stock in Corporation B which owns 80 percent of the stock of the disclosing entity, you would have an 8 percent indirect ownership interest in the disclosing entity.

Managing employee means a general manager, business manager, administrator, director, or other individual who exercises operational or managerial control over, or who directly or indirectly conducts the day-to-day operation of an institution, organization or agency.
Ownership interest means the possession of equity in the capital, the stock, or the profits of the disclosing entity.

**Person with an ownership or control interest** means a person or corporation that:

a. Has an ownership interest totaling 5 percent or more in a disclosing entity.

b. Has an indirect ownership interest equal to 5 percent or more in a disclosing entity.

c. Has a combination of direct and indirect ownership interests equal to 5 percent or more in a disclosing entity.

d. Owns an interest of 5 percent or more in any mortgage, deed of trust, note, or other obligation secured by the disclosing entity if that interest equals at least 5 percent of the value of the property or assets of the disclosing entity.

Note: The percentage of ownership of a mortgage, deed of trust, note, or other obligation is determined by multiplying the percentage of interest owned in the obligation by the percentage of the disclosing entity's assets used to secure the obligation. For example:

If you own 10 percent of a note secured by 60 percent of the disclosing entity's assets, you would have a 6 percent interest in the disclosing entity's assets.

e. Is an officer or director of a disclosing entity that is organized as a corporation; or,

f. Is a partner in the disclosing entity that is organized as a partnership.

**Significant business transaction** means any business transaction or series of transactions that, during any one fiscal year, exceed the lesser of $25,000 and 5 percent of a provider's total operating expenses.

**Subcontractor** means:

a. An individual, agency, or organization to which a disclosing entity has contracted or delegated some of its management functions or responsibilities of providing medical care to its patients; or

b. An individual, agency, or organization with which a fiscal agent has entered into a contract, agreement, purchase order, or lease (or leases of real property) to obtain space, supplies, equipment, or services provided under the Medicaid agreement.

**Supplier** means an individual, agency, or organization from which a provider purchases goods and services used in carrying out its responsibilities under Medicaid (e.g., a commercial laundry, a manufacturer or hospital beds, or a pharmaceutical firm).

**Wholly owned supplier** means a supplier whose total ownership interest is held by a provider or by a person, persons, or other entity with an ownership or control interest in a provider.
OWNERSHIP AND CONTROL INTEREST DISCLOSURE

Note: Ownership and Control Interest information is required in accordance with the Federal Regulations at 42 CFR, Part 455.

Name of disclosing entity: _____________________________________________________________

13-digit PROMISe™ Provider Number: __________________________________________________

Contact Name (for questions on this form): _______________________________________________

Contact Phone: (____) _______ - ___________  Email Address: _______________________________

Section I: Managing Employee or Agent Disclosure

A. Please enter the full name, address, social security number, and date of birth of any person who is a managing employee or agent of the disclosing entity.

The following individual is a:  □ Managing Employee  □ Agent

Name: _____________________________________________________________

(First Name)  (Middle Name)  (Last Name)

Social Security Number: ___________________  Date of Birth: _____________________

Address: ___________________________________________________________ Suite/Apt: ______

(City)  (State)  (Zip Code)  (+4)

1. Has the individual listed above been convicted of a criminal offense related to that person’s involvement in Medicare, Medicaid, Title XX, Title XXI (CHIP) or a state health care program?

□ Yes (Provide details below)  □ No

2. Description of Offense: __________________________________________________________

______________________________________________________________________________

______________________________________________________________________________

______________________________________________________________________________

______________________________________________________________________________

*Attach separate sheet, if necessary*

**COPY SECTION I A TO ADD ADDITIONAL MANAGING EMPLOYEES/AGENTS**
Section II: Ownership and Control

If the provider is organized as a corporation, partnership, estate trust or is a government entity that is organized as a corporation, complete this section.

In completing this section, an individual with at least 5% direct or indirect ownership interest includes individuals that have a combination of direct and indirect ownership interests equal to 5 percent or more in a disclosing entity and individuals who own an interest of 5 percent or more in any mortgage, deed of trust, note, or other obligation secured by the disclosing entity if that interest equals at least 5 percent of the value of the property or assets of the disclosing entity.

INDIVIDUALS WITH AN OWNERSHIP OR CONTROL INTEREST IN THE DISCLOSING ENTITY

A. Please enter the full name, social security number, date of birth, and address of individuals with an ownership or control interest in the disclosing entity and all officers, partners, and directors.

Name: ____________________________________________ ____________________________________________
      (First Name)    (Middle Name)    (Last Name)

Social Security Number: ____________________________ Date of Birth: ____________________________

Address: ____________________________________________ Suite/Apt: ______________
          (City)                      (State)                        (Zip Code)                (+4)

1. a. If the individual listed above has an ownership interest in the disclosing entity, please enter the percentage and ownership type that the individual listed above has in the disclosing entity.

   ☐ Direct: ___% ☐ Indirect: ___% ______________________________
   (Percent of Ownership) (Percent of Ownership) (Name of Entity Owned)

b. If the individual listed above is an officer or director, what position does the individual hold?

   ☐ President       ☐ Chairman       ☐ Member
   ☐ Vice President  ☐ Vice Chairman
   ☐ Secretary      ☐ Director
   ☐ Treasurer      ☐ Officer

2. a. Is the individual listed above the spouse, parent, child, or sibling of any other individual with at least 5% direct or indirect ownership or a control interest in the disclosing entity?

   ☐ Yes (Provide details below) ☐ No

   Name: ____________________________ Relationship: ____________________________
   *Attach separate sheet, if necessary*
Section II: (cont.)

b. Is the individual listed above the spouse, parent, child or sibling of any other individuals with at least 5% direct or indirect ownership or a control interest in any subcontractor of the disclosing entity?

☐ Yes (Provide details below)  ☐ No

Name: ___________________________  Relationship: ___________________________

*Attach separate sheet, if necessary*

3. Does the individual listed above have an ownership or control interest in other Medicare or Medicaid providers, fiscal agents, managed care entities, or any “other disclosing entities”?

☐ Yes (Provide details below)  ☐ No

Name: ___________________________

Address: ___________________________ Suite/Apt: ___________

(City) ___________________________ (State) ___________ (Zip Code) ___________ (+4) ___________

*Attach separate sheet, if necessary*

4. Has the individual listed above been convicted of a criminal offense related to that person’s involvement in Medicare, Medicaid, Title XX, Title XXI (CHIP), or a state health care program?

☐ Yes (Provide details below)  ☐ No

5. Description of Offense: ___________________________

___________________________________________________________________________

___________________________________________________________________________

___________________________________________________________________________

___________________________________________________________________________

*Attach separate sheet, if necessary*

**COPY SECTION II A TO ADD ADDITIONAL INDIVIDUALS**
Section II: (cont.)

CORPORATE ENTITIES WITH AN OWNERSHIP OR CONTROL INTEREST IN THE DISCLOSING ENTITY

B. Please enter the full name, tax identification number, and primary business address of corporate entities that have at least 5% direct or indirect ownership interest in the disclosing entity.

Name: ____________________________________________

Federal Tax ID: _______________________________________

Address: ____________________________________________ Suite/Apt: ___________

(City) ____________________ (State) ___________ (Zip Code) ___________ (+4) ___________

1. Please enter the percentage and ownership type that the corporate entity listed above has in the disclosing entity.

☐ Direct: _____ % ☐ Indirect: _____ %

(Percent of Ownership) (Percent of Ownership) __________________________

(Name of Entity Owned)

2. Please enter any additional business locations and PO Boxes for the corporate entity listed above.

Address: ____________________________________________ Suite/Apt: ___________

(City) ____________________ (State) ___________ (Zip Code) ___________ (+4) ___________

*Attach separate sheet, if necessary*

3. Does the corporate entity listed above have an ownership or control interest in other Medicare or Medicaid providers, fiscal agents, managed care entities, or any “other disclosing entities”?

☐ Yes (Provide details below) ☐ No

Name: ____________________________________________

Address: ____________________________________________ Suite/Apt: ___________

(City) ____________________ (State) ___________ (Zip Code) ___________ (+4) ___________

*Attach separate sheet, if necessary*

**COPY SECTION II B TO ADD ADDITIONAL CORPORATE ENTITIES**
Section II: (cont.)

OWNERSHIP OR CONTROL INTEREST IN SUBCONTRACTORS

C. Please enter the full name, date of birth, and address of each person with an ownership or control interest in any subcontractor in which the disclosing entity has a direct or indirect ownership interest of 5% or more.

Name: ____________________________________________  ____________________________________________  ____________________________________________
        (First Name)          (Middle Name)          (Last Name)

Social Security Number: ___________________________  Date of Birth: ___________________________

Address: ____________________________________________  Suite/Apt: ___________________________

                      (City)                      (State)                      (Zip Code)                      (+4)

1. a. Name of Subcontractor: ____________________________________________

   Federal Tax ID of Subcontractor: ____________________________________________

b. Please enter the percentage and ownership type that the disclosing entity has in the subcontractor.

   □ Direct: _____%  □ Indirect: _____%  ____________________________________________
   (Percent of Ownership)  (Percent of Ownership)  (Name of Entity Owned)

c. Please enter the percentage and ownership type that the individual listed above has in the subcontractor.

   □ Direct: _____%  □ Indirect: _____%  ____________________________________________
   (Percent of Ownership)  (Percent of Ownership)  (Name of Entity Owned)

d. Is the individual listed above the spouse, parent, child, or sibling of any other individuals with at least 5% direct or indirect ownership or a control interest in the disclosing entity?

   □ Yes (Provide details below)  □ No

   Name: ____________________________  Relationship: ____________________________

e. Is the individual listed above the spouse, parent, child or sibling of any other individuals with at least 5% direct or indirect ownership or a control interest in any subcontractor of the disclosing entity?

   □ Yes (Provide details below)  □ No

   Name: ____________________________  Relationship: ____________________________
Section II: (cont.)

f. Has the individual listed above been convicted of a criminal offense related to that person’s involvement in Medicare, Medicaid, Title XX, Title XXI (CHIP), or a state health care program?

☐ Yes (Provide details below)  ☐ No

g. Description of Offense: ____________________________________________
                                          ____________________________________________
                                          ____________________________________________
                                          ____________________________________________
                                          ____________________________________________
                                          ____________________________________________
                                          ____________________________________________
                                          ____________________________________________
                                          ____________________________________________
                                          ____________________________________________
                                          ____________________________________________
                                          ____________________________________________
                                          ____________________________________________
                                          ____________________________________________
                                          ____________________________________________
                                          ____________________________________________

*Attach separate sheet, if necessary*

**COPY SECTION II C TO ADD ADDITIONAL INDIVIDUALS**

D. Please enter the full name, tax identification number, and primary business address of any corporate entity with an ownership or control interest in any subcontractor which the disclosing entity has a direct or indirect ownership interest of 5% or more.

Name: ____________________________________________

Federal Tax ID: ____________________________________________

Address: ________________________________________ Suite/Apt: __________

(City) (State) (Zip Code) (+4)

1. a. Please enter the percentage and ownership type that the disclosing entity has in the subcontractor.

☐ Direct: _____% ☐ Indirect: _____% (Name of Entity Owned)

   (Percent of Ownership) (Percent of Ownership)

b. Please enter the percentage and ownership type that the corporate entity listed above has in the subcontractor.

☐ Direct: _____% ☐ Indirect: _____% (Name of Entity Owned)

   (Percent of Ownership) (Percent of Ownership)

**COPY SECTION II D TO ADD ADDITIONAL CORPORATE ENTITIES**
Section II: (cont.)

E. Please enter the full name, tax identification number, and primary business address of all subcontractors in which the disclosing entity has a direct or indirect ownership interest of 5% or more.

1. a. Name of Subcontractor: ______________________________________________________

   Federal Tax ID of Subcontractor: ________________________________________________

   b. Please enter the percentage and ownership type that the disclosing entity has in the subcontractor.

   ☐ Direct: _____%   ☐ Indirect: _____% (Name of Entity Owned)
   (Percent of Ownership) (Percent of Ownership)

   **COPY SECTION II E TO ADD ADDITIONAL SUBCONTRACTORS OF THE DISCLOSING ENTITY**

F. Does the disclosing entity have an ownership or control interest in other Medicare or Medicaid providers, fiscal agents, managed care entities, or any “other disclosing entities”?

   ☐ Yes (Provide details below)       ☐ No

   Name: ____________________________________________

   Address: ____________________________________________ Suite/Apt: _________
   ____________________________________________
   (City) (State) (Zip Code) (+4)

   **COPY SECTION II F TO ADD ADDITIONAL ENTITIES**

G. Has the disclosing entity had any significant business transactions with any wholly owned supplier or with any subcontractor during the preceding five year period?

   ☐ Yes (Provide details below)       ☐ No

   Name of Supplier/Subcontractor: ________________________________________________

   Social Security Number or Federal Tax ID: ___________ Date of Birth: ____________
   (Individuals only)

   Address: ____________________________________________ Suite/Apt: _________
   ____________________________________________
   (City) (State) (Zip Code) (+4)

   **COPY SECTION II G TO ADD ADDITIONAL SIGNIFICANT BUSINESS TRANSACTIONS**
Section III: Non-Profit Organization Disclosure (Not Organized as a Corporation)

*If the disclosing entity is a non-profit organized as a corporation, please complete Section II*

A. Please enter the full name, address, social security number, and date of birth of any person who is a director (board member) or officer of the disclosing entity.

Name: _____________________________ (First Name) _____________________________ (Middle Name) _____________________________ (Last Name)

Social Security Number: _____________________________ Date of Birth: _____________________________

Address: _____________________________ Suite/Apt: __________

__________________________________________________________________________

(City) __________ (State) __________ (Zip Code) __________ (+4) __________

1. What position is held by the individual listed above?

☐ President ☐ Chairman ☐ Member
☐ Vice President ☐ Vice Chairman
☐ Secretary ☐ Director
☐ Treasurer ☐ Officer

2. Has the individual listed above been convicted of a criminal offense related to that person’s involvement in Medicare, Medicaid, Title XX, Title XX (CHIP), or a state health care program?

☐ Yes (Provide details below) ☐ No

Description of Offense: __________________________________________

__________________________________________________________________________

__________________________________________________________________________

__________________________________________________________________________

__________________________________________________________________________

*Attach separate sheet, if necessary*

**COPY SECTION III TO ADD ADDITIONAL INDIVIDUALS**
The following checklist contains the most common reasons Pennsylvania Medicaid Program enrollment applications are returned. Please complete this checklist and submit it with your application. Incomplete applications will be returned.

Please remember applications will be scanned - do not staple.

Did you remember to....

- USE BLACK INK or TYPEWRITE. Application must be typed or printed in black ink.
- Complete all spaces as required on the application with either your correct information or N/A.
- Ensure that you have entered the correct number of digits where specified.
- If you have more than 4 taxonomy codes, please attach a separate sheet listing the additional codes.
- Indicate one primary provider type, provider specialty and sub-specialty(s), as applicable.
- Include a copy of your Social Security card, W-2 or any document generated by the Federal IRS showing your name and SS number. If the Social Security card states “Valid for work only with INS authorization”, please submit the paperwork generated by the INS or Department of Homeland Security that shows proof of authorization to work in the United States.
- Include documentation generated by the Federal IRS showing the name associated with the FEIN. Remember, a W-9 is not permissible.
- Include corporation papers from the Department of State Corporation Bureau or a copy of your business partnership agreement, if applicable.
- If applicable, include a copy of your:
  - Professional license
  - CLIA certificate and Dept. of Health Lab Permit if applicable.
  - Mammography certificate, including the list of mammography certified members and their PROMIS™ 13 digit provider numbers.
  - Permit from the Department of Health.
  - Any other certification, license, or permit that applies.
- Include a legible copy of your DEA certificate, if applicable.
- Include a legible copy of the NPPES Confirmation letter that shows the NPI Number and Taxonomy(s) assigned to the entity applying for enrollment.
- Enter at least 1 Provider Eligibility Program (PEP).
- Show proof of home state Medicaid participation (out of state providers only).
- Only the person applying for enrollment or a representative of the facility applying for enrollment can sign and date the Confidential Information Sheet and Provider Agreement. Signature stamp not accepted.

When completed, review the “Did You Remember...” Checklist included with the application.

Then return your application and other documentation TO THE ADDRESS LISTED ON THE REQUIREMENTS FOR YOUR SPECIFIC PROVIDER TYPE. If no address is listed on the requirements for your specific provider type/specialty, please mail to:

DHS Enrollment Unit  
PO Box 8045  
Harrisburg, PA 17105-8045

- or -

Fax: (717) 265-8284

- or -

Email: RA-ProvApp@pa.gov

08/12/2015