



OFFICE OF LONG-TERM LIVING BULLETIN

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SUBJECT:

Revised Pennsylvania Preadmission Screening Resident Review (PASRR) Level I Identification Form (MA 376)

Kevin Hancock
Deputy Secretary Office of Long-Term Living**PURPOSE:**

The purpose of this bulletin is to issue a revised Pennsylvania Preadmission Screening Resident Review (PASRR) Level I identification form (PASRR Level I). The revised PASRR Level I form replaces the PA-PASRR-ID (Bulletin 01-15-04, 03-15-04, 07-15-04, 55-15-04) dated January 1, 2016.

SCOPE:

This bulletin applies to all entities that perform preadmission screenings for individuals prior to entering a nursing facility enrolled in the Medical Assistance (MA) Program.

BACKGROUND:

In 1987, Congress enacted major nursing home reform legislation that affected all nursing facilities participating in the Medicare and MA Program as part of the Omnibus Budget Reconciliation Act of 1987 (OBRA '87). OBRA '87, among other things, required the implementation of a preadmission screening program, applicable to all persons seeking admission to an MA-certified nursing facility, regardless of payer source. The purpose of the preadmission screening is to determine whether an individual with a mental health condition, intellectual disability/developmental disability, or other related condition requires nursing facility services and, if the individual does, whether that individual meets certain program office criteria and requires specialized services for their condition. See 42 CFR §§ 483.100 - 483.138. An MA-certified nursing facility may not admit any new resident with a mental health condition, intellectual disability/developmental disability, or other related condition unless the Department of Human Services (department) has determined and notified the nursing facility in a letter that the individual requires nursing facility services and, if the individual does, whether that individual meets program office criteria and requires specialized services for the mental health condition, intellectual disability/developmental disability, or other related condition. Modifications to the PASRR Level I form were made based on recommended changes from the Centers for Medicare & Medicaid Services (CMS) and the department's program offices. There have been form changes which are summarized in this bulletin.

COMMENTS AND QUESTIONS REGARDING THIS BULLETIN SHOULD BE DIRECTED TO: Ruth Anne Barnard
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Changes to the PASRR Level I form

Effective 09/01/2018

General Changes	There were general changes made to the form. In some sections, minor wording changes were made or clarifying language was added to assist individuals in completing the form. Medical terms were aligned with terms used by the department's Office of Mental Health and Substance Abuse Services (OMHSAS) in certain areas. In addition, in the gray text boxes at the end of Sections III, IV and V the specific program office and field office were added.
Page 3, Section III-C	After the first paragraph, added a gray text box to read "A check in any box in Section III-C will require a PASRR Level II evaluation be completed."
Page 3, Section III-C, gray text box after 3. Adaptation to change	Changed the gray text box to read "Note: A PASRR Level II evaluation must be completed by Aging Well or OLTL Field Operations (for a change in condition in a nursing facility) and forwarded to the OMHSAS program office for final determination if the individual has a "yes" in any of Section III-B and/or III-C as a result of a confirmed or suspected mental health condition". This change removes the requirement for a Level II evaluation if the individual has a "yes" in Section III-A #1.
Page 4, Section IV	Added clarifying language to include "developmental disability (DD)" in addition to intellectual disability language.
Page 4, Section IV-D	Added clarifying language to read "Has the individual ever been registered with their county for ID/DD services and/or received services from an ID/DD provider agency within Pennsylvania or in another state."
Page 4, Section IV, gray text box after IV-F	Changed the gray text box to read "Note: A PASRR Level II evaluation must be completed by Aging Well or OLTL Field Operations (for a change in condition in a nursing facility) and forwarded to the ODP Program Office for final determination if: the individual has evidence of an ID or an ID/DD diagnosis and has a "yes" or "cannot determine" in IV-B and a "yes" in IV-C with at least one functional limitation, or the individual has a "yes" in IV-D, or E, or F.

<p>Page 5, Section V, gray text box after V-B, Capacity for independent living</p>	<p>Changed the gray text box to read “Note: A PASRR Level II evaluation must be completed by Aging Well or OLTL Field Operations (for a change in condition in a nursing facility) and forwarded to the ORC Program Office for final determination, if the individual has an ORC diagnosis prior to the age of 22 <u>and</u> at least one box checked in V-B.</p>
<p>Page 6, Section VII, gray text box</p>	<p>Added clarifying language to read “Note: It is the responsibility of the NF to verify that all criteria of the exception are met prior to admission.”</p>
<p>Page 6, Sections VII-A, VII-B, VII-C, and VII-D</p>	<p>Added a check box before each section to check the type of exceptional admission that applies.</p>
<p>Page 6, Section VII-A, first bullet point</p>	<p>At the end of the first bullet point, added a note to read “NOTE: Exceptional Hospital Discharge cannot be an admission from any of the following: emergency room, observational hospital stay, rehabilitation unit/hospital, Long-Term Acute Care Hospital (LTACH), inpatient psych, behavioral health unit, or hospice facility.”</p>
<p>Page 6, Section VII-A, third bullet point</p>	<p>Added clarifying language to the bold text in parentheses to read “(which the NF must have prior to admission).”</p>
<p>Page 7, Section VIII, second check box</p>	<p>Added two sentences at the end to read “You must notify the individual that further evaluation needs to be done. Have the individual or his/her legal representative sign that they have been notified of the need to have a PASRR Level II evaluation done. Indicate by your signature here that you have given the notification (last page of this form) to the individual or his/her legal representative.” Also, added signature requirements for the individual or legal representative who received the notification and for the individual who filled out the PASRR Level I and notification to individual/legal representative.</p>
<p>Page 8</p>	<p>Blank page added.</p>

Page 9, Notification of the Need for a PASRR Level II Evaluation	This is a new page which notifies individuals or legal representatives that a PASRR Level I form was completed and it indicates the individual needs to have a PASRR Level II evaluation completed. This page should only be given to an individual who meets criteria to have a PASRR Level II evaluation completed. Note: When this page is given to the individual, the person who completed the PASRR Level I and gave this page to the individual /legal representative must ensure the signature requirements are satisfied under Section VIII, second bullet point.
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PROCEDURES:

Beginning September 1, 2018, the revised PASRR Level I form must be completed, prior to or no later than the day of admission, for individuals seeking admission to an MA-certified nursing facility, regardless of the individual's payment source. If the applicant/resident is unable to answer the questions, another person who is knowledgeable about the applicant's/resident's medical condition and history (for example: family member, legal representative, or member of the health care team) may help to complete the form. Nursing facilities are responsible for assuring the accuracy of information reported on the PASRR Level I form. For a new resident entering the nursing facility, the nursing facility must make corrections to the PASRR Level I form on the resident's chart when new or missed information becomes available (for example, information provided by the family or doctor). Do not complete a new PASRR Level I for residents readmitted from a short-term acute care hospital stay that were in the nursing facility prior to the hospital stay. For these individuals, just update the PASRR Level I that was used in the nursing facility prior to the hospital stay. If the individual has a change in condition that affects program office criteria as found on the PASRR Level I form, a PASRR Level II evaluation form will need to be completed. Nursing facilities will communicate the need to have a PASRR Level II form done by notifying the department's Office of Long-Term Living, Division of Nursing Facility Field Operations Team via the MA 408 form. Nursing facilities are to advise applicants/residents regarding their rights to know how the PASRR process will be used, how to obtain a copy of this form, and the procedure to appeal the results of a decision by the departments program office.

If the applicant meets program office criteria and is not an Exceptional Admission, as defined on page 6 of the PASRR Level I form, the individual's PASRR Level I form, along with other required documents, must be forwarded to Aging Well, who will complete a PASRR Level II evaluation and will also determine the level of care the individual needs prior to an individual's admission to the nursing facility.

Failure to complete the PASRR Level I and, when applicable, the PASRR Level II prior to admission or on the day of admission will result in forfeiture of MA reimbursement to the nursing facility during the period of non-compliance in accordance with Federal PASRR Regulations at 42 CFR § 483.122.

Instructions for completing the revised PASRR Level I are included in the form and the instructional webinar can be found at:

<http://www.dhs.pa.gov/provider/longtermcarecasemixinformation/obratraininginformation/index.htm>.

The revised PASRR Level I form (MA 376 9/18) may be printed at the following website:

<http://www.dhs.pa.gov/dhsassets/maforms/index.htm>.

The revised PASRR Level I form (MA 376 9/18) will be required for admissions on September 1, 2018 and thereafter. Previous versions of the PASRR Level I form are not acceptable for new admissions on September 1, 2018, and thereafter.